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# Adjoint of Semigroups Acting on Vector-Valued Function Spaces

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Let  $T(t)$  be the translation group on  $Y = C_0(\mathbb{R} \times K) = C_0(\mathbb{R}) \otimes C(K)$ ,  $K$  compact Hausdorff, defined by  $T(t)f(x, y) = f(x+t, y)$ . In this paper we give several representations of the sun-dual  $Y^\odot$  corresponding to this group. Motivated by the solution of this problem, viz.  $Y^\odot = L^1(\mathbb{R}) \otimes M(K)$ , we develop a duality theory for semigroups of the form  $T_0(t) \otimes id$  on tensor products  $Z \otimes X$  of Banach spaces, where  $T_0(t)$  is a semigroup on  $Z$ . Under appropriate compactness assumptions, depending on the kind of tensor product taken, we show that the sun-dual of  $Z \otimes X$  is given by  $Z^\odot \otimes X^*$ . These results are applied to determine the sun-duals for semigroups induced on spaces of vector-valued functions, e.g.  $C_0(\Omega; X)$  and  $L^p(\mu; X)$ .

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## 0. Introduction

Suppose  $\mu$  is a complex Borel measure of bounded variation on  $\mathbb{R}$ . For  $t \in \mathbb{R}$  define the measure  $\mu_t$  by  $\mu_t(A) = \mu(A+t)$ . Then a classical theorem due to Plessner [Pl] states that  $\lim_{t \rightarrow 0} \|\mu - \mu_t\| = 0$  if and only if  $\mu \ll m$ , where  $m$  denotes the Lebesgue measure on  $\mathbb{R}$ . In section 2 of this paper we derive the following analogue of this result for vector-valued measures: let  $X$  be a Banach-space and let  $\mu$  be an  $X$ -valued Borel measure of bounded variation on  $\mathbb{R}$ , then  $\lim_{t \rightarrow 0} \|\mu - \mu_t\| = 0$  if and only if  $\mu \in L^1(\mu; X)$ . By the Radon-Nikodym theorem, the case  $X = \mathbb{C}$  reduces to Plessner's theorem.

In case  $X = Y^*$  is a dual space, this result can be restated in terms of the translation group in the following way: if  $T(t)$  denotes the translation group on  $C_0(\mathbb{R}; Y)$  then  $L^1(\mathbb{R}; Y^*)$  is the maximal space of strong continuity of the adjoint  $T^*(t)$  of  $T(t)$ . Now both  $C_0(\mathbb{R}; Y)$  and  $L^1(\mathbb{R}; Y^*)$  can be written as certain tensor products, namely  $C_0(\mathbb{R}; Y) = C_0(\mathbb{R}) \tilde{\otimes}_\epsilon Y$  and  $L^1(\mathbb{R}; Y^*) = L^1(\mathbb{R}) \tilde{\otimes}_\pi Y^*$  (the injective resp. projective tensor product), whereas the translation group on  $C_0(\mathbb{R}; Y)$  can be regarded as the tensor product  $T_0(t) \otimes id$ , with  $T_0(t)$  denoting translation on  $C_0(\mathbb{R})$ . This suggests the following question:

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Given two Banach spaces  $Z, X$ , a strongly continuous semigroup  $T_0(t)$  on  $Z$ , with  $Z^\odot$  the maximal space of strong continuity of  $T_0^*(t)$ , when is it true that we have a formula like  $(Z \otimes X)^\odot = Z^\odot \otimes X^*$ ?

Here  $(Z \otimes X)^\odot$  is the maximal space of strong continuity of the adjoint of the induced semigroup  $T_0(t) \otimes id$  on  $Z \otimes X$ . This question will be addressed in section 3 for the injective- and projective tensor product. These results can be applied to the vector-valued function spaces  $L^1(\mu; X)$  and  $C_0(\Omega; X)$ . In order to treat also  $L^p(\mu; X)$  for  $1 < p < \infty$  we study in section 4 the  $l$ -tensor product.

## 1. Adjoint semigroups

In this section we will recall some of the standard results on adjoint semigroups. Proofs can be found in [BB, P]. Let  $\{T_0(t)\}_{t \geq 0}$  (briefly,  $T_0(t)$ ) be a  $C_0$ -semigroup on a Banach space  $X$ . The *adjoint*  $T_0^*(t)$  of  $T_0(t)$  is the semigroup on  $X^*$  defined by  $T_0^*(t) := (T_0(t))^*$ . From

$$|\langle T_0^*(t)x^* - T_0^*(s)x^*, x \rangle| \leq \|x^*\| \|T_0(t)x - T_0(s)x\|$$

one sees that the map  $t \mapsto T_0^*(t)x^*$  is weak\*-continuous for every  $x^* \in X^*$ . Hence if  $X$  is reflexive, then  $T_0^*(t)$  is weakly continuous and therefore strongly continuous. However in general  $T_0^*(t)$  is not strongly continuous and it makes sense to define the sun-dual  $X^\odot$  as the maximal subspace of  $X^*$  on which  $T_0^*(t)$  acts strongly continuous:

$$X^\odot = \{x^* \in X^* : \lim_{t \downarrow 0} \|T_0^*(t)x^* - x^*\| = 0\}.$$

$X^\odot$  is a norm-closed, weak\*-dense subspace of  $X^*$ . In fact, one has

$$X^\odot = \overline{D(A_0^*)},$$

where  $A_0^*$  is the adjoint of the generator  $A_0$  of  $T_0(t)$ ; the closure is taken with respect to the norm-topology of  $X^*$ . Letting  $R(\lambda, A_0) = (\lambda - A_0)^{-1}$  be the resolvent of  $T_0(t)$ , then  $R(\lambda, A_0^*) = R(\lambda, A_0)^*$  and  $D(A_0^*) = R(\lambda, A_0^*)X^*$ . Clearly  $X^\odot$  is invariant under  $T_0^*(t)$ . By restricting  $T_0^*(t)$  to  $X^\odot$  one obtains a strongly continuous semigroup on  $X^\odot$ , which we will denote  $T_0^\odot(t)$ . Let  $A_0^\odot$  be its generator, then one can show that  $A_0^\odot$  is precisely the part of  $A_0^*$  in  $X^\odot$ .

**Proposition 1.1.** *Let  $k \geq 1$  and  $\lambda \in \varrho(A_0)$ . Then  $X^\odot = \overline{R(\lambda, A_0^*)^k X^*}$ .*

In fact,  $R(\lambda, A_0^*)^k X^* = D((A_0^*)^k) \supset D((A_0^\odot)^k)$  and the latter is norm-dense in  $X^\odot$  since  $A_0^\odot$  is a generator on  $X^\odot$ .

Starting from  $T_0^\odot(t)$  one can repeat the duality construction and define  $T_0^{\odot*}(t)$  and  $X^{\odot\odot} = (X^\odot)^\odot$ . The canonical map  $j : X \rightarrow X^{\odot\odot}$ ,

$$\langle jx, x^\odot \rangle := \langle x^\odot, x \rangle$$

is an embedding mapping  $X$  into  $X^{\odot\odot}$ . In case  $jX = X^{\odot\odot}$  we say that  $X$  is *sun-reflexive with respect to  $T_0(t)$* . It is well-known that this is the case if and only if  $R(\lambda, A_0)$  is weakly compact [Pa2].

The spectra of  $A_0, A_0^*$  and  $A_0^\odot$  coincide, see e.g. [Na, A-III]. This will be used throughout this paper, as well as more or less obvious identities like  $R(\lambda, A_0)^* x^\odot = R(\lambda, A_0^\odot) x^\odot$  ( $x^\odot \in X^\odot$ ), etc.

## 2. Translation in $C_0(\mathbb{R}; X)$

Let  $X$  be a Banach space. On  $C_0(\mathbb{R}; X)$  the translation group  $T(t)$  is defined by

$$T(t)f(s) = f(t + s), \quad t \in \mathbb{R}.$$

In this section we prove in two different ways that the sun-dual on  $C_0(\mathbb{R}; X)$  with respect to  $T(t)$  is given by  $L^1(\mathbb{R}; X^*)$ .

Let  $M(\mathbb{R}; X)$  denote the Banach space of all countably additive  $X$ -valued vector measures of bounded variation [DU]. If  $X$  is the scalar field we simply write  $M(\mathbb{R})$ . For  $\mu \in M(\mathbb{R}; X)$  its variation  $|\mu| \in M(\mathbb{R})$  is defined by

$$|\mu|(E) := \sup_{\pi} \left\{ \sum_{A \in \pi} \|\mu(E \cap A)\| \right\},$$

where the supremum is taken over all partitions  $\pi$  of  $\mathbb{R}$  into finitely many disjoint subsets. If  $\mu \in M(\mathbb{R}; X)$  then  $|\mu|$  is a finite positive measure in  $M(\mathbb{R})$ .

It is well-known (see [DU, p. 181-182]) that the dual of  $C_0(\mathbb{R}; X)$  may be identified with  $M(\mathbb{R}; X^*)$  and we have

$$\left\| \int_{\mathbb{R}} f \, d\mu \right\| \leq \int_{\mathbb{R}} \|f\| \, d|\mu|, \quad f \in C_0(\mathbb{R}; X), \mu \in M(\mathbb{R}; X^*).$$

The space  $L^1(\mathbb{R}; X)$  can be identified with a closed subspace of  $M(\mathbb{R}; X)$  in the following way: for  $h \in L^1(\mathbb{R}; X)$  define  $\mu_h \in M(\mathbb{R}; X)$  by

$$\mu_h(E) := \int_E h \, d\mu.$$

**Lemma 2.1.** Suppose  $\mu \in M(\mathbb{R}; X)$  and  $f \in C(\mathbb{R})$  with  $\lim_{t \rightarrow -\infty} f(t) = 0$ . Define

$$F(r) := \int_{-\infty}^r f(s) \, d\mu(s).$$

Then  $F$  is strongly measurable.

*Proof:* In order to apply Pettis' measurability theorem [DS], we must show that (i)  $F$  is weakly measurable, and (ii)  $F$  is essentially separably-valued.

To prove (i) first let  $m$  be a measure in  $M(\mathbb{R})$ . Then  $\tilde{F}$  defined by

$$\tilde{F}(r) := \int_{-\infty}^r f(s) \, dm(s)$$

is measurable. (To see this, we may assume that  $\mu$  and  $f$  are real-valued, split  $f = f_+ - f_-$  and  $m = m_+ - m_-$  and note that if  $f$  and  $m$  are positive then  $\tilde{F}$  is monotone, hence measurable). Using this we see that for any  $x^* \in X^*$  the function

$$r \mapsto \langle x^*, F(r) \rangle = \int_{-\infty}^r f(s) \, d\langle x^*, \mu \rangle(s)$$

is measurable. This proves (i).

