



## Calculating Radio Emissions of Positive Streamer Phenomena Using 3D Simulations

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### Key Points:

- Streamer branching does not lead to significant radio emission in our simulations and lab experiments
- Stochastic fluctuations during streamer propagation increase radio emission at frequencies of 100 MHz and above
- When streamers encounter an already partially ionized region, their properties rapidly change leading to emissions up to several 100 MHz

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**Abstract** We study radio emissions from positive streamers in air using 3D simulations, from which the radiated electric field is computed by solving Jefimenko's equations. The simulations are performed at 0.5 bar using two photoionization methods: the Helmholtz approximation for a photon density and a Monte Carlo method using discrete photons, with the latter being the most realistic. We consider cases with single streamers, streamer branching, streamers interacting with preionization and streamer-streamer encounters. We do not observe a strong VHF radio signal during or after branching, which is confirmed by lab experiments. This indicates that the current inside a streamer discharge evolves approximately continuously during branching. On the other hand, stochastic fluctuations in streamer propagation due to Monte Carlo photoionization lead to more radio emission being emitted at frequencies of 100 MHz and above. Another process that leads to such high-frequency emission is the interaction of a streamer with a weakly preionized region, which can be present due to a previous discharge. In agreement with previous work, we observe the strongest and highest-frequency emission from streamer encounters. The amount of total energy that is radiated seems to depend primarily on the background electric field, and less on the particular streamer evolution. Finally, we present approximations for the maximal current along a streamer channel and a fit formula for a streamer's current moment.

**Plain Language Summary** The lightning channels in a thunderstorm are preceded by smaller discharges, so-called streamers, which propagate at velocities of hundreds to thousands of kilometers per second. We cannot see these streamers from the ground, but we can detect their radio emission. However, it is currently not fully clear what the main mechanisms are by which streamers produce radio emission. In this paper we therefore perform 3D computer simulations of streamers under different conditions, from which we compute the resulting radio emissions. We find that streamer branching (the splitting of a streamer channel in two new ones) does not lead to a significant radio signal, which we furthermore have confirmed with lab experiments. We also show that small fluctuations in a streamer's propagation, which should also occur naturally, lead to radio emission at higher frequencies than in the absence of such fluctuations. Several other cases are also investigated, such as a "collision" between two streamers and streamers in different background electric fields.

## 1. Introduction

Streamer discharges determine the initial stages of electric breakdown in air and other gases (Nijdam et al., 2020). In a tropospheric discharge they form streamer bursts that pave the way of lightning leaders, and streamers appear as sprite discharges in the mesosphere. Streamers are space-charge dominated, fast growing plasma filaments with an intricate inner structure. Due to their non-equilibrium nature, they efficiently create nitrogen oxides and ozone, and they also can contribute to electron runaway and consecutive further high energy radiation.

It is difficult to capture the optical light emission from streamers within a thundercloud, because light is scattered multiple times inside clouds. Besides visible light, streamers and related lightning phenomena emit electromagnetic radiation across a wide frequency range, from radio frequencies to microwaves (Petersen & Beasley, 2014) and beyond (Rakov & Uman, 2003). Since thunderclouds are transparent to radio emissions in the 3 kHz–300 GHz range, such emission can help to understand the phenomena taking place inside a thundercloud (Hare et al., 2018; N. Y. Liu et al., 2022).

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Brook and Kitagawa (1964) already measured the radiated electric field from lightning and suggested that the emissions from streamer discharges are in the VHF-UHF range, with VHF (very high frequency) corresponding to 30–300 MHz and UHF (ultra high frequency) corresponding to 0.3–3 GHz. In recent years, several authors have studied radio emissions from streamer discharges using axisymmetric simulations. Qin et al. (2012) simulated sprite streamers and determined their radio emission using the approximations presented by Uman et al. (1975). The authors present an estimate for the decay of the emitted spectrum toward higher frequencies, and they discuss scaling to different gas number densities. Shi et al. (2016) simulated streamer inception from hydrometeors in fields above and below the critical field  $E_k$ , and computed the radiated electromagnetic field. Luque (2017) performed a full electrodynamic simulation of counter-propagating streamers and their “collision” at atmospheric pressure at sub-breakdown conditions. Such collisions were observed to emit radiation in the range from 100 MHz to a few GHz. Shi et al. (2019) later studied streamer collisions in background electric fields above  $E_k$ , and Koile et al. (2021) studied collisions in fields below and above  $E_k$ . In all these studies, it was found that the background electric field was an important factor controlling the magnitude of the radio emissions. Furthermore, Garnung et al. (2021) studied the emissions of streamer collisions when they occur inside sprites, and they demonstrated that such phenomena could be detected by radiotelescopes.

Radio emissions from streamers have recently also been measured experimentally both in the laboratory and from natural lightning. Parkevich, Shpakov, et al. (2022) and Parkevich, Khirianova, et al. (2022) performed laboratory experiments to measure the radio emissions from streamer discharges and found that streamer formation processes emit electromagnetic radiation in the MHz-GHz range. Gushchin et al. (2021) performed laboratory experiments by charging a cloud of water droplets to trigger streamer discharges, and measured electromagnetic radiation in the microwave to UHF range. The UHF emissions produced by discharges can be relevant for technological applications, for example, for partial discharge detection in high-voltage equipment, as discussed by Judd et al. (1996).

In natural lightning, VHF and higher frequency radio emissions are routinely observed from almost all negative leaders, presumably from negative polarity streamers. In contrast, VHF radio emissions from positive leaders and thus positive streamers are much weaker and often undetectable. Pu et al. (2021) reported VHF measurements from a strong and very close positive CG leader and found a VHF spectrum that was mostly flat up until 80 MHz above which it dropped steeply in amplitude. Pu et al. (2022) looked at fast positive breakdown (admittedly an extreme case for positive streamers) and measured a flat VHF-UHF spectrum all the way up to almost 400 MHz. And Scholten et al. (2023) looked for VHF emissions from in-cloud positive leaders and found none despite the very high sensitivity of the LOFAR array. These three scenarios are all different, but they do show that VHF radio emissions from positive streamers are quite variable, and the underlying mechanism of VHF emissions from positive streamers in natural lightning is poorly understood.

Typical time scales in a discharge scale approximately inversely with the gas number density  $N$  (Ebert et al., 2010). Since times scale as  $1/N$ , frequencies scale as  $N$ . The same type of phenomena can therefore result in radio emission at different frequencies depending on their altitude. For example, the emissions from head-on sprite streamer collisions at an altitude of 70 km occur in a spectral range from a few kHz up to a few hundred kHz (Garnung et al., 2021), whereas the corresponding spectral range for streamer collisions at atmospheric pressure is a few hundred MHz up to a few GHz (Luque, 2017; Shi et al., 2019).

In this paper, we for the first time compute radio emissions from 3D simulations of positive streamers in air, and we calculate the different spatial components of the electric field at a horizontal distance of 1 km from the approximately vertical discharges. We consider several cases involving single streamers, streamer branching, the interaction of streamers with a preionized channel and a collision between streamers of opposite polarity. The simulations are performed with a 3D fluid model in sub-breakdown conditions. We consider two approaches for photoionization: the so-called Helmholtz approximation where photons are approximated as a density and a Monte Carlo method that takes the full discrete nature of the photons into account (Bagheri & Teunissen, 2019). We compute the radiated electric field for all these cases by directly solving Jefimenko's equations for the computed charge density and current density. Another novel aspect of our work is that we use so-called scalograms, computed using wavelet analysis, to show how the emission spectrum evolves over time. Simple estimates for the current and the current moment of a streamer discharge are provided. Furthermore, we present laboratory measurements of the discharge current and the emitted radiation during streamer branching events.

**Table 1**  
*List of Reactions Included in the Model*

Reaction	Rate coefficient
$e + N_2 \xrightarrow{k_1} e + e + N_2^+ (15.60 \text{ eV})$	$k_1(E/N)$
$e + N_2 \xrightarrow{k_2} e + e + N_2^+ (18.80 \text{ eV})$	$k_2(E/N)$
$e + O_2 \xrightarrow{k_3} e + e + O_2^+$	$k_3(E/N)$
$e + O_2 + O_2 \xrightarrow{k_4} O_2^- + O_2$	$k_4(E/N)$
$e + O_2 \xrightarrow{k_5} O^- + O$	$k_5(E/N)$
$e + N_2 \xrightarrow{k_6} e + N_2(C^3\Pi_u)$	$k_6(E/N)$
$N_2(C^3\Pi_u) + N_2 \xrightarrow{k_7} N_2 + N_2$	$k_7 = 0.13 \times 10^{-16} \text{ m}^3\text{s}^{-1}$
$N_2(C^3\Pi_u) + O_2 \xrightarrow{k_8} N_2 + O_2$	$k_8 = 3.0 \times 10^{-16} \text{ m}^3\text{s}^{-1}$
$N_2(C^3\Pi_u) \xrightarrow{k_9} N_2(B^3\Pi_g)$	$k_9 = 1/(42 \text{ ns})$

The paper is organized as follows. We first present the simulation model and the methods for computing and analyzing the radiated field in Section 2. Results for all the simulation cases are presented and discussed in Section 3. Finally, we present experimental results on the effect of branching on the streamer current in Section 4, and we give numerical details on the solution of Jefimenko's equation in Appendix A. Appendices B and C discuss the far field approximation of the radio waves and the numerical implementation of discrete photo-ionization.

## 2. Simulation Method

We perform full 3D streamer simulations with the classical drift-diffusion-reaction fluid model using the open-source `afivo-streamer` code (Teunissen & Ebert, 2017, 2018). For a comparison of this model against experiments and against particle simulations see (Li et al., 2021; Wang et al., 2022). We briefly summarize the main aspects of the model below, further details are given for example, by Teunissen and Ebert (2017) and Malla et al. (2023).

### 2.1. Model

In the classical drift-diffusion-reaction fluid model the electron density  $n_e$  evolves in time as

$$\partial_t n_e = \nabla \cdot (\mu_e \mathbf{E} n_e + D_e \nabla n_e) + S_e + S_{\text{ph}}, \quad (1)$$

where  $\mu_e$  is the electron mobility coefficient,  $D_e$  the diffusion coefficient,  $\mathbf{E}$  is the electric field,  $S_e$  the source (and sink) term of free electrons due to the processes listed in Table 1, and  $S_{\text{ph}}$  is the photo-ionization source term. In this paper, we consider relatively short time scales of up to about 120 ns at 500 mbar; this pressure corresponds to an altitude of about 5 km according to the 1976 US standard atmosphere. The motion of ion and neutral species is therefore not taken into account, so that their densities  $n_i$  (for  $i = 1, \dots, n$ ) evolve as

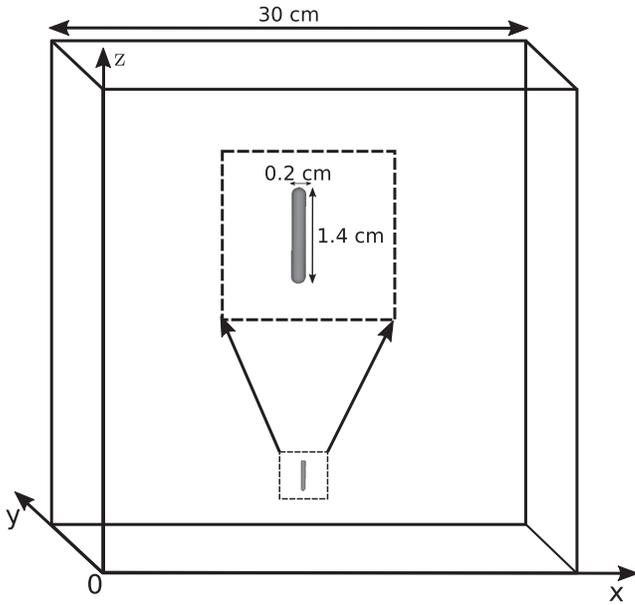
$$\partial_t n_i = S_i, \quad (2)$$

where the  $S_i$  are the respective source terms due to reactions. We use the same reactions as Li et al. (2021); they are given in Table 1.

