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SCHNEIDER'S METHOD IN FIELDS OF CHARACTERISTIC  $p \neq 0$

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Schneider's method in fields of characteristic  $p \neq 0$

by

J.M. Geijssel

Abstract

In this report two theorems on transcendental elements of fields of characteristic  $p > 0$ , namely L.I. Wade's result on the analogue of the Gelfond-Schneider theorem for fields of characteristic  $p$  (see Duke Math. J. 13 (1946), 79-85), and my result on the transcendency of certain values of the Carlitz-Bessel functions (see Math. Centre Report ZW 2/71, Amsterdam) are generalized for a wider class of so called E-functions.



Let  $\mathbb{F}_q$  be a finite field of characteristic  $p \neq 0$  with  $p = q^{n_0}$  elements. We denote by  $\mathbb{F}_q[x]$  the ring of polynomials with coefficients in  $\mathbb{F}_q$  and by  $\mathbb{F}_q\{x\}$  its quotientfield.

For  $0 \neq E \in \mathbb{F}_q[x]$  we define the (logarithmic) valuation

$$\text{dg } E = \text{degree of } E \text{ and } \text{dg } 0 = -\infty.$$

For  $Q \in \mathbb{F}_q\{x\}$  where  $Q = \frac{E}{F}$  with  $E, F \in \mathbb{F}_q[x]$  and  $F \neq 0$  we define

$$\text{dg } Q = \text{dg } E - \text{dg } F.$$

The completion of  $\mathbb{F}_q\{x\}$  with respect to the valuation is denoted by  $F$  and the completion of the algebraic closure of  $F$  by  $\Phi$ . The valuation  $\text{dg}$  on  $\mathbb{F}_q\{x\}$  can be extended to  $\Phi$  in a unique way and also will be denoted by  $\text{dg}$ .

A function  $f : \Phi \rightarrow \Phi$  given by a power series

$$f(t) = \sum_{i=0}^{\infty} a_i t^i \quad \text{with } a_i \in \Phi,$$

which converges for all  $t$  with  $\text{dg } t < R$  is called *linear* if

$$\begin{cases} f(t+u) = f(t) + f(u) & \forall t, u \in \Phi \text{ with } \text{dg } t < R, \text{ dg } u < R, \\ f(ct) = cf(t) & \forall t \in \Phi \text{ with } \text{dg } t < R \text{ and } c \in \mathbb{F}_q. \end{cases}$$

For linear functions we define for all  $t$  for which the involving series converge the operators  $\Delta^r$  ( $r=1,2,\dots$ ) by

$$\begin{aligned} \Delta f(t) &= f(xt) - x f(t), \\ \Delta^r f(t) &= \Delta^{r-1} f(xt) - x^q \Delta^{r-1} f(t), \quad r \geq 2. \end{aligned}$$

For purpose of notation we define  $\Delta^0 f(t) = f(t)$ .

A function  $f : \Phi \rightarrow \Phi$  is said to be *entire* if  $f$  can be written as a power series with coefficients in  $\Phi$ , which converges for all  $t \in \Phi$ .

For entire linear functions  $f$  we have an "expansion formula" (see [1], or [2] lemma 2.1), namely :

for every  $M \in \mathbb{F}_q[x]$  we have

$$f(Mt) = \sum_{v=0}^{dg M} \frac{\psi_v(M)}{F_v} \Delta^v f(t),$$

where  $F_v := (x^q - x)(x^q - x^q) \dots (x^q - x^{q^{v-1}}), \quad v \geq 1$

$$F_0 := 1$$

$$\psi_v(t) := \prod_{\substack{dg E < v \\ E \in \mathbb{F}_q[x]}} (t - E).$$

Now we introduce a special class of linear functions.