To prove (ii) define

$$F_1(r) := \int_{-\infty}^r |f(s)| \, d|\mu|(s).$$

Since  $F_1$  is monotone,  $F_1$  is continuous except at a countable set  $E$ . For  $r_0 \notin E$ ,  $r \in \mathbb{R}$  we have

$$\|F(r) - F(r_0)\| = \left\| \int_{r_0}^r f(s) \, d\mu(s) \right\| \leq \int_{r_0}^r |f(s)| \, d|\mu|(s) = |F_1(r) - F_1(r_0)|.$$

From this it follows that  $F$  is continuous as well on  $\mathbb{R} \setminus E$ . Since moreover  $\mathbb{R} \setminus E$  is separable it follows that  $F(\mathbb{R} \setminus E)$  is separable. This proves (ii). ////

**Theorem 2.2.** *If  $T(t)$  is the translation group on  $C_0(\mathbb{R}; X)$  then  $C_0(\mathbb{R}; X)^\odot = L^1(\mathbb{R}; X^*)$ .*

*Proof:* First we prove that  $L^1(\mathbb{R}; X^*) \subset C_0(\mathbb{R}; X)^\odot$ . Let  $x^* \in X^*$  and  $f \in L^1(\mathbb{R})$ . Define  $f \otimes x^* \in L^1(\mathbb{R}; X^*)$  by

$$(f \otimes x^*)(s) = f(s)x^*.$$

Since translation is continuous on  $L^1(\mathbb{R})$  it is clear that  $f \otimes x^* \in C_0(\mathbb{R}; X)^\odot$ . Since the linear span of such functions is dense in  $L^1(\mathbb{R}; X^*)$ , the inclusion  $L^1(\mathbb{R}; X^*) \subset C_0(\mathbb{R}; X)^\odot$  follows. We now prove the reverse inclusion. Let  $A$  be the generator of  $T(t)$ . Since  $C_0(\mathbb{R}; X)^\odot = \overline{D(A^*)}$  it suffices to prove the inclusion  $R(\lambda, A^*)M(\mathbb{R}; X^*) \subset L^1(\mathbb{R}; X^*)$ . For  $f \in C_0(\mathbb{R}; X)$ ,  $\mu \in M(\mathbb{R}; X^*)$  we have

$$\begin{aligned} \langle R(\lambda, A^*)\mu, f \rangle &= \langle \mu, R(\lambda, A)f \rangle = \int_{\mathbb{R}} \int_0^\infty e^{-\lambda t} f(s+t) dt d\mu(s) \\ &= \int_{\mathbb{R}} \int_s^\infty e^{\lambda(s-t)} f(t) dt d\mu(s) \\ &= \int_{\mathbb{R}} \int_{-\infty}^t e^{\lambda(s-t)} f(t) d\mu(s) dt \\ &= \int_{\mathbb{R}} f(t) F(t) dt, \end{aligned}$$

where

$$F(t) := e^{-\lambda t} \int_{-\infty}^t e^{\lambda s} d\mu(s).$$

We will show that  $F \in L^1(\mathbb{R}; X^*)$ . By Lemma 2.1,  $F$  is strongly measurable. But then we have

$$\begin{aligned} \left\| \int_{\mathbb{R}} F(t) dt \right\| &\leq \int_{\mathbb{R}} \|F(t)\| dt \\ &= \int_{\mathbb{R}} e^{-\lambda t} \left\| \int_{-\infty}^t e^{\lambda s} d\mu(s) \right\| dt \\ &\leq \int_{\mathbb{R}} \left[ \int_s^\infty e^{\lambda(s-t)} dt \right] d|\mu|(s) \\ &= \frac{1}{\lambda} |\mu|(\mathbb{R}) < \infty. \end{aligned}$$

This proves that  $F \in L^1(\mathbb{R}; X^*)$ . But since we had

$$\langle R(\lambda, A^*)\mu, f \rangle = \int_{\mathbb{R}} f(t) F(t) dt$$

for all  $f$  it is clear that  $F = R(\lambda, A^*)\mu$  and the proof is finished. ////

For  $\mu \in M(\mathbb{R}; X)$  and  $t \in \mathbb{R}$  we define  $\mu_t \in M(\mathbb{R}; X)$  by  $\mu_t(E) = \mu(E+t)$ , where  $E \subset \mathbb{R}$  is measurable. According to Theorem 2.2 we have, in case  $X$  is a dual space, that  $\|\mu_t - \mu\| \rightarrow 0$  as  $t \rightarrow 0$  if and only if  $\mu \in L^1(\mathbb{R}; X)$ . This easily extends to the case where  $X$  is an arbitrary Banach space.

**Corollary 2.3.** *Let  $\mu \in M(\mathbb{R}; X)$ . Then  $\lim_{t \rightarrow 0} \|\mu_t - \mu\| = 0$  if and only if  $\mu \in L^1(\mathbb{R}; X)$ .*

*Proof:* Suppose  $\|\mu_t - \mu\| \rightarrow 0$ . Regarding  $\mu$  as an  $X^{**}$ -valued vector measure, it follows from Theorem 2.2 that  $\mu \in L^1(\mathbb{R}; X^{**})$ . But since  $\mu$  takes its values in  $X$ , the same must be true for the density function  $h_\mu$  representing  $\mu$ . In fact, by the Lebesgue differentiation theorem [DU, Thm II.2.9] we have for almost all  $s$ ,

$$h_\mu(s) = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \int_s^{s+\epsilon} h_\mu(\tau) d\tau = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \mu(s, s + \epsilon).$$

Since  $\mu(s, s + \epsilon) \in X$  for all  $\epsilon$  it follows that  $h_\mu$  is  $X$ -valued. The converse assertion is clear.   
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In the scalar case it is well-known that  $C_0(\mathbb{R})^{\odot\odot} = BUC(\mathbb{R})$ , the Banach space of bounded, uniformly continuous functions on  $\mathbb{R}$ . As might be expected, in the vector-valued case we get  $C_0(\mathbb{R}; X)^{\odot\odot} = BUC(\mathbb{R}; X^{**})$ . This follows from Theorem 3.11 below.

We will now investigate the special case of Theorem 2.2 where  $X = C(K)$  with  $K$  compact Hausdorff (or  $X = C_0(\Omega)$  with  $\Omega$  locally compact Hausdorff). We have  $C_0(\mathbb{R}; C(K)) \simeq C_0(\mathbb{R} \times K)$ . The following lemma is more or less standard.

**Lemma 2.4.** *Suppose  $B \subset M(K)$  is separable. Then there is a positive  $\mu \in M(K)$  such that  $\nu \ll \mu$  for all  $\nu \in B$ .*

*Proof:* Let  $(\nu_n)$  be a dense sequence in  $B$  and define

$$\mu := \sum_{n=1}^{\infty} \frac{|\nu_n|}{2^n \|\nu_n\|}.$$

Then  $\nu_n \ll \mu$  for all  $n$ , so by closure also  $\nu \ll \mu$  for all  $\nu \in B$ . ////

Identifying  $C_0(\mathbb{R}; C(K))$  with  $C_0(\mathbb{R} \times K)$  the translation group from above is given by

$$T(t)f(x, y) = f(x + t, y).$$

The following result gives an alternative representation of the sun-dual of  $C_0(\mathbb{R} \times K)$  with respect to this group. Lebesgue measure on  $\mathbb{R}$  will be denoted by  $m$ ;  $\mu_1 \otimes \mu_2$  denotes the product measure of two measures  $\mu_1, \mu_2$ .

**Theorem 2.5.**  $C_0(\mathbb{R} \times K)^{\odot} = \bigcup_{0 \leq \mu \in M(K)} L^1(\mathbb{R} \times K, m \otimes \mu)$ .

*Proof:* By Theorem 2.2 we have  $C_0(\mathbb{R} \times K)^{\odot} = L^1(\mathbb{R}; M(K))$ . But any  $f \in L^1(\mathbb{R}; M(K))$  is essentially separably valued. Therefore without loss of generality we may assume that  $\{f(t) : t \in \mathbb{R}\}$  is a separable subset of  $M(K)$ . By Lemma 2.4 there is a positive  $\mu \in M(K)$  such that  $f(t) \ll \mu$  for all  $f$ . By the Radon-Nikodym theorem we may regard  $f$  as an element of  $L^1(\mathbb{R}; L^1(K, \mu))$ . By the Fubini theorem, the latter is isometric to  $L^1(\mathbb{R} \times K, m \otimes \mu)$ . This proves the inclusion  $\subset$ . For the reverse inclusion, let  $\mu \geq 0$  and pick  $f \in L^1(\mathbb{R} \times K, m \otimes \mu)$ . Approximate  $f$  by a compactly supported  $\tilde{f}$  in  $C(\mathbb{R} \times K)$  and note that translation of  $\tilde{f}$  is continuous in the  $L^1$ -norm. ////

By Theorem 2.5, any  $\nu \in C_0(\mathbb{R} \times K)^{\odot}$  belongs to some  $L^1(\mathbb{R} \times K, m \otimes \mu)$  with  $\mu \geq 0$ . We will now give an explicit description of a possible choice for  $\mu$ . For  $\nu \in M(\mathbb{R} \times K)$  positive, define  $\pi\nu \in M(K)$  by  $\pi\nu(F) := \nu(\mathbb{R} \times F)$ . Then for  $f \in C(K)$  we have

$$\int_K f(y) d\pi\nu(y) = \int_K \int_{\mathbb{R}} f(y) d\nu(x, y).$$

We need the following lemma.

**Lemma 2.6.** Let  $\lambda$ ,  $\mu$  and  $\nu$  be positive measures in  $M(\mathbb{R})$ ,  $M(K)$  and  $M(\mathbb{R} \times K)$  respectively. If  $\nu \ll \lambda \otimes \mu$  then  $\nu \ll \lambda \otimes \pi\nu$ .

*Proof:* By assumption there is an  $h \in L^1(\mathbb{R} \times K, \lambda \otimes \mu)$ ,  $h \geq 0$  a.e., such that  $d\nu = h d(\lambda \otimes \mu)$ . Define

$$K_0 := \{y \in K : \int_{\mathbb{R}} h(x, y) d\lambda(x) = 0\};$$

$$K_1 := \{y \in K : \int_{\mathbb{R}} h(x, y) d\lambda(x) > 0\}.$$

By the Fubini theorem,

$$\nu(\mathbb{R} \times K_0) = \int_{K_0} \int_{\mathbb{R}} h(x, y) d\lambda d\mu = 0.$$

Now suppose  $(\lambda \otimes \pi\nu)(A) = 0$ . We have to show that  $\nu(A) = 0$ . But we have

$$\begin{aligned} 0 &= (\lambda \otimes \pi\nu)(A) = \int_K \int_{\mathbb{R}} \chi_A(x, y) d\lambda(x) d(\pi\nu)(y) \\ &= \int_K \int_{\mathbb{R}} \int_{\mathbb{R}} \chi_A(x, y) h(z, y) d\lambda(x) d\lambda(z) d\mu(y) \\ &= \int_K \int_{\mathbb{R}} \chi_A(x, y) \left( \int_{\mathbb{R}} h(z, y) d\lambda(z) \right) d\lambda(x) d\mu(y) \\ &= \int_{K_1} \int_{\mathbb{R}} \chi_A(x, y) \left( \int_{\mathbb{R}} h(z, y) d\lambda(z) \right) d\lambda(x) d\mu(y) \end{aligned}$$

Since  $\int_{\mathbb{R}} h(z, y) d\lambda(z) > 0$  for  $y \in K_1$ , we see that  $A \cap (\mathbb{R} \times K_1)$  is a  $\lambda \otimes \mu$ -null set, hence also a  $\nu$ -null set (since by assumption  $\nu \ll \lambda \otimes \mu$ ). Therefore  $A \subset (A \cap (\mathbb{R} \times K_1)) \cup (\mathbb{R} \times K_0)$  is a  $\nu$ -null set. ////

Combination of Theorem 2.5 and Lemma 2.6 gives the following intrinsic characterisation of those  $\nu$  belonging to  $C_0(\mathbb{R} \times K)^\odot$ .

