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Completeness of combinations of constructor systems

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# **Completeness of Combinations of Constructor Systems**

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#### **ABSTRACT**

A term rewriting system is called complete if it is both confluent and strongly normalizing. Barendregt and Klop showed that the disjoint union of complete term rewriting systems does not need to be complete. In other words, completeness is not a modular property of term rewriting systems. Toyama, Klop and Barendregt showed that completeness is a modular property of left-linear TRS's. In this paper we show that it is sufficient to impose the constructor discipline for obtaining the modularity of completeness. This result is a simple consequence of a quite powerful divide and conquer technique for establishing completeness of such constructor systems. Our approach is not limited to systems which are composed of disjoint parts. The importance of our method is that we may decompose a given constructor system into parts which possibly share function symbols and rewrite rules in order to infer completeness. We obtain a similar technique for semi-completeness, i.e. the combination of confluence and weak normalization.

1985 Mathematics Subject Classification: 68Q50

1987 CR Categories: F.4.2

Key Words and Phrases: term rewriting systems, modularity, normalization, confluence.

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#### Introduction

A property of term rewriting systems is *modular* if it is preserved under disjoint union. Starting with Toyama [19], several authors studied modular aspects of term rewriting systems. Toyama [19] showed that confluence is a modular property. In [20] Toyama refuted the modularity of strong normalization by means of the following term rewriting systems:

$$\mathcal{R}_1 = \{ F(0, 1, x) \rightarrow F(x, x, x) \}$$

$$\mathcal{R}_2 = \begin{cases} g(x, y) \rightarrow x \\ g(x, y) \rightarrow y. \end{cases}$$

Both systems are terminating, but their union admits the following cyclic reduction:

$$F(g(0, 1), g(0, 1), g(0, 1)) \to F(0, g(0, 1), g(0, 1))$$

$$\to F(0, 1, g(0, 1))$$

$$\to F(g(0, 1), g(0, 1), g(0, 1)).$$

His counterexample inspired Rusinowitch [18] to the formulation of sufficient conditions for the strong normalization of the disjoint union of strongly normalizing term rewriting systems  $\mathcal{R}_1$  and  $\mathcal{R}_2$  in terms of the distribution of collapsing and duplicating rules among  $\mathcal{R}_1$  and  $\mathcal{R}_2$ . Rusinowitch's results were extended by Middeldorp [11]. Barendregt and Klop gave an example showing that completeness (i.e. the combination of confluence and strong normalization) is not a modular property, see Toyama [20]. Independently, Drosten [3] gave the following simpler counterexample:

$$\mathcal{R}_{1} = \begin{cases} F(0, 1, x) & \to F(x, x, x) \\ F(x, y, z) & \to 2 \\ 0 & \to 2 \\ 1 & \to 2 \end{cases}$$

$$\mathcal{R}_{2} = \begin{cases} g(x, y, y) & \to x \\ g(y, y, x) & \to x. \end{cases}$$

Both systems are easily shown to be complete. However, because both  $g(0, 1, 1) \rightarrow 0$  and  $g(0, 1, 1) \rightarrow 1$ , the term F(g(0, 1, 1), g(0, 1, 1), g(0, 1, 1)) has a cyclic reduction akin to the one in the previous counterexample. Toyama, Klop and Barendregt [22] showed that the restriction to left-linear term rewriting systems is sufficient for obtaining the modularity of completeness. Middeldorp [10] showed that the property of having unique normal forms is modular for general term rewriting systems. An interesting alternative approach to modularity is explored in Kurihara and Kaji [7]. Middeldorp [12, 13, 14] extended the above results to conditional term rewriting systems. Kurihara and Ohuchi [8] showed that strong normalization is a modular property of term rewriting systems whose strong normalization can be shown by a simplification ordering. They extended this result in [9] to term rewriting systems which share constructors. Constructors are function symbols which do not occur at the leftmost position in left-hand sides of rewrite rules. Dershowitz [1], Geser [4] and Toyama [21] give further results on combinations of term rewriting

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His counterexample inspired Rusinowitch [18] to the formulation of sufficient conditions for the strong normalization of the disjoint union of strongly normalizing term rewriting systems  $\mathcal{R}_1$  and  $\mathcal{R}_2$  in terms of the distribution of collapsing and duplicating rules among  $\mathcal{R}_1$  and  $\mathcal{R}_2$ . Rusinowitch's results were extended by Middeldorp [11]. Barendregt and Klop gave an example showing that completeness (i.e. the combination of confluence and strong normalization) is not a modular property, see Toyama [20]. Independently, Drosten [3] gave the following simpler counterexample:

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systems with common function symbols. A comprehensive survey of combinations of (conditional) term rewriting systems can be found in Middeldorp [15].

The starting point of the present paper is the refutation of the modularity of completeness. We show that instead of requiring left-linearity it is also possible to impose the so-called *constructor discipline* for obtaining the modularity of completeness. In a constructor system (a term rewriting system which obeys the constructor discipline) all function symbols occurring at non-leftmost positions in left-hand sides of rewrite rules are constructors. Many term rewriting systems that occur in practice follow this discipline, see e.g. O'Donnell [17]. Actually we prove a much stronger result. We show that a constructor system is complete if it can be *decomposed* into complete constructor systems. The important observation is that our notion of decomposition does not imply disjointness. Consider for example the constructor system

$$\mathcal{R} = \begin{cases} 0+x & \to & x \\ S(x)+y & \to & S(x+y) \\ 0\times x & \to & 0 \\ S(x)\times y & \to & x\times y+y \\ f(0) & \to & 0 \\ f(S(x)) & \to & f(x)+S(x). \end{cases}$$

We can decompose  $\mathcal{R}$  into

$$\mathcal{R}_{1} = \begin{cases} 0+x & \to x \\ S(x)+y & \to S(x+y) \\ 0\times x & \to 0 \\ S(x)\times y & \to x\times y+y \end{cases}$$

and

$$\mathcal{R}_2 = \begin{cases} 0+x & \to & x \\ S(x)+y & \to & S(x+y) \\ f(0) & \to & 0 \\ f(S(x)) & \to & f(x)+S(x). \end{cases}$$

Both systems are easily shown to be complete and our decomposition result yields the completeness of  $\mathcal{R}$ . Neither the result of Kurihara and Ohuchi [9] (because  $\mathcal{R}_1$  and  $\mathcal{R}_2$  share the non-constructor symbol +) nor the result of Dershowitz [1] (because  $\mathcal{R}_1$  and  $\mathcal{R}_2$  are not right-linear) applies.

In the next section we give a concise introduction to term rewriting. Extensive surveys are Dershowitz and Jouannaud [2] and Klop [6]. In Section 2 we introduce the concept of marked reduction which plays a crucial role in the proof of our main results. Section 3 contains our main results. We define a notion of decomposability and we show that completeness is a decomposable property of constructor systems. To appreciate the non-triviality of our result, it may be contrasted with the fact that neither confluence nor strong normalization is decomposable. We further show that semi-completeness (i.e the combination of confluence and weak normalization) is a

decomposable property of constructor systems.

#### 1. Preliminaries

Let  $\mathcal V$  be a countably infinite set of *variables*. A *term rewriting system* (TRS for short) is a pair  $(\mathcal F,\mathcal R)$ . The set  $\mathcal F$  consists of *function symbols*; associated to every  $F\in\mathcal F$  is a natural number denoting its arity. Function symbols of arity 0 are called *constants*. The set  $\mathcal T(\mathcal F,\mathcal V)$  of *terms* built from  $\mathcal F$  and  $\mathcal V$  is the smallest set such that  $\mathcal V\subset\mathcal T(\mathcal F,\mathcal V)$  and if  $F\in\mathcal F$  has arity n and  $t_1,\ldots,t_n\in\mathcal T(\mathcal F,\mathcal V)$  then  $F(t_1,\ldots,t_n)\in\mathcal T(\mathcal F,\mathcal V)$ . Identity of terms is denoted by  $\equiv$ . The *root symbol* of a term t is defined as follows: root(t)=F if  $t\equiv F(t_1,\ldots,t_n)$  and root(t)=t if  $t\in\mathcal V$ . The set  $\mathcal R$  consists of pairs (l,r) with  $l,r\in\mathcal T(\mathcal F,\mathcal V)$  subject to the following two constraints:

- (1) the left-hand side l is not a variable,
- (2) the variables which occur in the right-hand side r also occur in l.