Definition. A linear function  $f : \Phi \rightarrow \Phi$  given by

$$f(t) = \sum_{k=0}^{\infty} a_k \frac{t^q{}^k}{F_k}$$

is called an  $E$ -function if there exists a finite separable algebraic extension  $K$  of  $F$  of degree  $h$  such that:

$$(1) a_k \in K, \quad k = 0, 1, \dots$$

$$(2) \exists c \in \mathbb{R}, c > 0 \text{ such that } dg a_k < cq^k$$

$$(3) \forall k \in \mathbb{N} \cup \{0\} \exists Q_k \in \mathbb{F}_q[x] \text{ of minimal degree such that}$$

$$Q_k a_0, Q_k a_1, \dots, Q_k a_k \text{ are integers in } K \text{ and}$$

$$dg Q_k = o(kq^k), \quad k \rightarrow \infty.$$

### Remarks

(i) From (1) and (2) we have that every  $E$ -function is entire.

(ii) The functions  $\psi(t)$  and  $J_n(t)$  (see [3]) are  $E$ -functions.

(iii) Linear polynomials with separable algebraic coefficients in  $\Phi$  are  $E$ -functions.

(iv) If  $f$  and  $g$  are  $E$ -functions then  $\Delta^r f$  ( $r \geq 1$ ),  $f^q{}^r$ ,  $f + g$  are  $E$ -functions.

(v) If  $P$  is a linear polynomial with separable algebraic coefficients in  $\Phi$  and  $f$  is an  $E$ -function, then  $P(f(t))$  is an  $E$ -function.

Lemma 1. Let  $K$  be a separable finite algebraic extension of  $\mathbb{F}\{x\}$  of degree  $h$ . Let  $r, s \in \mathbb{N}$  with  $0 < r < s$ . Then the system of linear equations

$$\sum_{i=1}^s \alpha_{ki} X_i = 0 \quad (k=1, \dots, r),$$

where  $\alpha_{ki}$  are algebraic integers in  $K$  and

$$a = \max_{k,i} (\text{dg } \alpha_{ki}, 0)$$

has a non-trivial solution  $\{X_i\}_{i=1}^s$  with

$$X_i \in \mathbb{F}_q[x]$$

such that

$$\text{dg } X_i < \frac{cs + ar}{s - r} \quad (i=1, \dots, s),$$

where  $c$  is a positive constant only depending on the field  $K$ .

Proof. We use the following lemma which will be proved in an appendix.

Lemma: Let  $K$  be a separable finite algebraic extension of  $\mathbb{F}_q\{x\}$  of degree  $h$ . Then there exists a basis  $\beta_1, \dots, \beta_h$  of algebraic integers of  $K$  such that every algebraic integer  $\xi \in K$  can be written uniquely as

$$\xi = \sum_{i=1}^h A_i \beta_i \quad \text{with} \quad A_i \in \mathbb{F}_q[x].$$

Further we use the methods of lemma 4.2 in [3].  $\square$

Now we can formulate the main result of this paper.

Theorem 1. Let  $f_1(t), \dots, f_n(t)$  be  $E$ -functions, not all polynomials.

Suppose

$$\Delta^r f_\nu(t) = R_{\nu r}(f_1(t), \dots, f_n(t)) \quad , \quad r = 0, 1, \dots; \nu = 1, \dots, n,$$

where  $R_{\nu r}$  are  $n$ -linear polynomials of  $n$  variables  $f_1, \dots, f_n$  of total degree  $< q^r$  with coefficients in  $\mathbb{F}_q[x]$  of degree  $< q^r$ .

Let  $\alpha \neq 0$ ,  $\beta \notin \mathbb{F}_q\{x\}$  and  $f_v(t) \neq 0$ . Then at least one of the elements

$$\{\beta, f_1(\alpha), \dots, f_n(\alpha), f_1(\alpha\beta), \dots, f_n(\alpha\beta)\}$$

is transcendental over  $\mathbb{F}_q\{x\}$ .

Corollary 1.