**Theorem 2.7.**  $\nu \in C_0(\mathbb{R} \times K)^\odot$  if and only if  $\nu \ll m \otimes \pi|\nu|$ ;

One might wonder whether there is a more direct proof of Theorem 2.7. Indeed such a proof can be given. What may be more surprising is that it is possible to re-deduce Theorem 2.2 as a corollary from 2.7. Since we think that this approach is interesting in its own right, we will carry it out.

*Direct proof of Theorem 2.7:* If  $\nu \in L^1(\mathbb{R} \times K, m \otimes \pi|\nu|)$  then as in the proof of Theorem 2.5 we have  $\nu \in C_0(\mathbb{R} \times K)^\odot$ . The proof of the converse proceeds in two steps. For Borel measures  $\mu$  on  $\mathbb{R}$  and  $\nu$  on  $\mathbb{R} \times K$  define the 'convolution'  $\mu * \nu$  on  $\mathbb{R} \times K$  by

$$\int_{\mathbb{R} \times K} f d(\mu * \nu) = \int_{\mathbb{R} \times K} \int_{\mathbb{R}} f(x + t, y) d\mu(t) d\nu(x, y).$$

Now let  $\nu \in C_0(\mathbb{R} \times K)^\odot$ .

*Step 1.* For  $T > 0$  let  $m_{[0, T]}$  be the Borel measure on  $\mathbb{R}$  defined by  $m_{[0, T]}(E) =$



$m(E \cap [0, T])$ . For  $f \in C_0(\mathbb{R} \times K)$  and  $T > 0$  we have

$$\begin{aligned} \left\langle \frac{1}{T} \int_0^T T^*(t)\nu \, dt, f \right\rangle &= \left\langle \nu, \frac{1}{T} \int_0^T T(t)f \, dt \right\rangle \\ &= \frac{1}{T} \int_{\mathbb{R} \times K} \int_0^T f(x+t, y) \, dt \, d\nu(x, y) \\ &= \frac{1}{T} \langle m_{[0, T]} * \nu, f \rangle. \end{aligned}$$

This shows that the equality  $\frac{1}{T} \int_0^T T^*(t)\nu \, dt = \frac{1}{T} m_{[0, T]} * \nu$  holds. We claim that

$$m_{[0, T]} * \nu \ll m * |\nu|.$$

Indeed, let  $E$  be measurable such that  $(m * |\nu|)(E) = 0$ . This means by definition that

$$\int_{\mathbb{R} \times K} \int_{\mathbb{R}} \chi_E(x+t, y) \, dm(t) \, d|\nu|(x, y) = 0.$$

It follows that

$$\int_{\mathbb{R} \times K} \int_0^T \chi_E(x+t, y) \, dt \, d|\nu|(x, y) = 0.$$

Hence

$$\chi_E(x+t, y) = 0, \quad m_{[0, T]} \otimes |\nu| - a.e.$$

From this it is clear that also

$$\chi_E(x+t, y) = 0, \quad m_{[0, T]} \otimes \nu - a.e.$$

Rewriting this in terms of convolution, this is the same as  $(m_{[0, T]} * \nu)(E) = 0$ . Our claim is proved. By now we have shown that

$$\frac{1}{T} \int_0^T T^*(t)\nu \, dt \ll m * |\nu|.$$

Since by assumption

$$\lim_{T \downarrow 0} \frac{1}{T} \int_0^T T^*(t)\nu \, dt = \nu$$

strongly and since obviously  $\{\mu : \mu \ll m * |\nu|\}$  is closed it follows that  $\nu \ll m * |\nu|$ .

*Step 2.* We claim that  $m * |\nu| = m \otimes \pi|\nu|$ . Let  $\pi : \mathbb{R} \times K \rightarrow K$  be projection onto the second coordinate. We claim that the following equality holds:

$$\int_{\mathbb{R} \times K} f \circ \pi \, d|\nu| = \int_K f \, d\pi|\nu|.$$

Indeed, by the Riesz Representation Theorem the linear functional on  $C(K)$  defined by

$$f \mapsto \int_{\mathbb{R} \times K} f \circ \pi \, d|\nu|$$

is represented by some  $\mu \in C(K)^*$  and it is straightforward to check that  $\mu = \pi|\nu|$ . This proves the claim.

For  $A \subset \mathbb{R} \times K$  measurable, put

$$A_{y_1} := A \cap \{(x, y) \in \mathbb{R} \times K : y = y_1\}.$$

Using our claim and the translation invariance of the Lebesgue measure  $m$  we see

$$\begin{aligned} (m * |\nu|)(A) &= \int_{\mathbb{R} \times K} \int_{\mathbb{R}} \chi_A(x+t, y) dm(t) d|\nu|(x, y) \\ &= \int_{\mathbb{R} \times K} m(A-x)_y d|\nu|(x, y) \\ &= \int_{\mathbb{R} \times K} m(A)_y d|\nu|(x, y) \\ &= \int_K m(A)_y d\pi|\nu|(y) \\ &= \int_K \int_{\mathbb{R}} \chi_A(t, y) dm(t) d\pi|\nu|(y) \\ &= \int_{\mathbb{R} \times K} \chi_A(t, y) d(m \otimes \pi|\nu|)(t, y) \\ &= (m \otimes \pi|\nu|)(A). \end{aligned}$$

This shows that  $m * |\nu| = m \otimes \pi|\nu|$ . Combining this with Step 1 we see that  $\nu \ll m \otimes \pi|\nu|$  as was to be proved. ////

*Second proof of Theorem 2.2:* Let  $X$  be an arbitrary Banach space. By the Banach-Alaoglu theorem the dual unit ball  $K := B_{X^*}$  is weak\*-compact. The map  $i : X \rightarrow C(K)$  defined by  $ix(x^*) = \langle x^*, x \rangle$  is an isometric embedding. Let  $\tilde{i} : C_0(\mathbb{R}; X) \rightarrow C_0(\mathbb{R}; C(K)) = C_0(\mathbb{R} \times K)$  be the induced embedding. In this way we may regard  $C_0(\mathbb{R}; X)$  as a closed, translation invariant subspace of  $C_0(\mathbb{R} \times K)$ . Let  $y^\odot \in C_0(\mathbb{R}; X)^\odot$ . We must show:  $y^\odot \in L^1(\mathbb{R}; X^*)$ . By the extension theorem for adjoint semigroups [Ne],  $y^\odot$  can be extended to an element  $\nu$  of  $C_0(\mathbb{R} \times K)^\odot$ . By Theorem 2.7 there is a density function  $g \in L^1(\mathbb{R} \times K, m \otimes \pi|\nu|) = L^1(\mathbb{R}; L^1(K, \pi|\nu|))$  representing  $\nu$ . We claim that  $y^\odot = (\tilde{i})^*\nu$  can be regarded as an element of  $L^1(\mathbb{R}; X^*)$ . To see this, let  $f \in C_0(\mathbb{R}; X)$  be arbitrary and note that

$$\begin{aligned} \int_{\mathbb{R}} f(\tau) dy^\odot(\tau) &= \langle y^\odot, f \rangle = \langle \nu, \tilde{i}(f) \rangle \\ &= \int_{\mathbb{R}} (\tilde{i}(f))(\tau) d\nu(\tau) = \int_{\mathbb{R}} g(\tau) (\tilde{i}(f))(\tau) d\tau \\ &= \int_{\mathbb{R}} g(\tau) i(f(\tau)) d\tau = \int_{\mathbb{R}} i^*(g(\tau)) f(\tau) d\tau. \end{aligned}$$

Hence  $y^\odot$  can be represented by  $\tilde{g}$ , defined by  $\tilde{g}(t) := i^*(g(t))$ . Since  $i^*(g(t)) \in X^*$  for all  $t \in \mathbb{R}$  we see that  $y^\odot \in L^1(\mathbb{R}; X^*)$  and the claim is proved.

### 3. The injective- and projective tensor product

Throughout this section  $X$  and  $Z$  will denote non-zero Banach spaces. We assume either both to be real or complex.  $Z \otimes X$  denotes the algebraic tensor product (cf. [S1]).

The  $\pi$ -norm on  $Z \otimes X$ , often called the *projective* norm, is described most conveniently by its unit ball, which by definition is the convex closure of the set  $B_Z \otimes B_X$ , where  $B_Z$  and  $B_X$  are the unit balls of  $Z$  and  $X$  respectively. An analytic expression for the  $\pi$ -norm is given as follows:

$$\|u\|_\pi = \inf \left\{ \sum_{i=1}^n \|z_i\| \|x_i\| : u = \sum_{i=1}^n z_i \otimes x_i \right\}, \quad u \in Z \otimes X$$

The  $\pi$ -tensor product  $Z \tilde{\otimes}_\pi X$  is the completion of  $Z \otimes X$  with respect to this norm. Sometimes it is denoted by  $Z \hat{\otimes} X$ . The standard example for the  $\pi$ -tensor product is the following. Let  $Z$  be a space  $L^1(\mu)$ , where  $\mu$  is some positive measure and  $X$  an arbitrary Banach space. Then  $L^1(\mu) \tilde{\otimes}_\pi X$  can be identified in a canonical way with the space  $L^1(\mu, X)$  of all  $X$ -valued Bochner integrable functions.

An element  $u = \sum_{i=1}^n z_i \otimes x_i \in Z \otimes X$  can (algebraically) be identified with an operator  $T_u \in \mathcal{L}(Z^*, X)$  by the formula

$$T_u z^* = \sum_{i=1}^n \langle z^*, z_i \rangle x_i.$$

The  $\epsilon$ - or *injective* norm on  $Z \otimes X$  is the norm induced by the operator norm on  $\mathcal{L}(Z^*, X)$ . Thus for  $u = \sum_{i=1}^n z_i \otimes x_i$  the  $\epsilon$ -norm is given by

$$\begin{aligned} \|u\|_\epsilon &= \sup \left\{ \left\| \sum_{i=1}^n \langle z^*, z_i \rangle x_i \right\| : \|z^*\| \leq 1 \right\} \\ &= \sup \left\{ \left| \sum_{i=1}^n \langle z^*, z_i \rangle \langle x^*, x_i \rangle \right| : \|z^*\| \leq 1, \|x^*\| \leq 1 \right\} \end{aligned}$$

The completion of  $Z \otimes X$  with respect to this norm is denoted by  $Z \tilde{\otimes}_\epsilon X$ . It is called the  $\epsilon$ - or injective tensor product of  $Z$  and  $Y$ . Some authors denote it by  $Z \check{\otimes} X$ . The standard example is as follows: let  $Z := C_0(\Omega)$ ,  $\Omega$  locally compact and  $X$  be an arbitrary Banach space. Then  $C_0(\Omega) \tilde{\otimes}_\epsilon X$  can be identified with  $C_0(\Omega; X)$ .