The electron transport coefficients and the electron-neutral reaction rates  $k_1$  to  $k_6$  are assumed to depend on the reduced local electric field (local field approximation)  $E/N$ , where  $N$  is the gas number density. These coefficients were computed using BOLSIG-(Hagelaar & Pitchford, 2005) using Phelps' cross-section data for  $N_2$  and  $O_2$  (Phelps database, 2021). We compute optical light emission from the second positive system of  $N_2$  using the reaction rates given by Pancheshnyi et al. (2005). They are given in the last three rows in Table 1.

The photoionization source term  $S_{\text{ph}}$  is computed using the model of Zheleznyak et al. (1982), using either the continuum approach like Bagheri et al. (2018) or the discrete Monte-Carlo approach as for example Bagheri and Teunissen (2019) and Wang et al. (2023). The discrete approach is the most realistic, as it takes the quantization of the photons into account and therefore reproduces the stochastic fluctuations in the electron density ahead of a streamer that should physically be there, as described in Appendix C. These fluctuations are an important trigger for the branching of streamers, and including them in a model can reproduce the branching observed in experiments (Wang et al., 2023). The Helmholtz approach has the practical advantage that streamers develop non-stochastically, which can make it easier to study how certain conditions affect the streamer's development. The two photoionization approaches are compared in Section 3.1, and the discrete approach is used in Section 3.3 to obtain branching streamers.

The electric field  $\mathbf{E}$  is calculated in the electrostatic approximation as  $\mathbf{E} = -\nabla\phi$ . Here  $\phi$  is the electrostatic potential, which is obtained by solving the Poisson equation with a multigrid method (Teunissen & Ebert, 2018)



**Figure 1.** The  $(30 \text{ cm})^3$  computational domain, with a zoom into the initial ionization seed in the inlay; such seeds are used to start discharges, see Section 2.3. Table 2 lists the locations of the seeds for the different cases considered in this paper. The maximal ionization density inside the seed is  $2.5 \cdot 10^{19} \text{ m}^{-3}$ .

$$\nabla^2 \phi = -\rho/\epsilon_0, \quad (3)$$

where  $\rho$  is the charge density and  $\epsilon_0$  is the vacuum permittivity. For a discussion of the validity of the electrostatic approximation, see Section 5.1 of Nijdam et al. (2020).

The electric potential is fixed on the bottom and top of the domain, and homogeneous Neumann boundary conditions are used on the other sides which means that the electrical field is parallel to these lateral boundaries. In this way, a homogeneous background electric field  $E_{bg}$  is applied. For species densities, homogeneous Neumann boundary conditions are used on all domain boundaries, but simulations are stopped before the discharges get close to a boundary.

## 2.2. Computational Domain

All simulations are performed in a domain of  $(30 \text{ cm})^3$ , which is illustrated in Figure 1. This domain contains dry air (80%  $\text{N}_2$ , 20%  $\text{O}_2$ ) at a pressure of 500 mbar. The simulations are performed with adaptive mesh refinement (AMR), as described by Teunissen and Ebert (2017).

## 2.3. Initial Conditions

To start electric discharges we place one or more elongated ionized seeds in the domain. These seeds are initially electrically neutral. In the background field they rapidly become polarized, and hence they provide field enhance-

ment and initial electrons, which are both needed for streamer inception. We use electrically neutral seeds defined by a vertical line segment of 12 mm and a “radius”  $r_s = 0.5 \text{ mm}$  (we remark that the actual radius is larger due to the decay profile). The density of electrons and  $\text{O}_2^+$  ions along the seed is given by  $n_{\text{seed}} \cdot f_{\text{ss}}[(d - r_s)/r_s]$ , where  $d$  is the distance from the line segment,  $n_{\text{seed}} = 2.5 \cdot 10^{19} \text{ m}^{-3}$  and  $f_{\text{ss}}$  is a smooth step function defined by  $f_{\text{ss}}(x) = 1 - 3x^2 + 2x^3$  for  $0 \leq x \leq 1$ ,  $f_{\text{ss}}(x) = 1$  for  $x < 0$  and  $f_{\text{ss}}(x) = 0$  for  $x > 1$ . An example of such a seed is shown in Figure 1.

## 2.4. Calculating the Radiated Electric Field

According to a reformulation of the Maxwell equations, the electromagnetic field at a point of observation  $\mathbf{r}$  due to an evolving space charge distribution can be computed using Jefimenko's equations (Griffiths, 2017; Jefimenko, 1966)

$$\mathbf{E}(\mathbf{r}, t) = \frac{1}{4\pi\epsilon_0} \int \left[ \frac{\rho(\mathbf{r}', t_r)}{\mathcal{R}^2} \hat{\mathbf{R}} + \frac{1}{c\mathcal{R}} \partial_t \rho(\mathbf{r}', t_r) \hat{\mathbf{R}} - \frac{1}{c^2 \mathcal{R}} \partial_t \mathbf{j}(\mathbf{r}', t_r) \right] d^3 \mathbf{r}', \quad (4)$$

$$\mathbf{B}(\mathbf{r}, t) = \frac{\mu_0}{4\pi} \int \left[ \frac{\mathbf{j}(\mathbf{r}', t_r)}{\mathcal{R}^2} + \frac{1}{c\mathcal{R}} \partial_t \mathbf{j}(\mathbf{r}', t_r) \right] \times \hat{\mathbf{R}} d^3 \mathbf{r}', \quad (5)$$

where  $\mathcal{R} = |\mathbf{r} - \mathbf{r}'|$ ,  $\hat{\mathbf{R}} = (\mathbf{r} - \mathbf{r}')/|\mathbf{r} - \mathbf{r}'|$ ,  $c$  is the speed of light,  $\rho$  is the charge density,  $\mathbf{j}$  is the current density, and  $t_r$  is the retarded time given by

$$t_r = t - \mathcal{R}/c. \quad (6)$$

Equations 4 and 5 are time-dependent generalizations of Coulombs' and Biot-Savart's laws respectively, where the first term in each of these equations corresponds to the static case, and decays like  $1/\mathcal{R}^2$ . Our interest here is in the radiated fields, which correspond to the terms that drop as  $1/\mathcal{R}$ :

$$\mathbf{E}_{\text{rad}}(\mathbf{r}, t) = \frac{1}{4\pi\epsilon_0} \int \left[ \frac{1}{cR} \partial_t \rho(\mathbf{r}', t_r) \hat{\mathbf{R}} - \frac{1}{c^2 R} \partial_t \mathbf{j}(\mathbf{r}', t_r) \right] d^3 \mathbf{r}', \quad (7)$$

$$\mathbf{B}_{\text{rad}}(\mathbf{r}, t) = \frac{\mu_0}{4\pi} \int \frac{1}{cR} \partial_t \mathbf{j}(\mathbf{r}', t_r) \times \hat{\mathbf{R}} d^3 \mathbf{r}'. \quad (8)$$

We numerically compute  $\mathbf{E}_{\text{rad}}(\mathbf{r}_{\text{obs}}, t)$  at a certain observation location  $\mathbf{r}_{\text{obs}}$  using the output of  $\rho$  and  $\mathbf{j} = e\mu_e n_e \mathbf{E}$  from our simulations. The details of this procedure are described in Appendix A. Values for  $\mathbf{E}_{\text{rad}}(\mathbf{r}_{\text{obs}}, t)$  are typically computed every 0.5 ns, which corresponds to a sampling rate of 2 GHz. However, for the cases in a background electric field of 10 kV/cm we use a higher sampling rate of 20 GHz.

We remark that in previous work considering axisymmetric simulations, authors have typically used the relation

$$E_{\text{rad}} = c B_{\text{rad}}. \quad (9)$$

This relation stems from the far field approximation for electromagnetic waves in vacuum as discussed in more detail in Appendix B. If the current distribution is approximated by a line current  $I(z, t)$  in the vertical  $z$  direction, the  $\phi$  component of the radiated magnetic field from Equation 8 can be computed as

$$B_{\text{rad},\phi}(\mathbf{r}, t) = \frac{\mu_0}{4\pi} \int \frac{\sin \theta}{cR} \partial_t I(z, t_r) dz, \quad (10)$$

where  $\theta$  is the polar angle of the receiver with respect to the source location, see for example (Shao, 2016; Shi et al., 2016). For a far-away observer,  $E_{\text{rad}}$  can further be approximated as

$$E_{\text{rad}}(\mathbf{r}, t) \approx \frac{\mu_0}{4\pi} \frac{\sin \theta}{R} \partial_t M(t_r), \quad (11)$$

where  $M(t)$  is the current moment obtained by integrating  $I(z, t)$  over  $z$ , see for example, (N. Liu et al., 2020). Note that the variation in  $t_r$  along the source is not taken into account in Equation 11. This is a good approximation when  $\sin \theta \approx 1$  or when the spatial extent of the source is small compared to the shortest wavelength of interest.

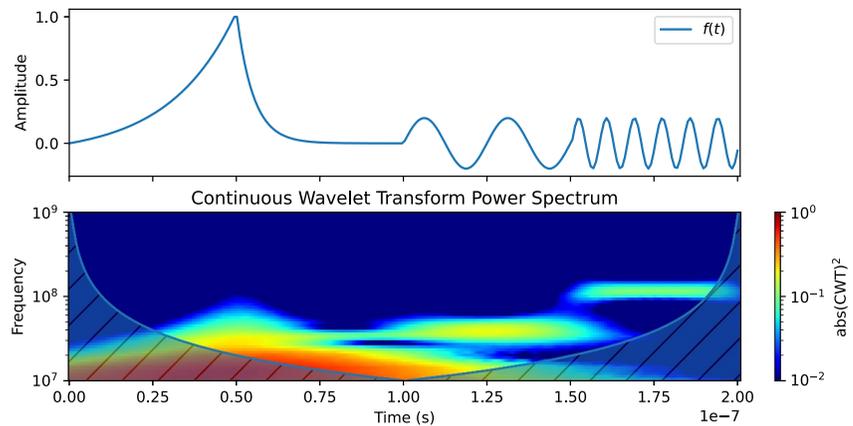
In the present paper we work directly with Equation 4 for arbitrary current and charge density since we will consider 3D simulations in which currents can flow in all directions, and since we were not sure beforehand what kind of approximations we could make. In hindsight, we probably could have used Equation 11. Details about the numerical evaluation of Equation 4 on an AMR grid are given in Appendix A.

Note that the effect of the radiated electric field on the discharge itself is not taken into account here, as this effect would typically be very small and because this requires a much more expensive electrodynamic computation of the fields and their influence on the discharge evolution. As discussed by Luque (2017), there could be a noticeable effect of the radiated field on encounters between streamers of opposite polarities, due to the very rapid change in the current.