Pairs (l, r) are called *rewrite rules* and will henceforth be written as  $l \to r$ . A rewrite rule  $l \to r$  is *left-linear* if l does not contain multiple occurrences of the same variable. A *left-linear* TRS only contains left-linear rewrite rules.

A substitution  $\sigma$  is a mapping from  $\mathcal{V}$  to  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  such that its domain  $\{x \in \mathcal{V} \mid \sigma(x) \not\equiv x\}$  is finite. Substitutions are extended to morphisms from  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  to  $\mathcal{T}(\mathcal{F}, \mathcal{V})$ , i.e.  $\sigma(F(t_1, ..., t_n)) \equiv F(\sigma(t_1), ..., \sigma(t_n))$  for every n-ary function symbol F and terms  $t_1, ..., t_n$ . We call  $\sigma(t)$  an instance of t. We write  $t^{\sigma}$  instead of  $\sigma(t)$ . An instance of a left-hand side of a rewrite rule is a redex (reducible expression). Let  $\square$  be a special constant symbol. A context  $C[\cdot, ..., \cdot]$  is a term in  $\mathcal{T}(\mathcal{F} \cup \{ \square \}, \mathcal{V})$ . If  $C[\cdot, ..., \cdot]$  is a context with n occurrences of  $\square$  and  $t_1, ..., t_n$  are terms then  $C[t_1, ..., t_n]$  is the result of replacing from left to right the occurrences of  $\square$  by  $t_1, ..., t_n$ . A context containing precisely one occurrence of  $\square$  is denoted by  $C[\cdot]$ . A term s is a subterm of a term t if there exists a context  $C[\cdot]$  such that  $t \equiv C[s]$ . If  $C[\cdot] \not\equiv \square$  then s is a proper subterm of t. We write  $s \subseteq t$  to indicate that s is a subterm of t.

The rewrite relation  $\to_{\mathcal{R}}$  is defined as follows:  $s \to_{\mathcal{R}} t$  if there exists a rewrite rule  $l \to r$  in  $\mathcal{R}$ , a substitution  $\sigma$  and a context C[] such that  $s \equiv C[l^{\sigma}]$  and  $t \equiv C[r^{\sigma}]$ . The transitive-reflexive closure of  $\to_{\mathcal{R}}$  is denoted by  $\to_{\mathcal{R}}$ ; if  $s \to_{\mathcal{R}} t$  we say that s reduces to t. We write  $s \leftarrow_{\mathcal{R}} t$  if  $t \to_{\mathcal{R}} s$ ; likewise for  $s \twoheadleftarrow_{\mathcal{R}} t$ . The transitive closure of  $\to_{\mathcal{R}}$  is denoted by  $\to_{\mathcal{R}}^+$  and  $\leftrightarrow_{\mathcal{R}}$  denotes the symmetric closure of  $\to_{\mathcal{R}}$  (so  $\leftrightarrow_{\mathcal{R}} = \to_{\mathcal{R}} \cup \leftarrow_{\mathcal{R}}$ ). The transitive-reflexive closure of  $\leftrightarrow_{\mathcal{R}}$  is called conversion and denoted by  $=_{\mathcal{R}}$ . If  $s =_{\mathcal{R}} t$  then s and t are convertible. Two terms  $t_1, t_2$  are joinable, notation  $t_1 \downarrow_{\mathcal{R}} t_2$ , if there exists a term  $t_3$  such that  $t_1 \to_{\mathcal{R}} t_3 \twoheadleftarrow_{\mathcal{R}} t_2$ . Such a term  $t_3$  is called a common reduct of  $t_1$  and  $t_2$ . We often omit the subscript  $\mathcal{R}$ .

A term s is a normal form if there is no term t with  $s \to t$ . A TRS is weakly normalizing if every term reduces to a normal form. A TRS is strongly normalizing if there are no infinite reduction sequences  $t_1 \to t_2 \to t_3 \to ...$ . In other words, every reduction sequence eventually ends in a normal form. A TRS is confluent or has the Church-Rosser property if for all terms  $s, t_1, t_2$  with  $t_1 \leftarrow s \to t_2$  we have  $t_1 \downarrow t_2$ . A well-known equivalent formulation of confluence is that every pair of convertible terms is joinable  $(t_1 = t_2 \Rightarrow t_1 \downarrow t_2)$ . A TRS is locally confluent if for all terms  $s, t_1, t_2$  with  $t_1 \leftarrow s \to t_2$  we have  $t_1 \downarrow t_2$ . A complete TRS is confluent and strongly normalizing. A semi-complete TRS is confluent and weakly normalizing. These properties of TRS's specialize to terms in the obvious way. If a term t has a unique normal form then we denote this normal form by  $t \downarrow$ .

The following well-known result is due to Newman [16].

NEWMAN'S LEMMA. Every strongly normalizing and locally confluent TRS is confluent. □

Let  $l_1 \to r_1$  and  $l_2 \to r_2$  be renamed versions of rewrite rules of a TRS  $\mathcal R$  such that they have no variables in common. Suppose  $l_1 \equiv C[t]$  with  $t \notin \mathcal V$  such that t and  $l_2$  are unifiable, i.e.  $t^\sigma \equiv l_2^\sigma$  for a most general unifier  $\sigma$ . The term  $l_1^\sigma \equiv C[l_2]^\sigma$  is subject to the reduction steps  $l_1^\sigma \to r_1^\sigma$  and  $l_1^\sigma \to C[r_2]^\sigma$ . The pair of reducts  $\langle C[r_2]^\sigma, r_1^\sigma \rangle$  is a critical pair of  $\mathcal R$ . If  $l_1 \to r_1$  and  $l_2 \to r_2$  are renamed versions of the same rewrite rule, we do not consider the case  $C[] \equiv \Box$ . A critical pair  $\langle s, t \rangle$  of a TRS  $\mathcal R$  is convergent if  $s \downarrow_{\mathcal R} t$ . The following lemma of Huet [5] expresses the significance of critical pairs.

CRITICAL PAIR LEMMA. A TRS  $\mathcal{R}$  is locally confluent if and only if all its critical pairs are convergent.  $\square$ 

A constructor system (CS for short) is a TRS  $(\mathcal{F}, \mathcal{R})$  with the property that  $\mathcal{F}$  can be partitioned into disjoint sets  $\mathcal{D}$  and  $\mathcal{C}$  such that every left-hand side  $F(t_1, ..., t_n)$  of a rewrite rule of  $\mathcal{R}$  satisfies  $F \in \mathcal{D}$  and  $t_1, ..., t_n \in \mathcal{T}(\mathcal{C}, \mathcal{V})$ . Function symbols in  $\mathcal{D}$  are called *defined symbols* and those in  $\mathcal{C}$  constructors. To emphasize the partition of  $\mathcal{F}$  into  $\mathcal{D}$  and  $\mathcal{C}$  we write  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  instead of  $(\mathcal{F}, \mathcal{R})$  and  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  is denoted by  $\mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$ .

Since the behaviour of a Turing machine can be simulated by a CS (see Klop [6] for details), CS's have universal computing power. The restriction on the left-hand sides of rewrite rules of CS's enables a considerable simplification of many concepts and proofs. For instance, if  $\langle s,t\rangle$  is a critical pair of a CS  $(\mathcal{D},\mathcal{C},\mathcal{R})$  then there exist different rewrite rules  $l_1 \to r_1, l_2 \to r_2 \in \mathcal{R}$  (with variables suitably renamed) and a most general unifier  $\sigma$  of  $l_1$  and  $l_2$  such that  $s \equiv r_1^{\sigma}$  and  $t \equiv r_2^{\sigma}$ .

## 2. Marked Reduction

In this section we introduce a new rewrite relation which plays an essential role in the proofs of our decomposition results. Throughout this section we will be dealing with an arbitrary CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ .

# **DEFINITION 2.1.**

- (1) The set  $\mathcal{D}^* = \{F^* \mid F \in \mathcal{D}\}$  consists of marked defined symbols. Terms in  $\mathcal{T}(\mathcal{D}^* \cup \mathcal{D}, \mathcal{C}, \mathcal{V})$  are called marked terms. An unmarked term belongs to  $\mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$ .
- (2) If t is a marked term then  $e(t) \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$  denotes the term obtained from t by erasing all marks and  $t^*$  denotes the term obtained from t by marking every unmarked defined symbol in t.
- (3) Two marked terms s and t are similar, notation  $s \approx t$ , if  $e(s) \equiv e(t)$ . If s and t are similar then their *intersection* is the unique term  $s \wedge t$  such that  $s \wedge t \approx s \approx t$  and a defined symbol occurrence in  $s \wedge t$  is marked if and only if the corresponding symbols in s and t are marked.
- (4) The set  $\mathcal{R}^*$  of marked rewrite rules is defined as  $\{l^* \to r^* \mid l \to r \in \mathcal{R}\}$ .

EXAMPLE 2.2. Consider the CS  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  with  $\mathcal{D}_1 = \{F, G\}, \mathcal{C}_1 = \{S, 0\},$ 

$$\mathcal{R}_1 = \begin{cases} F(S(x), y) & \to & G(x) \\ G(x) & \to & S(0) \end{cases}$$

and the reduction sequence

$$t \equiv F(S(G(0)), G(0)) \to F(S(G(0)), S(0)) \to G(G(0)) \to S(0).$$

If we mark some defined symbols in t then we can easily mimic this sequence by a reduction sequence in  $\mathcal{R}_1 \cup \mathcal{R}_1^*$ , for instance

$$F^*(S(G^*(0)),G(0)) \to_{\mathcal{R}_1} F^*(S(G^*(0)),S(0)) \to_{\mathcal{R}_1^*} G^*(G^*(0)) \to_{\mathcal{R}_1^*} S(0).$$

This correspondence does not hold for non-left-linear CS's. Consider the CS  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  with  $\mathcal{D}_2 = \{F\}, \mathcal{C}_2 = \{S\}, \mathcal{R}_2 = \{F(x, x) \rightarrow S(x)\}$  and the reduction step

$$F(F(0, S(0)), F(0, S(0))) \rightarrow S(F(0, S(0))).$$

The marked term  $F^*(F^*(0, S(0)), F(0, S(0)))$  cannot be reduced in  $\mathcal{R}_2 \cup \mathcal{R}_2^*$ .

By modifying the rewrite relation associated to  $\mathcal{R} \cup \mathcal{R}^*$  we are able to mimic every unmarked reduction sequence, irrespective of the marking attached to the starting term.

DEFINITION 2.3. We write  $s \to_m t$  if there exists a context C[], a rewrite rule

$$C_1[x_1, ..., x_n] \to C_2[y_1, ..., y_m]$$

in  $\mathcal{R} \cup \mathcal{R}^*$  (with all variables displayed) and terms  $s_1, \dots, s_n, t_1, \dots, t_m$  such that the following three conditions are satisfied:

- (1)  $s \equiv C[C_1[s_1, ..., s_n]]$  and  $t \equiv C[C_2[t_1, ..., t_m]]$ ,
- (2)  $s_i \approx s_j$  whenever  $x_i \equiv x_j$  for  $1 \le i < j \le n$ ,
- (3)  $t_i \equiv \wedge \{s_i \mid x_i \equiv y_i\} \text{ for } i = 1, ..., m.$

We call  $C_1[s_1, ..., s_n]$  a marked redex and the relation  $\rightarrow_m$  is called marked reduction.

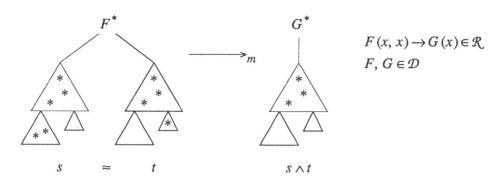


FIGURE 1.

Notice that  $\to_m$  coincides with  $\to_{\mathcal{R} \cup \mathcal{R}^*}$  whenever  $\mathcal{R}$  is left-linear.

EXAMPLE 2.4. Consider the CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  with  $\mathcal{D} = \{F, G\}, \mathcal{C} = \{S, 0\},$ 

$$\mathcal{R} = \begin{cases} F(x, x) & \to & S(x) \\ G(x) & \to & 0 \end{cases}$$

and the reduction sequence  $F(G(F(0, S(0))), G(F(0, S(0)))) \to S(G(F(0, S(0)))) \to S(0)$ . We have  $F^*(G^*(F^*(0, S(0))), G^*(F(0, S(0)))) \to_m S(G^*(F(0, S(0)))) \to_m S(0)$ .

The next proposition relates marked reduction to ordinary reduction. In part (2) it is essential that we restrict ourselves to CS's.

#### PROPOSITION 2.5.

- (1) If  $s \to_m t$  then  $e(s) \to e(t)$ .
- (2) If  $s \to t$  and  $e(s') \equiv s$  then there exists a term t' such that  $s' \to_m t'$  and  $e(t') \equiv t$ .

PROOF. Easy consequence of the definition of marked reduction.  $\square$ 

DEFINITION 2.6. If  $t \equiv C[t_1, ..., t_n]$  such that all defined symbols in C[, ..., ] are marked and every  $t_i$  (i = 1, ..., n) is unmarked then we call t a capped term. Furthermore, if  $root(t_i) \in \mathcal{D}$  for i = 1, ..., n then we write  $t \equiv C*[t_1, ..., t_n]*$ .

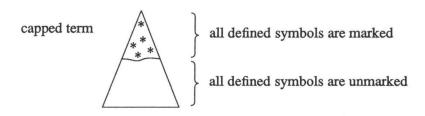


FIGURE 2.

## PROPOSITION 2.7.

- (1) If s and t are similar capped terms then  $s \wedge t$  is a capped term.
- (2) If s is a capped term and  $s \to_m t$  then t is a capped term.

PROOF. Straightforward.

DEFINITION 2.8. Let  $s \equiv C*[s_1, ..., s_n]*$  be a capped term.

- (1) Suppose  $s \to_m t$  by contraction of the marked redex  $\Delta$ . We write  $s \to_m^i t$  if  $\Delta$  occurs in one of  $s_1, \ldots, s_n$  and we write  $s \to_m^o t$  otherwise. The relation  $\to_m^i$  is called *inner* marked reduction and  $\to_m^o$  is called *outer* marked reduction.
- (2) We call s inside normalized if it is a normal form with respect to  $\rightarrow_m^i$ .

PROPOSITION 2.9. Let  $s \equiv C*[s_1, ..., s_n]*$  be a capped term.

- (1) If  $s \to_m^o t$  then  $t \equiv C' * [t_1, ..., t_m] * for some context <math>C'[, ..., ]$  and terms  $t_1, ..., t_m$  with  $\{t_1, ..., t_m\} \subseteq \{s_1, ..., s_n\}$ .
- (2) If  $s \to_m^i t$  then  $t \equiv C[s_1, ..., t_i, ..., s_n]$  for some term  $t_i$  with  $s_i \to t_i$ .

PROOF.

- (1) We will show that every maximal subterm of t with defined root symbols is a maximal subterm of s with defined root symbol. By definition there exists a context  $C[\ ]$ , a rewrite rule  $C_1[x_1,\ldots,x_n]\to C_2[y_1,\ldots,y_m]$  in  $\mathcal{R}^*$  (with all variables displayed) and terms  $s_1,\ldots,s_n,t_1,\ldots,t_m$  such that  $s\equiv C[C_1[s_1,\ldots,s_n]],t\equiv C[C_2[t_1,\ldots,t_m]],s_i\approx s_j$  whenever  $x_i\equiv x_j$  and  $t_i\equiv \wedge \{s_j\mid x_j\equiv y_i\}$ . Let u be a maximal subterm of t with defined root symbol. Because all defined symbols occurring in  $C_2[\ ,\ldots,\ ]$  are marked, we have  $u\subseteq C[\ ,\ldots,\ ]$  or  $u\subseteq t_i$  for some  $i\in\{1,\ldots,m\}$ . If  $u\subseteq C[\ ,\ldots,\ ]$  then u clearly is a maximal subterm of s with defined root symbol. Suppose  $u\subseteq t_i$  for some  $i\in\{1,\ldots,m\}$ . The term  $t_i$  can be written as the intersection of certain similar terms  $s_{i_1},\ldots,s_{i_p}$ . It is not difficult to show that u is a maximal subterm with defined root symbol of some  $s_{i_j}$  and hence—since all defined symbols in  $C_1[\ ,\ldots,\ ]$  are marked—u is a maximal subterm of s with defined root symbol.
- (2) Trivial.

