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ON SUBLATTICES OF THE LEECH LATTICE AND
PRIMITIVE REFLECTION GROUPS

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On sublattices of the Leech lattice and primitive reflection groups

by

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ABSTRACT

The object of this paper is to prove that among the integral lattices invariant under a finite real primitive reflection group in dimension ≥ 3 there occurs a sublattice of the unimodular integral lattice of type E_8 . An analogue for the complex primitive reflection groups is proved (where E_8 is replaced by the Leech lattice) as well as a partial result of this kind for the quaternionic case.

KEY WORDS & PHRASES: *Finite reflection groups, Leech lattice, lattice E_8 , quaternions.*

0. INTRODUCTION

It is well known that to a finite unitary group a lattice Λ can be associated that is integral with respect to the unitary inner product h (cf. [4]). In the particular case of a (real, complex or quaternionic) reflection group such a lattice can be constructed from a so-called root system. Moreover, h determines a quadratic form which, if paired with the lattice, yields a known quadratic \mathbb{Z} -module (occurring in [8]) whose automorphism group contains the given reflection group. The choice of this quadratic form originates from [13]. It turns out that the lattices corresponding to root systems of the "exceptional" real and complex reflection groups occur within the Leech lattice Λ_{24} . This leads to the following result.

THEOREM.

- (i) *Any real primitive reflection group $\neq W(A_n)$ in dimension $n \geq 3$ is a subgroup of the group $W(E_8)$ of automorphisms of the lattice of type E_8 .*
- (ii) *The commutator subgroup of any complex (non-real) primitive reflection group in dimension $n \geq 3$ can be embedded in either $W(E_8)$ or $\text{Aut}(\Lambda_{24})$, the group of automorphisms of the Leech lattice.*

The proof of this theorem is given below. It depends on the classification of the reflection groups. As for the real reflection groups, BOURBAKI [1] is a good reference. The complex reflection groups are classified by SHEPHARD & TODD [9], who used results from H.H. Mitchell and H.F. Blichfeldt, and later by the author [2]. The quaternionic reflection groups are classified in [3]. The notations concerning these groups will follow those of [1], [2] and [3].

1. PRELIMINARIES

Let F be a subfield (possibly skew) of the skew field \mathbb{H} of the real quaternions which is of finite degree over \mathbb{Q} and is invariant under quaternionic conjugation $x \rightarrow \bar{x}$ ($x \in \mathbb{H}$). Put $V = F^n$ and $\langle x|y \rangle = \sum_{i=1}^n \bar{x}_i y_i$ ($x = (x_i)$, $y = (y_i) \in F^n$). Thus $(V, \langle \cdot | \cdot \rangle)$ is a unitary right vector space over F . Furthermore, $U(V)$ and $U_n(F)$ denote the group of unitary transformations on V .

DEFINITION. A transformation $s \in U_n(F)$ is called a *reflection* whenever there are $a \in V \setminus \{0\}$ and $\lambda \in U_1(\mathbb{H})$ such that

$$s(x) = x - a(1-\lambda)\langle a|x\rangle\langle a|a\rangle^{-1} \quad (x \in V).$$

The vector a is then called a *root* of s . Moreover the reflection s determined by a, λ in this way, will be denoted by $S_{a,\lambda}$.

A *reflection group* G in $(V, \langle \cdot | \cdot \rangle)$ is a group generated by reflections within $U(V)$.

A *pre-root system* Σ in $(V, \langle \cdot | \cdot \rangle)$ is a nonempty finite subset Σ of $V \times U_1(F)$ such that (with π_1 the projection on V and π_2 the projection on $U_1(F)$):

- (i) $\pi_2(\pi_1^{-1}(a)) \leq U_1(F)$ for any $a \in \pi_1(\Sigma)$
- (ii) $(a, \lambda), (b, \mu) \in \Sigma \Rightarrow (S_{a,\lambda}(b), \mu) \in \Sigma$.

If Σ is a pre-root system in V , then the $S_{a,\lambda}$ with $(a, \lambda) \in \Sigma$ generate a finite group, denoted by $W(\Sigma)$. Now Σ is called a *root system* if the additional property

- (iii) $(\forall a \in \pi_1(\Sigma)) (a\lambda \in \pi_1(\Sigma) \iff a\lambda \in W(\Sigma)a)$

holds.

We mention the following proposition (cf. [2] and [3]).

