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A CHARACTERIZATION OF A GEOMETRY RELATED TO  $\Omega_{2n}^+(\mathbb{K})$

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A characterization of a Geometry Related to  $\Omega_{2n}^+(K)$ \*)

by

Bruce N. Cooperstein \*\*)

ABSTRACT

The halved dual polar spaces related to  $\Omega_{2n}^+(K)$  are characterized as incidence structures in terms of a short list of axioms on points and lines.

KEY WORDS & PHRASES: *graphs, incidence structures, (dual) polar spaces, buildings of type  $D_n$ .*

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## 0. INTRODUCTION

Let  $V$  be a vector space of dimension  $2n \geq 8$  over a field  $K$  equipped with a non-degenerate quadratic form  $Q$  with maximal Witt index (so totally singular subspaces of dimension  $n$  exist). Let  $M$  denote the collection of maximal totally singular subspaces of  $V$ . If we define the relation  $x \approx y$ , for  $x, y \in M$ , if and only if  $\dim_K x/x \cap y$  is even, then it is well-known that  $\approx$  is an equivalence relation with two equivalence classes. Let  $P$  denote one of these classes. Let  $L$  be the collection of totally singular subspaces of  $V$  with linear dimension  $n-2$ . Then  $(P, L, \subseteq \cup \supseteq)$  is an incidence structure known as  $D_{n, \max}(K)$  or  $D_{n, n}(K)$ . The purpose of this paper is to characterize these incidence structures. This extends part of Theorem B of [4]. As an application of our results, in sections 5 and 6 we obtain another proof of Cameron's characterization of the dual polar spaces of type  $D_n$ .

## 1. DEFINITION AND NOTATION

(1.1) DEFINITION. By an *incidence structure* here we will mean a pair of disjoint sets  $P$  and  $L$  whose members we call *points* and *lines* respectively, together with a symmetric relation between them, such that each line is incident with at least two points. If every line is incident with at least three points then we say  $(P, L)$  is *thick*.

(1.2) DEFINITION. An incidence structure  $(P, L; I)$  is a *partial linear space* (pls) if two points lie on at most one line.

When  $(P, L; I)$  is a pls then no two lines are incident with the same points. Then we may identify a line with the points it is incident to and replace  $I$  with symmetrized inclusion. We will do this throughout this paper, and drop the relation  $I$ .

(1.3) DEFINITION. The *point-graph* of  $(P, L)$  is the graph  $(P, \Gamma)$  with vertex set  $P$  and edge set consisting of pairs of points which are collinear.

(1.4) NOTATION. If  $(P, \Gamma)$  is the point-graph of  $(P, L)$ , then  $x^\perp = \{x\} \cup \{y : \{x, y\} \in \Gamma\}$ .

If  $X \subseteq P$ ,  $X^\perp = \bigcap_{x \in X} x^\perp$ , and  $\text{Rad}(X) = X \cap X^\perp$ .

(1.5) DEFINITION. If  $(P, \Gamma)$  is a graph and  $x, y \in P$ , then a *path of length*  $n$  from  $x$  to  $y$  is a sequence  $x = x_0, x_1, \dots, x_n = y$  with  $\{x_i, x_{i+1}\} \in \Gamma$  for  $i = 0, 1, \dots, n-1$ . If such a path exists, then the *distance* from  $x$  to  $y$ , denoted  $d(x, y)$ , is the length of the shortest path from  $x$  to  $y$  (such a path is called a *geodesic* or  $g$ -path). If no path connects  $x$  and  $y$ , then we write  $d(x, y) = +\infty$ .

$(P, L)$  is *connected* if for each pair  $x, y \in P$ ,  $d(x, y) < \infty$ , and in this case  $\text{diam}(P, \Gamma) = \sup\{d(x, y) : x, y \in P\}$ . If  $X, Y \subseteq P$ , then  $d(X, Y) = \min\{d(x, y) : x \in X, y \in Y\}$ .

(1.6) NOTATION. If  $(P, \Gamma)$  is a graph,  $x \in P$ , then  $\Gamma_k(x) = \{y \in P : d(x, y) = k\}$ . In [10] D.G. Higman introduced the notion of a *gamma space*. This notion is generalized in [3] to

(1.7) DEFINITION. An incidence structure  $(P, L)$  with point graph  $(P, \Gamma)$  is a *strong gamma space* if whenever  $x \in P$ ,  $\ell \in L$  with  $d(x, \ell) = k$ , then either  $\ell \subseteq \Gamma_k(x)$  or  $|\ell \cap \Gamma_k(x)| = 1$ .

(1.8) DEFINITION.  $(P, L)$  an incidence structure with point-graph  $(P, \Gamma)$ . A subset  $X$  of  $P$  is a *subspace* if whenever a line  $\ell$  meets  $X$  in at least two points, then  $\ell$  is contained in  $X$ .  $X$  is a *singular subspace* if  $X$  is a clique. The *rank* of a singular subspace  $X$ , denote  $\text{rk}(X)$ , is defined to be the length of a maximal chain of properly ascending subspaces. For example the rank of a point is 0, of a line 1. We will call singular subspaces of rank two *planes*. By convention the empty set has rank -1.

(1.9) NOTATION. If  $(P, L)$  is an incidence structure, and  $K$  some collection of subspaces, and  $X \subseteq P$ , then  $K_X = \{K \in K : X \subseteq K\}$  and  $K(X) = \{K \in K : K \subseteq X\}$ . We denote the collection of all subspaces of  $(P, L)$  by Sub, planes by V, and singular subspaces by Sing.

(1.10) DEFINITION. For  $X \subseteq P$ ,  $\langle X \rangle$  will denote the *subspace spanned by*  $X$ ,  $\langle X \rangle = \bigcup_{S \in \text{Sub}_X} S$ .

(1.11) DEFINITION. A *polar space* is an incidence structure  $(P, L)$  such that for any point-line pair  $x, \ell$ , either  $x$  is collinear with one or all points of  $\ell$  [alternatively a (strong) gamma space in which  $d(x, \ell) \leq 1$ ]. The polar

space is non-degenerate if  $\text{Rad}(P) = \emptyset$ . The theorems of BUEKENHOUT and SHULT, [1], TITS [5] and VELDKAMP [7] classify the non degenerate polar spaces all of whose singular subspaces have finite rank. Then  $\text{rk}(P,L) = \max\{\text{rk } M : M \in \underline{\text{Sing}}\} + 1$ .

It is our goal in this paper to characterize incidence structures  $(P,L)$  with point graph  $(P,\Gamma)$  which satisfy the following axioms

(D1)  $(P,L)$  is thick and connected,  $(P,\Gamma)$  is not complete;

(D2) For  $d(x,y) = 2$ ,  $(\{x,y\}^\perp, L(\{x,y\}^\perp))$  is a thick non-degenerate polar space of rank three. If  $x,\ell$  is a point line pair with  $\ell \subseteq \Gamma_2(x)$ , then  $x^\perp \cap \ell^\perp$  is a singular subspace maximal in  $\{x,y\}^\perp$  for each  $y \in \ell$ .

(D3)  $(P,L)$  is a strong gamma space. If  $\ell \subseteq \Gamma_k(x)$  with  $k \geq 3$ , then  $\emptyset \neq \ell^\perp \cap \Gamma_{k-1}(x) \in \underline{\text{Sing}}$ .

We now describe the typical example:

Let  $V$  be a vector space of dimension  $2n \geq 8$  over a field  $K$  and  $Q$  a non-degenerate quadratic form on  $V$  with maximal with index (i.e. so that there exists subspaces  $U$  of dimension  $n$  with  $Q(U) = \{0\}$ ). Let  $M$  be the collection of such subspaces. Define  $U_1 \approx U_2$ , for  $U_1, U_2 \in M$  if  $\dim U_1 \cap U_2$  is even. Then it is well known that  $\approx$  is an equivalence relation with two equivalence classes. Let  $P$  be either of these classes. We will define a set of lines on  $P$ : for  $U_1, U_2 \in P$  we define  $U_1$  and  $U_2$  to be collinear if  $\dim U_1 \cap U_2 = 2$  and then  $\ell(U_1, U_2) = \{U \in P : U \supseteq U_1 \cap U_2\}$ . Define  $L = \{\ell(U_1, U_2) : U_1, U_2 \text{ collinear}\}$ . Then we denote  $(P,L)$  by  $D_{n,n}(K)$ .

In [4] it is remarked that  $D_{n,n}(K)$  arises as a Lie incidence structure and satisfies (D1) and (D2). By [3] it follows that  $D_{n,n}(K)$  is a strong gamma space, we next prove

(1.13) PROPOSITION.  $(P,L)$  satisfies (D3).

PROOF. Let  $\ell \in L$ ,  $x \in P$  with  $\ell \subseteq \Gamma_k(x)$ ,  $k \geq 3$ . We must show  $\ell^\perp \cap \Gamma_{k-1}(x) \neq \emptyset$  a singular subspace. Let  $y \in \ell$ , and  $z \in y^\perp \cap \Gamma_{k-1}(x)$ . We assert that  $z \geq y \cap x$ . If not, then there is linear three-subspace,  $N$ , contained in  $z \cap x$ , with  $y \cap N = \emptyset$ . Then  $z \cap y \subseteq N' \cap y$  (here  $N'$  is the collection of all vectors of  $V$  orthogonal to  $N$ ), but  $\dim z \cap y = n-2$ ,  $\dim N' \cap y = n-3$ , so we

have a contradiction. Thus our assertion follows.

Now set  $U = \bigcap_{y \in \ell} y$ , so  $U$  is a totally singular  $n-2$  subspace of  $V$ . Since  $\ell \subseteq \Gamma_k(x)$ ,  $\dim x/x \cap y = 2k$  for each  $y \in \ell$ . Then we must have  $\dim U \cap x = n-1-2k$  and  $\dim U \cap x = n+1-2k$ , so that there is a subspace  $A$  of dimension two in  $U' \cap x$  complementing  $U \cap x$ . Set  $M = U \oplus A$ ,  $N = M \cap x$ . Note that  $M \in M \setminus P$ . Let

$$\Delta = \{z = (M \cap W') + W : W \subseteq x, W \supseteq M \cap x, \dim W / M \cap x = 1\}.$$

Then clearly  $\Delta$  is a singular subspace of  $(P,L)$  with rank  $2k-2$ , and  $\Delta \subseteq \ell^\perp \cap \Gamma_{k-1}(x)$ . Thus to prove the proposition it suffices to prove  $\ell^\perp \cap \Gamma_{k-1}(x) \subseteq \Delta$ .

Let  $z \in \ell^\perp \cap \Gamma_{k-1}(x)$ . Then from the very beginning of the proof  $z \supseteq \langle y \cap x : y \in \ell \rangle = U' \cap x = M \cap x$ . Now since  $\dim z \cap x = n+2-2k$ , if  $W = z \cap x$ , then  $W$  contains  $M \cap x$  as a hyperplane. Now  $z$  must equal  $(W' \cap y) + W$ , for each  $y \in \ell$ . But  $(W' \cap y) + W = (M \cap W') + W$  and  $z \in \Delta$  as desired.