It is well-known that dual spaces of tensor products can be identified with certain operator ideals. For  $u^* \in (Z \tilde{\otimes}_\epsilon X)^*$  or  $u^* \in (Z \tilde{\otimes}_\pi X)^*$ , define  $T_{u^*} \in \mathcal{L}(Z, X^*)$  by

$$\langle u^*, u \rangle = \sum_{i=1}^n \langle T_{u^*} z_i, x_i \rangle,$$

where  $u = \sum_{i=1}^n z_i \otimes x_i \in Z \otimes X$ . In particular, the dual of  $Z \tilde{\otimes}_\pi X$  can be identified with the space  $\mathcal{L}(Z, X^*)$ . On the other hand, the dual of  $Z \tilde{\otimes}_\epsilon X$  can be identified with the set of all *integral* operators  $Z \rightarrow X^*$  [DU], which we denote by  $\mathcal{L}^i(Z, X^*)$ .

A bounded linear operator  $T \in \mathcal{L}(Z)$  induces a linear operator  $T \otimes id : Z \otimes X \rightarrow Z \otimes X$  by the formula

$$(T \otimes id)(z \otimes x) := Tz \otimes x.$$

The operator  $T \otimes id$  is bounded for both the  $\epsilon$ - and the  $\pi$ -norm. In fact, in both cases one has  $\|T \otimes id\| = \|T\|$ . The unique continuous extensions to  $Z \tilde{\otimes}_\epsilon X$  and  $Z \tilde{\otimes}_\pi X$  will be denoted by  $T \tilde{\otimes}_\epsilon id$  and  $T \tilde{\otimes}_\pi id$  respectively.

**Lemma 3.1.**  $\sigma(T \tilde{\otimes}_\epsilon id) = \sigma(T \tilde{\otimes}_\pi id) = \sigma(T)$ .

*Proof:* We prove a slightly more general result: Suppose  $\|\cdot\|$  is a reasonable crossnorm (in the sense of [DU; Def. VIII.1.1]) on  $Z \otimes X$  with the additional property that every bounded linear operator  $T : Z \rightarrow Z$  extends to a bounded linear operator  $T \tilde{\otimes} id$  on the completion  $Z \tilde{\otimes} X$  of  $Z \otimes X$  with respect to  $\|\cdot\|$ . Then  $\sigma(T \tilde{\otimes} id) = \sigma(T)$ .

$\sigma(T \tilde{\otimes} id) \subset \sigma(T)$ : Suppose  $\lambda - T$  is invertible. Then  $(\lambda - T)^{-1} \tilde{\otimes} id$  is a bounded operator on  $Z \tilde{\otimes} X$  and it is obvious that on the dense subspace  $Z \otimes X$ ,  $(\lambda - T)^{-1} \otimes id$  is a two-sided inverse for  $\lambda - (T \otimes id)$ . By density it follows that  $(\lambda - T)^{-1} \tilde{\otimes} id = (\lambda - (T \tilde{\otimes} id))^{-1}$ , so  $\lambda \in \rho(T \tilde{\otimes} id)$ .

$\sigma(T) \subset \sigma(T \tilde{\otimes} id)$ : Suppose  $\lambda \in \sigma(T)$ . If  $\lambda \in \sigma_{ap}(T)$ , the approximate point spectrum of  $T$  (cf. [Na]), then by definition we can choose an approximate eigenvector  $(z_n)_{n=1}^\infty$ , i.e.,  $\|z_n\| = 1$  for all  $n$  and

$$\lim_{n \rightarrow \infty} \|Tz_n - \lambda z_n\| = 0.$$

We claim that  $(z_n \otimes x)_{n=1}^\infty$  is an approximate eigenvector of  $T \tilde{\otimes} id$  for every norm-1 vector  $x \in X$ . Indeed, we have  $\|z_n \otimes x\| = \|z_n\| \|x\| = 1$  and moreover

$$\begin{aligned} \|(T \tilde{\otimes} id)(z_n \otimes x) - \lambda(z_n \otimes x)\| &= \|(Tz_n - \lambda z_n) \otimes x\| \\ &= \|Tz_n - \lambda z_n\| \|x\| \rightarrow 0, \quad n \rightarrow \infty. \end{aligned}$$

Thus  $\lambda \in \sigma(T \tilde{\otimes} id)$ . If  $\lambda \in \sigma(T) \setminus \sigma_{ap}(T)$  then the range of  $\lambda - T$  cannot be dense. According to the Hahn-Banach theorem,  $\lambda \in \sigma_p(T^*)$ . Choose a norm-1 vector  $z^*$  such that  $T^*z^* = \lambda z^*$ . We claim that  $\lambda \in \sigma_p((T \tilde{\otimes} id)^*)$  with eigenvector  $z^* \otimes x^*$ , where  $x^* \neq 0$  is arbitrary in  $X^*$ . Indeed, for any  $z \otimes x$  we have

$$\begin{aligned} \langle (T \tilde{\otimes} id)^*(z^* \otimes x^*), z \otimes x \rangle &= \langle z^* \otimes x^*, Tz \otimes x \rangle \\ &= \langle z^*, Tz \rangle \langle x^*, x \rangle \\ &= \langle T^*z^*, z \rangle \langle x^*, x \rangle \\ &= \lambda \langle z^*, z \rangle \langle x^*, x \rangle \\ &= \lambda \langle z^* \otimes x^*, z \otimes x \rangle. \end{aligned}$$

The claim now follows from a density argument. Hence  $\lambda \in \sigma((T \tilde{\otimes} id)^*) = \sigma(T \tilde{\otimes} id)$ . The second inclusion is proved and the lemma follows. ////

Given a strongly continuous semigroup  $T_0(t)$  on  $Z$  with generator  $A_0$  then  $T(t) := T_0(t) \otimes id$  extends to a one-parameter semigroup of bounded linear operators on  $Z \tilde{\otimes}_\epsilon X$  and  $Z \tilde{\otimes}_\pi X$  respectively. In fact it is easy to see that it is strongly continuous as well. Moreover, spectrum and resolvent can be described. We state these facts in the following proposition, in which  $\tilde{\otimes}$  denotes either the  $\epsilon$ - or the  $\pi$ -tensor product.

**Proposition 3.2.**  $T(t)$  is a strongly continuous semigroup. If we denote its generator by  $A$  then  $\sigma(A) = \sigma(A_0)$ . For  $\lambda$  in the resolvent set we have  $R(\lambda, A) = R(\lambda, A_0) \tilde{\otimes} id$ .

*Proof:* By the spectral mapping formula (cf. [Na]) we have

$$\sigma(R(\lambda, A_0) \setminus \{0\}) = (\lambda - \sigma(A_0))^{-1}$$

and similarly for  $A$ . Hence, to prove the first assertion, we see that it suffices to show that  $\sigma(R(\lambda, A)) = \sigma(R(\lambda, A_0) \tilde{\otimes} id)$ , but this follows from the previous lemma. The second assertion is obvious (e.g. apply a density argument). ////

Our next aim is to give a description of the adjoints of  $T(t)$  and  $R(\lambda, A)$ . In order to do this, we identify the dual spaces of  $Z \tilde{\otimes}_\pi X$  and  $Z \tilde{\otimes}_\epsilon X$  with  $\mathcal{L}(Z, X^*)$  and  $\mathcal{L}^i(Z, X^*)$  respectively. Given a bounded operator on  $Z$ , we want to determine the adjoint of  $S \tilde{\otimes} id$ , where  $\tilde{\otimes}$  is either  $\tilde{\otimes}_\epsilon$  or  $\tilde{\otimes}_\pi$ . Given  $z \otimes x \in Z \otimes X$  and  $R \in \mathcal{L}^i(Z, X^*)$ , then

$$\langle R, (S \tilde{\otimes} id)(z \otimes x) \rangle = \langle R, (Sz) \otimes x \rangle = \langle RSz, x \rangle = \langle RS, z \otimes x \rangle.$$

This shows that we have  $(S \tilde{\otimes} id)^*(R) = RS$ . We summarize this observation in the following proposition.

**Proposition 3.3.** *The adjoint operators  $T^*(t)$  and  $R(\lambda, A)^* : \mathcal{L}^i(Z, X^*) \rightarrow \mathcal{L}^i(Z, X^*)$  are given as follows :*

$$\begin{aligned} T^*(t)(S) &= ST_0(t), & S &\in \mathcal{L}^i(Z, X^*); \\ R(\lambda, A)^*(S) &= SR(\lambda, A_0), & S &\in \mathcal{L}^i(Z, X^*). \end{aligned}$$

Let us recall that the integral operators form a two-sided operator ideal, i.e. given  $R \in \mathcal{L}^i(Z, X^*)$  and bounded linear operators  $S_1 \in \mathcal{L}(Z)$  and  $S_2 \in \mathcal{L}(X^*)$  then  $S_2 \circ R \circ S_1$  is integral as well and  $\|S_2 \circ R \circ S_1\|_i \leq \|S_2\| \cdot \|R\|_i \cdot \|S_1\|$ . Here  $\|\cdot\|_i$  is the norm induced by  $(Z \tilde{\otimes}_\epsilon X)^*$ .

The dual spaces  $\mathcal{L}^i(Z, X^*)$  itself contain  $Z^* \otimes X^*$  as a subspace. In order to identify the closure of  $Z^* \otimes X^*$  with appropriate subspaces of  $\mathcal{L}^i(Z, X^*)$  we make for the rest of section 3 the following assumption:

**Assumption 3.4.**  *$Z^*$  has the approximation property (a.p.).*

The classical Banach spaces  $\ell^p$ ,  $C_0(\Omega)$ ,  $L^p(\mu)$  satisfy Assumption 3.4.  $Z^*$  having the a.p. implies that the closure of  $Z^* \otimes X^*$  in  $\mathcal{L}^i(Z, X^*)$  can be identified with  $Z^* \tilde{\otimes}_\pi X^*$ . Operators belonging to this closure are called *nuclear operators*. Moreover, since  $Z^*$  has the a.p., so does  $Z$  [DU]. The latter implies that the closure of  $Z^* \otimes X^*$  in  $\mathcal{L}(Z, X^*)$ , which is  $Z^* \tilde{\otimes}_\epsilon X^*$ , is precisely the set of all compact operators from  $Z$  into  $X^*$ .

Now we are going to show that in case of sun-reflexivity the sun-dual of the  $\epsilon$ -tensor product can be described easily. We already noted in section 1 that a semigroup is sun-reflexive if and only if the resolvent of the generator is weakly compact.