PROPOSITION. If G is a reflection group in a unitary vector space $(V, \langle \cdot | \cdot \rangle)$, then there exists a root system Σ in V such that $G = W(\Sigma)$.

NOTATION. If G is a reflection group and a the root of a reflection in G , we shall denote by H_a the finite subgroup $\{\lambda \in U_1(F) \mid S_{a,\lambda} \in G\}$ of $U_1(F)$. In the special cases to be considered in the sequel, H_a does not depend on the choice of a , and so we shall often omit the subscript and simply write H .

In addition to $F, V, \langle \cdot | \cdot \rangle$ as above, we need the ring D of algebraic integers of $F \cap \mathbb{R}$ and the quadratic form $q_0: V \rightarrow F \cap \mathbb{R}$ given by $q_0(x) = \langle x|x \rangle$ ($x \in V$). For ease of presentation the latter form will also be used to indicate the restriction to a given submodule of V .

The notions "order" and "lattice over an order" are as in [6].

LEMMA. Let R be an order in F and let Σ be a root system in V . If $\Delta \subset \pi_1(\Sigma)$ is a set of roots satisfying both

$$W(\Sigma) = \langle S_{a,\lambda} \mid a \in \Delta, \lambda \in H_a \rangle$$

and

$$\langle a|a \rangle, (1-\lambda)\langle a|b \rangle / \langle a|a \rangle \in R \quad (a, b \in \Delta, \lambda \in H_a),$$

then $\Lambda = \sum_{x \in \Delta} xR$ is an R -lattice and (Λ, q_0) is a quadratic D -module. In particular, $W(\Sigma)$ is a subgroup of $\text{Aut}(\Lambda, q_0)$.

PROOF. Straightforward. \square

Instead of constructing the lattice from the root system as the lemma suggests, we shall most frequently retrieve the root system Σ from a given lattice Λ and use the lemma to infer that (Λ, q_0) is invariant under $W(\Sigma)$.

We next introduce representatives of the three conjugacy classes of finite subgroups whose complexifications yield primitive subgroups in $Sl_2(\mathbb{C})$. Put $\zeta = \frac{1}{2}(-1-i-j-k)$; $\eta = (i+j)/\sqrt{2}$; $\tau = (1+\sqrt{5})/\sqrt{2}$, and $\omega = \frac{1}{2}(-1+(1-\tau)i-\tau j)$. Then $Te = \langle i, \zeta \rangle$; $Oc = \langle Te, \eta \rangle$ and $Ic = \langle Te, \omega \rangle$ are the binary tetrahedral, binary octahedral and binary icosahedral groups of orders 24, 48 and 120 respectively. We shall also make use of the subgroup $Qu_3 = \langle \omega, k \rangle$ of order 12 of Ic . The rings $\mathbb{Z}[Te]$, $\mathbb{Z}[Oc]$ and $\mathbb{Z}[Ic]$ are maximal orders in the skew fields H_1 , H_2 and H_5 of quaternions over \mathbb{Q} , $\mathbb{Q}(\sqrt{2})$ and $\mathbb{Q}(\sqrt{5})$ respectively. Moreover, $\mathbb{Z}[Qu_3]$ defines an order contained in $\mathbb{Z}[Ic]$. The projections $t : \mathbb{Q}(\sqrt{\ell}) \rightarrow \mathbb{Q}$ for $\ell = 1, 2, 5$ are defined by

$$\left. \begin{aligned} t_1(x) &= x \\ t_2(x+y(1-\sqrt{2})) &= x \\ t_5(x+y\tau) &= x \end{aligned} \right\} (x, y \in \mathbb{Q}).$$

Finally for $\ell = 1, 2, 5$ and $F \subset H_\ell$ the quadratic form $q_\ell : F^n \rightarrow \mathbb{Q}$ is given by $q_\ell = t_\ell \circ q_0$. Observe that q_ℓ is positive definite.

The notation concerning root systems is taken from [2], [3].

2. RESULTS

PROPOSITION 1. Let G be a unitary reflection group in F^n such that the triple n, F, G occurs in a row of table 1. Then there is an order R in F , a G -invariant R -lattice Λ in F^n and a G -invariant quadratic form $q: F^n \rightarrow \mathbb{Z}$ such that (Λ, q) is the lattice of type E_8 .

PROOF. Given n, F and G , the choice of $\ell \in \{1, 2, 5\}$ such that $q = q_\ell$ yields the desired quadratic form as well as the choice of R can be read from the table.

