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DUPLICAAT

STICHTING  
MATHEMATISCH CENTRUM  
2e BOERHAAVESTRAAT 49  
AMSTERDAM  
AFDELING ZUIVERE WISKUNDE

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door

Prof.dr. J. de Groot

Topological Hilbert space and the drop-out effect

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Notations

$l_2 = \{(x_i)_{i=1}^{\infty} \mid x_i \in \mathbb{R}, \sum x_i^2 < \infty\}$  denotes the separable Hilbert space in its usual metric.

$s = \prod_{i=1}^{\infty} \mathbb{R}_i$ , where  $\mathbb{R}_i = \mathbb{R}$  for each  $i$ , denotes the topological product of countably many real lines with its product-topology. This  $s$  is clearly homeomorphic ( $\approx$ ) to the product of countably many open real intervals.

"Can a small push send a mathematician out of society without leaving a vacancy?" [2], p.786.

Indeed, this should be the case, if we lived in Hilbert space (as might be correct): if  $K$  is a compact set in  $l_2$ :

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$$l_2 \setminus K \approx l_2$$

In particular, for a point  $p \in l_2$ :

$$l_2 \setminus \{p\} \approx l_2$$

This last fact has been proved by the Polish (for references in general c.f. [2]) and has been one step in solving an old problem, raised by Fréchet (1928) whether or not

$$s \approx l_2 .$$

This has finally been proved in the affirmative in 1966 by R.D. Anderson (who spent '58-'59 at the Mathematical Centre). This highly interesting result gave new impetus and strength to the area of infinite-dimensional manifolds (and topology). Today we shall discuss one aspect, "the push-out effect".

Definition. A topological space  $X$  is called a drop-out space, or briefly a drop-out, if

$$(\exists x \in X) \quad X \approx X \setminus \{x\}$$

A compact space without isolated points can never be a drop-out because of the topological invariance of compactness.

However the space of the rationals,  $\mathbb{Q}$ , or the space of the irrationals are drop-outs.

For  $\mathbb{Q}$ , because of the fact that every countable metrizable space without isolated points is homeomorphic to  $\mathbb{Q}$ . For the irrationals, because any zero-dimensional, separable, completely metrizable, nowhere locally compact space is homeomorphic to it.

But these zero-dimensional examples are no great help in understanding the drop-out property of  $s$  (or  $l_2$ ). Therefore we should try to find nice examples of connected spaces of finite dimension which have the drop-out property. Such a space is e.g. the Euclidean plane minus a countable dense subset. Studying these (theorem 1) leads to a general class of

metrizable (not necessarily separable) spaces which have the drop-out property (theorem 2). We apply this to derive the drop-out effect for  $s$ .

Definition. A space  $X$  is locally homogeneous in  $x \in X$  if  $\exists$  arbitrarily small neighborhoods  $U$  of  $x$  such that  $\forall y \in U \exists$  an autohomeomorphism of  $X$  which is the identity outside  $U$  and which maps  $x$  onto  $y$ .

Theorem 1. (Blok - de Groot)

If  $M$  is a locally homogeneous, completely metrizable space, then for any two countable dense subsets  $A$  and  $B$  of  $M$  there exists an autohomeomorphism of  $M$  which maps  $A$  onto  $B$ .

Hence  $M \setminus A$  and  $M \setminus B$  are homeomorphic.

Corollary.  $M \setminus A$  is a drop-out.

Remarks. Special cases of this theorem are known, e.g.  $M = \mathbb{R}^n$ ,  $M = l_2 \approx s$ , but the proofs are not coordinate free. Our proof depends on the following Anderson-Bing lemma.

Lemma 1. (Anderson-Bing [1], p.777). Let  $M$  be a completely metrizable space and  $(\phi_n)_n$  a sequence of autohomeomorphisms of  $M$ . Put

$$\psi_n = \phi_n \cdots \phi_2 \phi_1 .$$

Then

$$\psi = \lim_{n \rightarrow \infty} \psi_n$$

(defined by pointwise convergence) exists and is an autohomeomorphism of  $M$  if

$$\forall m \in M \quad \forall n \in \mathbb{N}$$

(i)  $\rho(\phi_n(m), \phi_{n+1}(m)) < 2^{-n}$ , and

(ii)  $\rho(\psi_n^{-1}(m), \psi_{n+1}^{-1}(m)) < 2^{-n}$ .

Remarks. We obtain equivalent conditions by replacing (i) by

$$(i') \quad \rho(\psi_n(m), \psi_{n+1}(m)) < 2^{-n}$$

Do not forget that

$$\psi_n^{-1}(m) = \phi_1^{-1} \dots \phi_{n-1}^{-1} \phi_n^{-1}(m) .$$

The topological completeness of a (metrizable) space (that is:  $\exists$  a - the same topology inducing - metric in which the space is complete) is characterized intrinsically by the topological property of cocompactness [1]. Here we only need a more superficial property, also characterizing topological completeness, namely that of subcompactness [3].