**Theorem 3.5.** *Let  $Z$  be sun-reflexive with respect to  $T_0(t)$ . Then the sun-dual of the semigroup  $T(t)$  induced on  $Z \tilde{\otimes}_\epsilon X$  is the closure in  $Z^* \tilde{\otimes}_\pi X^*$  of  $Z^\odot \otimes X^*$ .*

*Proof:* Given  $z^* \in Z^*$  and  $x^* \in X^*$  then  $T^*(t)(z^* \otimes x^*) = (T_0^*(t)z^*) \otimes x^*$ . It follows that

$$\|T^*(t)(z^* \otimes x^*) - z^* \otimes x^*\| = \|(T_0^*(t)z^* - z^*)\| \cdot \|x^*\|.$$

This shows that if  $z^* \in Z^\odot$  then  $z^* \otimes x^* \in (Z \tilde{\otimes}_\epsilon X)^\odot$ . Hence also the closed linear subspace of  $Z^* \tilde{\otimes}_\pi X^*$  generated by  $\{z^* \otimes x^* : z^* \in Z^\odot, x^* \in X^*\}$  is contained in  $(X \tilde{\otimes}_\epsilon Z)^\odot$ .

To prove the reverse inclusion, we first claim that  $(Z \tilde{\otimes}_\epsilon X)^\odot \subset Z^* \tilde{\otimes}_\pi X^*$ . For the rest of the proof we fix one  $\lambda \in \varrho(A_0)$ . For  $S \in (Z \tilde{\otimes}_\epsilon X)^* = \mathcal{L}^i(Z, X^*)$  we have by Prop. 3.3  $R(\lambda, A)^*(S) = S \circ R(\lambda, A_0)$ . Since  $Z$  is sun-reflexive with respect to  $T_0(t)$ , it follows that  $R(\lambda, A_0)$  is weakly compact. From a theorem of Grothendieck (see [DU, Thm VIII.4.12]) it follows that  $S \circ R(\lambda, A_0)$  is nuclear. Thus  $R(\lambda, A)^*(S) \in Z^* \tilde{\otimes}_\pi X^*$  and by Prop. 1.1 the claim is proved.

Thus if we fix  $S \in \mathcal{L}^i(Z, X^*)$ , then for arbitrary  $\epsilon > 0$  there exist  $z_i \in Z^*$ ,  $x_i \in X^*$  such that

$$\|S \circ R(\lambda, A_0) - \sum_{i=1}^n z_i^* \otimes x_i^*\|_i < \epsilon.$$

It follows that

$$\begin{aligned} \|S \circ R(\lambda, A_0)^2 - \sum_{i=1}^n R(\lambda, A_0)^* z_i^* \otimes x_i^*\|_i &= \|S \circ (R(\lambda, A_0) - \sum_{i=1}^n z_i^* \otimes x_i^*) \circ R(\lambda, A_0)\|_i \\ &< \epsilon \cdot \|R(\lambda, A_0)\|. \end{aligned}$$

Since  $R(\lambda, A_0)^* z_i^* \in Z^\odot$  it follows that  $R(\lambda, A)^{*2}(S) = S \circ R(\lambda, A_0)^2$  is in the closed linear subspace of  $Z^* \tilde{\otimes}_\pi X^*$  generated by  $\{z^* \otimes x^* : z^* \in Z^\odot, x^* \in X^*\}$ . The conclusion now follows from Prop. 1.1.   
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We point out that the  $\pi$ -tensor product is not injective, i.e. given a subspace  $Y$  of  $Z^*$ , then in general  $Y \tilde{\otimes}_\pi X^*$  can not be identified with the closed linear subspace of  $Z^* \tilde{\otimes}_\pi X^*$  generated by  $\{y \otimes x^* : y \in Y, x^* \in X^*\}$ . There are special cases where this is true. E.g. if  $Y$  is complemented in  $Z^*$  or if  $X$  is a  $C_0(\Omega)$ -space respectively. Thus we have the following corollary.

**Corollary 3.6.** *If in addition  $Z^\odot$  is complemented in  $Z^*$  or  $X = C_0(\Omega)$ ,  $\Omega$  locally compact, then  $(Z \tilde{\otimes}_\epsilon X)^\odot = Z^\odot \tilde{\otimes}_\pi X^*$ .*

If  $T_0(t)$  is a positive semigroup on a Banach lattice  $Z$  whose dual has order continuous norm, then by a result of de Pagter (to be published),  $Z^\odot$  is a projection band in  $Z^*$ . This applies in particular to the case  $Z = C_0(\Omega)$  and we obtain:

**Corollary 3.7.** *Suppose  $T_0(t)$  is a positive semigroup on  $C_0(\Omega)$ . Then there exists a measure space  $(\tilde{\Omega}, \tilde{\Sigma}, \tilde{\mu})$  such that  $C_0(\Omega; X)^\odot = L^1(\tilde{\mu}; X^*)$ .*

Now we consider the case of the  $\pi$ -tensor product. We are looking for conditions, ensuring that the sun-dual of  $X \tilde{\otimes}_\pi Z$  can be identified with  $Z^\odot \tilde{\otimes}_\epsilon X^*$ . In contrast to Theorem 3.5 now sun-reflexivity (weak compactness of the resolvent) is not sufficient as Example 3.10 below shows. If we require compactness of the resolvent however, then the sun-dual can be described in a nice way.

**Theorem 3.8.** *Assume that the generator of the semigroup  $T_0(t)$  on  $Z$  has compact resolvent, then for the semigroup induced on  $Z \tilde{\otimes}_\pi X$  we have  $(Z \tilde{\otimes}_\pi X)^\odot = Z^\odot \tilde{\otimes}_\epsilon X^*$ .*

*Proof:* As in the proof of Theorem 3.5 it can be shown that  $Z^\odot \tilde{\otimes}_\epsilon X^*$  is contained in the sun-dual of  $Z \tilde{\otimes}_\pi X$ . To prove the converse inclusion we observe that  $R(\lambda, A_0)$  being compact implies that for  $\epsilon > 0$  there exist  $z_i \in Z$  and  $z_i^* \in Z^*$  such that

$$\|R(\lambda, A_0) - \sum_{i=1}^m z_i^* \otimes z_i\| < \epsilon.$$

Thus given  $S \in \mathcal{L}(Z, X^*)$  then

$$\begin{aligned} \|S \circ R(\lambda, A_0)^2 - \sum_{i=1}^m R(\lambda, A_0)^* z_i^* \otimes S z_i\| &= \|S \circ (R(\lambda, A_0) - \sum_{i=1}^m z_i^* \otimes z_i) \circ R(\lambda, A_0)\| \\ &\leq \epsilon \|S\| \|R(\lambda, A_0)\|. \end{aligned}$$

It follows that  $R(\lambda, A)^{*2}(S)$  can be approximated with respect to the operator norm by elements of  $Z^\odot \otimes X^*$ . Since the operator norm induces the  $\epsilon$ -norm it follows that  $R(\lambda, A)^{*2}(S) \in Z^\odot \tilde{\otimes}_\epsilon X^*$  for every  $S \in \mathcal{L}(Z, X^*)$ . Then from Prop. 1.1 we can conclude that  $(Z \tilde{\otimes}_\pi X)^\odot \subset Z^\odot \tilde{\otimes}_\epsilon X^*$ .   
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The case  $Z = L^1(\mu)$  was already proved in [Pa1]. On spaces  $C_0(\Omega)$ ,  $\Omega$  locally compact, or spaces  $L^1(\mu)$  a resolvent is weakly compact if and only if it is compact (see [Pa2]). Therefore the following corollary is an immediate consequence of Thm. 3.8.

**Corollary 3.9.** *Assume that  $Z$  is either a space  $L^1(\mu)$  or a space  $C_0(\Omega)$ ,  $\Omega$  locally compact. If the semigroup  $T_0(t)$  is sun-reflexive then  $(Z \tilde{\otimes}_\pi X)^\odot = Z^\odot \tilde{\otimes}_\epsilon X^*$ .*

In general weak compactness of the resolvent is not enough in Theorem 3.8, as the following example shows.

**Example 3.10.** Consider the semigroup of translations on  $Z = L^p(\mathbb{R})$ . For  $1 < p < \infty$  we have  $L^p(\mathbb{R})^\odot = L^p(\mathbb{R})^* = L^q(\mathbb{R})$  with  $1/p + 1/q = 1$  and the resolvent is weakly compact,  $Z$  being reflexive. Assuming that  $(L^p(\mathbb{R}) \tilde{\otimes}_\pi X)^\odot = L^q(\mathbb{R}) \tilde{\otimes}_\epsilon X^* = \{T \in \mathcal{L}(L^p(\mathbb{R}); X^*) : T \text{ is compact}\}$  then from Prop. 3.3 and Prop. 1.1 we conclude that  $S \circ R(\lambda, A_0)$  is compact for every  $S \in \mathcal{L}(L^p(\mathbb{R}); X^*)$ . Choosing  $X = L^q(\mathbb{R})$  and  $S$  the identity on  $L^p(\mathbb{R})$  shows that  $R(\lambda, A_0)$  has to be compact, which is not the case (for then  $A_0$  must have countable spectrum, but it is well-known that  $\sigma(A_0) = i\mathbb{R}$ ).

In case  $p = 1$  the resolvent of the translation group even fails to be weakly compact and the conclusion of Theorem 3.8 again does not hold, as we will now show.

**Theorem 3.11.** *If  $T_0(t)$  is the translation group on  $L^1(\mathbb{R})$  then  $L^1(\mathbb{R}; X)^\odot = BUC(\mathbb{R}; X^*)$ .*

*Proof:* First we show that  $R(\lambda, A_0)$  is representable [Pa1]. For almost all  $s$  we have

$$\begin{aligned} (R(\lambda, A_0)f)(s) &= \int_0^\infty e^{-\lambda t} f(s+t) dt \\ &= \int_{-\infty}^\infty e^{-\lambda(t-s)} \chi_{[s, \infty)}(t) f(t) dt. \end{aligned}$$

Define  $g : \mathbb{R} \rightarrow L^1(\mathbb{R})$  by  $(g(t))(s) = e^{-\lambda(t-s)} \chi_{[s, \infty)}(t)$ . We have

$$\|g(t)\|_{L^1(\mathbb{R})} = \int_{-\infty}^\infty e^{-\lambda(t-s)} \chi_{[s, \infty)}(t) ds = \int_{-\infty}^t e^{-\lambda(t-s)} ds = \frac{1}{\lambda}.$$