Table 1
Presentations of the lattice of type E_8

n	F	G	H	R	ℓ
1	H_5	Ic	Ic	$\mathbb{Z}[Ic]$	5
1	H_2	Oc	Oc	$\mathbb{Z}[Oc]$	2
2	H_5	$W(O_2)$	$\langle \omega \rangle$	$\mathbb{Z}[Qu_3]$	1
2	H_1	$W(P_2)$	$\langle i, j \rangle$	$\mathbb{Z}[Te]$	1
4	H_1	$W(L_4)$	$\langle \zeta \rangle$	$\mathbb{Z}[\zeta]$	1
4	$\mathbb{Q}(i)$	$EW(N_4)$	$\{\pm 1\}$	$\mathbb{Z}[i]$	1
4	$\mathbb{Q}(\sqrt{2})$	$W(F_4)$	$\{\pm 1\}$	$\mathbb{Z}[\sqrt{2}]$	2
4	$\mathbb{Q}(\sqrt{5})$	$W(H_4)$	$\{\pm 1\}$	$\mathbb{Z}[\tau]$	5
8	\mathbb{Q}	$W(E_8)$	$\{\pm 1\}$	\mathbb{Z}	1

Now put $\theta = i + j$. Notice that both $(\theta\mathbb{Z}[Ic], q_5)$ and $(\theta\mathbb{Z}[Oc], q_2)$ are integral even unimodular \mathbb{Z} -lattices and therefore isomorphic to the lattice of type E_8 . On the other hand, restriction of scalars in these two modules of rank 1 to orders R (described in the table for the respective cases) induces R -lattices for which the set Δ of points closest to the origin with respect to q_0 forms a set of roots for the corresponding reflection group. In fact $\Sigma = \Delta \times H$ (with H as in the table) is a root system satisfying the conditions of the lemma with $\Lambda = \pi_1(\Sigma)$. As Λ is generated as R -module by Δ , the group G consists of automorphisms of (Λ, q_0) and thus of (Λ, q) . \square

COROLLARY 1. *Part (i) of the theorem holds.*

PROOF. According to the well known classification of real reflection groups, the groups to be considered are $W(H_3)$, $W(F_4)$, $W(H_4)$, $W(E_6)$, $W(E_7)$, $W(E_8)$. Thanks to the obvious inclusions $W(H_3) \subset W(H_4)$ and $W(E_6) \subset W(E_7) \subset W(E_8)$, we only need to verify that $W(H_4)$, $W(F_4)$ are in $W(E_8)$. But this is stated in the proposition. \square

COROLLARY 2. *The complex reflection groups $W(L_3)$, $W(M_3)$, $W(N_4)$, $EW(N_4)$, $W(L_4)$ are subgroups of $W(E_8)$.*

PROOF. Note that $W(L_3) \subset W(M_3) \subset W(L_4)$ and that $W(N_4) \subset EW(N_4)$. \square

COROLLARY 3. *The quaternionic reflection group $W(O_1)$ ($\cong S\ell_2(5)$), $W(O_2)$ ($\cong S\ell_2(9)$) and $W(P_2)$ are subgroups of $W(E_8)$.*

REMARKS.

- (i) The root system of type F_4 appearing in the proposition differs from Bourbaki's in as much as for any root x the squared length $q_0(x) = \langle x|x \rangle$ is 2.
- (ii) The groups $W(O_1)$ and $W(O_2)$ are the groups $S\ell_2(5)$ and $S\ell_2(9)$ studied by I. NARUKI in [6] as subgroups of $W(E_8)$.
- (iii) By the methods used above one could also establish the inclusion $W(B_n) \subset W(D_{2n})$.
- (iv) The groups $EW(N_4)$ and $W(L_4)$ were found as subgroups of $W(E_8)$ centralizing a so-called regular element of order 4, 3 respectively, by T.A. SPRINGER in [12].

Let K_{12} denote the 12-dimensional lattice described by N.J.A. SLOANE in [18]. For G a linear group, the subgroup of G of elements having determinant = 1 is written as G^+ .