- a) With the choice  $f_1(t) = \psi(t)$ ,  $\alpha = \lambda(\alpha^*)$ , where  $\alpha^*$  is not a zero of  $\lambda(t)$ , and  $\beta \notin \mathbb{F}_q\{x\}$  we get the analogue of the theorem of Gelfond-Schneider: at least one of the elements  $\{\beta, \alpha^* = \psi(\lambda(\alpha^*)), \psi(\beta\lambda(\alpha^*))\}$  is transcendental over  $\mathbb{F}_q\{x\}$ . This result was proved by Wade in [5].
- b) With  $f_1(t) = J_n(t)$ ,  $f_2(t) = \Delta J_n(t)$  and  $\alpha \neq 0$ ,  $\beta \notin \mathbb{F}_q\{x\}$  we get: at least one of the elements

$$\{\beta, J_n(\alpha), \Delta J_n(\alpha), J_n(\alpha\beta), \Delta J_n(\alpha\beta)\}$$

is transcendental over  $\mathbb{F}_q\{x\}$ . This result was essentially proved in [3], where the theorem said under the same conditions for  $\alpha$  and  $\beta$ : at least one element of the set  $V = \{\alpha, \beta, J_n(\alpha), \Delta J_n(\alpha), J_n(\alpha\beta), \Delta J_n(\alpha\beta)\}$  is transcendental over  $\mathbb{F}_q\{x\}$ . At the begin of the proof in [3] we supposed  $\alpha$  to be algebraic over  $\mathbb{F}_q\{x\}$  but we didn't use this fact, hence  $\alpha$  can be omitted in  $V$ .

Corollary 2. If we choose  $f_1(t) = \psi(\alpha_1^* t), \dots, f_n(t) = \psi(\alpha_n^* t)$ , where  $\alpha_v^* \neq 0$ ,  $v=1, \dots, n$ , and if  $\alpha = 1$ ,  $\beta \notin \mathbb{F}_q\{x\}$  then at least one of the elements  $\{\beta, \psi(\alpha_1^*), \dots, \psi(\alpha_n^*), \psi(\alpha_1^* \beta), \dots, \psi(\alpha_n^* \beta)\}$  is transcendental over  $\mathbb{F}_q\{x\}$ .

If now  $\alpha_i$  is not a zero of  $\lambda(t)$ ,  $i=1, \dots, n$ , and  $\alpha_i^* := \lambda(\alpha_i)$ , then at least one of the elements of the set  $\{\beta, \alpha_1, \dots, \alpha_n, \psi(\beta\lambda(\alpha_1)), \dots, \psi(\beta\lambda(\alpha_n))\}$  is transcendental over  $\mathbb{F}_q\{x\}$ . For  $n = 1$  we have the result of corollary 1a.

For  $\beta \notin \mathbb{F}_q\{x\}$ ,  $\alpha$  algebraic and  $\lambda(\alpha) \neq 0$  we have: at least one of the elements  $\{\beta, \psi(\beta\lambda(\alpha)), \psi(\beta\lambda(\alpha^q)), \dots, \psi(\beta\lambda(\alpha^{q^n}))\}$ ,  $n \geq 1$ , is transcendental over  $\mathbb{F}_q\{x\}$ . Equivalent with this last result is: at least one of the



elements  $\{\beta, \psi(\lambda(\alpha)), \psi(\beta\lambda(x\alpha)), \dots, \psi(\beta\lambda(x^n\alpha))\}$ ,  $n \geq 1$ , is transcendental over  $\mathbb{F}_q\{x\}$ , since  $\Delta\lambda(t) = \lambda(xt) - x\lambda(t) = \lambda(t^q)$ .

Proof of theorem 1. Suppose  $\beta, f_1(\alpha), \dots, f_n(\alpha), f_1(\alpha\beta), \dots, f_n(\alpha\beta)$  are algebraic over  $\mathbb{F}_q\{x\}$ , then, for some  $e \in \mathbb{N}$ ,  $\beta^{q^e}, f_1^{q^e}(\alpha), \dots, f_n^{q^e}(\alpha), f_1^{q^e}(\alpha\beta), \dots, f_n^{q^e}(\alpha\beta)$  are separable over  $\mathbb{F}_q\{x\}$  and they generate a separable extension  $K$  of  $\mathbb{F}_q\{x\}$  of degree  $h$ .