Since also  $g$  is continuous as a map  $\mathbb{R} \rightarrow L^1(\mathbb{R})$ , hence in particular strongly measurable, this shows that  $g \in L^\infty(\mathbb{R}; L^1(\mathbb{R}))$  and our claim is proved. From Proposition 2.2 in [Pa1] we deduce that  $L^1(\mathbb{R}; X)^\odot \subset L^\infty(\mathbb{R}; X^*)$ . Let  $h \in L^1(\mathbb{R}; X)^\odot$ . We claim that  $h$  is continuous. Let  $\phi_n$  be any continuous function with compact support such that  $\phi_n(t) = 1$  for all  $t \in [-n, n]$ . Clearly it suffices to prove that  $h\phi_n$  is continuous for all  $n$ . Since each  $h\phi_n$  is compactly supported and since obviously  $h \in L^1(\mathbb{R}; X)^\odot$  implies  $h\phi_n \in L^1(\mathbb{R}; X)^\odot$ , we may consider  $h\phi_n$  as an element of  $L^1([-N_n, N_n]; X)^\odot$  for some  $N_n$  large enough. Since  $L^1([-N_n, N_n])$  is  $\odot$ -reflexive with respect to translation (see e.g. [HPh]) we have by Theorem 3.9 that

$$L^1([-N_n, N_n]; X)^\odot = L^1([-N_n, N_n])^\odot \tilde{\otimes}_\epsilon X^* \subset C([-N_n, N_n]) \tilde{\otimes}_\epsilon X^* = C([-N_n, N_n]; X^*).$$

Hence  $h\phi_n \in C([-N_n, N_n]; X^*)$ . This proves that  $L^1(\mathbb{R}; X)^\odot \subset C(\mathbb{R}; X^*)$ . But then we must have that actually  $h \in BUC(\mathbb{R}; X^*)$ :  $h$  is bounded as an element of  $L^\infty(\mathbb{R}; X^*)$ , and uniformly continuous since otherwise the map  $t \mapsto T^*(t)h$  is easily seen not to be norm-continuous. This shows  $L^1(\mathbb{R}; X)^\odot \subset BUC(\mathbb{R}; X^*)$ . The reverse inclusion holds trivially. ////



This theorem is the  $L^1$ -analogue of Theorem 2.2. Now in general it is not true that

$$BUC(\mathbb{R}; X) = BUC(\mathbb{R}) \tilde{\otimes}_\epsilon X$$

holds. In fact, any function in  $BUC(\mathbb{R}) \tilde{\otimes}_\epsilon X$  must have relatively compact range whereas it is easy to construct functions in  $BUC(\mathbb{R}; C_0(\mathbb{R}))$  not having relatively compact range. Just let  $f \in C_0(\mathbb{R})$  be any non-zero function. Then the set of translates  $\{T(t)f : t \in \mathbb{R}\}$  is not relatively compact, so by defining  $F(t) = T(t)f$  we obtain an  $F \in BUC(\mathbb{R}; C_0(\mathbb{R}))$  which does not have relatively compact range.

**Remark 3.12.** (a) The above examples show that for translation on  $Z = L^p(\mathbb{R})$ ,  $1 \leq p < \infty$  the conclusion of Theorem 3.8 does not hold for every  $X$ .

In fact, let  $Z$  be any fixed Banach space and let  $T_0(t)$  be a  $C_0$ -semigroup on  $Z$  with generator  $A_0$ . We claim that if for every  $X$  the formula  $(Z \tilde{\otimes}_\pi X)^\odot = Z^\odot \tilde{\otimes}_\epsilon X^*$  holds, then  $R(\lambda, A_0)$  must be compact. Take  $X = Z^*$ . Let  $X = Z^*$  and assume  $(Z \tilde{\otimes}_\pi X)^\odot = Z^\odot \tilde{\otimes}_\epsilon X^*$ . Then  $R(\lambda, A)^*(T) = T \circ R(\lambda, A_0)$  is a compact operator for every  $T \in (Z \tilde{\otimes}_\pi X)^* = \mathcal{L}(Z, X^*) = \mathcal{L}(Z, Z^{**})$ . In particular, letting  $T : Z \rightarrow Z^{**}$  be the canonical embedding, it follows that  $R(\lambda, A_0)$  itself is compact. See also [Pa1], where  $X = l^\infty$  is taken.

(b) Concerning 3.5 the situation is different and weak compactness of  $R(\lambda, A_0)$  is not necessary in order that  $(Z \tilde{\otimes}_\epsilon X)^\odot = \overline{Z^\odot \otimes X^*}^{Z^* \tilde{\otimes}_\pi X^*}$  holds for every Banach space  $X$ . In fact, inspection of the proof of Theorem 3.5 shows that a necessary and sufficient condition for this is that  $T \circ R(\lambda, A_0)$  is nuclear for every operator  $T \in \mathcal{L}^i(Z, X^*)$ . An example of a semigroup without weakly compact resolvent but satisfying this condition (by Theorem 2.2 !) is translation in  $C_0(\mathbb{R})$ .

By combining 3.5 and 3.8 one can under suitable assumptions describe the bi-sun-dual of the  $\epsilon$ - and the  $\pi$ -tensor product. In order to apply 3.5 and 3.8 we formally need the assumption that  $Z^\odot$  has the a.p. The proof below however shows that it suffices to have that  $Z^*$  has the a.p.

For  $L^1(\mu) \tilde{\otimes}_\pi X$  the following result was first proved by de Pagter (unpublished).

**Proposition 3.13.** *Suppose  $R(\lambda, A_0)$  is compact. Then:*

- (i)  $(Z \tilde{\otimes}_\pi X)^{\odot\odot}$  is the closure in  $Z^{\odot*} \tilde{\otimes}_\pi X^{**}$  of  $Z \otimes X^{**}$ . If either  $Z$  is complemented in  $Z^{\odot*}$  or  $X$  is an  $L^1(\mu)$ -space then  $(Z \tilde{\otimes}_\pi X)^{\odot\odot} = Z \tilde{\otimes}_\pi X^{**}$ ;
- (ii) If either  $Z^\odot$  is complemented in  $Z^*$  or  $X = C_0(\Omega)$ ,  $\Omega$  locally compact Hausdorff, then  $(Z \tilde{\otimes}_\epsilon X)^{\odot\odot} = Z \tilde{\otimes}_\epsilon X^{**}$ .

*Proof:* First we prove (ii). By Corollary 3.6 we have  $(Z \tilde{\otimes}_\epsilon X)^\odot = Z^\odot \tilde{\otimes}_\pi X^*$ . The conclusion now follows from Theorem 3.8 in case  $Z^\odot$  has the a.p. However, inspection of the proof of Theorem 3.8 shows that the a.p. was needed for showing that  $R(\lambda, A_0)$  could be approximated by finite rank operators in the uniform operator topology. Hence what we must show in the present case is that  $R(\lambda, A_0^\odot)$  can be approximated by finite rank operators. That this is true when  $Z^*$  has the a.p., i.e. under Assumption 3.4 (regardless whether  $Z^\odot$  has the a.p.), is shown by the following argument. Fix  $\lambda \in \varrho(A_0)$ . Since  $Z^*$  has the a.p.,  $R(\lambda, A_0)$  is the uniform limit of finite rank operators  $\Phi_n \in Z^* \otimes Z$ . Then for  $\mu \in \varrho(A_0)$ ,  $R(\lambda, A_0)R(\mu, A_0)$  is the uniform limit of  $\Phi_n R(\mu, A_0)$ . Since  $R(\mu, A_0)^* Z^* \subset Z^\odot$  it follows that  $\Phi_n R(\mu, A_0) \in Z^\odot \otimes Z$ . Moreover,

$$\|R(\lambda, A_0)^* R(\mu, A_0)^* - (\Phi_n R(\mu, A_0))^*\| = \|R(\mu, A_0) R(\lambda, A_0) - \Phi_n R(\mu, A_0)\|,$$



hence  $\mu R(\lambda, A_0^\odot)R(\mu, A_0^\odot) = \mu R(\lambda, A_0)^* R(\mu, A_0)^*|_{Z^\odot}$  is the uniform limit of  $\mu \Phi_n R(\mu, A_0)^*|_{Z^\odot} \in Z \otimes Z^\odot \subset Z^{\odot*} \otimes Z^\odot$ . Since

$$R(\lambda, A_0^\odot) = \lim_{\mu \rightarrow \infty} \mu R(\lambda, A_0^\odot)R(\mu, A_0^\odot)$$

in the uniform operator topology (this follows from the resolvent equation for  $A_0^\odot$ ), we can conclude that  $R(\lambda, A_0^\odot)$  can be approximated by finite rank operators. As we noted above, from these considerations we can conclude that

$$(Z^\odot \tilde{\otimes}_\pi X^*)^\odot = Z^{\odot\odot} \tilde{\otimes}_\epsilon X^{**},$$

and since  $R(\lambda, A_0)$  is compact we have  $Z^{\odot\odot} = Z$ , and (ii) is proved.

The first assertion of (i) is proved by a similar argument. Now suppose that  $Z$  is complemented in  $Z^{\odot*}$ . Then trivially every  $T \in \mathcal{L}(Z, X^*)$  admits an extension to an operator in  $\mathcal{L}(Z^{\odot*}, X^*)$ . Also, if  $X$  is an  $L^1(\mu)$ -space, then  $X^*$  is injective [LT] and this again implies that every  $T \in \mathcal{L}(Z, X^*)$  admits an extension to an operator in  $\mathcal{L}(Z^{\odot*}, X^*)$ . In other words, in either case the natural map (induced by restriction  $\pi : Z^{\odot*} \rightarrow Z$ )

$$\pi : \mathcal{L}(Z^{\odot*}, X^*) \rightarrow \mathcal{L}(Z, X^*)$$

is surjective. But since  $\mathcal{L}(Y, X^*) = (Y \tilde{\otimes}_\pi X)^*$  this shows that the canonical inclusion map

$$j : Z \tilde{\otimes}_\pi X \rightarrow Z^{\odot*} \tilde{\otimes}_\pi X$$

is an embedding. Applying this to  $X^{**}$  instead of  $X$  (and noting that  $X^{***}$  is an  $L^1(\mu)$ -space if  $X^*$  is) we obtain that  $Z \tilde{\otimes}_\pi X^{**}$  can be regarded as a closed subspace of  $Z^{\odot*} \tilde{\otimes}_\pi X^{**}$  and this proves the second assertion.   
/////

#### 4. The $l$ -tensor product

It is not possible to identify the space  $L^p(\mu; X)$ ,  $1 < p < \infty$ , with either a  $\epsilon$ - or a  $\pi$ -tensor product. In this case the so-called  $l$ -tensor product solves the problem. It was introduced about 1970 by Chaney, Fremlin, Levin and Schaefer [Ch, Fr1, S3]. In order to define it, first of all one has to introduce the class of cone absolutely summing operators. The following result is taken from [S2, IV.3].

**Proposition 4.1.** *Let  $Z$  be a Banach lattice,  $X$  a Banach space. For a bounded linear map  $T : Z \rightarrow X$  the following are equivalent:*

- (i)  $\exists C > 0$  such that for every  $0 \leq f_1, \dots, f_n \in Z$ ,  $\sum_{i=1}^n \|Tf_i\| \leq C \|\sum_{i=1}^n f_i\|$ ;
- (ii) For every positive sequence  $(f_i)$  in  $Z$  such that  $\sum_{i=1}^\infty f_i$  converges, the sum  $\sum_{i=1}^\infty \|Tf_i\|$  converges;
- (iii) There is an  $L^1(\mu)$ -space such that  $T$  admits a factorisation  $Z \xrightarrow{T_1} L^1(\mu) \xrightarrow{T_2} X$  with  $T_1 \geq 0$ ;
- (iv)  $\exists 0 \leq \phi \in Z^*$  such that for all  $f \in Z$ ,  $\|Tf\| \leq \langle \phi, |f| \rangle$ ;
- (v) The set  $\{T^*x^* : \|x^*\| \leq 1\}$  is order bounded in  $Z^*$ .