Lemma 2. (cf. [3], p.763). In a metric space  $(M, \rho)$ , which is topologically complete (so not necessarily complete in the metric  $\rho$ !), exists an open base  $\mathcal{U} = \{U \mid U \in \mathcal{U}\}$ , which has the following property of subcompactness: for every sequence  $(U_n)_{n \in \mathbb{N}}$  of open sets  $U_n \in \mathcal{U}$  satisfying

- (a)  $U_{n+1}^- \subset U_n \quad (\forall n \in \mathbb{N}) ,$   
 (b)  $\lim_{n \rightarrow \infty} \text{diameter}(U_n) = 0 ,$

the intersection of the elements of the sequence consists of precisely one point:

$$(\exists p \in M) \quad \bigcap_{n \in \mathbb{N}} U_n = \bigcap_{n \in \mathbb{N}} U_n^- = \{p\} .$$

(The proof is about half a page, it can essentially be found in [3], p.764 and the only fact needed is a simple settheoretic lemma on p.763).

Remark. This property is at first sight rather surprising if e.g. one starts to visualize it for  $M = \{\text{irrationals}\}$  .

Lemma 3. (well-known; Hausdorff/Alexandroff). A metric space is topologically complete iff it is a  $G_\delta$  subset of a complete metric space.

Definition. Let  $M$  be a topological space and

$$C \subset B \subset M, C \text{ dense in } B.$$

We say that  $M$  is locally homogeneous relative to  $C$  if every point  $c \in C$  has arbitrarily small neighborhoods  $U$  in  $M$ , such that  $\forall c' \in C \cap U$   $\exists$  an autohomeomorphism  $\phi$  of  $M$  which maps  $c$  onto  $c'$  and is the identity outside  $U$ . If we can choose, for each  $c$  and  $c'$ , a  $\phi$  in such a way that  $\phi B = B$ , then we say that  $B$  is an invariant set.

Lemma 4. Let  $(M, \rho)$  be a complete metric space,

$$M = A \cup B$$

$$\emptyset = A \cap B$$

If

- (i)  $A$  is a  $G_\delta$  subset of  $M$  (so  $A$  is topologically complete: lemma 3), and
- (ii)  $A$  is dense in  $M$  (i.e.  $\overline{A} = M$ )  
 $B$  is dense in  $M$  (i.e.  $\overline{B} = M$ )
- (iii)  $M$  is locally homogeneous relative to  $C$  (for a suitable dense subset  $C$  of  $B$ )

then  $\forall c \in C \exists$  an autohomeomorphism  $\psi$  of  $M$  ( $\psi$  depending on  $c$ ) such that

$$\psi(c) \in A.$$

The proof depends heavily on lemma 1 (applied to  $M$ ) and lemma 2 (applied to  $(A, \rho)$ ).

Theorem 2. (drop-out theorem).

Suppose we have the situation of the preceding lemma and let, moreover,  $B$  be an invariant set, then  $\exists$  an autohomeomorphism  $\psi$  of  $M$  and  $\exists a \in A$  such that

$$\psi^{-1}(A \setminus \{a\}) = A$$

Hence  $A$  is a drop-out space.

Corollary.  $s$  is a drop-out.

Proof. Put

$$M = \text{Hilbert cube} = \{x = (x_i)_{i=1}^{\infty} \mid x \in l_2, \forall i \ |x_i| < \frac{1}{i}\}$$

$$B = \text{pseudoboundary} = \{x \in M \mid \exists i \ |x_i| = \frac{1}{i}\}$$

$$A = M \setminus B$$

$$C = \{x \in B \mid \exists j \ \forall i > j \ x_i = 0\}$$

Corollary. For every "tamely embedded"  $\mathbb{R}^n \subset s$  we have  $s \setminus \mathbb{R}^n \approx s$ .

Proof. We let drop out  $\{p\}$  from  $s$ , so  $\mathbb{R}^n \times \{p\}$  from  $\mathbb{R}^n \times s$ , so, because  $s \approx \mathbb{R}^n \times s$ ,  $\mathbb{R}^n$  from  $s$ .

Final remarks. There is reason to believe that the extension of the techniques used in the proofs will lead to a simplification of the still very complicated - though elementary - proof of Anderson's theorem (1966):  $l_2 \approx s$ .

This also should settle the following conjecture:

Conjecture. (de Groot '68, J. Keesling '69).

Hilbertspace of dimension  $\underline{m}$  (where  $\underline{m}$  is an infinite cardinal) is homeomorphic to the product of a countable infinite number of copies of an  $\underline{m}$ -spider (an  $\underline{m}$ -spider consists of a "body" of one point  $a$  and  $\underline{m}$  "legs", each leg consisting of a closed interval  $[a, b_{\alpha}]$  ( $\alpha \in A$  and  $|A| = \underline{m}$  of length one, sticking out; the metric on the spider is determined by walking the shortest distance over the spider from one point to another).

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