PROPOSITION 2.10. If s is inside normalized and  $s \rightarrow_m t$  then t is inside normalized.

PROOF. If s is inside normalized then  $s \to_m t$  implies  $s \to_m^o t$  and hence we can apply Proposition 2.9(1) in order to obtain the inside normalization of t.  $\square$ 

PROPOSITION 2.11. Let t be inside normalized. If e(t) has a unique normal form then t has a unique normal form with respect to  $\rightarrow_m^o$  and  $e(t\downarrow_m^o) \equiv e(t) \downarrow$ .

PROOF. There exists a reduction sequence  $e(t) eq e(t) \downarrow$ . Repeated application of Proposition 2.5 yields a sequence t eq m t' such that  $e(t') \equiv e(t) \downarrow$  and t' is a normal form with respect to eq m. We obtain t eq m t' from Proposition 2.10. Notice that t' is the unique normal form of t with respect to eq m. Hence  $e(t \downarrow_m^o) \equiv e(t') \equiv e(t) \downarrow$ .  $\square$ 

DEFINITION 2.12. Let  $t \equiv C * [t_1, ..., t_n] *$  be a capped term. If  $t_1, ..., t_n$  are semi-complete then we define  $\psi(t) \equiv C[t_1 \downarrow, ..., t_n \downarrow]$ . Notice that  $\psi(t)$  is inside normalized.

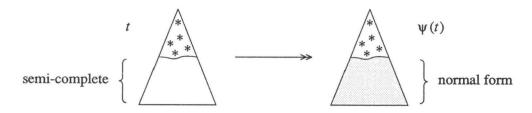


FIGURE 3.

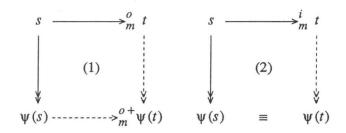
PROOF. We may write  $s \wedge t \equiv C * [s_1 \wedge t_1, ..., s_n \wedge t_n] *, s \equiv C [s_1, ..., s_n]$  and  $t \equiv C [t_1, ..., t_n]$ . For every  $i \in \{1, ..., n\}$  we have  $e(s_i) \equiv t_i$  or  $s_i \equiv e(t_i)$ . Fix i and assume without loss of generality that  $e(s_i) \equiv t_i$ . We have  $\psi(t_i) \equiv t_i \downarrow$  and  $s_i \longrightarrow_m \psi(s_i)$ . Proposition 2.5 yields  $e(s_i) \longrightarrow e(\psi(s_i))$  and

because  $t_i$  is semi-complete we obtain  $e(\psi(s_i))\downarrow \equiv t_i\downarrow$ . According to Proposition 2.11  $\psi(s_i)$  has a unique normal form with respect to  $\to_m^o$  and  $e(\psi(s_i)\downarrow_m^o) \equiv e(\psi(s_i))\downarrow$ . Define  $s_i' \equiv \psi(s_i)\downarrow_m^o$  and  $t_i' \equiv t_i\downarrow$ . Let  $s' \equiv C[s_1', \ldots, s_n']$  and  $t' \equiv C[t_1', \ldots, t_n']$ . Clearly  $\psi(s) \equiv C[\psi(s_1), \ldots, \psi(s_n)] \to_m^o s'$ ,  $\psi(t) \equiv C[\psi(t_1), \ldots, \psi(t_n)] \to_m^o t'$  and

$$\psi(s \wedge t) \equiv C\left[\psi(s_1 \wedge t_1), \dots, \psi(s_n \wedge t_n)\right] \equiv C\left[s_1' \wedge t_1', \dots, s_n' \wedge t_n'\right] \equiv s' \wedge t'.$$

LEMMA 2.14. Let s be a capped term such that  $\psi(s)$  is defined.

- (1) If  $s \to_m^o t$  then  $\psi(t)$  is defined and  $\psi(s) \to_m^{o+} \psi(t)$ .
- (2) If  $s \to_m^i t$  then  $\psi(t)$  is defined and  $\psi(s) \equiv \psi(t)$ .
- (3) If s is a normal form then  $\psi(s) \equiv s$ .



PROOF.

- (1) By definition there exists a context  $C[\ ]$ , a rewrite rule  $C_1[x_1,\ldots,x_n]\to C_2[y_1,\ldots,y_m]$  in  $\mathcal{R}^*$  (with all variables displayed) and terms  $s_1,\ldots,s_n,t_1,\ldots,t_m$  such that  $s\equiv C[C_1[s_1,\ldots,s_n]],\ t\equiv C[C_2[t_1,\ldots,t_m]],\ s_i\approx s_j$  whenever  $x_i\equiv x_j$  and  $t_i\equiv \wedge\{s_j\mid x_j\equiv y_i\}$ . According to Proposition 2.9(1)  $\psi(t)$  is defined. It is not difficult to show that  $\psi(s)\equiv C'[C_1[\psi(s_1),\ldots,\psi(s_n)]]$  and  $\psi(t)\equiv C'[C_2[\psi(t_1),\ldots,\psi(t_m)]]$  with  $C'[\ ]\equiv \psi(C[\ ])$ . With help of Proposition 2.13 we can find terms  $s_1',\ldots,s_n'$  such that  $\psi(s_i)\to_m^o s_i'$  for  $i=1,\ldots,n$  and if  $x_i\equiv x_j$  then  $s_i'\approx s_j'$  and  $\psi(s_i\wedge s_j)\equiv s_i'\wedge s_j'$ . We clearly have  $\psi(s)\to_m^o C'[C_1[s_1',\ldots,s_n']]$ . By construction we have  $\wedge\{s_j'\mid x_j\equiv y_i\}\equiv \psi(\wedge\{s_j\mid x_j\equiv y_i\})\equiv \psi(t_i)$ . Hence  $C'[C_1[s_1',\ldots,s_n']]\to_m^o C'[C_2[\psi(t_1),\ldots,\psi(t_m)]]$  and therefore  $\psi(s)\to_m^{o+}\psi(t)$ .
- (2) According to Proposition 2.9(2) we may write  $s \equiv C*[s_1, ..., s_i, ..., s_n]*$  and  $t \equiv C[s_1, ..., t_i, ..., s_n]$  with  $s_i \to t_i$ . Let  $t_i \equiv C'[u_1, ..., u_m]$  such that all maximal subterms of  $t_i$  with a defined root symbol are displayed. We have  $\psi(s) \equiv C[\psi(s_1), ..., \psi(s_i), ..., \psi(s_n)]$  and  $\psi(t) \equiv C[\psi(s_1), ..., C'[\psi(u_1), ..., \psi(u_m)], ..., \psi(s_n)]$ . Clearly

$$s_i \downarrow \equiv t_i \downarrow \equiv C'[u_1 \downarrow, \dots, u_m \downarrow].$$

Hence  $\psi(s_i) \equiv C'[\psi(u_1), \dots, \psi(u_m)]$  and therefore  $\psi(s) \equiv \psi(t)$ .

(3) Trivial.

The next example shows that in Lemma 2.14(1) we cannot replace  $\psi(s) \to_m^{o+} \psi(t)$  by  $\psi(s) \to_m^{o} \psi(t)$ .