**Definition 4.2.**  $T : Z \rightarrow X$  is called *cone absolutely summing* (c.a.s.) if one of the equivalent assertions of Proposition 4.1 is satisfied. The set of all c.a.s operators is denoted by  $\mathcal{L}^l(Z, X)$ . For  $T \in \mathcal{L}^l(Z, X)$  define

$$\|T\|_l := \inf\{C : \text{(i) in Prop. 4.1 holds with constant } C\}.$$

$\mathcal{L}^l(Z, X)$  is a Banach space and contains the finite-rank operators. If  $X$  is a Banach lattice then  $\mathcal{L}^l(Z, X)$  is a Banach lattice as well.

The  $l$ -nuclear operators  $\mathcal{N}^l(Z, X)$  are defined as the closure of the finite rank operators in  $\mathcal{L}^l(Z, X)$ .

As a subspace of  $\mathcal{L}(Z, X)$ ,  $\mathcal{L}^l(Z, X)$  has the following ideal property: given  $T \in \mathcal{L}^l(Z, X)$ ,  $R \in \mathcal{L}(X)$  and  $S \in \mathcal{L}(Z)$  such that its modulus  $|S|$  exists, then  $R \circ T \circ S \in \mathcal{L}^l(Z, X)$  and

$$\|R \circ T \circ S\|_l \leq \|R\| \|T\|_l \| |S| \|.$$

Let  $u = \sum_{i=1}^n z_i \otimes x_i$ . By the formula  $T_u z^* := \sum_{i=1}^n \langle z^*, z_i \rangle x_i$  we regard  $Z \otimes X$  as a linear subspace of  $\mathcal{L}^l(Z^*, X)$ . On  $Z \otimes X$  we define the  $l$ -norm  $\|\cdot\|_l$  to be the norm induced by  $\mathcal{L}^l(Z^*, X)$ . The Banach space  $Z \tilde{\otimes}_l X$  is defined to be the completion of  $Z \otimes X$  with respect to the  $l$ -norm. In this way  $Z \tilde{\otimes}_l X$  can be identified with the closure of  $Z \otimes X$  in the space  $\mathcal{L}^l(Z^*, X)$ .

In this way  $Z^* \tilde{\otimes}_l X$  can be identified with the closure of  $Z^* \otimes X$  in  $\mathcal{L}^l(Z^{**}, X)$ . Now elements  $u = \sum_{i=1}^n z_i^* \otimes x_i \in Z^* \otimes X$  can also be identified with an operator  $\tilde{T}_u : Z \rightarrow X$  (rather than  $Z^{**} \rightarrow X$ ), by

$$\tilde{T}_u(z) = \sum_{i=1}^n \langle z_i^*, z \rangle x_i.$$

The following proposition states that indeed  $Z^* \tilde{\otimes}_l X$  becomes in this way the closure of  $Z^* \otimes X$  in  $\mathcal{L}^l(Z, X)$ . In fact, the  $\mathcal{L}^l(Z, X)$ -closure of  $Z^* \otimes X$  is precisely  $\mathcal{N}^l(Z, X)$ .

**Proposition 4.3.**  $Z^* \tilde{\otimes}_l X$  can be identified isometrically with  $\mathcal{N}^l(Z, X)$ .

*Proof:* By definition,  $\mathcal{N}^l(Z, X)$  is the closure of the finite rank operators in  $\mathcal{L}^l(Z, X)$ . Regarding a finite rank operator  $Z \rightarrow X$  as an element of  $Z^* \otimes X$  as above, we see that  $\mathcal{N}^l(Z, X)$  is the closure of  $Z^* \otimes X$  in  $\mathcal{L}^l(Z, X)$ . On the other hand, by definition  $Z^* \tilde{\otimes}_l X$  is the  $\mathcal{L}^l(Z^{**}, X)$ -closure of  $Z^* \otimes X$ . Therefore it suffices to show that the  $\mathcal{L}^l(Z, X)$ -norm and the  $\mathcal{L}^l(Z^{**}, X)$ -norm agree on  $Z^* \otimes X$ . To this end, let  $u \in Z^* \otimes X$  be given. On the one hand, we can consider  $u$  as a c.a.s. map  $T_u : Z^{**} \rightarrow X$ . This map is also c.a.s. as a map  $Z^{**} \rightarrow X^{**}$  and

$$\|T_u\|_{\mathcal{L}^l(Z^{**}, X)} = \|T_u\|_{\mathcal{L}^l(Z^{**}, X^{**})}.$$

On the other hand we may regard  $u$  as a c.a.s. map  $\tilde{T}_u : Z \rightarrow X$ . In this case  $\tilde{T}_u^{**} : Z^{**} \rightarrow X^{**}$  is c.a.s. [S2, IV Cor.3.8] and

$$\|\tilde{T}_u\|_{\mathcal{L}^l(Z, X)} = \|\tilde{T}_u^{**}\|_{\mathcal{L}^l(Z^{**}, X^{**})}.$$

But clearly as maps  $Z^{**} \rightarrow X^{**}$  we have  $T_u = \tilde{T}_u^{**}$ , so combining the two above equalities gives the desired result. ////

The map  $j : L^p(\mu) \otimes X \rightarrow L^p(\mu; X)$ ,  $1 \leq p < \infty$ , defined by  $j(f \otimes x)(t) = f(t)x$  extends to an isometric isomorphism from  $L^p(\mu) \tilde{\otimes}_l X$  onto  $L^p(\mu; X)$ . In a similar way one has  $C_0(\Omega) \tilde{\otimes}_l X = C_0(\Omega; X)$ . This is summarized in the following proposition [S2, IV.7 Examples 1,4].

**Proposition 4.4.** *One has  $L^p(\mu; X) = L^p(\mu) \tilde{\otimes}_l X$ ,  $1 \leq p < \infty$ , and  $C_0(\Omega; X) = C_0(\Omega) \tilde{\otimes}_l X$ .*

One of the surprising properties of the  $l$ -tensor product is that the dual is given by the same class of operators which is used to define it (the  $l$ -norm is 'self-dual'). More precisely, one has [S2, IV.7.4]

$$(Z \tilde{\otimes}_l X)^* = \mathcal{L}^l(Z, X^*).$$

Now we want to describe the sun-dual of  $Z \tilde{\otimes}_l X$  with respect to semigroups induced by a semigroup on one of the factors. Since (in contrast to the  $\epsilon$ - and  $\pi$ -tensor product) the  $l$ -tensor product is not symmetric (even when  $X$  is a Banach lattice as well) we have to distinguish the two cases where  $T_0(t)$  is given on  $Z$  or on  $X$ .

First we consider the case where we are given a  $C_0$ -semigroup  $T_0(t)$  on  $X$  with generator  $A_0$ . As in Section 3,  $id \otimes T_0(t) := id_Z \otimes T_0(t)$  extends to a  $C_0$ -semigroup on  $Z \tilde{\otimes}_l X$ .

**Theorem 4.5.** *Each of the following conditions implies  $(Z \tilde{\otimes}_l X)^\odot = Z^* \tilde{\otimes}_l X^\odot$ :*

- (i)  $R(\lambda, A_0)$  is compact;
- (ii)  $R(\lambda, A_0)$  is weakly compact and  $Z$  does not contain a sublattice isomorphic to  $\ell^1$ .

*Proof:* The inclusion  $\supset$  can be proved as in 3.5. For  $T \in \mathcal{L}^l(Z, X^*)$  one has as in Prop 3.3 that

$$R(\lambda, A)^*(T) = R(\lambda, A_0)^* \circ T.$$

Hence to prove the converse inclusion by Prop. 4.3 we have to show that  $R(\lambda, A_0)^* \circ T$  is  $l$ -nuclear as a mapping  $Z \rightarrow X^\odot$ .

(i): Since  $T : Z \rightarrow X^*$  is c.a.s., by Prop. 4.1(iii)  $T$  has a factorisation

$$Z \xrightarrow{T_1} L^1(\mu) \xrightarrow{T_2} X$$

with  $T_1 \geq 0$ . Hence  $R(\lambda, A_0)^* \circ T$  factorizes as

$$Z \xrightarrow{T_1} L^1(\mu) \xrightarrow{T_2'} X,$$

with  $T_2' = R(\lambda, A_0)^* \circ T_2$  compact and taking values in  $X^\odot$ . Thus by [S2, Prop. IV.8.2]  $R(\lambda, A_0)^* \circ T : Z \rightarrow X^\odot$  is  $l$ -nuclear.

(ii): By a result due to Schlotterbeck-Lotz (personal communication), if  $Y$  is reflexive and  $Z$  contains no sublattice isomorphic to  $\ell^1$ , then  $\mathcal{N}^l(Z, Y) = \mathcal{L}^l(Z, Y)$ . Since by assumption  $R(\lambda, A_0)^* : X^* \rightarrow X^\odot$  is weakly compact, by a well-known result of Davis-Figiel-Johnson-Pelczynski [DFJP] there exists a reflexive space  $Y$  such that  $R(\lambda, A_0)^*$  admits the factorisation

$$X^* \xrightarrow{R_1} Y \xrightarrow{R_2} X^\odot.$$

Since  $T$  is c.a.s., the operator  $R_1 \circ T : Z \rightarrow Y$  is c.a.s. as well and we conclude that  $R_1 \circ T$  is  $l$ -nuclear. Then  $R(\lambda, A_0)^* \circ T = R_2 \circ R_1 \circ T$  is  $l$ -nuclear as well. ////

Note that both  $Z = C_0(\Omega)$  and  $Z = L^p(\mu)$ ,  $1 < p < \infty$  do not contain  $\ell^1$  as a sublattice.

Now we will discuss the case where we are given a  $C_0$ -semigroup  $T_0(t)$  on  $Z$ . In general for a bounded linear operator  $T$  on  $Z$ , the operator  $T \otimes id$  does not admit an extension to a bounded operator on  $Z \tilde{\otimes}_l X$ . If however  $T$  possesses a modulus  $|T|$ , then the extension exists and

$$\|T \tilde{\otimes}_l id\| \leq \| |T| \|.$$

Therefore in order to be sure that  $T_0(t) \otimes id$  admits an extension to a  $C_0$ -semigroup  $T(t) = T_0(t) \tilde{\otimes}_l id$  of bounded operators on  $Z \tilde{\otimes}_l X$ , we will assume that  $T_0(t)$  is a *positive* semigroup (see [Na]). Then for  $\lambda$  sufficiently large  $R(\lambda, A_0)$  is positive, hence  $R(\lambda, A_0) \otimes id$  extends to a bounded linear operator on  $Z \tilde{\otimes}_l X$ . One easily shows that this extension equals  $R(\lambda, A)$ , the resolvent of the generator  $A$  of  $T(t)$ . Similarly as in Proposition 3.3 one has that  $R(\lambda, A)^*$  considered as an operator on  $\mathcal{L}^l(Z, X^*) = (Z \tilde{\otimes}_l X)^*$  is given by

$$R(\lambda, A)^*(T) = T \circ R(\lambda, A_0).$$

In order to be able to identify  $(Z \tilde{\otimes}_l X)^\circ$  with  $Z^\circ \tilde{\otimes}_l X^*$  we need a certain compactness property of  $R(\lambda, A_0)$  which we will describe next.