## 2.5. Time-Frequency Analysis Procedure

We perform a time-frequency analysis of  $\mathbf{E}_{\text{rad}}(\mathbf{r}_{\text{obs}}, t)$  using a continuous wavelet transform (CWT) (Sejdic et al., 2008; Torrence & Compo, 1998), as implemented in the open-source python package `PyWavelets` (Lee et al., 2019). With this approach, we obtain spectrograms (or more specifically scaleograms, as they are called when doing wavelet analysis) that show how different frequencies are emitted as a function of time. An example is shown in Figure 2. For our CWT, we use a Complex-Morlet wavelet (Stephane, 1999) with a central frequency of 1 GHz and scale range of [0.1, 100] so that it can resolve frequencies in the range 10 MHz–10 GHz.

The signals we are considering are non-periodic, so some assumption has to be made about the continuation of these signals outside the considered time window. The regions where values are affected by the boundaries of the signal are indicated in Figure 2. We here assume the signal is extended with a constant value, so that if the signal  $f(t)$  is given from  $t = 0$  to  $t = T$ , we have  $f(-T/2 < t < 0) = f(0)$  and  $f(T < t < T + T/2) = f(T)$ . In general,



**Figure 2.** Example of a scaleogram for an artificial signal  $f(t)$  consisting of four parts: exponential growth and decay with time constants  $\tau = 20$  ns and  $\tau = 5$  ns, and sine waves with frequencies of 40 MHz and 120 MHz. The signal was sampled at 256 points. Shown is the so-called power spectrum, in which the color indicates the power in the signal as a function of frequency and time. The regions where values are uncertain due to boundary effects are shaded.

$E_{\text{rad}}$  at later times will of course not be constant, but this simple assumption has the advantage that  $f(t)$  is continuous and that no strong artificial emission occurs due to boundary effects.

### 3. Results

In the subsections below we present the simulation cases that are summarized in Table 2. The used background electric fields  $E_{\text{bg}}$  range from 6 to 10 kV/cm, which correspond to about 40%–67% of the critical field at 500 mbar. Since we fix the electric potential on top and bottom of our computational domain, streamers will experience a higher effective field when they have crossed a significant part of the gap, because the field in their channels will typically be much lower than  $E_{\text{bg}}$ . In all cases, the radiated field is computed at  $\mathbf{r}_{\text{obs}} = (0, 1 \text{ km}, 0)$ , that is, at 1 km distance in the +y direction.

#### 3.1. Single Streamer Propagation

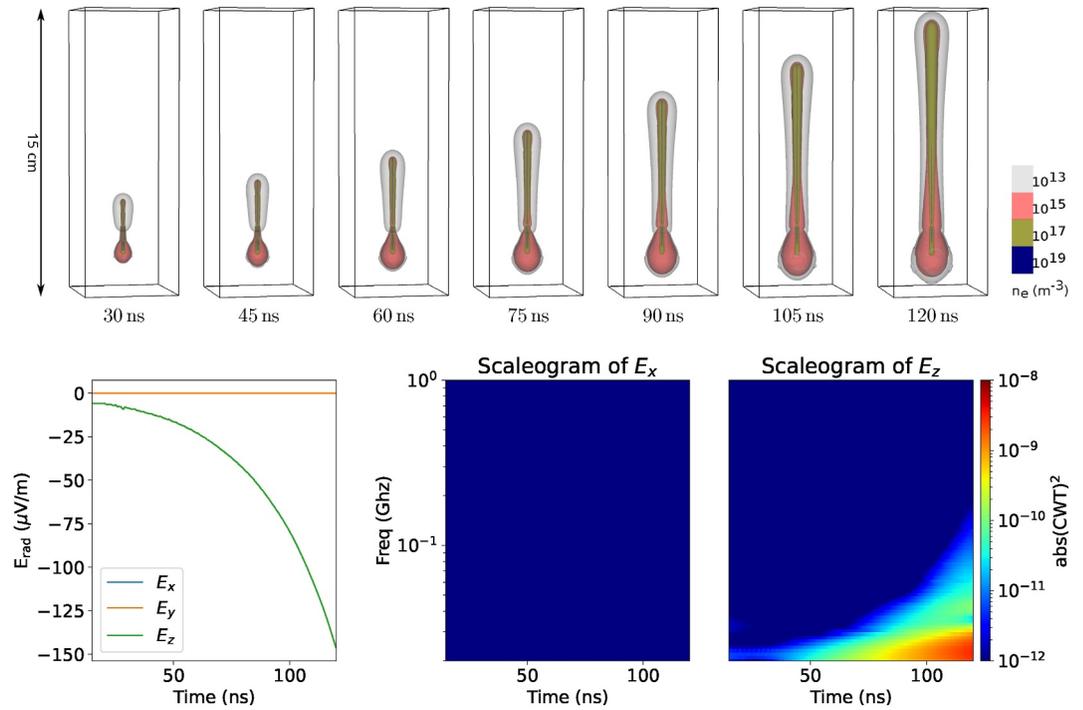
##### 3.1.1. Smooth Propagation With Helmholtz Photoionization

We first simulate a single, non-branching positive streamer starting from a single ionized seed as described in Table 2, using the Helmholtz photoionization method. Figure 3 shows the time evolution of the electron density, the resulting radiated electric field  $E_{\text{rad}}$  for an observer at  $\mathbf{r}_{\text{obs}} = (0, 1 \text{ km}, 0)$ , and scaleograms of the different components of  $E_{\text{rad}}$ . The streamer propagates to a length of 10 cm in 120 ns, with an average velocity of about  $10^6$  m/s. As expected, the  $x$  and  $y$  components of  $E_{\text{rad}}$  are negligible, and the  $z$  component points opposite to the

**Table 2**  
*List of Simulation Parameters*

Description	Section	$E_{\text{bg}}/E_k$	Seed(s) ( $x, y, z$ ) (cm)	Photoionization
Single streamers	3.1	0.40	(15, 15, 10.8)	Helmholtz and MC
Double-headed streamer	3.2	0.67	(15, 15, 10.8)	Helmholtz
Guided streamer branching	3.3	0.53	(15, 15, 10.8)	MC
Interaction with preionization	3.4	0.40	(15, 15, 10.8)	Helmholtz
Streamer collision	3.5	0.67	(15, 15, 12.0), (15, 15, 16.8)	Helmholtz

*Note.*  $E_{\text{bg}}$  indicates the background field. All simulations are performed at 500 mbar and 300 K in a 80%:20%  $\text{N}_2$ : $\text{O}_2$  gas mixture, so that the critical field is about  $E_k \approx 15$  kV/cm. The coordinates of the initial seeds (as described in Section 2.3) are also given. Photoionization is either included with a Helmholtz approximation or with discrete Monte Carlo photons (MC), see Section 2.1.



**Figure 3.** Top: contour plots showing the evolution of a single positive streamer with Helmholtz photoionization, propagating in the  $+z$  direction in a background electric field of  $6 \text{ kV/cm}$ . Bottom: radiated electric field  $\mathbf{E}_{\text{rad}}$  at  $1 \text{ km}$  distance in the  $+y$  direction and corresponding scaleograms. The delay due to the travel time of radiation has been subtracted, so that all times are synchronized.

background electric field. The streamer initially emits weakly in the  $10 \text{ MHz}$  range, but as its length increases, both the magnitude and the frequency of the emissions increase, as shown in the scaleograms in Figure 3. However, the radiation below  $20 \text{ MHz}$  remains dominant in amplitude and above  $20 \text{ MHz}$  the strength falls quickly. This shape of the radiation spectrum is distinctly different from that reported for strong positive CG leaders (Pu et al., 2021) and for fast positive breakdown (Pu et al., 2022). However, VHF radiation that extends only to  $20 \text{ MHz}$  may be consistent with the lack of detectable radiation from in-cloud positive leaders (Scholten et al., 2023).

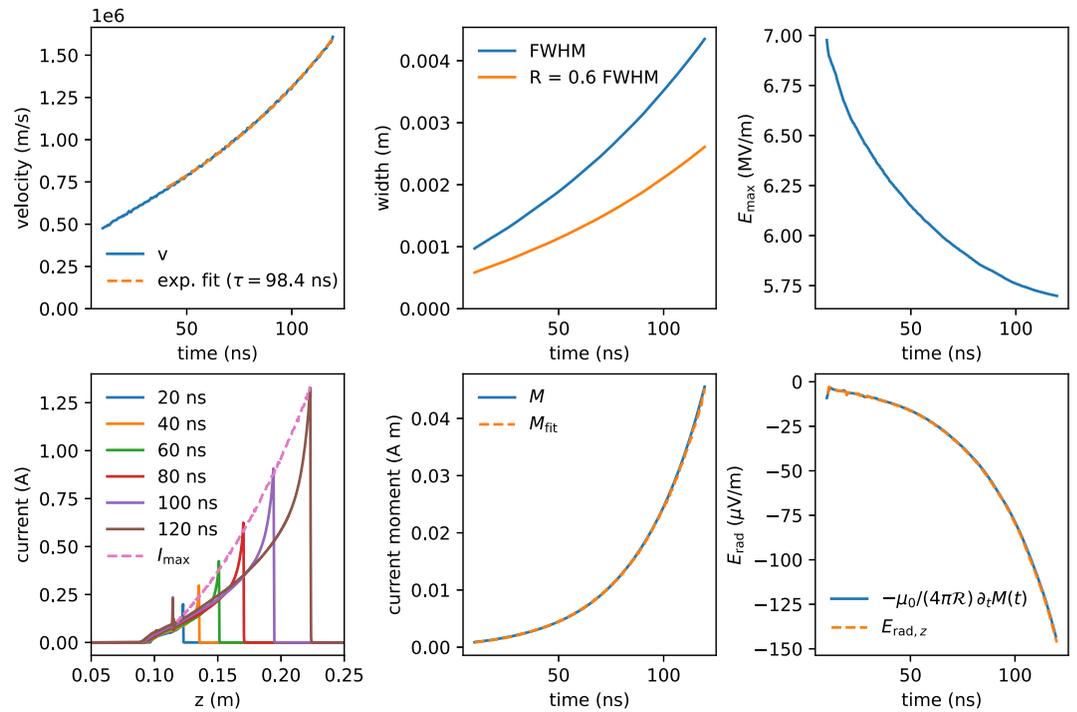
Figure 4 shows streamer properties (velocity  $v$ , radius  $R$  and maximum field strength at the streamer head  $E_{\text{max}}$ ), the current  $I$  and current moment  $M$ , and a comparison between Equations 4 and 11. Since this case is essentially axisymmetric, there is almost no difference in the resulting  $z$  component of  $\mathbf{E}_{\text{rad}}$ . The radius is here defined as  $R = 0.6 \times \text{FWHM}$ , where FWHM is the full width at half maximum of the time-integrated light emission. The current  $I(z, t)$  is computed by integrating the electron conduction current over the  $x$  and  $y$  directions, and the current moment  $M(t)$  is computed by integrating  $I(z, t)$  over the  $z$  direction. Both  $I$  and  $M$  increase smoothly over time. An estimate for the maximal current  $I_{\text{max}}$  is also shown, given by

$$I_{\text{max}} = Q_{\text{head}}v/R = 2\pi vR\epsilon_0 E_{\text{max}}, \quad (12)$$

where  $Q_{\text{head}} = 2\pi R^2 \epsilon_0 E_{\text{max}}$  is an approximation for the head charge (Nijdam et al., 2020).

