Space-filter techniques for quasi-neutral hybrid-kinetic models

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ABSTRACT  
The space-filter approach has been proved as a fundamental tool in studying turbulence in neutral fluids, providing the ability to analyze scale-to-scale energy transfer in configuration space. It is well known that turbulence in plasma presents challenges different from neutral fluids, especially when the scale of interest includes kinetic effects. The space-filter approach is still largely unexplored for kinetic plasma. Here, we derive the space-filtered (or equivalently “coarse-grained”) equations in configuration space for a quasi-neutral hybrid-kinetic plasma model, in which ions are fully kinetic and electrons are a neutralizing fluid. Different models and closures for the electron fluid are considered, including finite electron-inertia effects and full electrons’ pressure-tensor dynamics. Implications for the cascade of turbulent fluctuations in real space depending on different approximations are discussed.

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I. INTRODUCTION  
Turbulent plasmas can be found in a wide range of space, astrophysical, and laboratory systems. Understanding the properties of turbulent fluctuations and of their cascade—which plasma processes allow the transfer of energy from the large (“injection”) scales to the small (“dissipative”) scales of a system and how this energy is eventually converted into heat and non-thermal particles—is a fundamental step in order to understand the evolution of such systems (e.g., Refs. 1–4). In particular, turbulence in weakly collisional, magnetized plasmas is substantially different from turbulence in neutral fluids or in collisional plasmas, as it opens up the stage to a large variety of physical regimes (see, e.g., Refs. 5–17). This includes turbulent phase-space dynamics (“phase-space cascades”) and micro-instabilities (e.g., Refs. 18–28). A relevant aspect of plasma turbulence is the formation of localized (“coherent”) structures such as current sheets and magnetic structures, where both observations and simulations reveal an enhancement of kinetic features, from temperature anisotropy to particle energization and dissipation (e.g., Refs. 29–49). In this context, increasing attention has been focused on the role of current sheets and of magnetic reconnection as possibly mediating the energy transfer in plasma turbulence, both in the framework of magneto-hydrodynamic (MHD) models and in the kinetic regime.50–62 Therefore, it is of particular interest to develop a suitable theoretical framework that allows such investigation within kinetic and reduced-kinetic models that are widely adopted for kinetic-turbulence studies (see, e.g., Cerri et al.63 and references therein).

The filtering approach has been intensively employed in the study of turbulence and scale-to-scale coupling in neutral fluids, at least since the seminal work of Germano,64 and its subsequent use in Large Eddy Simulations (LES) both in the context of neutral fluids65,66 and MHD67–71 (see, e.g., Miesch et al.72 for a review on LES techniques in MHD). The approach is based on the following idea. First, a low-pass spatial filter is applied to all quantities of interest. Filtered quantities are then employed to construct equations for the conservation of density, momentum, and energy. Filtering is a linear operation (being essentially a convolution), meaning that, e.g., the filter applied to a product is not equal to the product of the filtered quantities. This implies that, in constructing energy equations, quadratic terms give rise to the so-called sub-grid terms. The sub-grid terms play a crucial role in LES simulations, being the terms that lay below the resolved scale of the simulation and that are parameterized according to a given scheme (e.g., Ref. 73). In this work, however, we follow the opposite
philosophy, where sub-grid terms are actually well resolved by the computational grid. The rationale is that when the equation for total filtered energy is written in conservative form, the sub-grid terms represent a source/sink term that couples energy flux transfer at a given spatial scale. The interesting aspect of this approach, which has been exploited in Camporeale et al.36 to study correlations between energy dissipation and coherent structures, is that sub-grid terms are not defined in Fourier but in configuration space. One can then easily evaluate and study how the scale-to-scale energy transfer is related to other spatial-dependent quantities. However, with a few notable exceptions (e.g., Refs. 27, 58, and 74–78), the filtering approach has not received widespread attention in the plasma physics community. For instance, in the context of 2.5D particle-in-cell (PIC) simulation of plasma turbulence, this effort was undertaken by Yang et al.36 by using the space-filtered techniques for their analysis, they found a qualitative coincidence between the spatial position of coherent structures and those sites with enhanced energy transfer. Another important theoretical work is represented by Eyink,27 where the seminal idea to apply this formalism to the entire phase space was exploited for the full Vlasov–Maxwell–Landau equations. The present work is between the original application to the MHD description (e.g., Aluie29 and references therein) and the whole (six-dimensional) phase-space analysis of a full kinetic description (e.g., Eyink27). In fact, here, we consider a hybrid-kinetic model where the Vlasov equation for the ion distribution function is coupled to a neutralizing electron fluid (where different fluid models and a generalized Ohm’s law are allowed). On the one hand, the hybrid approach will help to explicitly sort out and interpret the effects that are due to the different electron-ion dynamics, to different electron closures, and to their inertia, which would not be of immediate interpretation otherwise. On the other hand, because of the richness of the terms arising in the resulting filtered equations already for this hybrid-kinetic case, for the sake of clearness of the analysis and discussion in the present work, we will only consider the spatial part of the equations. Nevertheless, the configuration-space analysis will prove to be already able to highlight extremely relevant differences and similarities between a fluid approach and a kinetic treatment of the turbulent cascade. For instance, in this work, we provide a first formal explanation for the evidence that Hall-MHD and hybrid-kinetics with isothermal, massless electrons seem to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations reported by Papiu et al.38 Therefore, an analysis of the whole coarse-grained phase space, as done, e.g., in Eyink,27 and of the mechanisms underlying the entire phase-space cascade of ion-entropy fluctuations in hybrid-kinetic models (see, e.g., Cerri et al.32) is out of the scope of the present study and is left for a future work.