The main result of this paper is

(1.14) THEOREM. Let  $(P,L)$  be an incidence structure whose maximal singular subspaces all have finite rank, and satisfies (D1)-(D3). Then either  $(P,L)$  is a thick, non-degenerate polar space of rank 4 or for some  $k \geq 5$  and field  $K$ ,  $(P,L)$  is isomorphic to  $D_{n,n}(K)$ .

## 2. PRELIMINARY LEMMAS

(2.1) LEMMA. Let  $y \in \Gamma_2(x)$ . Then  $S(x,y) = \langle x,y, \{x,y\}^\perp \rangle$  is a polar space of rank four. Moreover, if  $x',y' \in S(x,y)$  with  $y' \notin (x')^\perp$ , then  $S(x',y') = S(x,y)$ .

PROOF. See (3.9) and the corollary to (3.11) in [4].

(2.2) NOTATION. The subspaces  $S(x,y) = \langle x,y \rangle^\perp$ , where  $d(x,y) = 2$ , will be called *Symplectons* or *Symp*s. We denote the collection of all symps by Symp.

(2.3) LEMMA. If  $x \in P$ ,  $\ell \in L$  with  $\ell \subseteq x^\perp \setminus \{x\}$ , then there is an  $S \in \underline{\text{Symp}}$ ,



PROOF. See (3.12) of [4].

(2.4) COROLLARY. If  $M \in \underline{\text{Sing}}$ , then  $(M, L(M))$  is a Desarguesian projective space.

PROOF. By VEBLEN and YOUNG [6], we need only prove the result if  $M = \langle \ell, x \rangle$  with  $x \in P$ ,  $\ell \in L$ ,  $\ell \subseteq x^\perp \setminus \{x\}$ . However, this case follows from (2.3) and Tits' classification of polar spaces [5].

(2.5) NOTATION.  $\underline{V}$  is the subset of  $\underline{\text{Sing}}$  of singular subspaces which contain lines as maximal subspaces. We call elements of  $\underline{V}$  planes.

(2.6) LEMMA. If there exists a pair  $x, w \in P$  with  $d(x, w) = 2$  and for each  $\ell \in L_x$ ,  $\ell \cap \Gamma(w) \neq \emptyset$ , then  $(P, L)$  is a thick, nondegenerate polar space of rank 4.

PROOF. See (3.13) of [4].

### 3. INCIDENCE STRUCTURES INDUCED AT A POINT

In this section we induce an incidence structure at a point, called the residue of the point and identify its structure. Thus, let  $x \in P$ . The points of the residue are the lines on  $x, L_x$ , the lines are the planes on  $x, \underline{V}_x$ , with ordinary inclusion as incidence. Thus, if  $\ell, m \in L_x$ ,  $\ell, m$  will be collinear in the residue if and only if  $m \subseteq \ell^\perp$ , and then the line on  $\ell$  and  $m$  is  $L_x(\langle \ell, m \rangle)$ . For  $\ell \in L_x$ ,  $\Gamma_x(\ell) = \{m \in L_x(\ell^\perp) - \{\ell\}\}$ . We first prove

(3.1) LEMMA.  $(L_x, \underline{V}_x)$  is a thick, gamma space whose point graph  $(L_x, \Gamma_x)$  has diameter two and satisfies

(A1) If  $\ell, m \in L_x$  and  $m \notin \Gamma_x(\ell)$ , then  $\Gamma_x(\ell) \cap \Gamma_x(m)$ , together with its lines, is a non-degenerate generalized quadrangle and

(A2) If  $V \in \underline{V}_x$ ,  $\ell \in L_x$  such that  $L_x(V) \cap \Gamma_x(\ell) = \emptyset$ , and  $C_x(V, \ell) = \langle m \in L_x : \ell, L_x(V) \subseteq \Gamma_x(m) \rangle \in \underline{V}_x$ .

PROOF. Clearly  $(L_x, \underline{V}_x)$  is thick. We first show  $(L_x, N_x)$  is a gamma space. Let  $\ell \in L_x, V \in \underline{V}_x$  and suppose  $|\Gamma_x(\ell) \cap L_x(V)| \geq 2$ . Then there are  $m_1, m_2 \in L_x(V)$  such that  $m_1, m_2 \subseteq \ell^\perp$ . Then  $V = \langle m_1, m_2 \rangle \subseteq \ell^\perp$ , and hence

$L_x(V) \subseteq \Gamma_x(\ell)$ .

Next suppose  $\ell = xa$ ,  $m = xb \in L_x$ ,  $m \notin \Gamma_x(\ell)$ . Then  $d(a,b) \geq 2$ . Since  $x \in \{a,b\}^\perp$ ,  $d(a,b) = 2$ . Then  $\{a,b\}^\perp$  is a polar-space of rank 3, in particular  $\{a,b\}^\perp \cap x^\perp \neq \emptyset$ . If  $c \in \{a,b\}^\perp \cap x^\perp$ , then  $xc \in \Gamma_x(\ell) \cap \Gamma_x(m)$ , so  $\text{diam}\{L_x, \Gamma_x\} = 2$ . Also see that  $\Gamma_x(\ell) \cap \Gamma_x(m) = L_x(\{a,b\}^\perp)$ , and so is a non-degenerate generalized quadrangle. Therefore (A1) is satisfied.

Finally, suppose  $V \in \underline{V}_x$ ,  $\ell \in L_x$ ,  $\Gamma_x(\ell) \cap L_x(V) = \emptyset$ . Let  $k \in L(V) \setminus L_x$ ,  $a \in \ell \setminus \{x\}$ . Then  $a^\perp \cap m = \emptyset$ . However,  $a^\perp \cap m^\perp \neq \emptyset$ , since  $x \in a^\perp \cap m^\perp$ . Therefore  $a^\perp \cap m^\perp \in \underline{V}_x$ . It is clear to see that  $C_x(V, \ell) = a^\perp \cap m^\perp$ , and the lemma is completed.

(3.2) COROLLARY. For each  $x$ , there is an integer  $N_x \geq 3$ , and division ring  $K_x$  such that  $(L_x, \underline{V}_x)$  is isomorphic to  $A_{n_x, 2}(K_x)$ .

PROOF. Here  $A_{n, 2}(K)$  is the gamma space whose points are the projective lines in  $\text{PG}(n+1, K)$ , and the lines are in one-one correspondence with incident pairs  $(\pi_0, \pi_2)$  where  $\pi_0$  is a projective point and  $\pi_2$  a projective plane, and the line is the pencil determined by  $(\pi_0, \pi_2)$ . The corollary follows from (3.1) and Theorem A of [2] and [4].

(3.3) LEMMA. The graph  $(P, \Gamma_2)$  is connected.

PROOF. Since  $(P, \Gamma)$  is connected it suffices to prove if  $y \in \Gamma(x)$ , then  $\Gamma_2(x) \cap \Gamma_2(y) \neq \emptyset$ . By (2.3), if  $\ell = xy$ , then  $\underline{\text{Symp}}_\ell \neq \emptyset$ . If  $S \in \underline{\text{Symp}}_\ell$ , then  $\Gamma_2(x) \cap \Gamma_2(y) \cap S \neq \emptyset$ .

(3.4) LEMMA. For each  $x \in P$ ,  $K_x$  is a field. Moreover all the  $K_x$  are isomorphic.

PROOF. Let  $x \in P$ ,  $S \in \underline{\text{Symp}}_x$ ,  $L_x(S)$  is a Symp of  $(L_x, \underline{V}_x)$ , and so  $L_x(S) \cong A_{3, 2}(K_x)$ . From Tits' classification of polar spaces (see section 8 of [5]), it follows that  $K_x$  is a field and  $S \cong D_4(K_x)$ . To prove the latter part of the lemma it suffices to prove for  $d(x, y) = 2$ , then  $K_x \cong K_y$ . Thus if  $d(x, y) = 2$ , let  $S = S(x, y)$ . Then  $S \cong D_4(K_x)$  and  $S \cong D_4(K_y)$ . By (6.13) of [5] it follows that  $K_x \cong K_y$ .

For the sequel we let  $K$  be the underlying field. Note that now all

singular subspaces are projective spaces over  $K$ . Those of rank  $t$  we denote by  ${}_tP$ .

(3.5) LEMMA. Let  $x, y \in P$ . Then  $n_x = n_y$ .

PROOF. By connectedness of  $(P, \Gamma)$  suffices to prove  $n_x = n_y$  for  $y \in \Gamma(x)$ . Set  $\ell = xy$ . Then  $\ell \in L_x$ , and  $(L_x, \underline{V}_x) = A_{n_x, 2}(K)$ . Then if  $M \in \underline{\text{Sing}}_\ell$  is chosen so that  $\text{rk}(M)$  is maximal, then as a singular subspace of  $(L_x, \underline{V}_x)$ ,  $(L_x(M)) = n_x - 1$ . It therefore follows that  $\text{rk}(M) = n_x$ . By similarly considering  $(L_y, \underline{V}_y)$ , we see  $\text{rk}(M) = n_y$  and so  $n_x = n_y$  as claimed.

#### 4. PROOF OF THE MAIN THEOREM

We now have that there is an integer  $n \geq 3$ , and field  $K$  such that for each point  $x$  in  $P$ ,  $(L_x, \underline{V}_x) \cong A_{n, 2}(K)$ . We will prove by induction on  $n$  that  $(P, L) \cong D_{n+1, n+1}(K)$ .

(4.1) LEMMA. If  $n = 3$ , then  $(P, L) \cong D_4(K) \cong D_{4, 4}(K)$ .

PROOF. Let  $d(x, w) = 2$ ,  $S = S(x, w)$ . Then in section three we saw  $S \cong D_4(K)$ . However, it follows that  $x^\perp \subseteq S$ , and so by (2.5) that  $P = S$ .

(4.2) NOTATION.  $\pi_x$  will denote a projective space of rank  $n$  over  $K$  which underlies  $(L_x, \underline{V}_x)$ .

$R_t = \{x, X\} \mid x \in X \subseteq x^\perp, X \in \underline{\text{Sub}}, L_x(X) \cong A_{t, 2}(K)$ . For  $(x, X) \in R_t$ ,  $y \in X - \{x\}$ , we set  $X_y$  equal to

$$\bigcup_{z \in X - y^\perp} [S(y, z) \cap y^\perp].$$

Finally let  $P^+ = {}_n P$  and  $P^- = \{M \in {}_3 P : M^\perp = M\}$ .

(4.3) LEMMA. Let  $S \in \underline{\text{Symp}}$ ,  $x \in P \setminus S$ . If  $L(S \cap x^\perp) \neq \emptyset$ , then  $S \cap x^\perp \in {}_3 P \setminus P^-$ .