Figure 4 shows that the radiated electric field is to a very good approximation proportional to  $\partial_t M(t)$ . The temporal evolution of the current  $I(z, t)$  is also shown, and it is clear that most of the change in  $M(t)$  (with  $M(t)$  being the area under the curves) is caused by the growth of the streamer head. This means that most of the radiation is produced by the streamer head, as was also observed in earlier work, such as that of Qin et al. (2012).

In previous work, the variation in  $M(t)$  has often been characterized as exponential growth (Qin et al., 2012; Shi et al., 2016). Below, we present an estimate for  $M(t)$  in terms of streamer properties. Since  $M$  is a spatial integral over  $I$ ,  $M$  can be approximated as  $M \approx c_1 L I_{\text{max}}$ , where  $c_1$  is a constant less than one,  $L$  is the length of the channel



**Figure 4.** Properties of the single streamer with Helmholtz photoionization. Shown on the top row are the velocity, full width half maximum of the optical emission, an estimate for the radius and the maximum electric field at the streamer head. The bottom row shows the current  $I(z,t)$  along the  $z$  axis, the current moment  $M$ , and the radiated electric field according to Equations 4 and 11. The results of Equations 12 and 13 are also shown.

and  $I_{\max}$  is given by Equation 12. Furthermore, on relatively short time scales we can assume that  $L \approx c_2 R$  where  $c_2$  is a constant greater than one, which states that the radius expands approximately linearly with length. If the two constants are combined into  $c_3 = c_1 c_2$ , the result is

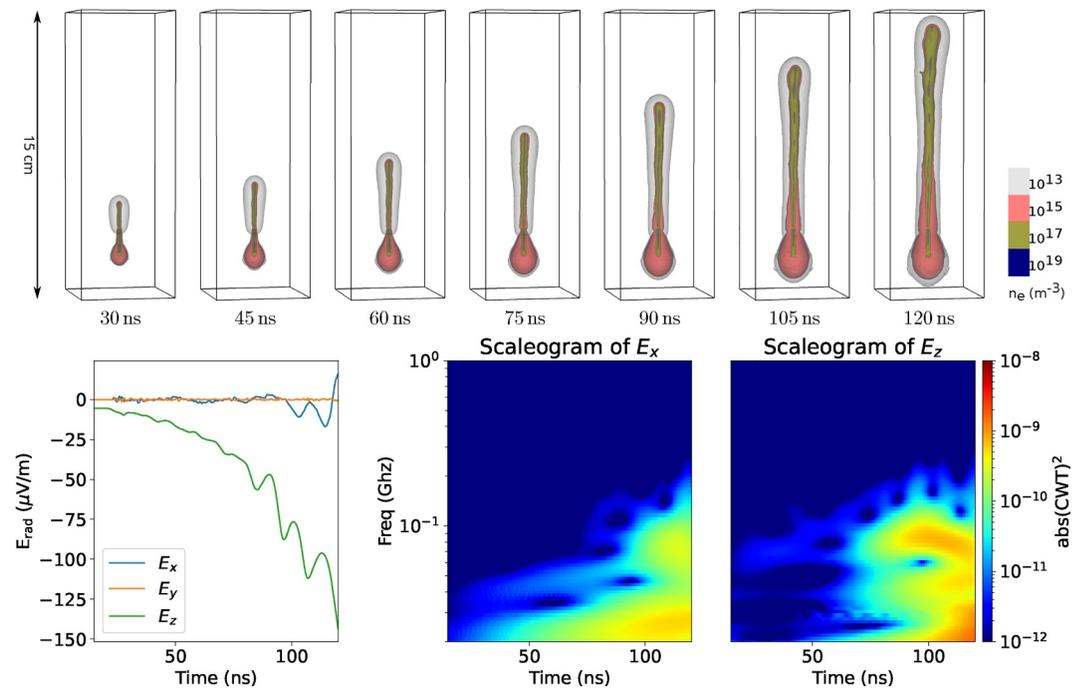
$$M \approx c_3 R I_{\max} = (2\pi c_3 \epsilon_0) v R^2 E_{\max}, \quad (13)$$

and in Figure 4 a curve labeled  $M_{\text{fit}}$  is shown for which  $c_3 = 13$ .

Qin et al. (2012) have considered the spectrum produced by a single sprite streamer whose radius and velocity increase approximately exponentially in time. If the resulting radiated electric (or magnetic) field also increases exponentially with a time constant  $\tau_B$ , they argue that the spectrum decays rapidly at higher frequencies with a half-width frequency  $f_{\text{half}} = \sqrt{3}/(2\pi\tau_B)$ , where  $\tau_B$  depends on the gas number density and the background electric field  $E_{\text{bg}}$ . We have scaled the tabulated value for  $f_{\text{half}}$  in Qin et al. (2012) at  $E_{\text{bg}} = 0.5E_k$  to our pressure of 500 mbar, which gave  $f_{\text{half}} \sim 5$  MHz. This value agrees quite well with our simulation results; for the power spectrum shown in Figure 3 we estimate that  $f_{\text{half}} \approx 6$  MHz. Shi et al. (2016) later on proposed the relation  $\tau_B = \tau/3$ , where  $\tau$  is the time constant of the approximately exponential growth of the velocity. We have fitted the velocity shown in Figure 4 for  $t > 40$  ns with an exponential function resulting in  $\tau \approx 100$  ns. This corresponds to  $\tau_B \approx 33$  ns and thus  $f_{\text{half}} \approx 8$  MHz, also in reasonable agreement with the value of 6 MHz estimated from Figure 3.

### 3.1.2. Stochastic Propagation With Monte Carlo Photoionization

We now repeat the single streamer simulation described above using a Monte Carlo photoionization approach, that is, the photons are modeled as discrete and stochastic. Figure 5 shows the time evolution of the electron density and  $E_{\text{rad}}$ . The streamer is very similar to the one with Helmholtz photoionization, as it has about the same velocity, radius and electron density profile. The main difference is that its propagation is now somewhat stochastic, leading to small variations in the direction of propagation and in the streamer radius, and a tiny side branch is visible.



**Figure 5.** Results for a single streamer with stochastic photoionization, analogous to Figure 3.

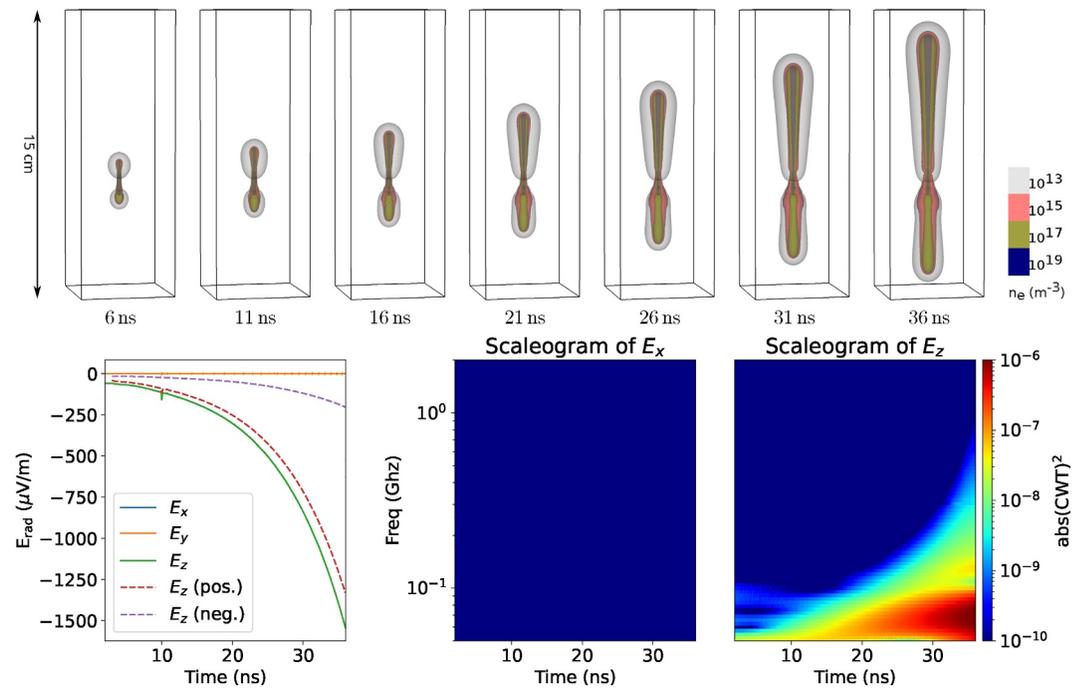
The stochastic growth has several effects on the radiated electric field compared to the smoothly propagating case. First, there is also emission visible in the  $x$  direction, since the streamer is no longer axisymmetric (Note that  $E_{\text{rad},y} \approx 0$  since the observer is in the  $+y$  direction.). Second, there are oscillations in  $E_{\text{rad},z}$ , which cause the streamer to emit radio emission at higher frequencies during its propagation as shown in the scaleograms. The period of these oscillations is on the order of 10 ns, which corresponds to frequencies on the order of  $(10 \text{ ns})^{-1} = 100 \text{ MHz}$ . We have no direct physical explanation for the period of 10 ns, but it is about 4–6 times  $R/v$ , where  $R$  is the streamer radius and  $v$  its velocity. The ratio  $R/v$  can be thought of as the fastest time scale for a change in streamer head properties.

The VHF radiation in this simulation extends to approximately 100 MHz with a roughly constant amplitude, with a steep decay above that frequency. This spectrum is actually quite close to that reported for a strong positive CG (Pu et al., 2021). Accordingly it suggests that the presence or lack of detectable VHF emissions from positive leaders (and thus streamers) could be partly driven by the number of streamers: if there are too few, they will not be detectable.

### 3.2. Double-Headed Streamer in a Higher Background Field

In higher background electric fields streamers tend to propagate and accelerate faster. Streamers in higher background fields therefore typically radiate more energy, as was found in for example, (Luque, 2017; Shi et al., 2016, 2019). To compare how both the magnitude and the frequency of the radiation change with the background field, we have performed a simulation using the same conditions as in Section 3.1.1 but with a background electric field of 10 kV/cm instead of 6 kV/cm. One other difference is that simulation output was stored more frequently, namely every 0.05 ns.

Figure 6 shows the evolution of the electron density and the radiated electric field. Due to the higher background field, a double-headed streamer forms. In about 36 ns, this double-headed streamer grows to a comparable length as the positive streamers in Section 3.1 did in 120 ns. We used the Helmholtz photoionization method, so the streamer develops smoothly. However, relatively smooth propagation would also be expected with Monte Carlo photoionization, because discharges typically develop more smoothly in higher background electric fields (as also observed below in Section 3.3). The radiated field is considerably stronger than for the case of Section 3.1.1, with the  $z$  component being about an order of magnitude larger. Furthermore, the scaleograms show that the emission



**Figure 6.** Results for a double-headed streamer developing in a background electric field of 10 kV/cm with Helmholtz photoionization. Top: electron density contours, bottom: radiated electric field. The dashed curves show the contributions to from the positive and negative sides of the double-headed streamer. Note that the scales of frequency and “power”  $\text{abs(CWT)}^2$  in the scaleograms differ from previous plots.

occurs at higher frequencies, as expected. The higher field produces a faster streamer growth rate and, for this particular set of parameters, strong VHF emissions that are nearly constant in amplitude up to 100 MHz.