EXAMPLE 2.15. Consider the CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  with  $\mathcal{D} = \{F, A\}, \mathcal{C} = \{S, B\},$ 

$$\mathcal{R} = \begin{cases} F(x, x) & \to & S(x) \\ A & \to & B \end{cases}$$

and let

$$s \equiv F^*(F^*(A^*, A), F^*(A, A^*)) \rightarrow_m^o S(F^*(A, A)) \equiv t.$$

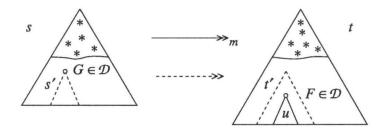
We have  $\psi(s) \equiv F^*(F^*(A^*, B), F^*(B, A^*)), \psi(t) \equiv S(F^*(B, B))$  and

$$\psi(s) \to_m^o F^*(F^*(B, B), F^*(B, A^*)) \to_m^o F^*(F^*(B, B), F^*(B, B)) \to_m^o \psi(t).$$

Clearly  $\psi(s)$  does not rewrite in a single  $\rightarrow_m^o$ -step to  $\psi(t)$ .

In the remainder of this section we prove some further properties of marked reduction which are needed in the next section.

LEMMA 2.16. Let s be a capped term and suppose  $s \to_m t$ . For every subterm u of t with  $root(u) \in \mathcal{D}$  we can find terms  $s' \subseteq s$  and  $t' \subseteq t$  such that  $root(s') \in \mathcal{D}$ ,  $s' \to s'$  and  $u \subseteq t'$ .



PROOF. We use induction on the length of  $s m_m t$ . The case of zero length is trivial. Suppose  $s m_m s_1 m_m t$  is a reduction sequence of length n > 0 and let u t with  $root(u) \in \mathcal{D}$ . From the induction hypothesis we obtain terms  $s'_1 s_1$  and t' t such that  $root(s'_1) \in \mathcal{D}$ ,  $s'_1 t'$  and u t'. Write s C t' with s t' we distinguish two cases.

- (1) Suppose  $s \to_m^o s_1$ . According to Proposition 2.9(1) we may write  $s_1 \equiv C' * [v_1, ..., v_p] *$  for some context C'[, ..., ] and terms  $v_1, ..., v_p$  with  $\{v_1, ..., v_p\} \subseteq \{u_1, ..., u_m\}$ . Because  $root(s'_1) \in \mathcal{D}$  we have  $s'_1 \subseteq v_i$  for some  $i \in \{1, ..., p\}$ . Hence  $s'_1 \subseteq s$  and we define  $s' \equiv s'_1$ .
- (2) If  $s \to_m^i s_1$  then we may write  $s_1 \equiv C[u_1, ..., v_i, ..., u_m]$  for some term  $v_i$  with  $u_i \to v_i$ . If  $s'_1 \subseteq u_j$  for some  $j \neq i$  then  $s'_1 \subseteq s$  and hence we take  $s' \equiv s'_1$ . Otherwise  $s'_1 \subseteq v_i$  and we define  $s' \equiv u_i$ . We clearly have  $u_i \to v_i \equiv C_1[s'_1] \twoheadrightarrow C_1[t'] \equiv C_1[C_2[u]]$  for some contexts  $C_1[t], C_2[t]$ .

DEFINITION 2.17. Let t be a marked term.

- (1) The set  $\{F \in \mathcal{D} \mid F^* \text{ occurs in } t\}$  is denoted by  $\mathcal{D}^*(t)$ .
- (2) A subset  $\mathcal{D}'$  of  $\mathcal{D}$  is unreachable from t if  $\mathcal{D}' \cap \mathcal{D}^*(t') = \emptyset$  whenever  $t \to_m t'$ .

DEFINITION 2.18. Let  $\mathcal{D}'$  be a subset of  $\mathcal{D}$ .

(1) A set of pairs  $\phi = \{\langle s_1, x_1 \rangle, \dots, \langle s_n, x_n \rangle\}$  is a  $\mathcal{D}'$ -replacement if  $x_1, \dots, x_n$  are mutually

distinct variables and  $s_1, \ldots, s_n$  are mutually distinct unmarked terms such that  $root(s_i) \in \mathcal{D}'$  for  $i=1,\ldots,n$ . Let  $t\equiv C$  [ $t_1,\ldots,t_m$ ] such that all maximal subterms of t with root symbol in  $\mathcal{D}'$  are displayed. We say that  $\phi$  is applicable to t if  $x_1,\ldots,x_n$  do not occur in t and  $\{t_1,\ldots,t_m\}\subseteq \{s_1,\ldots,s_n\}$ . In this case we may write  $t\equiv C$  [ $s_{i_1},\ldots,s_{i_m}$ ] with  $1\leq i_1,\ldots,i_m\leq n$  and we define  $\phi(t)\equiv C$  [ $x_{i_1},\ldots,x_{i_m}$ ].

(2) Let  $\phi = \{\langle s_1, x_1 \rangle, \dots, \langle s_n, x_n \rangle\}$  be a  $\mathcal{D}'$ -replacement. Suppose  $t \equiv C[x_{i_1}, \dots, x_{i_m}]$  such that all occurrences of the variables  $x_1, \dots, x_n$  in t are displayed. The term  $C[s_{i_1}, \dots, s_{i_m}]$  is denoted by  $\phi^{-1}(t)$ .

PROPOSITION 2.19. Let  $\mathcal{D}'$  be a subset of  $\mathcal{D}$ . For every term t there exists a  $\mathcal{D}'$ -replacement  $\phi$  which is applicable to t.

PROOF. Let  $\{t_1, ..., t_n\}$  be the set of all maximal subterms of t with root symbol in  $\mathcal{D}'$ . Choose fresh variables  $x_1, ..., x_n$  and define  $\phi = \{\langle t_1, x_1 \rangle, ..., \langle t_n, x_n \rangle\}$ . By construction  $\phi$  is a  $\mathcal{D}'$ -replacement applicable to t.  $\square$ 

PROPOSITION 2.20. Let  $\phi$  be a D'-replacement for some  $\mathcal{D}' \subseteq \mathcal{D}$ .

- (1) If  $s \rightarrow m t$  then  $\phi^{-1}(s) \rightarrow m \phi^{-1}(t)$ .
- (2) If  $\phi$  is applicable to t then  $\phi^{-1}(\phi(t)) \equiv t$ .
- (3) If  $\phi$  is applicable to a capped term t then  $e(\phi(t)) \equiv \phi(e(t))$ .

#### PROOF.

- (1) This follows from the fact that marked reduction is closed under substitutions.
- (2) Trivial.
- (3) Straightforward.

LEMMA 2.21. Let s be a capped term. Suppose  $\mathcal{D}' \subseteq \mathcal{D}$  is unreachable from s and  $\phi$  is a  $\mathcal{D}'$ -replacement applicable to s.

- (1) If  $s \to_m^o t$  then  $\phi$  is applicable to t and  $\phi(s) \to_m^o \phi(t)$ .
- (2) If s has an infinite  $\rightarrow_m^o$ -reduction then  $\phi(s)$  has an infinite  $\rightarrow_m^o$ -reduction.

#### PROOF.

- (1) Let  $s \equiv C * [s_1, ..., s_n] *$  and  $\phi = \{\langle u_1, x_1 \rangle, ..., \langle u_p, x_p \rangle\}$ . According to Proposition 2.9(1) we may write  $t \equiv C' * [t_1, ..., t_m] *$  for some context  $C'[\cdot, ..., \cdot]$  and terms  $t_1, ..., t_m$  with  $\{t_1, ..., t_m\} \subseteq \{s_1, ..., s_n\}$ . Because rewrite rules do not introduce new variables, all variables occurring in t already occur in s. If u is a maximal subterm of t with  $root(u) \in \mathcal{D}'$  then  $u \subseteq t_j$  for some  $j \in \{1, ..., m\}$  and hence  $u \subseteq s_k$  for some  $k \in \{1, ..., n\}$ . The assumption that  $\phi$  is applicable to s now yields the applicability of  $\phi$  to t. We clearly have  $\phi(s) \equiv C[\phi(s_1), ..., \phi(s_n)]$  and  $\phi(t) \equiv C'[\phi(t_1), ..., \phi(t_m)]$ . Let  $l \to r \in \mathcal{R}^*$  be the rewrite rule used in the step  $s \to_m^o t$ . Since  $\mathcal{D}^*(s) \cap \mathcal{D}' = \emptyset$  the rule  $l \to r$  is also applicable to  $\phi(s)$ . (The condition  $\mathcal{D}^*(s) \cap \mathcal{D}' = \emptyset$  is only needed for non-left-linear rewrite rules.) Therefore  $\phi(s) \to_m^o \phi(t)$ .
- (2) This is an immediate consequence of part (1) and the easy observation that  $\mathcal{D}'$  is unreachable from t whenever  $\mathcal{D}'$  is unreachable from t and t t.