Let  $\Gamma \in \mathbb{F}_q[x]$  be such that  $\Gamma\beta^{q^e}, \Gamma f_v^{q^e}(\alpha), \Gamma f_v^{q^e}(\alpha\beta)$ ,  $v=1, \dots, n$ , are algebraic integers of  $K$ .

The natural numbers  $k, \ell$  with  $k < \frac{1}{3}\ell$  will be chosen later.

Define

$$L(t) := \sum_{v=1}^n \sum_{j=0}^{q^{2\ell}-1} \sum_{i=0}^{q^{2k}-1} X_{ijv} t^{jq^e} f_v^{iq^e}(\alpha t),$$

where the polynomials  $X_{ijv}$  will be determined by the following:

$$L(A+\beta B) = 0 \quad \text{for all } A, B \in \mathbb{F}_q[x] \text{ with } \text{dg } A < m, \text{ dg } B < m,$$

$$\text{where } m := k + \ell - 1.$$

Since  $\beta \notin \mathbb{F}_q\{x\}$  we get a linear system of at most  $q^{2m}$  equations in  $nq^{2k+2\ell}$  variables  $X_{ijv}$  with algebraic coefficients:

$$(1) \quad L(A+\beta B) = \sum_{v=1}^n \sum_{j=0}^{q^{2\ell}-1} \sum_{i=0}^{q^{2k}-1} X_{ijv} (A+\beta B)^{jq^e} f_v^{iq^e}(\alpha(A+\beta B)) = 0,$$

$$\text{dg } A < m, \text{ dg } B < m.$$

$f_i(\alpha A + \alpha\beta B) = f_i(\alpha A) + f_i(\alpha\beta B)$  since  $f_i$  is linear. Since

$$\begin{aligned} \Delta^\mu f_i(t) &= R_{i\mu}(f_1(t), \dots, f_n(t)) = \\ &=: \sum_{0 \leq j_1 + \dots + j_n \leq \mu} A_{i\mu j_1 \dots j_n} f_1^{q^{j_1}}(t) \dots f_n^{q^{j_n}}(t) \end{aligned}$$

with  $A_{i\mu j_1 \dots j_n} \in \mathbb{F}_q[x]$  and  $\text{dg } A_{i\mu j_1 \dots j_n} < q^\mu$ , the expansion formula gives:

$$f_i(\alpha A) = \sum_{\mu=0}^{\text{dg } A} \frac{\psi_\mu(A)}{F_\mu} \sum_{j_1 + \dots + j_n \leq \mu} A_{i\mu j_1 \dots j_n} f_1^{j_1}(\alpha) \dots f_n^{j_n}(\alpha).$$

Since  $\text{dg } \frac{\psi_\mu(A)}{F_\mu} \leq \max_{0 \leq \mu \leq \text{dg } A} \text{dg } \frac{\psi_\mu(A)}{F_\mu} = \max_{0 \leq \mu \leq \text{dg } A} (q^\mu \text{dg } A - \mu q^\mu) \leq \text{dg } A \cdot q^{\text{dg } A}$ ,

$$\text{dg } f_i(\alpha A) \leq m q^m + q^m + q^m \max\{\text{dg } f_1(\alpha), \dots, \text{dg } f_n(\alpha), 0\}.$$

Since  $f_i^{q^e}(\alpha A)$  resp.  $f_i^{q^e}(\alpha \beta A)$  is a polynomial in  $f_v^{q^e}(\alpha)$  resp.  $f_v^{q^e}(\alpha \beta)$ ,  $i, v \in (1, \dots, n)$ , of degree  $\leq q^m$  with coefficients in  $\mathbb{F}_q\{x\}$ , the coefficients of  $X_{ijv}$  in (1) are polynomials in

$$\beta^{q^e} \text{ of degree } \leq q^{2\ell} - 1$$

$$f_v^{q^e}(\alpha), f_v^{q^e}(\alpha \beta) \text{ of degree } \leq (q^{2k-1})q^m$$

with coefficients in  $\mathbb{F}_q\{x\}$ .