The remainder of this paper is organized as follows. In Sec. II, we present the equations of the general neutral hybrid-kinetic (NHK) model, including different closures on the electron fluid. The energy equations for the (forced) NHK model are provided and discussed in Sec. II B. In Sec. III, we employ the so-called space-filtered techniques to the general NHK model equations. The set of space-filtered energy equations are provided and discussed in Sec. III B. The explicit set of equations for different versions of the hybrid-kinetic model that are often adopted in the literature is also provided in Appendices A and B. A straightforward generalization to the full kinetic case is given in Appendix C. Finally, in Sec. IV, we discuss the relevance of this theoretical framework for turbulent systems and collisionless plasma dynamics.

II. NEUTRAL HYBRID-KINETIC (NHK) MODEL

The NHK model equations for a proton–electron plasma embedded in a magnetic field \( B \) can be written in the following form:

\[
\frac{\partial f_i}{\partial t} + v \cdot \frac{\partial f_i}{\partial x} + \left[ \frac{e}{m_i} \left( E + \frac{v}{c} \times B \right) + F_{\text{ext}} \right] \frac{\partial f_i}{\partial v} = 0, \tag{1}
\]

\[
E = -\frac{u_e}{c} \times B - \frac{\mathbf{v} \cdot \Pi_e}{en} - \frac{m_e}{e} \left( \frac{\partial u_e}{\partial t} + (u_e \cdot \nabla) u_e \right), \tag{2}
\]

\[
\frac{\partial B}{\partial t} = -c \nabla \times E, \quad \mathbf{J} = \frac{c}{4\pi} \nabla \times B, \tag{3}
\]

where \( f_i(x, v, t) \) is the distribution function of the ions (protons) of mass \( m_i \) at spatial position \( x \) and proton velocity \( v \), \( c \) is the speed of light, and \( F_{\text{ext}} \) is an external force per unit mass. In the generalized Ohm’s law for the full field \( E \), Eq. (2), quasi-neutrality \( n_e = n_i = n \) has been assumed, \( m_i \) is the electron mass, and the electron flow \( u_e \) is related to the ion flow \( u_i \) and to the current density \( J \) by \( u_e = u_i - J/en \). In the above set of equations has to be closed by defining the thermal model of the electron fluid, e.g., by adopting a closure for the electron pressure tensor \( \Pi_e \), or by providing evolution equations for its components. Note that the form (2) of the generalized Ohm’s law is equivalent to the non-approximated version of its classical form (see Appendix D for details).

\[
E = -\frac{u_i}{c} \times B + \frac{J \times B}{(1 + \epsilon_m)en} - \frac{\mathbf{v} \cdot (\Pi_e - \epsilon_m \Pi_i)}{(1 + \epsilon_m)en} + \frac{\epsilon_m m_i}{1 + \epsilon_m en} \frac{\partial J}{\partial t} + \mathbf{v} \cdot \left( J u_i + u_e J - \Pi_i \right), \tag{4}
\]

where \( \epsilon_m = m_e/m_i \ll 1 \) is the (small) mass-ratio parameter.