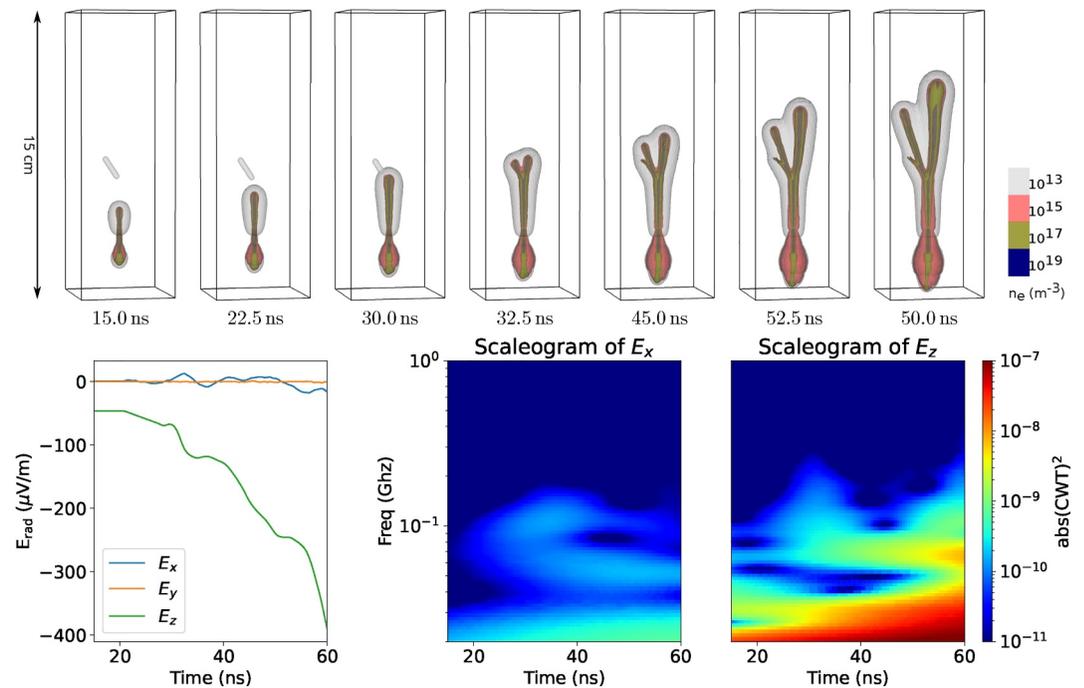
This case contains both a positive and a negative streamer, with the positive streamer propagating upwards in Figure 6 and the negative streamer propagating downwards. In the bottom-left plot of Figure 6, the contributions of the positive and negative sides to the  $z$ -component of the radiated field are indicated by dashed lines. Most of the radio emission is produced by the positive side, since this side propagates about 70% faster and because its current density  $I(z, t)$  is about 4 times higher.

### 3.3. Branching Streamer With Stochastic Photoionization

Most streamer discharges consist of many branched channels, together forming a larger “streamer tree.” When a streamer branches, the newly formed channels generally have different radii and velocities than the primary channel, which raises the question whether branching leads to a detectable change in the current through the channel, and therefore to detectable radio emission. Although it was mentioned by Shi et al. (2019) that “branching does not lead to rapid changes in the current moment,” no results were presented to quantify the strength of the effect.

We simulate a branching streamer using the discrete Monte Carlo photoionization model that was also used in Section 3.1.2, and explained in Appendix C. A slightly higher background electric field of 8 kV/cm is used, and in order to induce streamer branching early on, we place a weakly ionized patch slightly off-axis as shown in Figure 7. This patch contains an equal amount of electrons and  $\text{O}_2^+$  ions, and it has a degree of ionization of  $5 \times 10^{14} \text{ m}^{-3}$ . It is generated using the smoothstep profile as described in Section 2.3 with  $r_s = 0.7 \text{ mm}$ .

Figure 7 shows that the streamer splits into two somewhat asymmetric branches when it encounters the ionized patch around  $t \approx 30 \text{ ns}$ . Although some fluctuations in  $E_{\text{rad},z}$  are visible around this time, these fluctuations are weaker (relatively speaking) than the fluctuations observed without branching in Section 3.1.2. During the later propagation,  $E_{\text{rad},z}$  also develops more smoothly than in Section 3.1.2. This is caused by the higher background



**Figure 7.** Results for a branching streamer developing in a background electric field of 8 kV/cm with Monte Carlo photoionization. A small preionized patch is included to trigger the branching, see text. Top: electron density contours, bottom: radiated electric field.

electric field, which generally leads to smoother discharge propagation (Wang et al., 2023). The branches have velocity components in the  $+x$  and  $-x$  direction, but the radio emission in the  $x$  direction is rather weak.

Overall, it seems that streamer branching does not lead to significant variation in the current and thus also not to a significantly different radio emission than the non-branching Monte Carlo streamer shown previously. This is consistent with the earlier statement by Shi et al. (2019). We have also confirmed this finding experimentally, as described in Section 4.

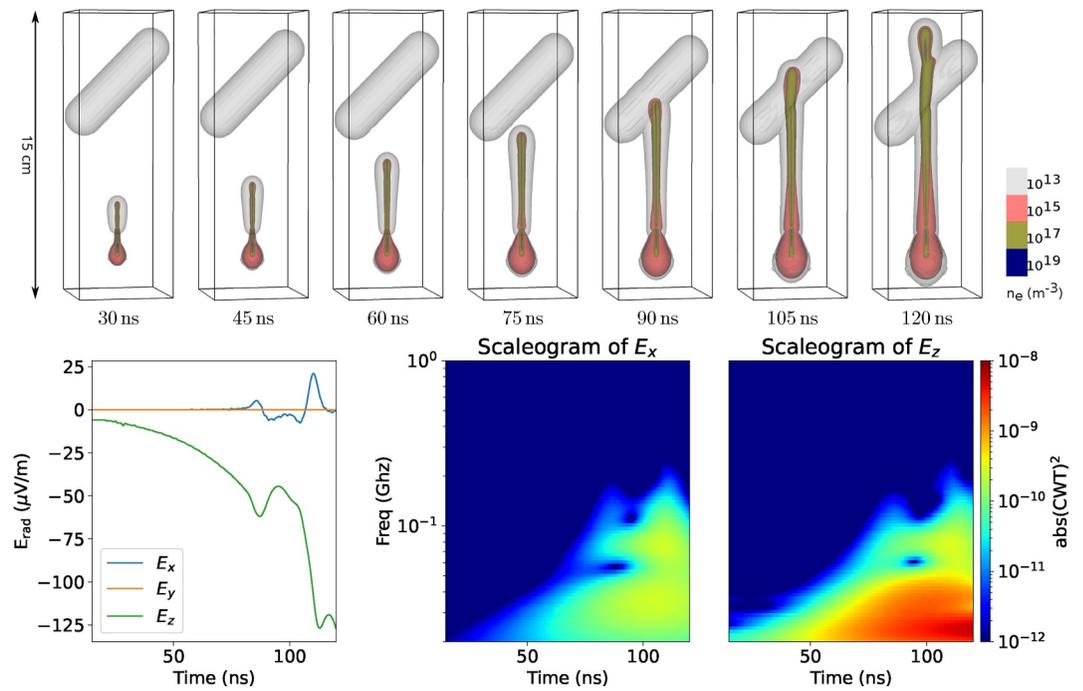
### 3.4. Interaction With Ionized Patches

In a large streamer tree, streamer heads can connect to the tails of other streamer channels that have the same polarity. This phenomenon has been observed in lab experiments, in sprite discharges and in simulations, see for example, (Nijdam et al., 2009; Teunissen & Ebert, 2017). We expect that such interactions can lead to a significant change in the current through the channels, and therefore to radio emission. To test this hypothesis, we simulate the interaction of a single positive streamer with a neutral preionized channel having a much lower degree of ionization, namely  $n_0 = 10^{15} \text{ m}^{-3}$  or  $n_0 = 10^{16} \text{ m}^{-3}$ . Such preionization could correspond to an older streamer, in which most electrons have been lost due to attachment and recombination.

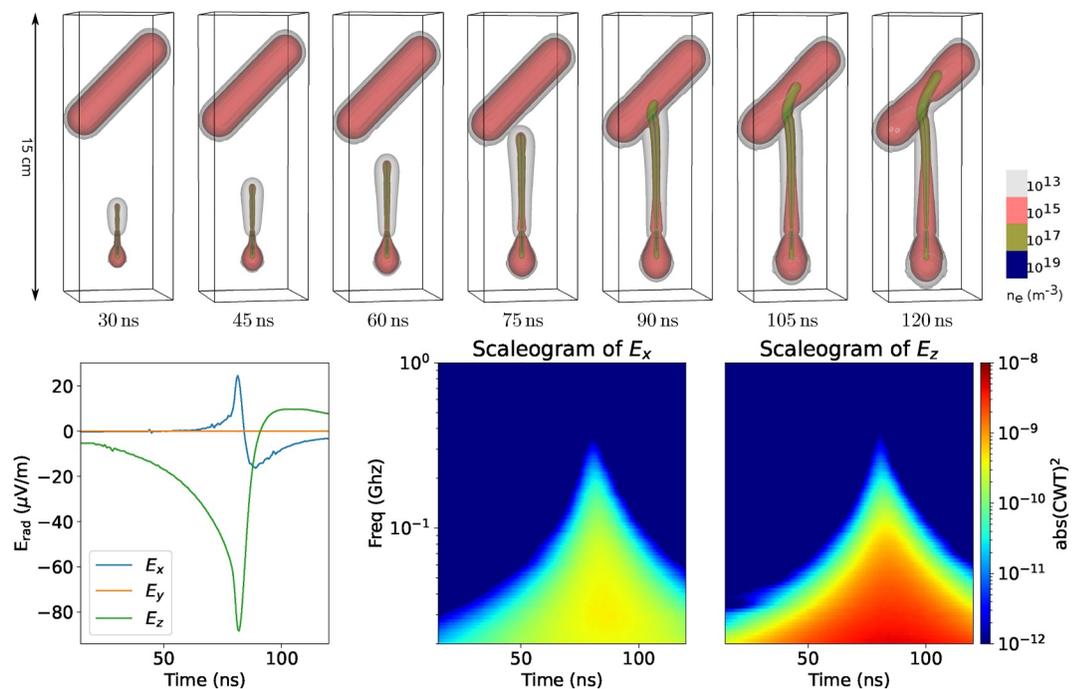
The preionization is modeled as a cylindrical seed of length  $\approx 5$  cm, using the smoothstep profile described in Section 2.3 with  $r_s = 5$  mm. The seed is placed at an angle of  $45^\circ$  with the  $x$ -axis (see Figure 8). All other conditions are the same as in Section 3.1.1, and we now use Helmholtz photoionization.

Simulation results are shown in Figures 8 and 9. In both cases, the positive streamer reaches the preionized channel at approximately  $t = 90$  ns. For  $n_0 = 10^{15} \text{ m}^{-3}$ , the streamer temporarily slows down as it hits the preionization, leading to a reduction in  $E_{\text{rad},z}$ , but it later continues its vertical propagation. The streamer first slightly deviates toward the patch in the  $-x$  direction, and the initial phase of a small branch is visible in the  $+x$  direction. This development causes a modest signal to be visible in  $E_{\text{rad},x}$ .