Since  $q^{2\ell} - 1 + 2n(q^{2k-1})q^m < q^{2\ell+2n}$  we can get a system of equations with integral algebraic coefficients in  $K$  by multiplying each equation with the factor

$$\Gamma^{q^{2\ell+2n}} (F_m^{q^e})^{q^{2k-1}}.$$

This gives the system of equations:

$$\Gamma^{q^{2\ell+2n}} (F_m^{q^e})^{q^{2k-1}} L(A+\beta B) = 0 \quad \text{for } \text{dg } A, \text{dg } B < m; A, B \in \mathbb{F}_q[x]$$

which we denote by

$$(2) \quad \sum_{v=1}^n \sum_{j=0}^{q^{2\ell}-1} \sum_{i=0}^{q^{2k}-1} X_{ijv} D_{ijv} = 0 \quad \text{for } A, B \in \mathbb{F}_q[x]; \text{dg } A, \text{dg } B < m.$$

Since  $m = k + \ell - 1$  the number of equations,  $q^{2m}$ , is less than the number of variables  $n q^{2k+2\ell}$ . Furthermore

$$\text{dg } D_{ij} \leq q^{2\ell+2n} \text{ dg } \Gamma + mq^{m+2k+e} + q^{2\ell+e(m+c_1)} + q^{2k+e(mq^m+q^m+q^m c_0)}$$

where  $c_1 = \max(\text{dg } \beta, 0)$ ;  $c_0 = \max(\text{dg } f_v(\alpha); \text{ dg } f_v(\alpha\beta), (v=1, \dots, n); 0)$ , which gives (since  $k < \frac{1}{3}\ell$ ):

$$\text{dg } D_{ijv} \leq (3m+c_2)q^{2\ell+e} \quad \text{where } c_2 \geq 0.$$

According to lemma 1 with  $r = q^{2m}$ ,  $s = nq^{2k+2\ell}$  and  $a = \max(\text{dg } D_{ijv}, 0)_{i,j,v}$  we have that there exist polynomials  $X_{ijv} \in \mathbb{F}_q[x]$ , not all zero, such that (1) is satisfied and

$$(3) \quad \text{dg } X_{ijv} \leq (3m+c_3)q^{2\ell+e} \quad \text{where } c_3 \geq 0.$$

Now we shall prove that, for all  $A, B \in \mathbb{F}_q[x]$ ,  $L(A+\beta B) = 0$ . Let  $\mu \geq m$  and  $\eta = \mu - k + 1$ , then  $\eta \geq \ell$ . Furthermore let

$$\mathcal{B}(\mu) = \{A + \beta B \mid \text{dg } A < \mu, \text{ dg } B < \mu, A \text{ and } B \text{ not both } 0\}.$$

Suppose  $L(t) = 0$  for all  $t \in \mathcal{B}(\mu)$ . Let  $\xi \in \mathcal{B}(\mu+1) \setminus \mathcal{B}(\mu)$ , then  $\text{dg } \xi = \mu + g$  with  $g \geq 0$ . We choose  $\ell$  such that  $m + g < 2m$ . By assumption

$$L(t) \Big/ \prod_{\mathcal{B}(\mu)} (t-A-\beta B)$$

is an entire function since  $L(t)$  is entire. According to the maximum-modulus-principle

$$\text{dg} \left( \frac{L(\xi)}{\prod_{\mathcal{B}(\mu)} (\xi-A-\beta B)} \right) \leq \max_{\text{dg } t = 2\mu} \text{dg} \left( \frac{L(t)}{\prod_{\mathcal{B}(\mu)} (t-A-\beta B)} \right).$$

Hence

$$\text{dg } L(\xi) \leq \max_{\text{dg } t = 2\mu} \text{dg } L(t) - 2\mu(q^{2\mu}-1) + (\mu+g)(q^{2\mu}-1).$$

From the definition of  $L(t)$  we get

$$\max_{\text{dg } t = 2\mu} \text{dg } L(t) \leq \max_{i,j,v} \text{dg } X_{ijv} + 2\mu q^{2\ell+e} + q^{2k+e} \max_{\text{dg } t = 2\mu} \text{dg } f_v(\alpha t).$$

Since  $f_\nu$  is an  $E$ -function we have

$$f_\nu(t) = \sum_{k=0}^{\infty} a_{\nu k} \frac{t^q}{F_k}, \quad \nu = 1, \dots, n,$$

where  $\exists c > 0$  such that  $dg a_{\nu k} < cq^k$  for  $k > k_0$  and  $\nu = 1, \dots, n$ .