PROPOSITION 2. *For $W(K_6)$, $W(Q_3)$ (and $W(R_3)$ respectively) there exists a root system Σ of G spanning a lattice Λ and a G -invariant quadratic form q on Λ with integral values such that (Λ, q) is isomorphic to K_{12} (and to the Leech lattice Λ_{24} respectively). Moreover, (Λ, q) is a sublattice of Λ_{24} such that $(\text{Aut}(\Lambda, q))^+ \subset \text{Aut}(\Lambda_{24})$.*

PROOF. The vectors in the $\mathbb{Z}[\text{Ic}]$ -lattice

$$M = \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix} \mathbb{Z}[\text{Ic}] + \begin{pmatrix} \theta \\ \theta \\ 0 \end{pmatrix} \mathbb{Z}[\text{Ic}] + \begin{pmatrix} 1 \\ \zeta^2 + \tau \\ 1 \end{pmatrix} \mathbb{Z}[\text{Ic}] \quad (\text{where } \theta = i + j)$$

closest to the origin with respect to q_0 span a root system for the reflection group $W(R_3)$ (take $H = \{\pm 1\}$). The θ -conjugate of this root system is explicitly given in [2]. Now (M, q_5) is the Leech lattice as portrayed in [11].

Consider the root system with $H = \{\pm 1\}$ spanned by the roots in M that up to a permutation of coordinates look like

$$\begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix} \zeta^{2j\lambda}, \quad \begin{pmatrix} 1 \\ p \\ 0 \end{pmatrix} (-\zeta^{2+\tau k}) \zeta^{2j\lambda}, \quad \begin{pmatrix} p \\ s \\ r(-\zeta^{2+\tau k}) \end{pmatrix} \zeta^{2j\lambda}$$

for $p, s, r \in \langle i, j \rangle$ such that $psr \in \{\pm 1\}$ and $\lambda \in \text{Qu}_3$. It is of type Q_3 and gives rise to a $\mathbb{Z}[\text{Qu}_3]$ -lattice Λ as indicated in the lemma. This lattice is contained in M and the corresponding group $W(Q_3)$ is a subgroup of $W(R_3)$ as it is generated by reflections in $W(R_3)$. Finally, restricting scalars of Λ to $\mathbb{Z}[\omega]$ yields a $\mathbb{Z}[\omega]$ -lattice Λ^0 whose points closest to the origin with respect to q_0 span a root system of type K_6 .

Since Λ^0 is generated by its vectors closest to the origin with respect to q_0 , we have that (Λ^0, q_0) is isomorphic to K_{12} almost by definition. Furthermore the $\mathbb{Z}[\omega]$ -lattice Λ^0 occurs in LINDSEY's work [5] as the sublattice of his $\mathbb{Z}[\omega]$ -lattice L . Up to a transformation Λ^0 consists of all vectors $x \in L$ for which the last 6 coordinates x_1, \dots, x_6 , vanish. J.H. Lindsey shows that an isomorph of $W(K_6)^+ = (\text{Aut}(\Lambda^0, q_0))^+$ is a subgroup of $\text{Aut}(\Lambda_{24})$ and acts faithfully on Λ . \square

COROLLARY 4. *There exists embeddings of the groups $W(J_3(4))$, $W(J_3(5))$, $W(Q_3)$, $W(R_3)$, $W(K_5)$ and $W(K_6)^+$ in $\text{Aut}(\Lambda_{24})$.*

PROOF. This results from the inclusions $W(J_3(4))$, $W(J_3(5)) \subset W(R_3)$ and $W(K_5) \subset W(K_6)^+$. \square

This corollary and Corollary 2 of Proposition 1 together imply part (ii) of the theorem.

REMARKS.

- (i) In the analogue of the 7 presentations for the lattice of E_8 obtained from $(\theta\mathbb{Z}[\text{Ic}], q_5)$ by restriction of scalars, one may expect interesting subgroups of $\text{Aut}(\Lambda_{24})$ to come out if the same process is applied to (M, q_5) . In fact, in [13] J. TITS exhibited the automorphism groups in the cases where the scalars are restricted to $\mathbb{Z}[\text{Te}]$ and to $\mathbb{Z}[\zeta]$.
- (ii) If the scalars of the $\mathbb{Z}[\text{Te}]$ -lattice connected with $W(P_2)$ are extended to a $\mathbb{Z}[\text{Ic}]$ -lattice, we get (after equipping the lattice with the appropriate form) the \mathbb{Z} -lattice Λ_{16} referred to by N.J.A. SLOANE in [9]. It is the sublattice of the Leech lattice M presented within $(\mathbb{Z}[\text{Ic}])^3$ consisting of all vectors $x \in M$ of the form

$$x = \begin{pmatrix} x_1 \\ x_2 \\ 0 \end{pmatrix}.$$

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