PROOF. Clearly  $S \cap x^\perp \in \underline{\text{Sing}}$  by (2.1), let  $\ell \in L(S \cap x^\perp)$  and  $y \in \ell$ . Set  $m = x_y$ . Consider  $L_y$ . There is a subspace  $\pi_y(S)$  of  $\pi_y$  of rank three such that  $L_y(S)$  consists of all lines of  $\pi_y(S)$ . Now  $\ell \in \Gamma_y(m) \cap L_y(S)$ , and, therefore, the line of  $\pi_y$  which  $m$  is identified with meets  $\pi_y(S)$ . Then  $\Gamma_y(m) \cap L_y(S)$

is a singular plane of  $L_y$ . Now it follows that  $S \cap x^\perp \in {}_3P$ . As  $x \notin S \cap x^\perp$ ,  $S \cap x^\perp \in {}_3P \setminus P^-$ .

(4.4) LEMMA. Assume  $S_1, S_2 \in \underline{\text{Symp}}$  and  $\underline{V}(S_1 \cap S_2) \neq \emptyset$ . Then  $S_1 \cup S_2 \in P^-$ .

PROOF. By (2.1),  $S_1 \cap S_2 \in \underline{\text{Sing}}$ . Let  $x \in S_1 \cap S_2$ .  $L_x(S_i)$  are symps of  $L_x$ , and since  $\underline{V}(S_1 \cap S_2) \neq \emptyset$ ,  $L_x(S_1) \cap L_x(S_2) = L_x(S_1 \cap S_2)$  contains a line of  $(L_x, \underline{V}_x)$ . It then follows that  $L_x(S_1 \cap S_2)$  is a maximal singular subspace of rank two, hence,  $S_1 \cap S_2 \in P^-$ .

(4.5) LEMMA. Let  $(x, X) \in R_t$ ,  $y \in X - \{x\}$ . Then  $(y, X_y) \in R_t$ .

PROOF. If  $t = 3$ , then the result is immediate: for any  $z \in X - y^\perp$ ,  $X = S(y, z) \cap x^\perp$ . Then  $X_y = S(y, z) \cap y^\perp$  and  $(y, X_y) \in R_3$ , we proceed to prove the lemma in a sequence of short steps. We first introduce some notation.

Symp $_x(X) = \{S \in \underline{\text{Symp}}_x : S \cap x^\perp \subseteq X\}$ .

I.  $X_y \in \underline{\text{Sub}}$ : Let  $u_1, u_2 \in X_y$  with  $u_2 \in u_1^\perp$ . If  $u_2 \in yu_1$  then result is clear. Let  $S_i \in \underline{\text{Symp}}_x(X)$  with  $yu_i \subseteq S_i, i = 1, 2$ . If  $S_1 = S_2$ , then the result is obvious, so we may assume  $S_1 \neq S_2$ . In particular we may assume  $u_1, u_2 \in \Gamma_2(x)$ , so  $S_i = S(x, u_i)$ . Now since  $S_1 \cap u_2^\perp \supseteq yu_1$ , by (4.3),  $S_1 \cap u_2^\perp \in {}_3P \setminus P^-$ . Then  $S_1 \cap u_2^\perp \cap x^\perp \in {}_2P$ , and hence by (4.4),  $\langle x, S_1 \cap u_2^\perp \cap x^\perp \rangle = S_1 \cap S_2 \in P^-$ . Set  $M = S_1 \cap S_2$ . Note that  $u_1^\perp \cap M = u_2^\perp \cap M$ . Let  $N \in {}_2P_x(M)$ , i.e. a hyperplane of  $M$  containing  $x$ , with  $y \notin N$ . Let  $\{M_i\} \in {}_3P_N(S_i), i = 1, 2, M_i \neq M$  (there are unique such choices). Then by consideration of  $L_x$  we see that  $M_2 \subseteq M_1^\perp$  and  $\langle M_1, M_2 \rangle \in {}_4P$ . Let  $v_i \in M_i \cap u_i^\perp \setminus M, i = 1, 2$ . Now  $v_1 \notin u_2^\perp$ , for if  $v_1 \in u_2^\perp$ , then  $v_1 \in \{u_2, x\}^\perp \cap S_1 \subseteq S_1 \cap S_2 = M$ , a contradiction. However,  $u_1, u_2, v_1, v_2 \in S(u_2, v_1)$ , a symp, and so  $u_1^\perp \cap v_1 v_2$  is a point, say  $v$ . Now  $v \notin y^\perp$ , for if  $v \in y^\perp$ , then  $v \in \{v_1, v_2\}^\perp \cap y^\perp \subseteq S_1 \cap S_2 = M$ . But then  $v_2 \in \langle M, v_1 \rangle \subseteq S_1$ , a contradiction. Thus  $S(u, x) = S(y, s)$ . Since  $v_1, v_2 \in X$  and  $X$  is a subspace,  $v \in X$ . Hence  $S(u, x) \in \underline{\text{Symp}}_x(X)$  and  $u \in X_y$ .

II. If  $u_1, u_2 \in X_y, d(u_1, u_2) = 2$ , then  $S(u_1, u_2) \cap y^\perp \subseteq X_y$ .

Pf: Let  $S_i \in \underline{\text{Symp}}_x(X) \cap \underline{\text{Symp}}_{x_i}, i = 1, 2$ . If  $S_1 = S_2$ , then the result is clear, so assume  $S_1 \neq S_2$ . Then we may also assume  $u_1, u_2 \in \Gamma_2(x)$ .

Let  $v \in \{u_1, u_2\}^\perp \cap y^\perp$ . If  $v \in x^\perp$ , then  $v \in \{x, u\}^\perp \subseteq S_1$ , so  $v \in X_y$  in this

case. Thus assume  $v \in \Gamma_2(x)$ . Now consider  $L_y$ . The three subspaces  $\pi_y(S_i)$  of  $\pi_i$  meet in a plane  $U$ , and this plane contains the line which  $xy$  is identified with. The lines which  $u_i y$  are identified with meet  $U$  in projective points  $\rho_i$  moreover, since  $(u_1, u_2), (u_i, x) \in \Gamma_2, \rho_i$  are not on  $xy$  and,  $\rho_1 \neq \rho_2$ . Now  $vy$  "meets" both  $u_1 y$  and  $u_2 y$ . If  $\rho_i$  is on  $vy$  for some  $i$ , then  $vy$  is contained in  $\pi_y(S_j)$ , where  $\{i, j\} = \{1, 2\}$ , that is  $vy \in L_y(S_j)$  and  $v \in S_j$ , in which case  $v \in X_y$ . Thus  $u_i y$  "meets"  $vy$  in a point  $\delta_i \neq \rho_i$ ,  $i = 1, 2$ . From this it follows that there are lines  $m_i = w_i y \in L_y(S_i) \cap \Gamma_y(xy) \cap \Gamma_y(vy)$  with  $m_1 \in \Gamma_y(m_2)$  (choose lines  $m_i$  to contain  $\delta_i$  and meet  $xy$  in points  $q_i$  with  $q_1 \neq q_2$ ). Now  $w_i \in S_i \cap v^\perp \cap x^\perp \cap y^\perp$  and so  $w_i \in X$ , also  $d(w_1, w_2) = 2$ . Since  $y, v \in \{w_1, w_2\}^\perp$ ,  $S(w_1, w_2) \in \underline{\text{Symp}}_x(X) \cap \underline{\text{Symp}}_y$ . As  $v \in S(w_1, w_2) \cap y^\perp$  it follows that  $v \in X_y$ .

III.  $X_y \cap x^\perp = X \cap y^\perp$

Pf: Let  $z \in X \cap y^\perp$ . Then clearly  $X \cap z^\perp \setminus y^\perp \neq \emptyset$ . Let  $w \in X \cap z^\perp \setminus y^\perp$ .

Then  $z \in S(y, w) \cap y^\perp \subseteq X_y$ . Thus  $z \in X_y \cap x^\perp$  and we have shown

$X \cap y^\perp \subseteq X_y \cap x^\perp$ . Conversely, suppose  $z \in X_y \cap x^\perp$ . Let

$S \in \underline{\text{Symp}}_x(X) \cap \underline{\text{Symp}}_{yz}$ . Then  $z \in S \cap x^\perp \cap y^\perp \subseteq X \cap y^\perp$ , and we have equality.

IV.  $(y, X_y) \in \mathcal{R}_t$ .

Pf: From I. and II.,  $L_y(X_y)$  is a subspace of  $L_y$ , is connected, has diameter two, and is 2-closed (i.e. if  $m_1, m_2 \in L_y(X_y)$  with  $m_1 \notin \Gamma_y(m_2)$ , then

$\Gamma_y(m_1) \cap \Gamma_y(m_2) \subseteq L_y(X_y)$ ). From this it follows that  $L_y(X_y) \cong A_{t', 2}(K)$  for some  $t'$ . Now let  $M \in \mathcal{P}_{t, xy}(X)$ . Then  $M \subseteq X \cap y^\perp = xy \cap x^\perp$ . Hence

$M \in \mathcal{P}_{t, xy}(X_y)$  and so  $t \leq t'$ . On the other hand, by choosing  $M' \in \mathcal{P}_{t', xy}(X_y)$  we get  $M' \in \mathcal{P}_{t', xy}(X)$ , and so  $t' \leq t$ . Thus  $t = t'$  and the lemma is proved.

(4.6) DEFINITION. For  $(x, X), (y, Y) \in \mathcal{R}_t$ , write  $(x, X) \sim (y, Y)$  if  $(x, X) = (y, Y)$  or if there exists a sequence

$\{(x_i, X_i)\}_{i=0}^s \subseteq \mathcal{R}_t$  with  $(x_0, X_0) = (x, X)$ ,  $(x_s, X_s) = (y, Y)$  and such that for each  $i$ ,  $x_{i+1} \in X_i$  and  $(X_i)_{x_{i+1}} = X_{i+1}$ .

Suppose  $(x, X) \in \mathcal{R}_t, y \in \mathcal{P}$ , and  $\pi = (x_0, x_1, \dots, x_s)$  a path from  $x$  to  $y$ . We shall say  $X_\pi$  is *defined* if there exists a sequence  $\{(x_i, X_i)\}_{i=0}^s$  in  $\mathcal{R}_t$  such that  $x_i \in X_i$  and  $(X_i)_{x_{i+1}} = X_{i+1}$ . When  $X_\pi$  is defined each  $X_i$  is uniquely determined and we set  $X_\pi = X_s$ .

((4.7) LEMMA. Let  $(x, X) \in \mathcal{R}_t, y \in x^\perp \setminus X$ . Set  $Y = \bigcup_{z \in X \setminus y^\perp} [S(y, z) \cap y^\perp]$ .

(i) If  $X \cap y^\perp = \{x\}$ , then  $(y, Y) \in \mathcal{R}_{t+2}$ .

(ii) If  $X \cap y^\perp \supsetneq \{x\}$ , then  $(y, Y) \in \mathcal{R}_{t+1}$ .

PROOF. In either case  $Y = (\bar{X})_y$  where  $\bar{X} = \langle X, y \rangle$ . In (i) clearly  $(x, \bar{X}) \in \mathcal{R}_{t+2}$  and in (ii)  $(x, \bar{X}) \in \mathcal{R}_{t+1}$ . The result follows from (4.5).