A. Electron pressure equations and fluid closure

The NHK equations (1)–(3) must be completed by one or more dynamic equations for the components of the electron pressure tensor, \( \Pi_e \). In the following, we consider the coupling with two models for the electron fluid:

(a) Isotropic, polytropic fluid. This is the simplest case of an isotropic fluid, \( \Pi_{ij} = P_{e} \delta_{ij} \), with a polytropic closure (e.g., Refs. 81 and 82)

\[
\frac{d}{dt} \left( \frac{P_e}{n^\gamma} \right) = 0, \tag{5}
\]

where \( \gamma \) is the polytropic index (e.g., \( \gamma = 1 \) for isothermal electrons), and the total (Lagrangian) derivative above uses the electron fluid velocity, i.e. \( d/dt = \partial_t + u_e \cdot \nabla \). Therefore, using continuity equation, it rewrites as

\[
\frac{\partial P_e}{\partial t} + \mathbf{v} \cdot (P_e u_e) = (1 - \gamma) P_e (\mathbf{v} \cdot u_e). \tag{6}
\]

(b) Fully anisotropic, adiabatic fluid. In this case, the full (a gyrotrropic) pressure tensor dynamics is retained and an adiabatic closure is adopted (e.g., Refs. 84 and 85),

\[
\frac{\partial \Pi_e}{\partial t} + \mathbf{v} \cdot (\Pi_e u_e) = -\left( \{ \Pi_e - \mathbf{v} \} u_e \right)^\text{sym} - \Omega_e \times (\Pi_e \times \mathbf{b})^\text{sym}, \tag{7}
\]

with the symmetrized terms, \( \{ \ldots \}^\text{sym} \), given by
\[
\left\{ (\Pi \cdot V) u \right\}_{ij}^{\text{sym}} = \Pi_{ik} \partial_k u_j + \Pi_{jk} \partial_k u_i, \tag{8}
\]
\[
\left\{ \Pi \times b \right\}_{ij}^{\text{sym}} = \epsilon_{ijk} \Pi_{kj} b_i + \epsilon_{ijk} \Pi_{ki} b_j, \tag{9}
\]
where \( \epsilon_{ijk} \) is the completely antisymmetric Levi-Civita symbol and \( b = B/|B| \) is the unit vector along the magnetic field direction. Note that Eq. (7) reduces to the adiabatic version of Eq. (6), i.e., with \( \gamma = 5/3 \), when an isotropic pressure tensor is considered, \( \Pi = P I \), and the equation is projected onto \( I/3 \). It also provides the double-adiabatic limit when a gyrotropic pressure tensor is considered, \( \Pi = p_{ij} b b + p_{bc} (I - b b) \), and the resulting equation is separately projected along the magnetic field direction and perpendicular to it, i.e. contracted with \( b b \) and with \( (I - b b)/2 \), respectively (see, e.g., Refs. 86 and 87). In particular, note that a gyrotropic pressure tensor is also recovered in the limit of massless electrons, since Eq. (7) requires that the condition \( \left\{ \Pi_i \times b \right\}_{ij}^{\text{sym}} = 0 \) is satisfied in such limit.88

Let us now examine the energetics of the NHK model equations.

B. Energy equations for the forced NHK system

The energy equations are derived using the moments of the Vlasov equation, i.e., from the corresponding fluid equations. Here, we interrupt the fluid hierarchy at the equation for the pressure tensor components

\[
\frac{\partial \Pi}{\partial t} + V \cdot (\Pi u) = 0, \tag{10}
\]
\[
\frac{\partial (\Pi u)}{\partial t} + V \cdot (\Pi u u + \Pi) \equiv \frac{e}{m_1} \left( \frac{E + u_i \times B}{c} \right) u_i + q_{\text{ext}}, \tag{11}
\]
\[
\frac{\partial \Pi}{\partial t} + V \cdot (\Pi u) + Q_i \equiv \frac{1}{\Omega} \left\{ \Pi \times b \right\}_{ij}^{\text{sym}} \equiv \Omega [\Pi_i \times b]_{ij}^{\text{sym}}, \tag{12}
\]
where \( e = m_{1n} \) is the (ion) mass density (see Appendix E for explicit formulation with index and moment definitions). Note that as far as the global energy equations are concerned, the closure and/or the dynamic equation for the heat flux tensor is not relevant. In fact, it will enter the equations as a total divergence of a heat flux vector, \( V \cdot q \) (see later), and for a “closed” system, it will vanish when integrated over the whole system domain. In this sense, the generalization of the following equations to the case where both ions and electrons are fully kinetic is straightforward (see Appendix C).