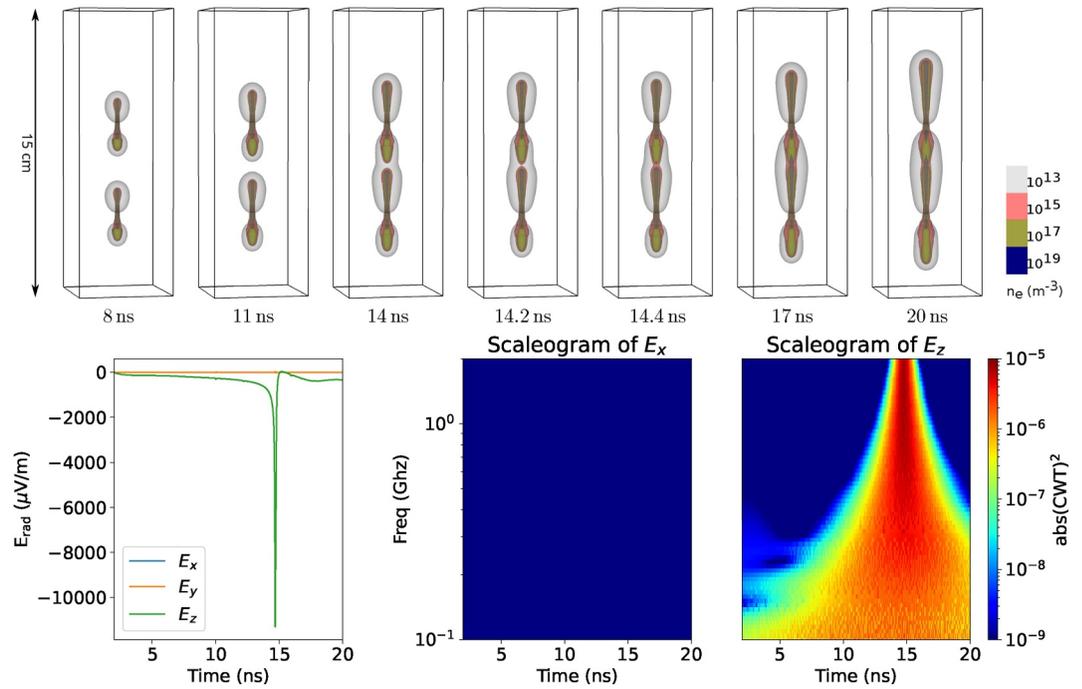
With  $n_0 = 10^{16} \text{ m}^{-3}$  the preionization has a much stronger effect. The main streamer is now “guided” to propagate inside the preionization (Nijdam et al., 2016). This leads to an abrupt change in the  $z$  component of the current,



**Figure 8.** Results for a streamer interacting with a preionized channel in which the electron density is  $n_0 = 10^{15} \text{ m}^{-3}$ , in a background electric field of 6 kV/cm. Top: electron density contours, bottom: radiated electric field.



**Figure 9.** Results analogous to Figure 8, but with a higher electron density in the channel of  $n_0 = 10^{16} \text{ m}^{-3}$ . The streamer is now strongly deviated by the channel.



**Figure 10.** Results for an encounter between a positive streamer propagating upwards and a negative streamer propagating downwards, in a background electric field of 10 kV/cm. Note that two double-headed streamers form. Top: electron density contours, bottom: radiated electric field.

resulting in a sharp peak in  $E_{\text{rad},z}$  and a sign inversion. Since the streamer propagates at a roughly  $45^\circ$  angle with respect to the  $z$  and  $x$  axis,  $E_{\text{rad},z}$  and  $E_{\text{rad},x}$  are of comparable magnitude during the guided propagation. The rapid variation in streamer properties and direction generates high-frequency radio emission. In the scaleograms, signals up to 200 – 300 MHz are visible.

### 3.5. Streamer Collision

Encounters or “collisions” between opposite polarity streamers have frequently been studied in the literature, see for example, (Garnung et al., 2021; Koile et al., 2021; Luque, 2017). We present one such case here, so that the magnitude of the radiated field can be compared with the other cases that we have studied. As an initial condition, we use two initial seeds as described in Section 2.3 and Table 2. We apply a higher background electric field of 10 kV/cm so that two double headed streamers form. We also store simulation output more frequently, namely every 0.05 ns, so that we can resolve the collision dynamics. Figure 10 shows how a positive and negative streamer connect around  $t = 14$  ns. In agreement with previous work, a sharp peak is visible in the  $z$  component of the radiated electric field at the moment of collision. At this time, the emission spectrum extends to multiple GHz, since the collision takes place on sub-nanosecond time scales. The peak in  $E_{\text{rad}}$  has a significantly larger amplitude than it did for the other cases considered in this paper, but the emission lasts for a much shorter time.

### 3.6. Radiated Energy

To compare how much energy is radiated in these different cases, we have computed the time integral of the Poynting flux of radiated energy

$$Q_{\text{rad}}(\mathbf{r}_{\text{obs}}) = \int_{t_0}^{t_1} \frac{\mathbf{E} \times \mathbf{B}}{\mu_0} \cdot \hat{\mathbf{r}}_{\text{obs}} dt = \int_{t_0}^{t_1} c \epsilon_0 |\mathbf{E}(\mathbf{r}_{\text{obs}})|^2 dt \quad (14)$$

at the observation location  $\mathbf{r}_{\text{obs}} = (0, 1 \text{ km}, 0)$ , where we have used the identity (Equation B1) from Appendix B that is valid for planar, but not necessarily monochromatic waves.  $Q_{\text{rad}}$  has units of energy per unit area and  $t_0$  and  $t_1$  correspond to the time range shown in the respective plots of  $\mathbf{E}_{\text{rad}}$ . In Table 3,  $Q_{\text{rad}}$  is given for each case. The

**Table 3**

Total Radiated Energy Per Unit Area for Each Case, at a Distance of 1 km in the +y Direction

Description	$E_{bg}$ (kV/cm)	$Q_{rad}$ (J/m <sup>2</sup> )	$\epsilon_{tot}$ (J)	$t_{end}$ (ns)
Single streamer-Helmholtz	6	$9.3 \times 10^{-19}$	$6.3 \times 10^{-4}$	120
Single streamer-Monte Carlo	6	$8.4 \times 10^{-19}$	$6.3 \times 10^{-4}$	120
Preionized channel $10^{15} \text{ m}^{-3}$	6	$7.5 \times 10^{-19}$	$6.1 \times 10^{-4}$	120
Preionized channel $10^{16} \text{ m}^{-3}$	6	$2.2 \times 10^{-19}$	$5.2 \times 10^{-4}$	120
Guided streamer branching	8	$3.5 \times 10^{-18}$	$6.8 \times 10^{-4}$	60
Single streamer-Helmholtz	10	$3.1 \times 10^{-17}$	$1.1 \times 10^{-3}$	36
Streamer collision	10	$3.4 \times 10^{-17}$	$4.8 \times 10^{-4}$	20

Note. The total energy deposited in the discharge and the simulation end time are also listed.

amount of radiated energy seems to primarily depend on the background electric field. Considerably more energy is radiated for the double-headed streamer case and the streamer collision case, which both took place in a background electric field of 10 kV/cm. For comparison, we have also listed the total energy dissipated in each discharge in Table 3, which was computed by integrating the Joule heating term over space and time:

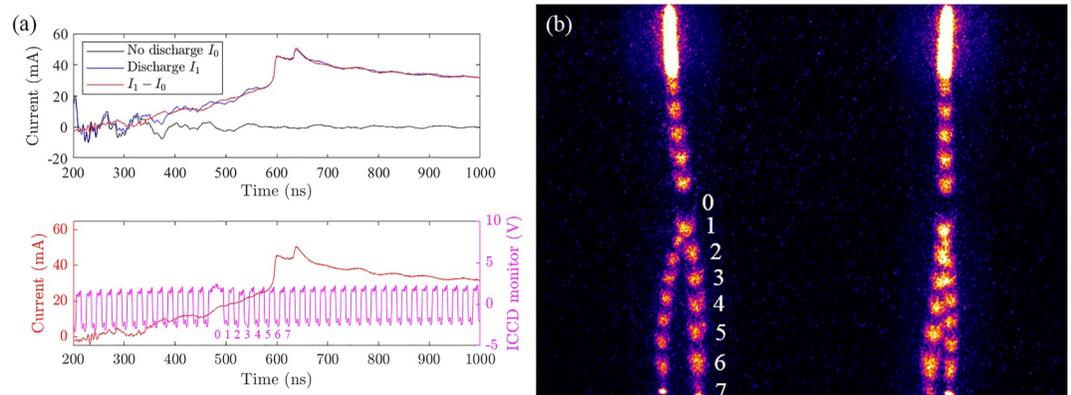
$$\epsilon_{tot} = \int_{t_0}^{t_1} \left[ \int_V \mathbf{j} \cdot \mathbf{E} d^3\mathbf{r} \right] dt. \quad (15)$$

The variation in the deposited energy is rather small, which can be explained by the fact that the discharges grow to comparable sizes in all test cases. From these results, we can furthermore conclude that the fraction of energy that is radiated is significantly larger in a higher background electric field, with the streamer collision being the most “efficient” process.

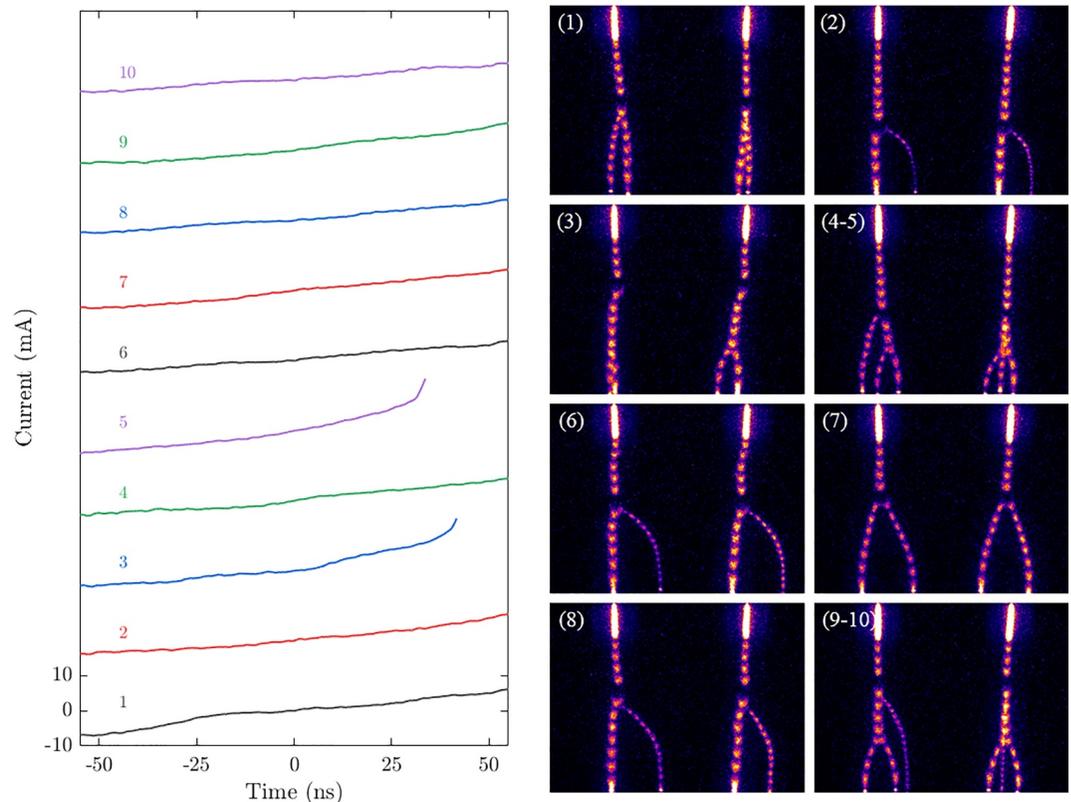
## 4. Experimental Results on Possible Radio Emission From Streamer Branching

### 4.1. Experimental Methods

To investigate whether the current shows an apparent peak during streamer branching, we have performed current measurements synchronized with stroboscopic images of streamer discharges in air within a protrusion-to-plane gap. The experimental setup we use is similar to the one described by Dijkcs et al. (2023), but with some slight differences. The high voltage was pulsed at a frequency of 20 Hz, while the CCD operated at two frames per second (fps), enabling us to capture one in every 10 discharges. Figure 11a illustrates the typical waveforms of the current and the 50 MHz camera gating signal used for stroboscopic imaging. The current was measured by a 50  $\Omega$  shunt resistor which connected the bottom plate and the ground. Due to the inherent noise in the current measured



**Figure 11.** Experimental results. (a) Current waveform and camera gating; (b) corresponding image. A sharp increase in the current is visible when the streamers cross the gap.