(4.8) LEMMA. Let  $(x, X) \in \mathcal{R}_t, y \in X - \{x\}$ . Then  $X = (X_y)_x$ .

PROOF. Since  $X, X_y$  are isomorphic it suffices to prove  $X \subseteq (X_y)_x$ . Let  $u \in X$ . If  $u \in y^\perp$ , then  $u \in X_y$ . Then since  $u \in X_y \cap x^\perp$ ,  $u \in (X_y)_x$ . Thus assume  $u \in \Gamma_2(y)$ . Then  $S(u, y) \cap y^\perp \subseteq X_y$ ,  $x \in S(u, y) \cap y^\perp$ , but  $S(y, y) \cap y^\perp \subseteq x^\perp$ . Choose  $v \in S(u, y) \cap y^\perp$ ,  $v \in \Gamma_2(x)$ . Then  $v \in X_y$  and  $S(x, v) \cap x^\perp \subseteq (X_y)_x$ . But  $S(x, v) = S(u, y)$  and hence  $u \in S(x, v) \cap x^\perp \subseteq (X_y)_x$ .

(4.9) LEMMA. Let  $(x, X) \in \mathcal{R}_t, a, b \in X - \{x\}$  with  $b \in a^\perp$ . Then  $(X_a)_b = X_b$ .

PROOF. Since  $(X_a)_b, X_b \in (\mathcal{R}_t)_b$ , it suffices to prove  $X_b \subseteq (X_a)_b$ . Let  $d \in X - b^\perp, c \in S(b, d) \cap b^\perp$ . Suppose first that  $d \in a^\perp$ . Then  $d \in X_a$  and then  $S(b, d) \cap b^\perp \subseteq (X_a)_b$ . Thus we may assume  $d \in \Gamma_2(a)$ .

Since  $(X_a)_b$  is a subspace it suffices to show  $bc \cap (X_a)_b \neq \{b\}$ . Since  $b \in \Gamma_2(d)$  and  $d^\perp \cap bc \neq \emptyset$ , we may assume  $c \in d^\perp$ . Suppose  $cd \cap a^\perp \neq \emptyset$ . If  $a \in c^\perp$ , then  $c \in X_a$  and then  $c \in X_a \cap b^\perp \subseteq (X_a)_b$ . Thus we may assume  $c \in \Gamma_2(a)$ . Let  $c' = cd \cap a^\perp$ . Then  $c' \in S(a, d) \cap a^\perp \subseteq X_a$  and  $c' \in \Gamma_2(b)$ . Then  $S(b, c') \cap b^\perp \subseteq (X_a)_b$  and this implies  $c \in (X_a)_b$ . Thus we may assume  $cd \subseteq \Gamma_2(a)$ .

Suppose now that  $x \in c^\perp$ . Then  $x \in (cd)^\perp \cap a^\perp$ . Therefore  $a^\perp \cap (cd)^\perp \in {}_2\mathcal{P}(\{a, c\}^\perp)$ , and so  $a^\perp \cap (cd)^\perp$  is maximal in  $\{a, c\}^\perp$ . Therefore there is an  $e \in a^\perp \cap (cd)^\perp \cap \Gamma_2(b)$ . Note  $e \in x^\perp$  since  $a^\perp \cap (cd)^\perp$  contains  $x$ . Since  $e \in X \cap a^\perp$ ,  $e \in X_a$ . Thus  $S(b, e) \cap b^\perp \subseteq (X_a)_b$ . However,  $c \in b^\perp \cap e^\perp$ , so  $c \in (X_a)_b$ .

Therefore we may assume  $x \notin (cd)^\perp$ . In particular  $c \in \Gamma_2(x)$ . Now note that  $S(b, d) \supseteq dc$  and  $S(b, d) \cap a^\perp \supseteq bx$ . Then by (4.3)  $S(b, d) \cap a^\perp \in {}_3\mathcal{P}$ . If  $M = S(b, d) \cap a^\perp$ , then  $M \cap (cd)^\perp \neq \emptyset$ , and hence  $(cd)^\perp \cap a^\perp \neq \emptyset$ , and hence by (D<sub>2</sub>),  $a^\perp \cap (cd)^\perp \in {}_2\mathcal{P}$ . Set  $a^\perp \cap (cd)^\perp = N$ .  $x, b \notin N$ . However,  $N$  is maximal in  $\{a, c\}^\perp$  and  $b \in \{a, c\}^\perp \setminus N$ . Therefore, there is an  $e \in N \setminus b^\perp$ . Now  $e \in S(a, d) \cap a^\perp$ , so  $e \in X_a$ .  $c \in S(b, e) \cap b^\perp$ , so  $c \in (X_a)_b$  and we have shown  $X_b \subseteq (X_a)_b$ .

(4.10) LEMMA. (i) Suppose  $d(x,y) = k \geq 1$ . Then  $y^\perp \cap \Gamma_{k-1}(x)$ , together with its lines is isomorphic to  $A_{2k-1,2}(K)$ .

(ii) If  $\ell \subseteq \Gamma_k(x)$ , then  $\ell^\perp \cap \Gamma_{k-1}(x) \in {}_{2k-2}P$ .

PROOF. We first show that (ii) is a consequence of (i). Choose  $y \in \ell$ . By (i)  $y^\perp \cap \Gamma_{k-1}(x) \cong A_{2k-1,2}(K)$ . Set  $Y = \langle y^\perp \cap \Gamma_{k-1}(x), y \rangle$  so  $(y, Y) \in R_{2k-1}$  and consider  $L_y$ ,  $L_y(Y)$  and  $\ell$ . Now either  $\Gamma_y(\ell) \cap L_y(Y) = \emptyset$  or  $\Gamma_y(\ell) \cap L_y(Y)$  is a maximal singular subspace of  $L_y(Y)$ . Thus, either  $\ell^\perp \cap \Gamma_{k-1}(x) = \emptyset$  or  $\ell^\perp \cap \Gamma_{k-1}(x) \in {}_{2k-2}P$ . Since  $\ell^\perp \cap \Gamma_{k-1}(x) \neq \emptyset$  by (D2) and (D3), (ii) now follows.

We prove (i) by induction on  $k \geq 1$ . (i) is obvious for  $k = 1$  and  $2$ . Thus assume (i) is true for  $k = t \geq 2$  and suppose  $k = t + 1$ . Now let  $a \in y^\perp \cap \Gamma_t(x)$ . By induction  $a^\perp \cap \Gamma_{t-1}(x) \cong A_{2t-1,2}(K)$ . Set  $A = \langle a, a^\perp \cap \Gamma_{t-1}(x) \rangle$ , so  $(a, A) \in R_{2t-1}$ . Note that for  $\ell \in L_a(A)$ ,  $\ell \cap \Gamma_{t-1}(x)$  is a point. Since  $y \in \Gamma_{t+1}(x)$ ,  $A \cap y^\perp = \{a\}$ . Now let  $b \in A - \{a\}$ , so  $b \in \Gamma_2(y)$ . Let  $c \in S(b, y) \cap y^\perp$ . Then  $yc \cap b^\perp \neq \emptyset$ , and if  $c' \in yc \cap b^\perp$ , then  $c' \in \Gamma_t(x) \cap y^\perp$ . Thus, if  $\ell \in L_y(S(b, y))$ , then  $\ell$  contains a unique point in  $y^\perp \cap \Gamma_t(x)$ . Now by (4.7), if  $Y = U[S(b, y) \cap y^\perp]$ ,  $b \in A - \{a\}$  then  $(y, Y) \in R_{2t+1}$ . Since each  $\ell \in L_y(Y)$  contains a unique point in  $y^\perp \cap \Gamma_t(x)$ , if we set  $Z = Y \cap \Gamma_t(x)$ , then  $Z \cong A_{2t+1,2}(K)$ .

We next show that  $W = \Gamma_t(x) \cap y^\perp$  is a subspace. Suppose  $u, v \in \ell \cap W$ . Then either  $\ell \subseteq W$  or there is a unique point  $w \in \ell \cap \Gamma_{t-1}(x)$ . But then  $d(x, y) \leq d(x, w) + d(w, y) = t - 1 + 1 = t$ , a contradiction. Now suppose  $a, b \in W$ ,  $d(a, b) = 2$ ,  $c \in \{a, b\}^\perp \cap y^\perp$ . Claim  $yc \cap W \neq \emptyset$ . If  $yc \cap W = \emptyset$ , then  $yc \subseteq \Gamma_{t+1}(x)$ . Then by (D3),  $(yc)^\perp \cap \Gamma_t(x) \in \underline{\text{Sing}}$ . However,  $a, b \in (yc)^\perp \cap \Gamma_t(x)$  and  $b \notin a^\perp$ , a contradiction. Thus  $yc \cap W \neq \emptyset$ . It follows that  $\{a, b\}^\perp \cap W$  is a non-degenerate generalized quadrangle, and therefore that  $W \cong A_{s,2}(K)$  for some  $s \geq 2t+1$  (since  $W \supseteq Z$ ). Thus to complete the proof it suffices to prove  $s = 2t+1$ .

Now let  $a \in W$  and  $m \in L_a(W)$ . Then  $m \subseteq \Gamma_t(x)$  and therefore by induction  $m^\perp \cap \Gamma_{t-1}(x) \in {}_{2t-2}P(U)$ ,  $U = \Gamma_{t-1}(x) \cap a^\perp$ . Suppose that  $m_1 \in L_a(W)$ , but  $m_1 \not\subseteq m_2^\perp$ . Then  $m_1^\perp \cap \Gamma_{t-1}(x) \neq m_2^\perp \cap \Gamma_{t-1}(x)$ . For suppose on the contrary,  $m_1^\perp \cap \Gamma_{t-1}(x) = m_2^\perp \cap \Gamma_{t-1}(x) = M$ . Let  $b_i \in m_i$ ,  $i = 1, 2$ . Then  $y, M \subseteq b_1^\perp \cap b_2^\perp$ . Then  $\emptyset \neq y^\perp \cap M \subseteq \Gamma_{t-1}(x) \cap y^\perp = \emptyset$ , a contradiction.