1. Ion bulk (kinetic) energy

By taking the scalar product between \( u \) and the momentum equation, and using the continuity equation, one finds the equation for the ion bulk energy,

\[
\frac{\partial \epsilon_{\text{iv}}}{\partial t} + V \cdot (\epsilon_{\text{iv}} u) = -u_i \cdot (V \cdot \Pi) + q_{\text{iv}} \cdot \left( \frac{e}{m_1} E + F_{\text{ext}} \right), \tag{13}
\]
where \( \epsilon_{\text{iv}} = \frac{1}{2} q u_i^2 \) is the ion bulk (or kinetic) energy density.

2. Ion internal (thermal) energy

By taking the trace of Eq. (12), i.e., by contracting the indices (where a sum over repeated indices is understood), and multiplying by \( 1/2 \), one obtains the equation for the ion internal energy,

\[
\frac{\partial \epsilon_{\text{it}}}{\partial t} + V \cdot (\epsilon_{\text{it}} u) + q_{\text{it}} = -\Pi_i \cdot V u_i, \tag{14}
\]
where the ion internal (or thermal) energy density is defined as \( \epsilon_{\text{it}} = \frac{1}{2} \text{tr} \Pi_i \) and \( q_{\text{it}} \) is the ion heat-flux vector defined by \( q_{\text{it}} = \frac{1}{2} \sum_{i,j} Q_{ij} \).

3. Equivalent electron bulk (kinetic) energy

By taking the scalar product between \( e u_e \) and the generalized Ohm’s law, Eq. (2), one obtains the equation for the electron bulk energy,

\[
\frac{\partial \epsilon_{\text{ev}}}{\partial t} + V \cdot (\epsilon_{\text{ev}} u_e) = -u_e \cdot (V \cdot \Pi_{e}) - e n u_e \cdot E, \tag{15}
\]
where \( \epsilon_{\text{ev}} = \frac{1}{2} m_e \frac{1}{2} q u_e^2 \) is the electron bulk (or kinetic) energy density and it vanishes in the limit of massless electrons, \( e_m \to 0 \). In such limit, this equation becomes a statement of the balance between the work done on the electron fluid by the electric field and the electron pressure forces (in the electrons’ reference frame)

\[
e n u_e \cdot \left( E + \frac{V \cdot \Pi_{e}}{en} \right) = 0, \tag{16}\]
or, written in a way that may be useful later,

\[
V \cdot (\Pi_{e} \cdot u_e) = \Pi_{e} \cdot V u_e - e n u_e \cdot E. \tag{17}\]
Note that this is true regardless of the assumptions on the pressure tensor of the electron fluid, as long as it is massless.

4. Electron internal (thermal) energy

We now derive the equation for the electron internal energy for the two cases:

(a) Isotropic, polytropic fluid. When the electron pressure tensor is diagonal and isotropic, i.e., \( \Pi_{e} = P_e \delta_{ij} \), its associated internal energy is \( 1/2 \text{tr} \Pi_{e} = 1/2 P_e \). Therefore, the corresponding energy equation is just the electron pressure equation, Eq. (6), multiplied by \( 3/2 \),

\[
\frac{\partial \epsilon_{\text{et}}}{\partial t} + V \cdot (\epsilon_{\text{et}} u_e) = (1 - \gamma) \epsilon_{\text{et}} (V \cdot u_e), \tag{18}\]
where here, \( \epsilon_{\text{et}} = \frac{1}{2} P_e \) is the electron internal energy density.