Hence

$$\begin{aligned} \max_{dgt=2\mu} dg f_\nu(\alpha t) &\leq \max_{k \geq 0} (dg a_{\nu k} + 2\mu q^k - kq^k + q^k dg \alpha) < \\ &< \max_{k \geq 0} (c + 2\mu - k + dg \alpha) q^k \leq c_4 q^{2\mu} \end{aligned}$$

where  $c_4 > 0$  and  $\mu \geq m$ . This gives

$$dg L(\xi) \leq (3m + c_3) q^{2\ell + e} + 2\mu q^{2\ell + e} + c_4 q^{2\mu + 2k + e} - (\mu - g) q^{2\mu}.$$

Since  $\mu \geq m$ ,  $\eta \geq \ell$  and  $\mu = \eta + k - 1$  we get

$$(4) \quad dg L(\xi) \leq q^{2\eta + e} (5\mu + c_5 q^{4k} - (\mu - g) q^{2k - e}).$$

$L(\xi)$  is a polynomial in  $\beta^{q^e}$  of degree  $q^{2\ell} - 1$  and in each of the  $f_\nu^{q^e}(\alpha)$ ,  $f_\nu^{q^e}(\alpha\beta)$  of degree  $(q^{2k} - 1)q^\mu$ , hence  $L(\xi)$  is algebraic; since

$$q^{2\ell} + 2nq^{2k + \mu} < q^{2\eta + 2n},$$

$F_\mu^{q^{2k+e}} \Gamma^{q^{2\eta+2n}} L(\xi)$  is an algebraic integer of  $K$ . Therefore

$$N(F_\mu^{q^{2k+e}} \Gamma^{q^{2\eta+2n}} L(\xi)) \in \mathbb{F}_q[x]$$

and

$$\begin{aligned} dg N(F_\mu^{q^{2k+e}} \Gamma^{q^{2\eta+2n}} L(\xi)) &\leq \\ &\leq h[\mu q^{2k+e+\mu} + q^{2\eta+2n} dg \Gamma + q^{2\eta+e} (5\mu + c_5 q^{4k} - (\mu - g) q^{2k-e})] \leq \\ &\leq h q^{2\eta+e} [(6\mu + c_6 q^{4k}) - (\mu - g) q^{2k-e}], \end{aligned}$$

which is negative for sufficiently large  $k$  and  $\ell$ . Now choose  $k$  and  $\ell$  such that  $\text{dg } N(F_\mu^q)^{2k+e} \Gamma^q L(\xi)$  is negative. Hence  $L(\xi) = 0$ .

In the following  $k$  and  $\ell$  are fixed. Since  $\beta \notin \mathbb{F}_q\{x\}$  all  $A + \beta B$  are different and  $L(t)$  has an infinite number of zero's. Since  $L(t)$  is entire and not a polynomial  $L(t)$  is a transcendental function (see [4] or [2]).

Let  $N$  be the set of zero's  $\neq 0$  of  $L(t)$ , then  $N$  is countable and for any  $v \in \mathbb{N}$

$$L(t) = \gamma_0 t^\rho \prod_{\xi \in \mathcal{B}(v)} \left(1 - \frac{t}{\xi}\right) \prod_{\substack{\xi \notin \mathcal{B}(v) \\ \xi \in N}} \left(1 - \frac{t}{\xi}\right) \quad \text{with } \rho \geq 0 \text{ and } \gamma_0 \in \Phi.$$