Next suppose  $m_1, m_2 \in L_y(W)$  and  $m_1^\perp \cap \Gamma_{t-1}(x) = m_2^\perp \cap \Gamma_{t-1}(x) = M$ . Then

by the previous paragraph  $m_2 \subseteq m_1^\perp$ . Set  $N = \langle m_1, m_2 \rangle$ . Claim  $N^\perp \cap W = N$ . Since  $W \cong A_{s,2}(K)$  if  $V \in \underline{V}(W)$ , then  $V^\perp \cap W \in \underline{\text{Sing}}$  and either  $V^\perp \cap W \in {}_{s-1}P$  or  $V^\perp \cap W = V$ . Suppose  $N^\perp \cap W \in {}_{s-1}P$ . Since  $N \in \underline{V}$ ,  $N$  lies in two maximal singular subspaces, one of rank 3 and one of rank  $n$ . Since  $M \subseteq \Gamma_2(y)$ ,  $y \notin \langle M, N \rangle^\perp$ . Since  $\text{rk}(\langle M, N \rangle) \geq 4$ , it follows that  $\text{rk}(\langle M, N \rangle^\perp) = n$ .  $\langle y, N \rangle$  is a singular subspace of rank three on  $N$  and  $\langle y, N \rangle \cap \langle M, N \rangle^\perp = N$ . Therefore  $\langle y, N \rangle^\perp = \langle y, N \rangle$ . However, we are assuming  $\text{rk}(N^\perp \cap W) = s-1$ .  $N^\perp \cap W \subseteq y^\perp$ . Then  $\langle y, N^\perp \cap W \rangle$  is a singular subspace,  $\langle y, N^\perp \cap W \rangle \supseteq \langle y, N \rangle$  and  $\text{rk}(\langle y, N^\perp \cap W \rangle) = s \geq 2t + 1 \geq 5$ , a contradiction.

Thus, if  $m_1^\perp \cap \Gamma_{t-1}(x) = m_2^\perp \cap \Gamma_{t-1}(x)$ , then  $\langle m_1, m_2 \rangle^\perp \cap W = \langle m_1, m_2 \rangle$ . Suppose now  $m_1, m_2 \in L_y(W)$ ,  $m_2 \subseteq m_1^\perp$  and  $\langle m_1, m_2 \rangle^\perp \cap W = \langle m_1, m_2 \rangle$ . Set  $M_i = m_i^\perp \cap \Gamma_{t-1}(x)$ . We prove  $N_1 = N_2$ . Let  $n \in L(N_1)$ . Then  $n \subseteq \Gamma_2(y)$ , but  $m_1^\perp \subseteq n^\perp \cap y^\perp$ . However,  $\text{rk}(n^\perp \cap y^\perp) = 2$  and  $n^\perp \cap y^\perp \subseteq W$ . Also, from the type of  $L_a$  we see that  $\langle y, n^\perp \cap y^\perp \rangle \in P^-$ . Therefore  $n^\perp \cap y^\perp$  is a maximal singular plane of  $W$ . However, each line of  $W$  lie in a unique singular plane of  $W$  which is maximal in  $W$ . Since  $m_1 \subseteq \langle m_1, m_2 \rangle$  and  $\langle m_1, m_2 \rangle^\perp \cap W = \langle m_1, m_2 \rangle$ , it follows that  $\langle m_1, m_2 \rangle = n^\perp \cap y^\perp$ . Now  $N_1 = m_1^\perp \cap n^\perp \supseteq m_2$ . Therefore  $N_1 \subseteq N_2$ . Since  $\text{rk}(N_1) = \text{rk}(N_2)$ ,  $N_1 = N_2$  as claimed.

Now we have shown there is an injective map  $\phi$  from  $V_{\max}(W)$   $\{V \in \underline{V}(W) : V^\perp \cap W = W\}$  into  $2_{t-2}P(U)$ . Now for  $V_1, V_2 \in V_{\max}(W)$ , define  $\Delta(V_1, V_2) = \{W \in V_{\max}(W) : V_i \cap W \in L_a, i = 1, 2\}$ . Set  $\lambda(V_1, V_2) = \{V \in V_{\max}(W) : V \cap V' \in L_a, \text{ for every } V' \in \Delta(V_1, V_2)\}$ . If we set  $\Lambda = \{\lambda(V_1, V_2) : V_1 \neq V_2 \in V_{\max}(W)\}$ , then  $(V_{\max}(W), \Lambda) \cong \text{PG}(s-2, K)$ . Now  $2_{t-2}P(U)$  is naturally isomorphic to  $\text{PG}(2t-1, K)$ . We finally show that  $\phi$  is a morphism of projective spaces. Since  $\phi$  is injective this will imply  $s - 2 \leq 2t - 1$  from which we deduce  $s \leq 2t + 1$  as desired.

Let  $\lambda = \lambda(V_1, V_2) \in \Lambda$ . Set  $M_i = v_i^\perp \cap \Gamma_{t-1}(x)$ . Then  $M_1 \cap M_2 = \{u\}$  is a point. Then  $\{y, u\}^\perp \subseteq W$ ,  $\{y, u\}^\perp \cong A_{3,2}(K)$  and  $a \in \{y, u\}^\perp$ . It is clear to see that  $V_{\max}(W) \cap \underline{V}_a(\{y, u\}^\perp) = \lambda(V_1, V_2)$  and from this our claim now follows.

(4.11) NOTATION. If  $d(x, y) = k \geq 2$ , set  $R(x, y) = \langle x^\perp \cap \Gamma_{k-1}(y), x \rangle$ .

(So  $(x, R(x, y)) \in R_{2k-1}$ ).

(4.12) LEMMA. Let  $d(x, y) = k \geq 2$  and  $\gamma$  be a geodesic from  $x$  to  $y$ . If  $X = R(x, y)$ , then  $X_\pi$  is defined. Moreover,  $X_\pi = R(y, x) = \langle y, y^\perp \cap \Gamma_{k-1}(x) \rangle$ .



PROOF. Induction on  $k \geq 2$ . Suppose  $k = 2$ . Then  $X = R(x, y) = x^\perp \cap S(x, y) = \langle x, \{x, y\}^\perp \rangle$ . For  $z \in \{x, y\}^\perp$ ,  $X_z = z^\perp \cap S(x, y)$  and  $y \in X_z$ . Thus, if  $\pi = (x, z, y)$ , then  $X_\pi$  is defined and  $X_\pi = (X_z)_y = S(x, y) \cap y^\perp = \langle y, \{x, y\}^\perp \rangle = R(y, x)$ .

Assume now that the result is true for all  $k \leq t$  and let  $k = t + 1$ . Let  $\pi = (x = x_0, x_1, \dots, x_{t+1} = y)$  be a geodesic path from  $x$  to  $y$ . Set  $x_1 = a$ . We show that  $A = R(a, y) = \langle a, \Gamma_{t-1}(y) \cap a^\perp \rangle \subseteq X_a$ . Of course it suffices to show  $\Gamma_{t-1}(y) \cap a^\perp \subseteq X_a$  since  $X_a \in \underline{\text{Sub}}_a$ . Let  $b \in \Gamma_{t-1}(x) \cap a^\perp$ ,  $c \in \{x, b\}^\perp$ . Then  $d(c, y) = t$  and  $c \in \Gamma_t(y) \cap x^\perp$ . Choose  $c \in \Gamma_2(a)$ .  $c \in X = R(x, y)$  and  $a^\perp \cap S(a, c) \subseteq X_a$ . However,  $S(a, c) = S(x, b)$  and hence  $b \in X_a$ . Now if  $\rho = (a = x_1, x_2, \dots, x_t = y)$ , then by induction  $A_\rho$  is defined. Since  $A \subseteq X_a$ ,  $(x_a)_\rho$  is defined. But  $(X_a)_\rho = X_\pi$  and hence  $X_\pi$  is defined. Note by induction we also have  $X_\pi \supseteq y^\perp \cap \Gamma_{t-1}(a)$ . However,

$$\bigcup_{a \in x^\perp \cap \Gamma_t(y)} [y^\perp \cap \Gamma_{t-1}(a)] = y^\perp \cap \Gamma_t(x).$$

Therefore,  $X_\pi \supseteq \langle y, y^\perp \cap \Gamma_t(x) \rangle = R(y, x)$ . Since both  $(y, X_\pi)$  and  $(y, R(y, x)) \in \mathcal{R}_{2t+1}$  we have  $X_\pi = R(y, x)$ .

Now let  $(x, X) \in \mathcal{R}_t$ . Suppose  $d(x, y) = k > \lfloor \frac{t+1}{2} \rfloor$ . Then  $x^\perp \cap \Gamma_{k-1}(y) \cong A_{2k-1, 2}$ . Since  $2k-1 > t$ ,  $x^\perp \cap \Gamma_{t-1}(y) \not\subseteq X$ . We remark that at this point it now follows  $\text{diam}(P, \Gamma) = \lfloor \frac{n+1}{2} \rfloor$ .

Now set

$$D(x, X) = \bigcup_{k \geq 1} \{y : d(x, y) = k, R(x, y) \subseteq X\} \cup \{x\}$$

$$(4.12) \text{ REMARK. } x^\perp \cap D(x, X) = X$$

$$(4.13) \text{ LEMMA. Let } (x, X) \in \mathcal{R}_t, y \in X - \{x\}, Y = X_y. \text{ Then } D(x, X) = D(y, Y).$$

PROOF. As  $Y_x = X$  by (4.8) it suffices to prove  $D(y, Y) \subseteq D(x, X)$ . Recall

$$X_y = \bigcup_{z \in x-y^\perp} [S(y, z) \cap y^\perp].$$

Now let  $z \in D(y, Y)$  with  $d(y, z) = k$ . Of course if  $z = x$ , then  $z \in D(x, X)$ . This

leaves four cases to consider:

- (i)  $d(x,z) = k-1 \geq 1$  ;
- (ii)  $d(x,z) = k+1$  ;
- (iii)  $d(x,z) = k, d(xy,z) = k-1$  ;
- (iv)  $xy \subseteq \Gamma_k(z)$  .

(i) Let  $u \in x^\perp \cap \Gamma_{k-2}(x)$ . Then  $u \in \Gamma_2(y)$ . If  $v \in \{u,y\}^\perp$ , then  $v \in \Gamma_{k-1}(z) \cap y^\perp$ . Thus  $\{u,y\}^\perp \subseteq Y$  and hence  $y^\perp \cap S(u,y) = \langle y, \{u,y\}^\perp \rangle \subseteq Y$ . Now choose  $v \in \{u,y\}^\perp \cap \Gamma_2(x)$ . Then  $S(y,u) = S(x,v)$ . Then  $x^\perp \cap S(x,v) = x^\perp \cap S(y,u) \subseteq Y_x = X$ . Thus  $u \in X$ .

(ii) Let  $u \in \Gamma_k(z) \cap x^\perp$ . Suppose  $u \in y^\perp$ . Then  $d(yu,z) = k$ . Let  $v \in \Gamma_{k-1}(z) \cap (yu)^\perp$ . Then  $v \in Y \cap \Gamma_2(x)$ .  $X = Y_x \supseteq x^\perp \cap S(x,v)$  and so  $u \in X$ . Thus assume  $u \in \Gamma_2(y)$ . Now let  $v \in \{x,u,y\}^\perp$ .  $d(z,v') \leq k+1$  for each  $v' \in xv$  since  $v' \in y^\perp$  and  $d(y,z) = k$ . However, if  $d(xv,z) = k+1$ , then  $(xv)^\perp \cap \Gamma_k(z) \in \underline{\text{Sing}}$ , contradicting  $u,y \in (xv)^\perp \cap \Gamma_k(z)$ . Then without loss of generality we may assume  $v \in \Gamma_k(z)$ . By the first part of this paragraph  $v \in X$ . Now  $\text{Rad}(\{x,y,u\}^\perp) = \{x\}$ , hence there is a  $w \in \{x,y,u\}^\perp \cap \Gamma_2(z)$ . Then also  $w \in X$ . Then  $X \supseteq S(v,w) \cap x^\perp$  and so  $u \in X$ .