(b) Fully anisotropic, adiabatic fluid. In this case, the energy equation for the internal energy of the electron fluid is equivalent to the one of the ions, Eq. (14), with \( q = 0 \),

\[
\frac{\partial \epsilon_{\text{et}}}{\partial t} + V \cdot (\epsilon_{\text{et}} u_e) = -\Pi_{e} \cdot V u_e, \tag{19}\]
with the electron internal energy density now defined as \( \epsilon_{\text{et}} = 1/2 \text{tr} \Pi_{e} \). Note that if \( \Pi_{e} = P_e I \), then Eq. (19) correctly reduces to Eq. (18) with \( \gamma = 5/3 \).

5. Magnetic energy

By taking the scalar product between \( B \) and the Faraday equation, and using the vector identity \( B \cdot (V \times E) = V \cdot (E \times B) + E \cdot (V \times B) \), one finds the equation for the ion bulk energy,
where \( \mathcal{E}_B = B^2/8\pi \) is the magnetic energy density. Note that in the hybrid approximation, there is no energy equation for the electric energy density, \( \mathcal{E}_E = E^2/8\pi \), since the displacement current has been neglected.

C. The total energy density equation and energy channels in the NHK model

Let us gather all the previous energy equations here for convenience (and just rewriting terms in a convenient way),

\[
\frac{\partial \mathcal{E}_u}{\partial t} + \nabla \cdot \left( \mathcal{E}_u u_i + \Pi_i \cdot u_i \right) = \rho u_i \cdot F_{\text{ext}} + \Pi_i \cdot \nabla u_i + e n u_i \cdot E, \quad (21)
\]

\[
\frac{\partial \mathcal{E}_{\Pi}}{\partial t} + \nabla \cdot \left( \mathcal{E}_{\Pi} u_i + \Pi_i \cdot u_i + q_i \right) = -\Pi_i \cdot u_{\Pi}, \quad (22)
\]

\[
\frac{\partial \mathcal{E}_a}{\partial t} + \nabla \cdot \left( \mathcal{E}_a u_i + \Pi_i \cdot u_i \right) = \Pi_i \cdot u_{E} - e n u_i \cdot E, \quad (23)
\]

\[
\frac{\partial \mathcal{E}_{\Pi}}{\partial t} + \nabla \cdot \left( \mathcal{E}_{\Pi} u_i + \Pi_i \cdot u_i \right) = \frac{(1 - \gamma) \mathcal{E}_p (\nabla \cdot u_i)}{\Pi_i} \quad (a),
\]

\[
\frac{\partial \mathcal{E}_B}{\partial t} + \nabla \cdot \left( \frac{E \times B}{4\pi} \right) = - e n u_i \cdot E + e n u_i \cdot E, \quad (25)
\]

where we remind the reader the following definitions:

\[
\mathcal{E}_u = \frac{1}{2} m_u n u_i^2, \quad \mathcal{E}_{\Pi} = \frac{1}{2} \text{tr}[\Pi_i], \quad \mathcal{E}_B = B^2/8\pi. \quad (26)
\]

Note that Eqs. (21)–(25) are well-known fundamental energy equations describing the energy channels of a kinetic plasma, and essentially the same set of equations can be derived for the full-Vlasov case. By summing up Eqs. (21)–(25), one obtains the equation for the total energy density, \( \mathcal{E} = \mathcal{E}_u + \mathcal{E}_{\Pi} + \mathcal{E}_a + \mathcal{E}_{\Pi} + \mathcal{E}_B \):

\[
\frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \Phi_E = \rho u_i \cdot F_{\text{ext}} + \mathcal{I}_e, \quad (27)
\]

where we have defined the energy density flux, \( \Phi_E \), as

\[
\Phi_E = \left( \mathcal{E}_u + \mathcal{E}_{\Pi} \right) u_i + \Pi_i \cdot u_i + q_i + \left( \mathcal{E}_a + \mathcal{E}_{\Pi} \right) u_i + \Pi_i \cdot u_i + \frac{E \times B}{4\pi} \cdot \mathcal{E}_u. \quad (28)
\]

The additional \( \mathcal{I}_e \) term comes from the closure adopted for the electron fluid, and it is zero for an adiabatic fluid,

\[
\mathcal{I}_e = \left\{ \begin{array}{ll}
\frac{5}{3} - \gamma & \left( 1 - \gamma \right) \mathcal{E}_p (\nabla \cdot u_i) \quad (a) \\
0 & \quad (b)
\end{array} \right.
\]

From the above equations, it is clear that when an isothermal closure is adopted for the electron fluid, there cannot be an exact conservation of energy even when no external injection is considered; \( F_{\text{ext}} = 0 \). In fact, artificial energy loss or gain has to be included in the model in order to keep the electrons isothermal when compressibility effects are present (i.e., when \( \nabla \cdot u_i = \nabla \cdot u_i + (f/e) \nabla \ln (n) \neq 0 \)). This is indeed a well-known feature in the astrophysics community, and it is often attributed to instantaneous radiation cooling and heating (e.g., turbulent heating) that on average maintain the isothermal state.