Let  $v_0$  be the minimum of the degrees of the zero's  $\neq 0$  of  $L(t)$ , then

$$\max_{\text{dg } t = 2v} \text{dg} \prod_{\xi \in N \setminus \mathcal{B}(v)} \left(1 - \frac{t}{\xi}\right) \geq \max_{\text{dg } t = \frac{v_0}{2}} \text{dg} \prod_{\xi \in N \setminus \mathcal{B}(v)} \left(1 - \frac{t}{\xi}\right) = 0.$$

Furthermore

$$\prod_{\xi \in \mathcal{B}(v)} \left(1 - \frac{t}{\xi}\right) = \frac{\prod_{\xi \in \mathcal{B}(v)} (A + \beta B - t)}{\prod_{\xi \in \mathcal{B}(v)} (A + \beta B)},$$

hence

$$\max_{\text{dg } t = 2v} \text{dg } L(t) \geq c_7 + 2v\rho + 2v(q^{2v}-1) - (v+g)(q^{2v}-1),$$

where  $c_7$  is a constant only depending on  $L(t)$ . This gives

$$(5) \quad \max_{\text{dg } t = 2v} \text{dg } L(t) \geq (c_8 v + c_9) q^{2v} \quad \text{with } c_8 > 0.$$

On the other hand we have proved

$$(6) \quad \max_{\text{dg } t = 2v} \text{dg } L(t) \leq (3m + c_3) q^{2\ell+e} + 2vq^{2\ell+e} + c_4 q^{2v+2k+e}.$$

For  $v$  large enough (5) and (6) are contradictory, which completes the proof of the theorem.  $\square$

Remark. The theorem is also true for systems  $\{f_1, \dots, f_n\}$  for which the following relation is true:

$$\Delta^2 f_\nu(t) = R_{\nu r}(f_1(t), \dots, f_n(t)), \quad r=0,1,\dots; \nu=1,2,\dots,n,$$

where

$$R_{\nu r}(f_1(t), \dots, f_n(t)) = \sum_{j_1 + \dots + j_n \leq r} Q_{\nu r j_1 \dots j_n} f_1^{j_1}(t) \dots f_n^{j_n}(t)$$

with  $Q_{\nu r j_1 \dots j_n} \in \mathbb{F}_q\{x\}$ , such that for all  $r \geq 0$  there exists a polynomial  $A_r$  such that  $Q_{\nu \rho j_1 \dots j_n} A_r \in \mathbb{F}_q[x]$  for  $\rho=0,1,\dots,r; 1 \leq \nu \leq n; j_1 + \dots + j_n \leq r$  and  $\text{dg } A_r < q^r$ .

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## APPENDIX

Lemma. Let  $K$  be a separable finite algebraic extension of  $\mathbb{F}_q\{x\}$  of degree  $h$ . Then there exists a basis  $\beta_1, \dots, \beta_h$  of algebraic integers of  $K$  such that every algebraic integer  $\xi \in K$  can be written uniquely as

$$\xi = \sum_{i=1}^h A_i \beta_i \quad \text{with } A_i \in \mathbb{F}_q[x].$$

Proof. According to the theorem of the primitive element [\*], since  $K$  is a separable finite extension of  $\mathbb{F}_q\{x\}$  of degree  $h$  there exists an element  $\theta \in K$  such that  $K = \mathbb{F}_q\{x\}(\theta)$ .  $\theta$  is a separable algebraic element of  $K$ , hence there is a polynomial  $P$  in  $\mathbb{F}_q[x]$  such that  $P\theta$  is an algebraic integer of  $K$ . Denote  $P\theta$  again by  $\theta$ . Let  $\theta_1 = \theta, \theta_2, \dots, \theta_h$  be the conjugate elements of the algebraic integer  $\theta$ . The discriminant  $\Delta(1, \theta, \dots, \theta^{h-1})$  of the basis  $1, \theta, \dots, \theta^{h-1}$  of  $K / \mathbb{F}_q\{x\}$  is a Van der Monde determinant and since  $\theta$  is separable,  $\theta_i \neq \theta_j (i \neq j)$ ; hence  $\Delta(1, \theta, \dots, \theta^{h-1}) \neq 0$ . Furthermore is

$$\Delta(1, \theta, \dots, \theta^{h-1}) = \prod_{1 \leq i < j \leq h} (\theta_i - \theta_j)^2$$

a symmetric polynomial in the conjugate elements of  $\theta$  and can be expressed as a polynomial in the coefficients of the minimal polynomial of  $\theta$ ; hence  $\Delta(1, \theta, \dots, \theta^{h-1}) \in \mathbb{F}_q[x]$ .