**Definition 4.6.** An operator  $T \in \mathcal{L}(Z)$  is called *r-compact* if its modulus  $|T|$  exists and there is a sequence of finite rank operators  $\Phi_n \in Z^* \otimes Z$  such that

$$\lim_{n \rightarrow \infty} \| |T| - \Phi_n \| = 0.$$

The adjoint of an *r-compact* operator is *r-compact* again. Since  $\|T\| \leq \| |T| \|$ , every *r-compact* operator is compact. In case  $Z = L^1(\mu)$  or  $Z = C_0(\Omega)$  the converse is true (see [S2]). For  $Z = L^2(\mu)$  the situation is different. In [Fr2] an example is given of a positive compact operator on  $L^2(\mu)$  which is not *r-compact*. However, in  $L^2(\mu)$  every Hilbert-Schmidt operator is *r-compact*.

Note that a sufficient condition for *r-compactness* for a positive  $T$  is the existence of a positive sequence  $\Phi_n$  of finite rank operators satisfying  $0 \leq \Phi_n \leq T$  and  $\|T - \Phi_n\| \rightarrow 0$ . This is a convenient criterion to show that e.g. kernel operators are *r-compact*.

**Theorem 4.7.** Suppose  $T_0(t)$  is a positive  $C_0$ -semigroup on a Banach lattice  $Z$  whose resolvent  $R(\lambda, A_0)$  is *r-compact* for sufficiently large  $\lambda$ . Then  $(Z \tilde{\otimes}_l X)^\circ$  is the closure in  $Z^* \tilde{\otimes}_l X^*$  of  $Z^\circ \otimes X^*$ . If  $Z^\circ$  is a sublattice of  $Z^*$  then  $(Z \tilde{\otimes}_l X)^\circ = Z^\circ \tilde{\otimes}_l X^*$ .

*Proof:* As before, we will show that  $R(\lambda, A)^{2*}(\mathcal{L}^l(Z, X^*)) \subset \overline{\text{span}}(Z^\circ \otimes X^*)$ , the closure taken in  $Z^* \tilde{\otimes}_l X^*$ . By assumption there are finite rank operators  $\Phi_n$  satisfying  $\| |R(\lambda, A_0)| - \Phi_n \| \rightarrow 0$ . Given  $T \in \mathcal{L}^l(Z, X^*)$  it follows that

$$\begin{aligned} \|R(\lambda, A)^{2*}(T) - T \circ \Phi_n \circ R(\lambda, A_0)\|_l &= \|T \circ (R(\lambda, A_0) - \Phi_n) \circ R(\lambda, A_0)\|_l \\ &\leq \|T\|_l \| |R(\lambda, A_0)| - \Phi_n \| \|R(\lambda, A_0)\| \rightarrow 0. \end{aligned}$$

Moreover if  $\Phi_n = \sum_{i=1}^m z_i^* \otimes z_i$  then  $T \circ \Phi_n \circ R(\lambda, A_0) = \sum_{i=1}^m R(\lambda, A_0)^* z_i^* \otimes T z_i \in Z^\circ \otimes X^*$  and the first part of the theorem is proved. The additional statement is a consequence of the left-injectivity of the  $l$ -tensor product in the sense that if  $Z_1$  is a sublattice of  $Z_2$ , then  $Z_1 \tilde{\otimes}_l X$  can be identified with a closed subspace of  $Z_2 \tilde{\otimes}_l X$  (see [S2]). ////

By the result of de Pagter mentioned after 3.6, the second statement of 4.7 applies to the case where  $Z^*$  has order continuous norm.

**Corollary 4.8.** Suppose  $Z$  is a Banach lattice with  $Z^*$  having order continuous norm and let  $T_0(t)$  be a positive semigroup on  $Z$ . If  $R(\lambda, A_0)$  is  $r$ -compact for sufficiently large  $\lambda$ , then  $(Z \tilde{\otimes}_l X)^{\odot\odot} = Z \tilde{\otimes}_l X^{**}$ .

*Proof:* Since  $R(\lambda, A_0)$  is  $r$ -compact, hence compact, we have  $Z^{\odot\odot} = Z$ . Now since  $Z^*$  has order continuous norm, by the result of de Pagter  $Z^{\odot}$  is a projection band in  $Z^*$ . Hence we can apply Theorem 4.7 to find that  $(Z \tilde{\otimes}_l X)^{\odot} = Z^{\odot} \tilde{\otimes}_l X^*$ . Moreover, the canonical embedding  $Z \rightarrow Z^{\odot*}$  factorises as  $Z \rightarrow Z^{**} \rightarrow Z^{\odot*}$  where the second map is the adjoint of the inclusion map  $i : Z^{\odot} \rightarrow Z^*$ . But since  $Z^{\odot}$  is a band,  $i^*$  is a lattice homomorphism. Combining this with the embedding  $Z \rightarrow Z^{**}$  it follows that  $Z^{\odot\odot} = Z$  is a sublattice of  $Z^{\odot*}$ . Hence we can apply 4.7 to the positive semigroup  $T_0^{\odot}(t)$  on  $Z^{\odot}$ . Note that this semigroup has  $r$ -compact resolvent as well. Indeed,  $R(\lambda, A_0)^* : Z^* \rightarrow Z^*$  is  $r$ -compact and  $Z^{\odot}$  is complemented in  $Z^*$  by a positive projection. /////

Weak compactness is not sufficient for the conclusion of Theorem 4.7 to hold: take any uniformly continuous semigroup on  $L^p(\mu)$ ,  $1 < p < \infty$  and note that in general  $L^p(\mu; X)^* = (L^p(\mu) \tilde{\otimes}_l X)^* \neq L^q(\mu) \tilde{\otimes}_l X^* = L^q(\mu; X^*)$ .

**Remark 4.9.** An inspection of the proof of Theorem 4.7 shows that the assumption of  $r$ -compactness of the resolvent can be weakened to the following assumption:  $T \circ R(\lambda, A_0)$  is  $l$ -nuclear for every  $T \in \mathcal{L}^l(Z, X^*)$ . This condition is satisfied when e.g.  $Z = L^p(\mu)$  ( $1 < p < \infty$ ) and the resolvent  $R(\lambda, A_0)$  is represented by a positive measurable kernel  $k$ , i.e.,

$$(R(\lambda, A_0)f)(x) = \int k(x, y)f(y) d\mu(y) \quad \text{for } \mu\text{-a.a. } x,$$

where  $k$  satisfies the condition

$$\sup_x \int k(x, y)^q d\mu(y) < \infty, \quad \frac{1}{p} + \frac{1}{q} = 1.$$

This can be seen as follows. If  $T \in \mathcal{L}^l(L^p(\mu); X^*)$  then by 4.1(iv) there exists a function  $\phi \in L^q(\mu)$ ,  $\phi \geq 0$  such that  $\|Tf\| \leq \langle \phi, |f| \rangle$  for all  $f \in L^p(\mu)$ . Thus  $T$  has an extension to a bounded operator on  $L^1(\phi d\mu)$ , which we denote by  $T_1$ . Let  $i : L^p(\mu) \rightarrow L^1(\phi d\mu)$  be the canonical embedding. Then  $i \circ R(\lambda, A_0)$  is also represented by  $k$ . In order to show that  $i \circ R(\lambda, A_0)$  is  $l$ -nuclear we have to verify that  $k \in L^q(\mu) \tilde{\otimes}_l L^1(\phi d\mu) = L^q(\mu; L^1(\phi d\mu))$ . By Jensen's inequality,

$$\begin{aligned} \int \left| \int k(x, y)\phi(x) d\mu(x) \right|^q d\mu(y) &\leq \int \int k(x, y)^q \phi(x)^q d\mu(x) d\mu(y) \\ &= \int \left( \int k(x, y)^q d\mu(y) \right) \phi(x)^q d\mu(x) \\ &\leq \left( \sup_x \int k(x, y)^q d\mu(y) \right) \cdot \|\phi\|_q^q. \end{aligned}$$

Thus  $k \in L^q(\mu; L^1(\phi d\mu))$  and hence  $i \circ R(\lambda, A_0)$  is  $l$ -nuclear. Then  $T \circ R(\lambda, A_0) = T_1 \circ i \circ R(\lambda, A_0)$  is  $l$ -nuclear as well.

This criterion can be used for the translation group on  $L^p(\mathbb{R})$  ( $1 < p < \infty$ ). In this case  $R(\lambda, A_0)$  is given by

$$(R(\lambda, A_0)f)(x) = \int_x^\infty e^{\lambda(x-y)} f(y) dy,$$

so  $k(x, y) = e^{\lambda(x-y)} \chi_{(x, \infty)}$ . Hence for each  $x$ ,

$$\int_{\mathbb{R}} k(x, y)^q dy = \int_x^{\infty} e^{\lambda q(x-y)} dy = \frac{1}{\lambda q}.$$

Therefore we obtain:

**Theorem 4.10.** *Let  $T_0(t)$  be the translation group on  $L^p(\mathbb{R})$ ,  $1 < p < \infty$ . Then  $L^p(\mathbb{R}; X)^{\odot} = L^q(\mathbb{R}; X^*)$ .*

This example shows that the criterion from Remark 4.9 is weaker than the one of Theorem 4.7: for the translation group on  $L^p(\mathbb{R})$  the resolvent is not compact and therefore certainly not  $r$ -compact.

We close with an application of Theorems 4.5 and 4.7 to vector valued  $L^p(\mu)$ -spaces.

**Theorem 4.11.** *Consider a space  $L^p(\mu)$ ,  $1 < p < \infty$ , and an arbitrary Banach space  $X$ .*

(i) *Given a  $C_0$ -semigroup  $T_0(t)$  on  $X$  which is sun-reflexive, then the induced semigroup on  $L^p(\mu; X)$  is sun-reflexive as well. Moreover,  $L^p(\mu; X)^{\odot} = L^q(\mu; X^{\odot})$ .*

(ii) *Given a positive  $C_0$ -semigroup on  $L^p(\mu)$  with  $r$ -compact resolvent, then for the semigroup induced on  $L^p(\mu; X)$  we have  $L^p(\mu; X)^{\odot} = L^q(\mu; X^*)$  and  $L^p(\mu; X)^{\odot\odot} = L^p(\mu; X^{**})$ .*

*Proof:* (i):  $\ell^1$  does not embed into the reflexive space  $L^p(\mu)$ . (ii): Since  $L^p(\mu)$  is reflexive,  $L^p(\mu)^{\odot} = L^q(\mu)$  is a sublattice of  $L^q(\mu)$ . ////

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