Finally, it is interesting to note the coupling between the different energy densities in Eqs. (21)–(25), i.e., the so-called “energy channels.” The thermal energy only couples to the kinetic energy via the pressure-strain term, \( \Pi_i \cdot \nabla u_i \) (with some modifications when explicit closure relations are adopted on the pressure), whereas the magnetic energy is only coupled to the kinetic energy via the electric-field work term, \( e n u_i \cdot E \). Moreover, as it is expected in a collisionless system, the ions’ and electrons’ energy channels never couple to each other directly, but only through electromagnetic fields. This is more evident if we take a spatial average over the entire spatial domain under consideration, denoted by \( \langle \ldots \rangle \), and we assume that the fluxes are such that \( \langle \nabla \cdot (\ldots) \rangle = 0 \), as, e.g., for periodic or insulating boundary conditions.

where we have introduced the species’ current density, \( j_e = e n u_i e \), for brevity. Therefore, kinetic energy acts as the only mediator in the transfer between the field and the thermal energies, and conversion from electromagnetic energy to internal energy of the plasma has to necessarily go through the generation of bulk flows. At the same time, in a collisionless system, the energy transfer from one species to another can only occur through field energy. Thus, in steady state conditions, electromagnetic fields are the mediators that determine the partition between the species’ energy cascades. However, the above equations are volume averaged, and so they do not contain information about the spatial regions to which the energy transfer is associated or about scale-by-scale energy transfer and cross-scale interactions: in order to investigate these properties, special techniques need to be applied (see, e.g., Ref. 58). The space-filtered equations for the general NHK model (1)–(3), with both type of closures, (5) or (7), are derived in Sec. III, while the equations belonging to specific hybrid-kinetic (HK) models are provided in Appendices A and B. The full-kinetic (FK) case is also provided in Appendix C.

III. THE SPACE-FILTERED APPROACH TO THE GENERAL NHK MODEL

Following the procedure described in Camporeale et al., we now derive the corresponding filtered equations for the energy densities in the NHK system.

A. Filter definitions and properties

Let us consider a vector field, \( \mathbf{V}(x, t) \). The corresponding space-filtered field \( \tilde{\mathbf{V}}(x, t) \) is defined as
\[ \bar{V}(x, t) = \int G(x - \xi) V(\xi, t) d\xi = V(x, t)*G(x), \]  
(35)

where \( \Omega \) is the entire spatial domain, \( G \) is the filter function (e.g., Gaussian, top-hat, Fourier, etc.), and \( * \) is the convolution operator defined by Eq. (35). We also assume that the filtering operation commutes with time and space differentiation,

\[ \frac{dV}{dt} = \frac{d\bar{V}}{dt}, \]  
(36)

\[ \bar{V} \cdot \bar{V} = V \cdot \bar{V}. \]  
(37)

Finally, we introduce the so-called Favre filter, defined as

\[ \bar{V} = \frac{\partial \bar{V}}{\partial}. \]  
(38)

This type of density-weighted filtering operation was originally introduced in hydrodynamic turbulence in order to avoid possible contamination due to the viscous dynamics in the large-scales quantities, thus allowing for the existence of an inertial-range cascade (“inviscid criterion”). Although in the present case we are analyzing formally allowing for the existence of an inertial-range cascade (“inviscid dissipation operators, or even actual resistivity in the Ohm’s law—see, e.g., Appendix B). Therefore, by using the Favre-filtering approach, we should ensure the applicability to the analysis of hybrid-kinetic numerical simulations.