(iii) Let  $w = xy \cap \Gamma_{k-1}(z)$ . Let  $u \in \Gamma_{k-1}(z) \cap x^\perp$ . If  $u \in y^\perp$ , then  $u \in Y \cap x^\perp \subseteq Y_x = X$ . So assume  $u \in \Gamma_2(y)$ . As in (ii) we can find  $a,b$  with  $a \in \Gamma_2(b)$ ,  $a,b \in \{x,u,w\}^\perp \cap \Gamma_{k-1}(z)$ . Then also  $a,b \in y^\perp$  and so  $a,b \in \Gamma_{k-1}(z) \cap y^\perp \subseteq Y$ . Then  $S(a,b) \cap y^\perp \subseteq Y$ . As  $x \in S(a,b)$  it follows that  $S(a,b) \cap x^\perp \subseteq Y_x = X$ . Since  $u \in a^\perp \cap b^\perp \cap x^\perp$ ,  $u \in X$ .

(iv) Let  $u \in \Gamma_{k-1}(z) \cap x^\perp$ . If  $u \in (xy)^\perp$ , then  $u \in Y \cap x^\perp \subseteq x$ . Thus assume  $u \in \Gamma_2(y)$ . Now  $(xy)^\perp \cap \Gamma_{k-1}(z) \in {}_{2k-2}P$  and  $u \notin (xy)^\perp \cap \Gamma_{k-1}(z)$ . Clearly, we may assume  $k > 1$ , for otherwise  $u = x$ . Thus  $u^\perp \cap (xy)^\perp \cap \Gamma_{k-1}(z) \in L$ . Then we can find  $v \in \Gamma_2(u) \cap (xy)^\perp \cap \Gamma_{k-1}(z)$ . Let  $a \in \{x,u,v\}^\perp$ . Since  $u \in (a')^\perp \cap \Gamma_{k-1}(z)$  for each  $a' \in ax$ ,  $d(z,a') \leq k$ . However, if  $d(xa,z) = k$  we get a contradiction :  $u,v \in (ax)^\perp \cap \Gamma_{k-1}(z) \in \underline{\text{Sing}}$ . Therefore  $d(xa,z) = k-1$ , so without loss we may assume  $a \in \Gamma_{k-1}(z)$  and  $av \subseteq \Gamma_{k-1}(z)$ . Let  $b \in \Gamma_{k-2}(z) \cap (av)^\perp$ . Since  $v \in \{y,b\}^\perp$ ,  $d(y,b) = 2$ . Since  $\{y,b\}^\perp \subseteq \Gamma_{k-1}(z)$ ,  $S(y,b) \cap y^\perp \subseteq Y$ . Consequently,  $Y_v \supseteq S(y,b) \cap v^\perp$ . Since  $v \in Y \cap x^\perp$ ,  $v \in X$ . Since  $b \in S(y,b) \cap v^\perp$ ,  $b \in Y_v$ . As  $x \in Y \cap v^\perp$ ,  $x \in Y_v$ .

Now  $d(x,b) = 2$ , so  $x^\perp \cap S(x,b) \subseteq (Y_v)_x = Y_x = X$  by (4.9). As  $a \in \{x,b\}^\perp$ ,  $a \in X$ . However,  $\text{Rad}(\{x,u,v\}^\perp) = \{x\}$ , so we can find a  $c \in \{x,u,v\}^\perp \cap \Gamma_{k-1}(z)$  with  $c \in \Gamma_2(a)$ . Then as above,  $c \in X$ . Then  $x^\perp \cap S(a,c) \subseteq X$ , and so  $u \in \{a,c,x\}^\perp \subseteq S(a,c) \cap x^\perp$ .

(4.14) COROLLARY. Let  $(x,X) \in R_t$ ,  $y \in D(x,X)$  and  $\pi$  a geodesic from  $x$  to  $y$ , then  $X_\pi$  is defined,  $X_\pi = D(x,X) \cap y^\perp$  and if  $Y = X_\pi$ , then  $D(x,X) = D(y,Y)$

PROOF. This follows from (4.13) and induction on  $d(x,y)$ .

(4.15) REMARK. The corollary implies that  $D(x,X) \in \underline{\text{Sub}}$  and for any  $a,b \in D(x,X)$  and every geodesic path  $\pi$  from  $a$  to  $b$  is contained in  $D(x,X)$ . It follows that  $D(x,X)$  satisfies the hypotheses of the main theorem. Thus, if  $t < n$ , then by induction  $D(x,X) \cong D_{t+1,t+1}(K)$ .

Now set  $\overline{P}_{t+1} = \{D(x,X) : (x,X) \in R_t\}$ ,  $\overline{P} = \overline{P}_{n-1}$ . For  $D_1, D_2 \in \overline{P}$ , define  $D_1 \approx D_2$  if and only if  $D_1 \cap D_2 \neq \emptyset$ .

Now suppose  $D_1, D_2 \in \overline{P}$ ,  $D_1 \approx D_2$ . Let  $x \in D_1 \cap D_2$ . By considering  $L_x, L_x(D_i)$ ,  $i = 1,2$ , we see that  $L_x(D_1 \cap D_2) = L_x(D_1) \cap L_x(D_2) \cong A_{n-1,2}$ . Since this is true for each  $x \in D_1 \cap D_2$  we have

(4.16) LEMMA. If  $D_1, D_2 \in \overline{P}$ ,  $D_1 \neq D_2$  and  $D_1 \cap D_2 \neq \emptyset$ , then  $D_1 \cap D_2 \in \overline{P}_{n-2}$ .

Now if  $D_1 \approx D_2$ , set  $\ell(D_1, D_2) = \{D \in \overline{P} : D \supseteq D_1 \cap D_2\}$  and  $\overline{L} = \{\ell(D_1, D_2) : D_1, D_2 \in \overline{P}, D_1 \approx D_2\}$ . Thus we have an incidence structure  $(\overline{P}, \overline{L})$ .

(4.17) LEMMA. Let  $D \in \overline{P}$ ,  $x \in P - D$ . If  $\Gamma_2(x) \cap D \neq \emptyset$ , then  $x^\perp \cap D \neq \emptyset$ .

PROOF. Let  $w \in \Gamma_2(x) \cap D$ .  $L_w(D) \cong A_{n-1,2}(K)$ ,  $L_w(S(x,w)) \cong A_{3,2}$ , let  $\pi_w(D)$  be the hyperplane of  $\pi_w$  underlying  $L_w(D)$  and  $\pi_w(x)$  the three subspace underlying  $L_w(S(x,w))$ . Then  $\pi_w(x)$  meets  $\pi_w(D)$  in at least a plane so  $L_w(D) \cap L_w(S(x,w))$  contains a singular plane of  $L_w$ . Therefore  ${}^3P(S(x,w) \cap D) \neq \emptyset$ . If  $M \in {}^3P(S(x,w) \cap D)$ , then  $M \cap x^\perp \in \underline{V}(D)$ , in particular  $D \cap x^\perp \neq \emptyset$  as claimed.

(4.18) LEMMA. If  $D \in \overline{P}$ ,  $x \in P - D$ , then  $x^\perp \cap D \neq \emptyset$ .

PROOF. Set  $s = d(D, x)$ . Wish to prove  $s = 1$ . Suppose on the contrary that  $s > 1$ . Choose  $z \in D$  with  $d(x, z) = s$  and let  $x = x_0, x_1, \dots, x_s = z$  be a geodesic from  $x$  to  $z$ . Let  $y = x_{s-2}$ . Then  $d(x, y) = s - 2$ . Since  $d(s, x) = s$ ,  $y \in P - D$ . Since  $\Gamma_2(y) \cap D \neq \emptyset$ , by (4.17)  $y^\perp \cap D \neq \emptyset$ . If  $w \in y^\perp \cap D$ , then  $w \in D$  and  $d(x, w) \leq s - 1$ , a contradiction. Therefore  $s = 1$ .

(4.19) NOTATION. For  $x \in P$ ,  $\hat{x} = \{D \in \overline{P} : x \in D\}$ . For  $D \in \overline{P}$ ,  $\Delta(D) = \{D' : D \approx D'\}$ .

(4.20) LEMMA.  $\hat{x}$ , together with its lines, is a projective space of rank  $n$  over  $K$ .

PROOF. Clearly  $\hat{x}$  is a singular subspace of  $(\overline{P}, \overline{L})$ . We define a map from  $\hat{x}$  to  $\{X : (X : (x, X) \in R_{n-1})\}$  by  $D \mapsto D \cap x^\perp$ . Suppose  $D_1, D_2 \in \hat{x}$ . Then this map carries  $\lambda(D_1, D_2)$  to  $\{X : (x, X) \in R_{n-1}, X \supseteq D_1 \cap D_2 \cap x^\perp\}$ . However,  $(x, D_1 \cap D_2 \cap x^\perp) \in R_{n-2}$ . Then  $\hat{x}$ , together with its lines is isomorphic to the incidence structure whose points are the hyperplanes of  $\Pi_x (\cong PG(n, K))$  and lines are the subspaces of codimension two with inclusion as incidence. This is of course a projective space of rank  $n$  over  $K$  as claimed.

(4.21) LEMMA. Suppose  $x \notin D \in \overline{P}$ . Then  $\hat{x} \cap \Delta(D)$  is a hyperplane of  $\hat{x}$ .

PROOF. We know  $D \cap x^\perp \neq \emptyset$ . Since  $D$  is geodesically closed,  $x^\perp \cap D \in \underline{\text{Sing}}$ . Let  $y \in D \cap x^\perp$ ,  $\pi_y(D)$  the hyperplane of  $\pi_y$  underlying  $L_y(D)$ . The line which  $xy$  is identified with meets  $\pi_y(D)$ . Then  $\Gamma_y(xy) \cap L_y(D)$  is a singular subspace of  $L_y$  of rank  $n - 2$  and therefore  $\text{rk}(D \cap x^\perp \cap y^\perp) = n - 1$ . Since  $y \in D \cap x^\perp \in \underline{\text{Sing}}$ ,  $D \cap x^\perp = D \cap x^\perp \cap y^\perp$ . Set  $N = D \cap x^\perp$ .  $\text{rk}(\langle N, x \rangle) = n$ , and so  $M = \langle N, x \rangle \in P^+ = {}_n P$ . Then  $L_x(M)$  is a maximal singular subspace of rank  $n - 1$  and consists of all lines of  $\Pi_x$  lying on a point  $\Pi_D$  of  $\Pi_x$ . Now suppose  $D' \in \hat{x}$  and  $D \cap D' \neq \emptyset$ . Then  $D \cap D' \in \overline{P}_{n-2}$  and  $x \in D' - (D \cap D')$ . By the above  $K = D \cap x^\perp \in {}_{n-2} P$  and  $\text{rk}(\langle P \cap D' \cap x^\perp, x \rangle) = n - 1$ . Set  $K = \langle D \cap D' \cap x^\perp, x \rangle$ ,  $L_x(K)$  is a singular subspace of  $L_x$  of rank  $n - 2$ . If  $\Pi_x(D')$  is the hyperplane of  $\Pi_x$  corresponding to  $L_x(D')$ , then  $\Pi_x(D')$  contain  $\Pi_D$ . It now follows that  $\Delta(D) \cap \hat{x} = \{D' \in \hat{x} : \Pi_x(D') \supseteq \Pi_D\}$  and this is a hyperplane of  $\hat{x}$ .