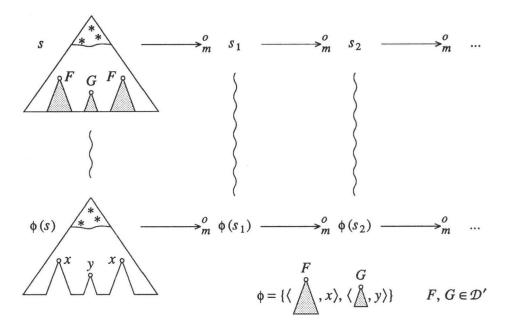


FIGURE 4.

# 3. Combinations of Constructor Systems

In this section we show that both completeness and semi-completeness exhibit the important compositional behaviour expressed in the next definition.

## **DEFINITION 3.1.**

- (1) Let  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  be a CS and suppose  $\mathcal{D}' \subseteq \mathcal{D}$ . The set  $\{l \to r \in \mathcal{R} \mid root(l) \in \mathcal{D}'\}$  is denoted by  $\mathcal{R} \mid \mathcal{D}'$ .
- (2) Two CS's  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  are composable if  $\mathcal{D}_1 \cap \mathcal{C}_2 = \mathcal{D}_2 \cap \mathcal{C}_1 = \emptyset$  and  $\mathcal{R}_1 \mid \mathcal{D}_2 = \mathcal{R}_2 \mid \mathcal{D}_1$ . The second requirement is equivalent to the condition that both CS's contain all rewrite rules which 'define' a defined symbol whenever that symbol is shared. The union of pairwise composable CS's  $\mathcal{CS}_1, \ldots, \mathcal{CS}_n$  is denoted by  $\mathcal{CS}_1 + \ldots + \mathcal{CS}_n$  and we say that  $\mathcal{CS}_1, \ldots, \mathcal{CS}_n$  is a decomposition of  $\mathcal{CS}_1 + \ldots + \mathcal{CS}_n$ .
- (3) A property  $\mathcal{P}$  of CS's is *decomposable* if for all pairwise composable CS's  $\mathcal{CS}_1, \ldots, \mathcal{CS}_n$  with the property  $\mathcal{P}$  we have that  $\mathcal{CS}_1 + \ldots + \mathcal{CS}_n$  has the property  $\mathcal{P}$ .

The counterexample of Toyama against the modularity of strong normalization shows that strong normalization is not a decomposable property of CS's. The following example of Huet [5] shows that also confluence is not decomposable.

EXAMPLE 3.2. Consider the CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  with  $\mathcal{D} = \{F, C\}, \mathcal{C} = \{S, A, B\}$  and

$$\mathcal{R} = \begin{cases} F(x, x) & \to & A \\ F(x, S(x)) & \to & B \\ C & \to & S(C). \end{cases}$$

Let  $\mathcal{D}_1 = \{F\}$ ,  $\mathcal{C}_1 = \mathcal{C}$ ,  $\mathcal{D}_2 = \{C\}$  and  $\mathcal{C}_2 = \{S\}$ . The confluent CS's  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$ ,  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  constitute a decomposition of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ , but  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  is not confluent since the term  $F(\mathcal{C}, \mathcal{C})$  can be reduced to the different normal forms A and B.

PROPOSITION 3.3. *let*  $\mathcal{P}$  *be a property of* CS's. *The following statements are equivalent:* 

- (1) P is decomposable;
- (2) for all composable CS's CS<sub>1</sub> and CS<sub>2</sub> with the property  $\mathcal{P}$  we have that CS<sub>1</sub> + CS<sub>2</sub> has the property  $\mathcal{P}$ .

#### PROOF.

- $(1) \Rightarrow (2)$  Trivial.
- (2)  $\Rightarrow$  (1) Let  $CS_1, ..., CS_n$  be pairwise composable CS's with the property  $\mathcal{P}$ . We will show by induction on n that  $CS_1 + ... + CS_n$  has the property  $\mathcal{P}$ . The case  $n \le 2$  is trivial. Suppose n > 2. From the induction hypothesis we know that  $CS_1 + ... + CS_{n-1}$  has the property  $\mathcal{P}$ . We have

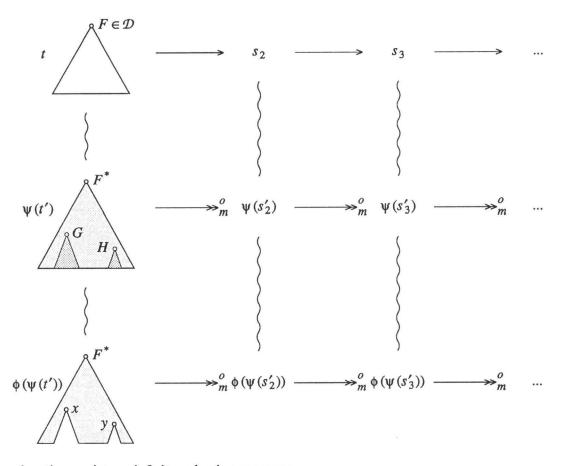
Hence  $CS_1 + ... + CS_{n-1}$  and  $CS_n$  are composable and by assumption  $CS_1 + ... + CS_n$  has the property  $\mathcal{P}$ .

#### LEMMA 3.4. Local confluence is decomposable.

PROOF. Let  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  be locally confluent and decomposable CS's. We have to show that their union  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  is locally confluent. According to the Critical Pair Lemma it sufficient to show that every critical pair of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  is convergent. If  $\langle s, t \rangle$  is a critical pair of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  then there exist rewrite rules  $l_1 \to r_1, l_2 \to r_2 \in \mathcal{R}$  and a substitution  $\sigma$  such that  $l_1^{\sigma} \equiv l_2^{\sigma}$ ,  $s \equiv r_1^{\sigma}$  and  $t \equiv r_2^{\sigma}$ . Choose  $k \in \{1, 2\}$  such that  $root(l_1) = root(l_2) \in \mathcal{D}_k$ . We have  $l_1 \to r_1, l_2 \to r_2 \in \mathcal{R}_k$  and because  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$  is locally confluent  $\langle s, t \rangle$  is  $\mathcal{R}_k$ -convergent and hence also  $\mathcal{R}$ -convergent.  $\square$ 

# THEOREM 3.5. Completeness is decomposable.

PROOF. Let  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  be complete and composable CS's. From Lemma 3.4 we obtain the local confluence of their union  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ . According to Newman's Lemma it suffices to show the strong normalization of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ . This will be established by induction on the structure of terms  $t \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$ . If t is a variable or a constructor constant then t is a normal form. If t is a defined constant then t belongs to some  $\mathcal{D}_k$  and because  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$  is strongly normalizing t cannot have an infinite reduction. For the induction step, let  $t \equiv F(t_1, \ldots, t_n)$  such that  $t_1, \ldots, t_n$  are strongly normalizing (and hence complete). If F is a constructor then t clearly is strongly normalizing. So assume that  $F \in \mathcal{D}$ . If t is not strongly normalizing



then there exists an infinite reduction sequence

$$t \equiv s_1 \to s_2 \to s_3 \to \dots \tag{1}$$

Let  $t' \equiv F^*(t_1, ..., t_n)$ . According to Proposition 2.5 we can find terms  $s'_i$  with  $e(s'_i) \equiv s_i$  such that

$$t' \equiv s_1' \to_m s_2' \to_m s_3' \to_m \dots \tag{2}$$

Using Lemma 2.16 and the assumption that  $t_1, ..., t_n$  are strongly normalizing, it is not difficult to show that sequence (2) contains infinitely many  $\rightarrow_m^o$ -steps. According to Lemma 2.14 we can transform sequence (2) into the marked reduction sequence

$$\psi(t') \equiv \psi(s'_1) \longrightarrow_m^o \psi(s'_2) \longrightarrow_m^o \psi(s'_3) \longrightarrow_m^o \dots$$
 (3)

which contains infinitely many steps. Choose  $k \in \{1, 2\}$  such that  $F \in \mathcal{D}_k$  and let  $\mathcal{D}' = \mathcal{D} - \mathcal{D}_k$ . It is easy to show that  $\mathcal{D}'$  is unreachable from  $\psi(t')$ . From Proposition 2.19 we obtain a  $\mathcal{D}'$ -replacement  $\phi$  which is applicable to  $\psi(t')$ . By Lemma 2.21(2) the term  $\phi(\psi(t'))$  has an infinite  $\rightarrow_m^o$ -reduction sequence

$$\phi(\psi(t')) \equiv \phi(\psi(s'_1)) \longrightarrow_m^o \phi(\psi(s'_2)) \longrightarrow_m^o \phi(\psi(s'_3)) \longrightarrow_m^o \dots$$
 (4)