**Figure 12.** (a) Current waveforms during branching events. The vertical position of each current curve is offset to avoid overlapping and  $t = 0$  corresponds to the estimated time of branching; (b) corresponding discharge images.

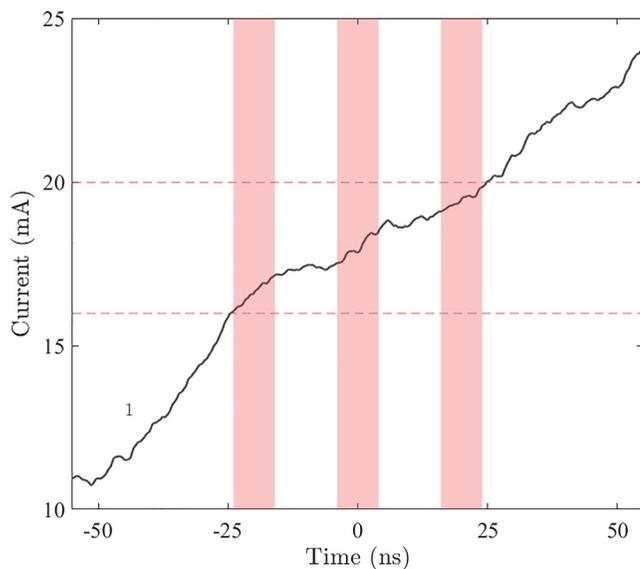
by the shunt resistor and the presence of damped oscillations from capacitive current in the subsequent waveform, we subtracted the current without discharge ( $I_0$ ) from the measured discharge current ( $I_1$ ). Additionally, we applied a Savitzky-Golay filter to smooth the current waveforms.

In order to establish the relation between image timing and current trace timing, the intensifier gating was set-up to skip one pulse in the 50 MHz pulse train. As a result, the captured image will display a missing dot, corresponding to the skipped pulse, which is shown in Figure 11b. In this way, the timing of every dot on the captured image is known with an accuracy of about 8 ns, which is the open time of the camera gating.

#### 4.2. Current Change During Streamer Branching

In order to visualize the current change during the streamer branching, we shift the current measurements so that the branching deduced from the images occurs at  $t = 0$ . Ten examples of current waveforms during branching and the corresponding discharge images are shown in Figure 12. For cases that branch more than two times, the corresponding current waveforms are both shown, for example, waveforms 4–5 and 9–10. From the figure, we can conclude that no significant change of current can be detected around the streamer branching moment.

Figure 13 shows a zoom into current waveform No. 1 from Figure 12. Ripples on the order of 0.2 mA are present, but these ripples are much smaller than the approximately 4 mA change in current between two camera gatings (during which the branching event could have taken place). Since we could not systematically correlate these small ripples to branching events, we associate them with the inherent noise present in our setup. From these results, we conclude that the current change during streamer branching is too small to detect with our current equipment. We should note that the streamers give a very clear current jump and peak effect at the moment they have crossed the gap, which is visible in Figure 11.



**Figure 13.** Zoom into current waveform no. 1 from Figure 12, with the camera gating indicated by a red shade.

### 4.3. Radio Emission During Streamer Branching

Besides current measurements, we have performed radio emission measurements of streamer discharges by using a homemade dipole antenna with a total length of 200 mm and two commercial antennas (Pulse Electronics NMO150/450/758, a 450 mm long triband monopole antenna and Larid Connectivity EFF6060A3S-10MHF1, a  $130 \times 3 \times 0.3$  mm adhesive antenna). During the experiment, each antenna was mounted on the quartz window (with a horizontal distance of about 320 mm to the discharge region) of the vessel horizontally and vertically, respectively, in order to detect the variation of electromagnetic field caused by streamer branching from two orthogonal directions. The streamer discharge and the background electric field in the vessel are primarily in the vertical direction. Again, all measurements were synchronized with stroboscopic images to show the timing of the branching events.

As little difference was found between the results from the six different antenna configurations, here we only show the results of the horizontally mounted homemade dipole antenna. The response of the antenna was tested by applying standard sinusoidal and pulsed waveforms (with frequency up to 60 MHz) from a function generator (Keysight 33600A) to the same gas gap. The final signals are subtracted by the signal without discharge to eliminate the strong interference produced by the high voltage pulses. Figure 14 shows

the current waveforms, the signals of the antenna, and the corresponding discharge images for three different representative cases, namely, a streamer with a single channel, a streamer with two equal branches, and a streamer with two unequal branches. For two unequal branches, their velocities, but also their times of arrival are quite distinct, as can be seen by the two peaks at 800 and 880 ns in Figure 14c. It should be noted that the real branching event may occur within adjacent camera gating cycles, which can be seen in the discharge image of Figures 14b and 14c. Nevertheless, no significant difference can be seen between the signals of the dipole antenna for the three different cases. Furthermore, no signal is found when streamers cross the gap, even though the current change is much larger than that of branching, implying that the emitted signal is either too small or not in the sensitive range of the antenna. Although there are some slight features visible in the signals for other configurations, they cannot be correlated with branching events.

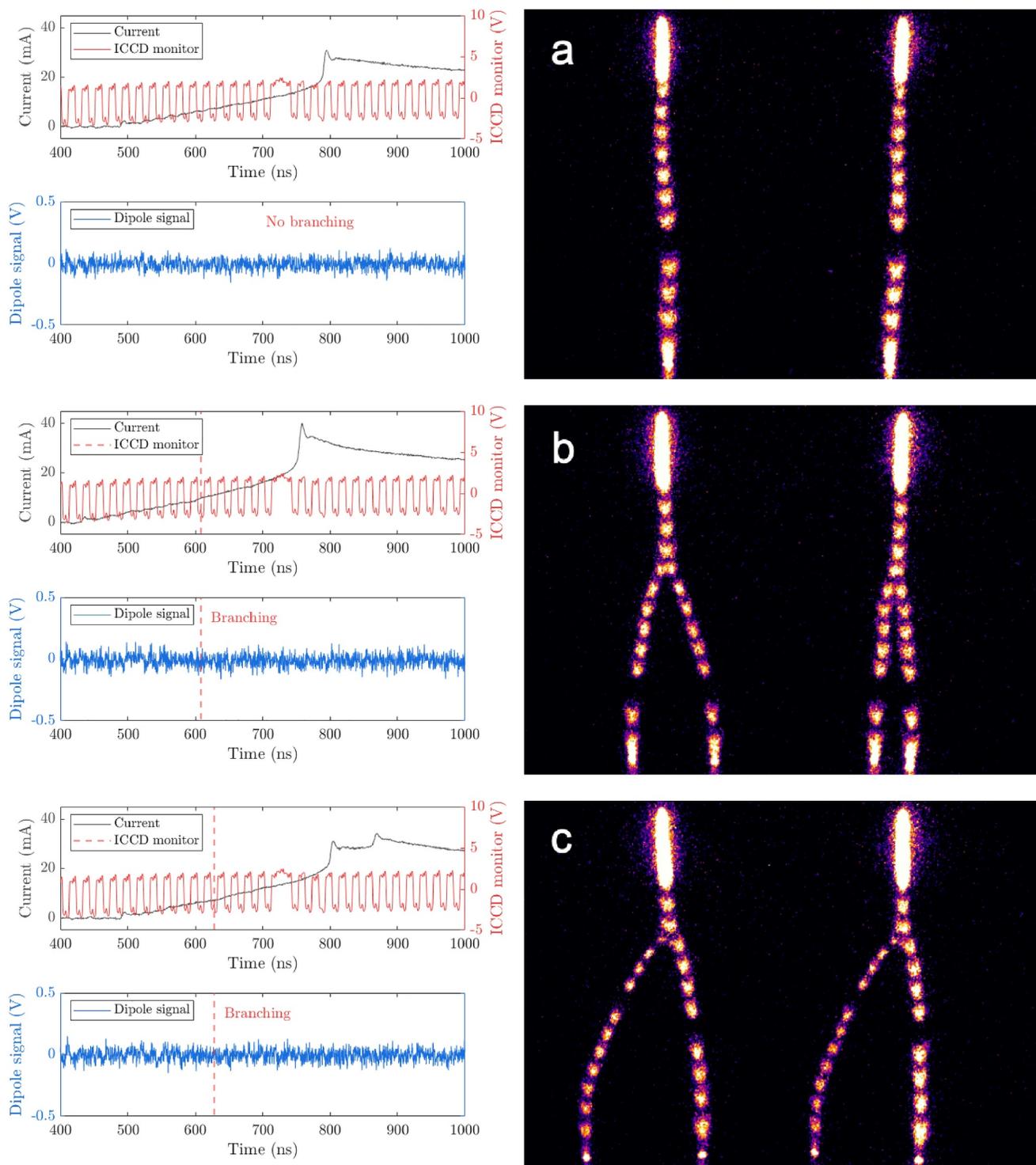
Besides the results shown above, we have also stacked larger numbers of waveforms like shown in Figure 12a for both current measurements and antenna output, but did not find any significant signal. Therefore, we can conclude from our experiments that the current signal and the radio emissions produced by streamers do not exhibit any features that we can correlate with branching events within our experimental limitations.

## 5. Summary

In this paper we have studied radio emissions from vertically moving positive streamers in air at 500 mbar using 3D simulations. The electric field radiated by the charge and current densities was computed at 1 km distance in the horizontal direction by numerically evaluating Jefimenko's equations. To illustrate the temporal evolution of the emission spectrum, we computed so-called scaleograms using wavelet analysis methods.

We first considered single positive streamers and compared results with two photoionization methods: the Helmholtz approximation and a Monte Carlo method using discrete photons. With the Helmholtz approximation our simulated streamers grow and accelerate smoothly. The resulting power spectrum of the radio emissions exhibits a characteristic decay, with the emission shifted to higher frequencies in higher background fields. With the Monte Carlo approach the overall streamer evolution was highly similar, but small stochastic fluctuations during the streamer propagation led to substantially more radio emission at frequencies of 100 MHz and above.