The next two results finish the proof.

(4.22) PROPOSITION.  $(\bar{P}, \bar{L})$  is a thick, non-degenerate polar space,  $D_{n+1}(K)$ .

PROOF. Clearly  $(\bar{P}, \bar{L})$  is thick. Let  $\lambda = \lambda(D_1, D_2) \in \bar{L}$ ,  $D \in \bar{P}$ . Let  $x \in D_1 \cap D_2$ . If  $x \in D$ , then  $\lambda \subseteq \Delta(D)$ , so assume  $x \notin D$ . Then  $\Delta(D) \cap \tilde{x}$  is a hyperplane of  $\tilde{x}$  by (4.2), in particular either  $\lambda \subseteq \Delta(D)$  or  $|\lambda \cap \Delta(D)| = 1$ . Thus  $(\bar{P}, \bar{L})$  is a polar space. Now suppose  $D \in \bar{P}$ . If  $y \in D$ , then  $L_y(D) \cong A_{n-1,2}(K)$ . Since  $L_y \cong A_{n,2}(K)$ ,  $y^\perp \not\subseteq D$ , so  $D \neq P$ . If  $x \in P - D$ , then by (4.21)  $\tilde{x} \not\subseteq \Delta(D)$ , so  $D \notin \text{Rad}(\bar{P})$  and as  $D$  was arbitrary,  $\text{Rad}(\bar{P}) = \emptyset$ . Also by (4.21),  $\tilde{x}$  is a maximal singular subspace of  $(\bar{P}, \bar{L})$  and so by (4.20),  $\text{rk}(\bar{P}, \bar{L}) = n+1$ . To see that this is of type D it suffices to show that the residue at a point  $D$  of  $\bar{P}, \bar{L}_D$ , is of type D. The map

$$\lambda \longmapsto \bigcap_{D' \in \lambda} D' \text{ from } \bar{L}_D \text{ to } \bar{P}_{n-1}(D) \text{ is a bijective morphism}$$

(lines of  $\bar{L}_D$  go to  $\bar{P}_{n-2}(D)$ , and the latter is a polar space  $D_n(K)$ ). This completes the proposition.

THEOREM.  $(P, L) \cong D_{n+1, n+1}(K)$

PROOF. The map  $x \mapsto \hat{x}$  is a map from  $P$  onto a subset of the maximal singular subspaces of  $(\bar{P}, \bar{L})$ . Now if  $\ell \in L_x$ , then  $\ell = \bigcap_{y \in \ell} \hat{y}$  is easily seen to have rank  $k-1$  by passing to  $L_x(D \in \hat{\ell}$  if and only if the hyperplane  $\pi_x(D)$  contains the line "xy" of  $\pi_x$ ). From this it follows that  $\{\hat{x} : \hat{x} \in P\}$  is contained in a single class and  $y \in x^\perp$  if and only if  $\text{rk}(\hat{x} \cap \hat{y}) = \text{rk}(\hat{x}) - 2 = \text{rk}(\hat{y}) - 2$ . Since  $L_x \cong A_{n,2}(K)$  it follows that  $\{\hat{x} : x \in P\}$  is an entire class and the proof is complete.

## 5. NEAR 2n-Gons

In this section we recall the definition of a near 2n-gons as introduced by SHULT and YANUSHKA [8], and some related notions.

(5.1) DEFINITION. An incidence structure  $(P, L)$  with point-graph  $(P, \Delta)$  and metric  $d(\cdot, \cdot) = d_\Delta(\cdot, \cdot)$  is a near 2n-gon if  $(P, \Delta)$  is connected with diameter  $n$  and for any pair  $(x, \ell) \in P \times L$  with  $d(x, \ell) = t$ , there is a unique  $y \in \ell$  with  $d(x, y) = t$ .

$y \in \ell$  with  $d(x,y) = t$ .

(5.2) REMARK. If  $(P,\Delta)$  is a bipartite graph, then  $(P,\Delta)$  is a near  $2n$ -gon for some  $n$ . In this case lines all have two points. Conversely, a near  $2n$ -gon with two points on each line is bipartite graph. We will refer to such near- $2n$ -gons as thin.

(5.3) NOTATION. For  $x \in P$ ,  $\Delta(x)$  is as usual and  $x^\perp = \Delta(x) \cup \{x\}$ .

(5.4) DEFINITION. A subset  $X$  of  $P$  is 2-closed if, whenever  $x, y \in X, d(x,y) = 2$ , then  $x^\perp \cap y^\perp \subseteq X$ .

(5.5) DEFINITION. In a near  $2n$ -gon, a quad is a subset  $Q$  of  $P$  satisfying

- (i)  $Q$  is 2-closed
- (ii)  $\text{diam}(Q, \Delta|_Q) = 2$
- (iii)  $Q$  contains an ordinary quadrangle

Note a quad, together with its lines is a generalized quadrangle.

(5.6) DEFINITION. (i) In a near  $2n$ -gon  $(P,L)$  we say quads exists if whenever  $d(x,y) = 2$  there exists a quad containing  $x$  and  $y$ .

(ii) Let  $x \in P$ ,  $Q$  a quad of  $(P,L)$ . The pair  $(x,Q)$  is classical if there is a unique point  $y \in Q$  with  $d(x,Q) = d(x,y) = d$  and  $\{z \in Q : d(x,z) = d+1\} = Q \cap y^\perp$ .

(5.7) DEFINITION. A dual polar space is the incidence structure whose points are the maximal isotropic (singular) subspaces of a non-degenerate polar space and whose lines are the next to maximal isotropic subspaces.

Note when the polar space is of type  $D_n$  the near  $2n$ -gon is thin.

Cameron has the following characterization of dual polar spaces [9].

(5.8) THEOREM. *An incidence structure  $(P,L)$  is a dual polar space of rank  $n$  if and only if the following hold*

- (i)  $(P,L)$  is a near  $2n$ -gon;
- (ii) quads exist;
- (iii) every point-quad pair is classical.

We give a proof of this in the case that  $(P, L)$  is thin using our main theorem. More precisely we prove.

(5.9) THEOREM. Let  $(P, \Delta)$  be a connected bipartite graph of diameter  $n \geq 3$ .

Further assume

- (i) If  $d(x, y) = 2$ , then  $|x^\perp \cap y^\perp| > 2$ ;
- (ii) In the near  $2n$ -gon  $(P, \Delta)$  quads exist and all point-quad pairs are classical.

Then one of the following occurs

- (i)  $n = 3$ , there is a skew field  $K$  such that  $(P, \Delta)$  is the dual polar space of type  $D_3(K)$ ; or
- (ii)  $n \geq 4$ , there is a field  $K$  such that  $(P, \Delta)$  is the dual polar space of type  $D_n(K)$ .

## 6. CHARACTERIZATION OF THIN CLASSICAL NEAR $2n$ -GONS

As usual  $\Delta_i(x) = \{y : d(x, y) = i\}$ . Let  $P = P_1 \cup P_2$  be the partition of  $P$  as the connected components of  $\Delta_2$ . If  $x, y \in P_i$  and  $d(x, y) = 2$ , then there is a unique quad on  $x$  and  $y$  which we denote by  $Q(x, y)$ . Let  $\mathcal{Q}$  be the collection of quads.

6.A. In this subsection we assume  $n = 3$  and show conclusion (i) if (5.8) holds

(6.1) LEMMA. Suppose  $Q_1, Q_2 \in \mathcal{Q}$ ,  $Q_1 \neq Q_2$  and  $Q_1 \cap Q_2 \neq \emptyset$ . Then  $Q_1 \cap Q_2 \in \Delta$ .

PROOF. Let  $x \in Q_1 \cap Q_2$ . Suppose  $x \in P_1$ . Choose  $u_i \in Q_i \cap \Delta_2(x) = Q_i \cap P_1$ . Then  $d(u_1, u_2) = 2$ . Set  $Q = Q(u_1, u_2)$ . Now  $x \notin Q$  for otherwise  $Q = Q_1 = Q_2$ . Therefore, the unique point  $v \in Q$  with  $d(v, x) = d(Q, x)$  is in  $P_2$  and  $d(v, x) = 1$ . Then  $v \in x^\perp \cap u_i^\perp \subseteq Q_i$  and  $\{x, v\} \in \Delta$ . If  $Q_1 \cap Q_2 \not\supseteq \{x, v\}$ , then either  $|Q_1 \cap Q_2 \cap P_1| > 1$  or  $|Q_1 \cap Q_2 \cap P_2| > 1$ . In either case we get  $Q_1 = Q_2$ , a contradiction.

We shall for the remainder of this subsection say two distinct quads are "collinear" if they meet. If  $Q_1, Q_2$  are collinear, let  $\lambda(Q_1, Q_2) = \{Q \in \mathcal{Q} : Q \supseteq Q_1 \cap Q_2\}$ . Let  $\Lambda = \{\lambda(Q_1, Q_2) : Q_1 \neq Q_2 \in \mathcal{Q}, Q_1 \cap Q_2 \neq \emptyset\}$ . We immediately have

(6.2) LEMMA.  $(Q, \Lambda)$  is a partial linear space.

Note that lines are in one-to-one correspondence with edges in  $\Delta$ . For such an edge,  $\{x, a\}$ , we will write  $\lambda\{x, a\}$  for the corresponding line. The next lemma gives a concrete description of this line.

(6.3) LEMMA. In  $\{x, a\} \in \Delta$ ,  $\lambda\{x, a\} = \{Q(x, y) \mid y \in \Delta(a) - \{x\}\}$

PROOF. If  $y \in \Delta(a)$ ,  $y \neq x$ , then  $Q(x, y) \supseteq \{x, a\}$  and  $Q(x, y) \in \lambda\{x, a\}$ . On the other hand, if  $Q \in \lambda\{x, a\}$ , then for any  $y \in Q \cap \Delta_2(x)$ ,  $y \in \Delta(a)$  and  $Q = Q(x, y)$ .

(6.4) PROPOSITION.  $(Q, \Lambda)$  is a polar space of type  $D_3$ .