If we erase all markers in this sequence we obtain an infinite reduction sequence starting from the term  $e(\phi(\psi(t')))$ . This contradicts the strong normalization of the CS  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$ .  $\square$ 

COROLLARY 3.6. Completeness is a modular property of CS's.

PROOF. Disjoint CS's are clearly composable.

COROLLARY 3.7. The union of complete CS's which do not share defined symbols is complete.

PROOF. Similar to the proof of Corollary 3.6.  $\square$ 

We now consider a more challenging situation in which Theorem 3.5 can be applied.

EXAMPLE 3.8. Consider the CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  with  $\mathcal{D} = \{+, \times, fib, g, <, \wedge, length, @, sum\}$ ,  $\mathcal{C} = \{0, S, true, false, nil, :\}$  and rewrite rules

0+x	$\rightarrow$	x	$r_1$
S(x)+y	$\rightarrow$	S(x+y)	$r_2$
$0 \times x$	$\rightarrow$	0	$r_3$
$S(x) \times y$	$\rightarrow$	$x \times y + y$	$r_4$
fib (0)	$\rightarrow$	S(0)	$r_5$
fib(S(0))	$\rightarrow$	S(0)	$r_6$
$fib\left(S\left(S\left(x\right)\right)\right)$	$\rightarrow$	fib(S(x)) + fib(x)	$r_7$
g (0)	$\rightarrow$	0	$r_8$
g(S(0))	$\rightarrow$	S(0)	$r_9$
$g\left(S\left(S\left(x\right)\right)\right)$	$\rightarrow$	S(g(S(g(0))))	$r_{10}$
x < 0	$\rightarrow$	false	$r_{11}$
0 < S(x)	$\rightarrow$	true	$r_{12}$
S(x) < S(y)	$\rightarrow$	x < y	$r_{13}$
$true \land false$	$\rightarrow$	false	$r_{14}$
false ∧true	$\rightarrow$	false	$r_{15}$
$x \wedge x$	$\rightarrow$	x	$r_{16}$
length (nil)	$\rightarrow$	0	$r_{17}$
length(x:y)	$\rightarrow$	S(length(y))	$r_{18}$
nil @ x	$\rightarrow$	x	$r_{19}$
(x:y) @ z	$\rightarrow$	x:(y @ z)	$r_{20}$
sum(nil)	$\rightarrow$	0	$r_{21}$
sum(x:y)	$\rightarrow$	x + sum(y)	$r_{22}$

Consider the decomposition  $(\mathcal{D}_i,\,\mathcal{C}_i,\,\mathcal{R}_i)_{i=1}^8$  defined as follows:

i	$\mathcal{D}_i$	$C_i$	$\mathcal{R}_i$	i	$\mathcal{D}_i$	$C_i$	$\mathcal{R}_i$
1	+×	0 S	$r_1 r_2 r_3 r_4$	5	^	true false	$r_{14} r_{15} r_{16}$
2	+ fib	0 S	$r_1  r_2  r_5  r_6  r_7$	6	length	0 S nil:	$r_{17} r_{18}$
3	g	0 S	$r_8 r_9 r_{10}$	7	@	nil:	$r_{19} r_{20}$
4	<	0 S true false	$r_{11} r_{12} r_{13}$	8	+ sum	0 S nil:	$r_1  r_2  r_{21}  r_{22}$

Routine arguments show that every  $(\mathcal{D}_i, \mathcal{C}_i, \mathcal{R}_i)$  is complete. Theorem 3.5 yields the completeness of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ .

The proof of the decomposability of semi-completeness is comparable to the proof of Theorem 3.5. First we show the decomposability of weak normalization.

# LEMMA 3.9. Weak normalization is decomposable.

PROOF. Suppose  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  are weakly normalizing and composable CS's and let  $(\mathcal{D}, \mathcal{C}, \mathcal{R}) = (\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1) + (\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$ . We will show by induction on the structure of t that every term  $t \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$  has a normal form. The case  $t \in \mathcal{D} \cup \mathcal{C} \cup \mathcal{V}$  is easy. Suppose  $t \equiv F(t_1, \dots, t_n)$  and  $t_1, \dots, t_n$  are weakly normalizing. Let  $s_i$  be a normal form of  $t_i$  for  $i = 1, \dots, n$  and define  $t' \equiv F(s_1, \dots, s_n)$ . If  $F \in \mathcal{C}$  then t' is a normal form of t. If  $F \in \mathcal{D}$  then there exists a  $k \in \{1, 2\}$  such that  $F \in \mathcal{D}_k$ . Let  $\mathcal{D}' = \mathcal{D} - \mathcal{D}_k$ . From Proposition 2.19 we obtain a  $\mathcal{D}'$ -replacement  $\phi$  which is applicable to t'. Since  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$  is weakly normalizing, the term  $\phi(t')$  has a normal form, say t''. Using Proposition 2.20 we obtain  $t \to t' \equiv \phi^{-1}(\phi(t')) \to \phi^{-1}(t'')$ . It is easy to show that  $\phi^{-1}(t'')$  is a normal form.  $\square$ 

# THEOREM 3.10. Semi-completeness is decomposable.

PROOF. Let  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  be semi-complete and composable CS's. From Lemma 3.9 we obtain the weak normalization of their union  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ . Hence it is sufficient to show that every term  $t \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$  has at most one normal form. We use induction on the structure of t. The case  $t \in \mathcal{D} \cup \mathcal{C} \cup \mathcal{V}$  is easy. Suppose  $t \equiv F(t_1, \dots, t_n)$  such that every  $t_i$  is semi-complete. If  $F \in \mathcal{C}$  then  $F(t_1 \downarrow, \dots, t_n \downarrow)$  is the unique normal form of t. Suppose  $F \in \mathcal{D}$  and let  $t' \equiv F^*(t_1, \dots, t_n)$ . Define  $\mathcal{D}_k$ ,  $\mathcal{D}'$  and  $\phi$  as in the proof of Theorem 3.5. First we show that if t has a normal form n then  $\phi(e(\psi(t'))) \rightarrow \phi(n)$ . With help of Proposition 2.5 and Lemma 2.14 we obtain a normal form n' such that  $\psi(t') \rightarrow_m^o n'$  and  $e(n') \equiv n$ . Repeated application of Lemma 2.21(1) yields  $\phi(\psi(t')) \rightarrow_m^o \phi(n')$ . Erasing all markers in this sequences gives us  $e(\phi(\psi(t'))) \rightarrow e(\phi(n'))$  and from Proposition 2.20(3) we obtain  $e(\phi(\psi(t'))) \equiv \phi(e(\psi(t')))$  and  $e(\phi(n')) \equiv \phi(n)$ . Now suppose that t has normal forms  $n_1$  and  $n_2$ . From the above discussion we learn that  $\phi(n_1) \leftarrow \phi(e(\psi(t'))) \rightarrow \phi(n_2)$ . Notice that  $\phi(n_1)$  and  $\phi(n_2)$  are normal forms. We obtain  $\phi(n_1) \equiv \phi(n_2)$  from the semi-completeness of  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$ . Hence  $n_1 \equiv \phi^{-1}(\phi(n_1)) \equiv \phi^{-1}(\phi(n_2)) \equiv n_2$  by Proposition 2.20(2).  $\square$ 

COROLLARY 3.11. The union of semi-complete CS's which do not share defined symbols is semi-complete.