The Monte Carlo approach is the most realistic approximation of photoionization, as it reproduces the stochastic fluctuations in the electron density ahead of a streamer that should physically be present. How strongly these fluctuations affect streamer propagation in a thunderstorm will to a large degree depend on the background electric field. In a higher background electric field, streamer channels generally produce more photoionization per



**Figure 14.** Measurements using a horizontally mounted dipole antenna and the corresponding discharge images for three different discharge morphologies. (a) Non-branching streamer, (b) branching streamer with equal branches, (c) branching streamer with unequal branches. The red dashed lines indicate the approximate timing of the branching event.

unit length, so that stochastic fluctuations become less important, as shown by Wang et al. (2023). Furthermore, at higher altitudes, the amount of photoionization will increase (relatively) due to a reduction in the collisional quenching of photon-emitting molecules.

For the smoothly developing case with Helmholtz photoionization, we presented an approximation for the maximal current along the channel and a fit formula for the current moment as a function of streamer properties. A double-headed streamer in a higher background electric field was also simulated. As expected, the faster growth rate of this discharge led to an increase in both the magnitude and the frequency of radio emissions. About 80% of the observed signal came from the positive side of the double-headed streamer, because this side propagated faster and had a higher current density than the negative side. We therefore think it is remarkable that the VHF radio emissions from positive leaders and streamers are usually much weaker (and often undetectable) compared to the emissions from negative leaders and streamers. On the other hand, positive streamers have been observed to produce strong radio emissions during fast positive breakdown events.

One of the main reasons for performing this study was to understand how much radio emission would be generated by streamer branching, since this process happens very frequently in most streamer discharges. We simulated branching with the Monte Carlo photoionization method, and we included a small amount of preionization along to trigger the branching process. We did not observe a strong signal during or after the branching process, which was also confirmed by lab experiments. This indicates that the current inside a streamer discharges evolves approximately continuously during branching.

Another process that could frequently occur in lightning discharges is the interaction of streamers with some residual ionization, for example, from a previous streamer channel. We simulated such interactions with preionized channels containing an ionization density of  $10^{15} \text{ m}^{-3}$  and  $10^{16} \text{ m}^{-3}$ . When encountering such preionization, the streamer current and direction can rapidly change, which leads to increased emission at frequencies above 100 MHz.

Finally, we also simulated the encounter between a positive and a negative streamer. In agreement with previous findings, the channels “connect” to each other on a sub-ns time scale, resulting in a sharp peak in the radio emission, with emission at frequencies above a GHz. This process emitted the highest amount of radiated energy, but only slightly more than the double-headed streamer in the same background electric field. We therefore conclude that the amount of radiated energy primarily depends on the background electric field and to a lesser extent on the type of streamer process taking place. The streamer collision process was the most efficient in terms of converting “discharge energy” (the integral over  $\mathbf{J} \cdot \mathbf{E}$ ) into radiated energy.

In this paper, we have studied the radio emission generated by relatively small streamer discharges. When discharges occur in or above a thundercloud, there would typically be a large number of streamers growing simultaneously in different regions. The radio emission of such a composite system can be estimated according to the theory presented by N. Liu et al. (2020), with low-frequency components adding coherently while high-frequency components add incoherently.

## Appendix A: Numerically Solving Jefimenko's Equations on an AMR Grid

Below we explain how we numerically evaluate the integral of Equation 4 to obtain the radiated electric field. In our results, we have omitted the first (static) term, which does not correspond to the radiated field and which can be made arbitrarily small by picking a farther away observation location. There are several factor that make the evaluation of the other two terms non-trivial. First, strict charge conservation is required, otherwise there can be a large artificial signal from the  $\partial_t \rho$  term. We guarantee this up to the level of machine precision by employing conservative interpolation and coarsening methods in our AMR simulations. Furthermore, we ensure the discharges stay away from the domain boundaries, so that there is no charge flowing in or out of the domain.

Another challenge is that time derivatives of  $\rho$  and  $\mathbf{J}$  are required. To obtain these at time  $t_1$ , we use central differencing to obtain

$$\partial_t \rho(\mathbf{r}, t_1) \approx \frac{\rho(\mathbf{r}, t_2) - \rho(\mathbf{r}, t_0)}{2\Delta t}, \quad (\text{A1})$$

where  $\Delta t$  is the (constant) time step between simulation outputs. This is not enough yet, because the integral requires this derivative at different retarded times, with  $t_r = t - \mathcal{R}/c$  having a different value for every grid cell. We therefore compute a second derivative as

$$\partial_t^2 \rho(\mathbf{r}, t_1) \approx \frac{\rho(\mathbf{r}, t_2) - 2\rho(\mathbf{r}, t_1) + \rho(\mathbf{r}, t_0)}{\Delta t^2}. \quad (\text{A2})$$

We can then account for the variable time retardation by approximating

$$\partial_t \rho(\mathbf{r}, t_1 + h) \approx \partial_t \rho(\mathbf{r}, t_1) + h \partial_t^2 \rho(\mathbf{r}, t_1). \quad (\text{A3})$$

The same approach is used for each component of the current density  $\mathbf{J}$ .

Since the AMR grid changes in time, we have to map all the quantities appearing in Equations A1–A3 to the same grid. At first, we used a uniform grid for this purpose, which was limited to  $128^3$  or  $256^3$  due to memory limitations. However, this did not lead to satisfactory results, because the motion of the streamer was not captured smoothly on such a grid, leading to artificial signals when streamer's head charge would move from one cell to the next. We therefore decided to instead map  $\rho(\mathbf{r}, t_1)$  and  $\rho(\mathbf{r}, t_2)$  (and similar for the components of  $\mathbf{J}$ ) to the AMR grid corresponding to  $\rho(\mathbf{r}, t_0)$  in these equations. This was again done in a conservative way. Finally, for computational efficiency, we decided to save the simulation output not up to the finest AMR level used in the discharge simulations, but up to a resolution of about 0.15 mm.

## Appendix B: The Far Field Approximation for Electromagnetic Waves

Equation 9 quotes the approximate relation  $E_{\text{rad}} = c B_{\text{rad}}$  between the size of magnetic and electric field in an electromagnetic wave far from the source. Here we discuss the range of validity of this approximation.

The textbook by Griffiths (2017) (as well as many other sources) contains the derivation of the relation

$$\mathbf{B} = \frac{\hat{\mathbf{k}} \times \mathbf{E}}{c} \quad (\text{B1})$$

for a monochromatic plane wave  $\mathbf{E}(\mathbf{r}, t) = \mathbf{E} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$  in vacuum. Here  $\mathbf{k}$  is the wave vector, and  $\hat{\mathbf{k}} = \mathbf{k}/|\mathbf{k}|$  is the unit vector parallel to the wave vector.  $\mathbf{E}$  is orthogonal to  $\mathbf{k}$  by construction, so  $\mathbf{k} \cdot \mathbf{E} = 0$  and  $|\hat{\mathbf{k}} \times \mathbf{E}| = |\mathbf{E}|$ , which together with (Equation B1) means that

$$|\mathbf{E}| = c|\mathbf{B}| \quad (\text{B2})$$

in this particular case. But is this relation also valid more generally, in particular for an arbitrary superposition of Fourier modes?

An answer can be found by superposing two Fourier modes with different wave vectors  $\mathbf{k}_1$  and  $\mathbf{k}_2$ , so that  $\mathbf{E} = \mathbf{E}_1 + \mathbf{E}_2$  and  $\mathbf{B} = (\hat{\mathbf{k}}_1 \times \mathbf{E}_1 + \hat{\mathbf{k}}_2 \times \mathbf{E}_2)/c$ . It then follows that

$$|\mathbf{E}|^2 - c^2|\mathbf{B}|^2 = 2(\mathbf{E}_1 \cdot \mathbf{E}_2 - (\hat{\mathbf{k}}_1 \times \mathbf{E}_1) \cdot (\hat{\mathbf{k}}_2 \times \mathbf{E}_2)), \quad (\text{B3})$$

which is zero if  $\hat{\mathbf{k}}_1 = \hat{\mathbf{k}}_2$ , but not in general. We can conclude that Equation B1 is applicable to a superposition of planar Fourier modes propagating in the same direction  $\hat{\mathbf{k}}$ , but not to modes propagating at arbitrary directions to each other. The equation can be applied in the far field approximation, that is, if the distance to the radiating object is much larger than the object size and the wave length.

Additionally, it can be tested whether magnetic and electric field are still orthogonal in the superposition of the two modes. Using the relation (Equation B1) for the magnetic fields, the orthogonality relations of the fields in the Fourier modes and standard vector algebra, one finds in a few steps of calculation that

$$\mathbf{E} \cdot c\mathbf{B} = (\hat{\mathbf{k}}_1 - \hat{\mathbf{k}}_2) \cdot (\mathbf{E}_1 \times \mathbf{E}_2). \quad (\text{B4})$$

This means that electric and magnetic field in the superposition wave are orthogonal to each other, if the wave vectors of the two Fourier modes point in the same direction,  $\hat{\mathbf{k}}_1 = \hat{\mathbf{k}}_2$ , but not in general. This criterion is sufficient for the orthogonality of  $\mathbf{E}$  and  $\mathbf{B}$ , and it is the same as for the validity of Equations B1 and B2: the electromagnetic wave is planar, but not necessarily monochromatic. In other words: the wave can consist of an arbitrary superposition of Fourier modes, if all wave vectors are pointing in the same direction.

### Appendix C: Monte Carlo Photoionization

Below, we briefly describe the Monte Carlo photoionization approach. For the results presented here, the photoionization source term  $S_{\text{ph}}$  from Equation 1 was updated every two time steps. If this interval is called  $\Delta t_\gamma$ , then the algorithm proceeds according to the following steps:

1. Initially, set  $S_{\text{ph}}$  to zero.
2. Determine the expected number of ionizing photons  $n_\gamma$  produced in each grid cell during  $\Delta t_\gamma$ . We use Zheleznyak's model, in which  $n_\gamma$  is proportional to the number of electron impact ionization events that took place in the grid cell, see (Zheleznyak et al., 1982) for details.
3. In each grid cell, use pseudo-random numbers to sample an integer number of photons  $N_\gamma$  produced in that cell. Each photon has a "weight" of one, that is, it corresponds to a photon producing a single ionization event.
4. For each produced photon, sample a random isotropic direction. Next, an absorption distance is sampled according to Zheleznyak's model, as described in Chanrion and Neubert (2008), and the grid cell in which the photon is absorbed is determined. The photoionization source term  $S_{\text{ph}}$  corresponding to that grid cell is then increased by  $(\Delta V \Delta t_\gamma)^{-1}$ .

For a more detailed description of implementation of Monte Carlo photoionization, readers are referred to Bagheri and Teunissen (2019) and Wang et al. (2023).

### Data Availability Statement

Version 0.3.1 of `afivo-streamer` (Teunissen & Ebert, 2017) used for the streamer simulations is preserved at <https://github.com/MD-CWI/afivo-streamer> with a GPL-3.0 license. The data and tools used in this study (Malla et al., 2024) are available at Zenodo via <https://zenodo.org/doi/10.5281/zenodo.10977532> with a Creative Commons Attribution 4.0 International license. Specifically, we provide a tool to solve Jefimenko's equations, which converts output of `afivo-streamer` simulations to the radiated field at a particular location. The radiated fields obtained with this tool are provided, as well as simulation input files. The data set also contains the experimentally obtained discharge images and recorded waveforms.

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