PROOF. First we show  $(Q, \Lambda)$  is a gamma space: let  $\lambda = \lambda\{x, a\}$  for  $\{x, a\} \in \Delta$  and  $Q \in \mathcal{Q}$ . If  $Q \cap \{x, a\} \neq \emptyset$ , then  $Q$  is collinear with each point of  $\lambda$  so we may assume  $Q \cap \{x, a\} = \emptyset$ . We show in this case  $Q$  is collinear with at most one point of  $\lambda$ . Suppose  $Q \in \lambda$ ,  $Q \cap Q_1 \neq \emptyset$ . Let  $Q \cap Q_1 = \{y, b\}$  where  $\{a, y\}, \{b, x\} \in \Delta$ . Suppose that  $Q_1 \neq Q_2 \in \lambda$ . Then  $y \notin Q_2$ , but  $a \in Q_2 \cap \Delta(y)$ . If  $Q \cap Q_2 \neq \emptyset$ , then  $Q_2 \cap \Delta(y) \in Q$ . Since  $a \in Q$  we cannot have  $Q \cap Q_2 \neq \emptyset$  as asserted. Thus  $(Q, \Lambda)$  is a gamma space. Now consider a line  $\lambda = \lambda\{x, a\}$  and a point  $Q \in \mathcal{Q} \setminus \lambda$ . Since  $\text{diam}(P, \Gamma) = 3$ ,  $Q \cap \Delta(a) \neq \emptyset$ . By (6.3) this implies  $Q$  is collinear with some point of  $\lambda$  and consequently  $(Q, \Lambda)$  is a polar space. Since the induced structure on the lines of  $(Q, \Lambda)$  contains a fixed  $Q$  is isomorphic to the dual of  $Q$  it follows from TITS [5]  $(Q, \Gamma) \cong D_3(K)$ ,  $K$  a

Now it is obvious to see that for  $x \in P$ ,  $\hat{x} = \{Q \in \mathcal{Q} : x \in Q\}$  is a maximal singular subspace of the polar space  $(Q, \Lambda)$ . The result in this case follows.

6.B. Henceforth assume  $n \geq 4$ . Set  $P = P_1$  and  $\Gamma = \Delta_2 \upharpoonright P$ .

(6.5) NOTATION. If  $x, y \in P$ ,  $d(x, y) = 2$  (so  $d_\Gamma(x, y) = 1$ ), set  $xy = Q(x, y) \cap P$ . Set  $L = \{xy : x, y \in P, d_\Gamma(x, -) = 1\}$ . For  $x \in P$ ,  $x^* = \Gamma(x) \cup \{x\}$ .

(6.6) LEMMA.  $(P, Q)$  is a strong  $\Gamma$ -space.

PROOF. Let  $x, y, z \in P$  with  $y \in \Gamma(x)$ ,  $x, y \in \Gamma_d(z)$ . Set  $Q = Q(x, y)$ . Let  $a \in Q$



$d_{\Delta}(z, Q) = d_{\Delta}(z, a)$ . If  $a \in P$ , then  $d_{\Delta}(z, x) - 2 = 2d - 2$ . In this case  $\{a\} = \ell \cap \Gamma_{d-1}(z)$ . If  $a \in P_2$ , then  $d_{\Delta}(z, a) = 2d - 1$  and  $xy = P \cap Q = P \cap \Delta(a) \subseteq \Delta_{2d}(z) = \Gamma_d(z)$ , and so in this case  $xy \subseteq \Gamma_d(z)$ .

(6.7) **LEMMA.** *Let  $\ell \in L$ ,  $x \in P$  and  $\ell \subseteq \Gamma_d(x)$  with  $d \geq 2$ . Then  $\ell^* \cap \Gamma_{d-1}(x)$  is a non-empty singular subspace of  $(P, \cdot)$ . ( $\ell^* = \bigcap_{y \in \ell} y^*$ ).*

**PROOF.** Note, if  $a \in P_2$ , then  $\Delta(a)$  is a singular subspace of  $(P, L)$ . By definition of quads, there is a unique  $Q \in L$ ,  $Q \supseteq \ell$ , which we denote by  $Q(\ell)$ . Let  $a \in Q$  such that  $d_{\Delta}(x, a) = d_{\Delta}(x, Q)$ . Since  $\ell \subseteq \Gamma_d(x) = \Delta_{2d}(x)$ ,  $a \in P_2$ . Therefore  $d_{\Delta}(a, x) = 2d - 1$ . Choose  $y \in \Delta(a) \cap \Delta_{2d-2}(x)$ . Then  $y \in \ell^*$  since  $y, \ell \subseteq \Delta(a)$ . Also  $y \in \Gamma_{d-1}(x)$ , so  $\Gamma_{d-1}(x) \cap \ell^* \neq \emptyset$ .

We next show for any  $y \in \Gamma_{d-1}(x) \cap \ell^*$  that  $y \in \Delta(a)$  which will prove  $\Gamma_{d-1}(x) \cap \ell^*$  is a  $\Gamma$ -clique by our first remark. Let  $u, v \in \ell$ . Consider  $Q(y, u)$ . Now  $\Delta(y) \cap \Delta(u) \subseteq \Delta_{2d-1}(x)$ . If  $v \in Q(y, u)$ , then  $Q(y, u) = Q(u, v) = Q(\ell)$  contradicting  $d_{\Delta}(x, y) = 2d - 2$  and  $Q \cap P \subseteq \Gamma_d(x)$ . Therefore  $d_{\Delta}(Q(y, u), v) \geq 1$ . But  $d_{\Delta}(y, v) = d_{\Delta}(y, u) = 2$  and so it follows that if  $b$  is the unique point of  $Q(x, u)$  closest to  $v$ , then  $b \in P_2$  and  $d_{\Delta}(b, v) = 1$ .

Since  $b \in \Delta(y)$ ,  $d_{\Delta}(b, x) \leq 2d - 1$ . Since  $b \in \Delta(u) \cap \Delta(v)$ ,  $b \in Q(u, v) = Q$ . But  $Q \cap \Delta_{2d-1}(x) = \{a\}$ , so  $b = a$ . Since  $(P, Q)$  is a strong  $\Gamma$ -space  $\Gamma_{d-1}(x) \cap \ell^*$  is a subspace and the lemma is proved.

(6.8) **LEMMA.** *Let  $x, y \in P$ ,  $d_{\Gamma}(x, y) = 2$ ,  $z \in \Gamma(x) \cap \Gamma(y)$ . Then there exists  $v \in \Gamma(x) \cap \Gamma(y) \cap \Gamma_2(z)$ .*

**PROOF.** Let  $a \in P_2 \cap Q(x, z)$ ,  $b \in P_2 \cap Q(y, z)$ . As  $d_{\Gamma}(x, y) = 2$ ,  $a \neq b$ . Since  $z \in \Delta(a) \cap \Delta(b)$  we have  $d_{\Delta}(a, b) = 2$  and  $z \in Q(a, b)$ . Let  $u \in Q(a, b) \cap P$ ,  $u \neq z$ .  $z \notin Q(x, y) \cap Q(y, u)$ . For if  $z \in Q(x, y) \cap Q(y, u)$ , then  $Q(x, y) = Q(z, u) = Q(y, u)$ . Thus  $d_{\Gamma}(x, y) = 1$ , a contradiction. Now  $P \cap Q(x, y)$ ,  $P \cap Q(y, u) \subseteq \Gamma(z)$ . It follows that there is a unique  $a_1, b_1$  in  $Q(x, u) \cap \Delta(z)$ ,  $Q(y, u) \cap \Delta(z)$ , respectively, namely  $a$  and  $b$ . Let  $a_2 \in \Delta(x) \cap \Delta(u)$ ,  $a_2 \neq a$  and  $b_2$  chosen similarly. Then  $a_2, b_2 \in \Delta_3(z)$ . Then  $Q(a_2, b_2) \cap \Gamma(z) = \{u\}$ . Now if  $v \in \Delta(a_2) \cap \Delta(b_2)$ ,  $v \neq u$ , then  $v \in \Gamma(x) \cap \Gamma(y) \cap \Gamma_2(z)$ .

(6.9) **LEMMA.** *Let  $\ell \in Q$ ,  $x \in P$  with  $\ell \subseteq \Gamma_2(x)$ . Then  $C(x, \ell) = x^* \cap \ell^*$  properly contains a line.*

PROOF. Set  $Q = Q(\ell)$ . Let  $a$  be the unique point in  $Q \cap \Delta_3(x)$ . Let  $x, b, y, a$  be a geodesic from  $x$  to  $a$ . Then  $Q(a, b) \cap P$  is a line contained in  $C(x, \ell)$ . Now let  $c \in Q(x, y) \cap P_2$ ,  $c \neq b$ . Then  $y \in Q(a, c)$  and  $Q(a, c) \neq Q(a, b)$ . Therefore  $P \cap Q(a, c) \cap Q(a, b) = \{y\}$ . But  $P \cap Q(a, c)$  is a line in  $C(x, \ell)$  and  $P \cap Q(a, c) \neq P \cap Q(a, b)$  and (6.9) is proved.

(6.10) LEMMA. *If  $x, y \in P$ ,  $d_\Gamma(x, y) = 2$ , then  $\Gamma(x) \cap \Gamma(y)$  is a polar space of rank three.*

PROOF.  $\Gamma(x) \cap \Gamma(y)$  is a  $\Gamma$ -space with thick lines. By (6.8)  $\Gamma(x) \cap \Gamma(y)$  is non-degenerate. From (6.7) it follows that  $\Gamma(x) \cap \Gamma(y)$  is a polar space.

Now let  $z \in \Gamma(x) \cap \Gamma(y)$ ,  $u \in \Gamma(x) \cap \Gamma(y) \cap \Gamma(z)$ . Since  $xz \subseteq \Gamma(u)$ ,  $yz \subseteq \Gamma(u)$ , there is a unique  $b \in Q(x, z) \cap \Delta(u)$  and a unique  $c \in Q(y, z) \cap \Delta(u)$ . Then  $u \in P \cap Q(b, c)$ . It follows that the lines on  $z$  in  $\Gamma(x) \cap \Gamma(y)$  is a grid isomorphic to  $[Q(y, z) \cap P_2] \times [Q(x, z) \cap P_2]$ . From this it follows that maximal singular subspaces of  $\Gamma(x) \cap \Gamma(y)$  are planes and  $\text{rk}(\Gamma(x) \cap \Gamma(y)) = 3$ .

We have now shown that (D1)-(D3) hold for  $(P, L)$ . Thus, either  $(P, L) \cong D_{n,n}(K)$  for some field  $K$  or  $(P, L)$  is a polar space of rank 4. However, in the latter case, by the end of 6.10 and TITS [5] we have  $(P, L) \cong D_4(K) \cong D_{4,4}(K)$ . Now the points in  $P_2$  can be identified with the maximal singular subspaces of  $(P, L)$  with projective dimension  $n-1$ . From this identification it now follows that  $P_1 \cup P_2$  can be identified with the maximal singular subspaces of an orthogonal space  $V$  of dimension  $2n(\geq 8)$  over a field  $K$ , with index  $n$ , such that two are collinear if and only if they meet in an  $(n-1)$  dimensional subspace. This completes the proof of (5.10).

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