PROOF. Easy consequence of Theorem 3.10. □

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COROLLARY 3.6. Completeness is a modular property of CS's.

PROOF. Disjoint CS's are clearly composable.

COROLLARY 3.7. The union of complete CS's which do not share defined symbols is complete.

PROOF. Similar to the proof of Corollary 3.6.  $\square$ 

We now consider a more challenging situation in which Theorem 3.5 can be applied.

EXAMPLE 3.8. Consider the CS  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$  with  $\mathcal{D} = \{+, \times, fib, g, <, \wedge, length, @, sum\}$ ,  $\mathcal{C} = \{0, S, true, false, nil, :\}$  and rewrite rules

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S(x)+y	$\rightarrow$	S(x+y)	$r_2$
$0 \times x$	$\rightarrow$	0	$r_3$
$S(x) \times y$	$\rightarrow$	$x \times y + y$	$r_4$
fib(0)	$\rightarrow$	S(0)	$r_5$
fib(S(0))	$\rightarrow$	S(0)	$r_6$
$fib\left(S\left(S\left(x\right)\right)\right)$	$\rightarrow$	fib(S(x))+fib(x)	$r_7$
g (0)	$\rightarrow$	0	$r_8$
g(S(0))	$\rightarrow$	S(0)	$r_9$
g(S(S(x)))	$\rightarrow$	S(g(S(g(0))))	$r_{10}$
x < 0	$\rightarrow$	false	$r_{11}$
0 < S(x)	$\rightarrow$	true	$r_{12}$
S(x) < S(y)	$\rightarrow$	<i>x</i> < <i>y</i>	$r_{13}$
true ∧ false	$\rightarrow$	false	$r_{14}$
false ∧true	$\rightarrow$	false	r <sub>15</sub>
$x \wedge x$	$\rightarrow$	X	$r_{16}$
length (nil)	$\rightarrow$	0	r <sub>17</sub>
length(x:y)	$\rightarrow$	S(length(y))	$r_{18}$
nil @ x	$\rightarrow$	x	$r_{19}$
(x:y) @ z	$\rightarrow$	x:(y @ z)	$r_{20}$
sum(nil)	$\rightarrow$	0	$r_{21}$
sum(x:y)	$\rightarrow$	x + sum(y)	$r_{22}$

Consider the decomposition  $(\mathcal{D}_i,\,\mathcal{C}_i,\,\mathcal{R}_i)_{i=1}^8$  defined as follows:

i	$\mathcal{D}_i$	$C_i$	$\mathcal{R}_i$	i	$\mathcal{D}_i$	$C_i$	$\mathcal{R}_i$
1	+×	0 S	$r_1 r_2 r_3 r_4$	5	^	true false	$r_{14} r_{15} r_{16}$
2	+ fib	0 S	$r_1  r_2  r_5  r_6  r_7$	6	length	0 S nil:	$r_{17} r_{18}$
3	g	0 S	$r_8 r_9 r_{10}$	7	@	nil:	$r_{19} r_{20}$
4	<	0 S true false	$r_{11} \; r_{12} \; r_{13}$	8	+ sum	0 S nil:	$r_1  r_2  r_{21}  r_{22}$

Routine arguments show that every  $(\mathcal{D}_i, \mathcal{C}_i, \mathcal{R}_i)$  is complete. Theorem 3.5 yields the completeness of  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ .

The proof of the decomposability of semi-completeness is comparable to the proof of Theorem 3.5. First we show the decomposability of weak normalization.

# LEMMA 3.9. Weak normalization is decomposable.

PROOF. Suppose  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  are weakly normalizing and composable CS's and let  $(\mathcal{D}, \mathcal{C}, \mathcal{R}) = (\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1) + (\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$ . We will show by induction on the structure of t that every term  $t \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$  has a normal form. The case  $t \in \mathcal{D} \cup \mathcal{C} \cup \mathcal{V}$  is easy. Suppose  $t \equiv F(t_1, \dots, t_n)$  and  $t_1, \dots, t_n$  are weakly normalizing. Let  $s_i$  be a normal form of  $t_i$  for  $i = 1, \dots, n$  and define  $t' \equiv F(s_1, \dots, s_n)$ . If  $F \in \mathcal{C}$  then t' is a normal form of t. If  $F \in \mathcal{D}$  then there exists a  $k \in \{1, 2\}$  such that  $F \in \mathcal{D}_k$ . Let  $\mathcal{D}' = \mathcal{D} - \mathcal{D}_k$ . From Proposition 2.19 we obtain a  $\mathcal{D}'$ -replacement  $\phi$  which is applicable to t'. Since  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$  is weakly normalizing, the term  $\phi(t')$  has a normal form, say t''. Using Proposition 2.20 we obtain  $t \to t' \equiv \phi^{-1}(\phi(t')) \to \phi^{-1}(t'')$ . It is easy to show that  $\phi^{-1}(t'')$  is a normal form.  $\square$ 

## THEOREM 3.10. Semi-completeness is decomposable.

PROOF. Let  $(\mathcal{D}_1, \mathcal{C}_1, \mathcal{R}_1)$  and  $(\mathcal{D}_2, \mathcal{C}_2, \mathcal{R}_2)$  be semi-complete and composable CS's. From Lemma 3.9 we obtain the weak normalization of their union  $(\mathcal{D}, \mathcal{C}, \mathcal{R})$ . Hence it is sufficient to show that every term  $t \in \mathcal{T}(\mathcal{D}, \mathcal{C}, \mathcal{V})$  has at most one normal form. We use induction on the structure of t. The case  $t \in \mathcal{D} \cup \mathcal{C} \cup \mathcal{V}$  is easy. Suppose  $t \equiv F(t_1, \dots, t_n)$  such that every  $t_i$  is semi-complete. If  $F \in \mathcal{C}$  then  $F(t_1 \downarrow, \dots, t_n \downarrow)$  is the unique normal form of t. Suppose  $F \in \mathcal{D}$  and let  $t' \equiv F^*(t_1, \dots, t_n)$ . Define  $\mathcal{D}_k$ ,  $\mathcal{D}'$  and  $\phi$  as in the proof of Theorem 3.5. First we show that if t has a normal form n then  $\phi(e(\psi(t'))) \rightarrow \phi(n)$ . With help of Proposition 2.5 and Lemma 2.14 we obtain a normal form n' such that  $\psi(t') \rightarrow_m^o n'$  and  $e(n') \equiv n$ . Repeated application of Lemma 2.21(1) yields  $\phi(\psi(t')) \rightarrow_m^o \phi(n')$ . Erasing all markers in this sequences gives us  $e(\phi(\psi(t'))) \rightarrow e(\phi(n'))$  and from Proposition 2.20(3) we obtain  $e(\phi(\psi(t'))) \equiv \phi(e(\psi(t')))$  and  $e(\phi(n')) \equiv \phi(e(n')) \equiv \phi(n)$ . Now suppose that t has normal forms  $n_1$  and  $n_2$ . From the above discussion we learn that  $\phi(n_1) \leftarrow \phi(e(\psi(t'))) \rightarrow_m \phi(n_2)$ . Notice that  $\phi(n_1)$  and  $\phi(n_2)$  are normal forms. We obtain  $\phi(n_1) \equiv \phi(n_2)$  from the semi-completeness of  $(\mathcal{D}_k, \mathcal{C}_k, \mathcal{R}_k)$ . Hence  $n_1 \equiv \phi^{-1}(\phi(n_1)) \equiv \phi^{-1}(\phi(n_2)) \equiv n_2$  by Proposition 2.20(2).  $\square$ 

COROLLARY 3.11. The union of semi-complete CS's which do not share defined symbols is semi-complete.

PROOF. Easy consequence of Theorem 3.10. □

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