For every base  $\{w_1, \dots, w_h\}$  of  $K / \mathbb{F}_q\{x\}$  with  $w_i$  algebraic integer in  $K$  we have

$$\Delta(w_1, \dots, w_h) = (\det(a_{ij}))^2 \cdot \Delta(1, \theta, \dots, \theta^{h-1})$$

where  $w_i = a_{i1} + a_{i2}\theta + \dots + a_{ih}\theta^{h-1}$  ( $i=1, \dots, h$ ) with  $a_{ij} \in \mathbb{F}_q\{x\}$ , and  $\det a_{ij} \neq 0$ . On the other hand as a symmetric polynomial in the algebraic integers  $w_1, \dots, w_h$  and its conjugates  $\Delta(w_1, \dots, w_h) \in \mathbb{F}_q[x]$ . Consider all bases  $\{w_1, \dots, w_h\}$  for  $K / \mathbb{F}_q\{x\}$  with algebraic integers  $w_1, \dots, w_h$ . Then  $\text{dg } \Delta(w_1, \dots, w_h) \in \mathbb{N} + \{0\}$ , hence there exists a basis  $\{\beta_1, \dots, \beta_h\}$  with  $\text{dg } \Delta(\beta_1, \dots, \beta_h)$  minimal and  $\beta_1, \dots, \beta_h$  algebraic integers. We shall prove that this basis  $\{\beta_1, \dots, \beta_h\}$  is a basis for the ring of algebraic

integers in  $K$  over  $\mathbb{F}_q[x]$ .

Suppose  $\{\beta_1, \dots, \beta_h\}$  is not a basis for the integers in  $K$  over  $\mathbb{F}_q[x]$ , then there exists an algebraic integer  $\xi \in K$  such that  $\xi = a_1\beta_1 + \dots + a_h\beta_h$  with  $a_i \in \mathbb{F}_q[x]$  and not all  $a_i \in \mathbb{F}_q[x]$ . Suppose  $a_1 \notin \mathbb{F}_q[x]$ .  $a_1 = A + r$  with  $A \in \mathbb{F}_q[x]$  and  $r \in \mathbb{F}_q[x] \setminus \mathbb{F}_q[x]$ ,  $\text{dg } r < 0$  and  $r \neq 0$ . Now define

$$\begin{aligned}\beta_1^* &= \xi - A\beta_1 = (a_1 - a)\beta_1 + a_2\beta_2 + \dots + a_h\beta_h \\ \beta_i^* &= \beta_i, \quad i=2, \dots, h.\end{aligned}$$

The system  $\{\beta_1^*, \dots, \beta_h^*\}$  is a basis for  $K / \mathbb{F}_q[x]$  and  $\beta_i^*$  ( $i=1, \dots, h$ ) are algebraic integers.

$$\begin{aligned}\Delta(\beta_1^*, \dots, \beta_h^*) &= \det \begin{pmatrix} a_1 - a & a_2 & a_3 & \dots & a_h \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \cdot & \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & 0 & & 1 \end{pmatrix}^2 \Delta(\beta_1, \dots, \beta_h) \\ &= r^2 \Delta(\beta_1, \dots, \beta_h).\end{aligned}$$

$$\text{dg } \Delta(\beta_1^*, \dots, \beta_h^*) = 2 \text{ dg } r + \text{dg } \Delta(\beta_1, \dots, \beta_h) < \text{dg } (\beta_1, \dots, \beta_h).$$

This contradicts the minimality of  $\text{dg } \Delta(\beta_1, \dots, \beta_h)$  and proves the lemma.  $\square$

[\*] B.L. van der Waerden, *Algebra I*, §43.