### B. Space-filtered energy equations for the forced NHK system

We start by filtering the single energy equations, i.e., by considering the energy conservation associated with those scales larger than a given filter size \( \ell \). In this process, we will also define certain sub-grid terms arising from non-linear terms in the equation, such as, for instance,

\[ \bar{u}_i \bar{u}_i = \bar{u} \bar{u}_i = \bar{u} \bar{t}_i \bar{u}_i + T^{(1)}_{\text{iu}}, \]  
(39)

i.e., the sub-grid \( T^{(1)}_{\text{iu}} \) term is determined by the difference of the non-linear terms

\[ T^{(1)}_{\text{iu}} = \bar{u} (\bar{u}_i \bar{u}_i - \bar{u} \bar{t}_i \bar{u}_i), \]  
(40)

and represents the term that is associated with the ion-flow non-linearity at scales \( < \ell \).

#### 1. Filtered ion bulk (kinetic) energy equation

By filtering the ion momentum equation (11) and using the above definitions, one gets the filtered momentum equation in the following form:

\[ \frac{\partial (\bar{u}_i \bar{u}_i)}{\partial t} + \bar{V} \cdot (\bar{u}_i \bar{u}_i) = -V \cdot \left( T^{(1)}_{\text{iu}} + \bar{\Pi}_i \right) + \bar{\rho} \bar{F}_\text{ext} \]  
\[ + \frac{e}{m_i} \bar{\rho} \left( \bar{E} + \frac{\bar{u}_i}{c} \times \bar{B} + T^{(1)}_{\text{iu}B} \right), \]  
(41)

where the sub-grid term related to the ion-flow non-linearity, \( T^{(1)}_{\text{iu}} \), is defined in (40), and we have introduced the sub-grid term associated with the \( \bar{u}_i \times \bar{B} \) non-linearity,

\[ T^{(1)}_{\text{iu}B} = \frac{1}{c} \left( \bar{u}_i \times \bar{B} - \bar{u}_i \times \bar{B} \right). \]  
(42)

By taking the scalar product of (41) with \( \bar{u}_i \), the filtered equation for the ion bulk energy density follows:

\[ \frac{\partial \bar{E}_i}{\partial t} + \bar{V} \cdot (\bar{E}_i \bar{u}_i) = \bar{\rho} \bar{u}_i \cdot \left[ \bar{F}_\text{ext} + \frac{e}{m_i} \left( \bar{E} + T^{(1)}_{\text{iu}B} \right) \right] \]  
\[ - \bar{u}_i \cdot \left[ \bar{V} \cdot (\bar{\Pi}_i + T^{(1)}_{\text{iu}}) \right], \]  
(43)

where now the filtered ion bulk (kinetic) energy density is defined as

\[ \bar{E}_i = \frac{1}{2} \bar{\rho} \bar{u}_i^2. \]

Note that the sub-grid terms above have an immediate physical interpretation as, e.g., “turbulent” fields or stresses. If \( \ell \) is a characteristic scale of the filter defined in (35), then the first sub-grid term, \( T^{(1)}_{\text{iu}} \), is indeed the Reynolds stress associated with the ion-flow fluctuations at scales \( < \ell \) (i.e., what contributes to the transport of ion-momentum at scales \( \ell \), \( \bar{u}_i \) due to the advection of sub-scale ion-momentum by sub-scale ion-flow fluctuations, in analogy to its original definitions within mean-field MHD. Analogously, \( T^{(1)}_{\text{iu}B} \) is (minus) the “MHD contribution” to the “turbulent” electric field, \( e^{\ast}_{\text{MHD}} \equiv -T^{(1)}_{\text{iu}B} \), i.e., the electric field associated with the \( \bar{u}_i \times \bar{B} \) fluctuations at scales \( < \ell \) (see Sec. III C). These terms, i.e., the “sub-scale electromotive force” \( e^{\ast}_{\text{MHD}} \) and the "sub-scale Reynolds stress" \( T^{(1)}_{\text{iu}} \) associated with the ion-flow fluctuations, are indeed analogous to those present in the coarse-grained MHD equations (see, e.g., Aluie and references therein). Thus, another useful way to rewrite the equation above is

\[ \frac{\partial \bar{E}_i}{\partial t} + \bar{V} \cdot (\bar{E}_i \bar{u}_i) = \bar{\rho} \bar{u}_i \cdot \left[ \bar{F}_\text{ext} + \bar{\Pi}_i + T^{(1)}_{\text{iu}} \right] \]  
\[ \bar{u}_i \]  
(44)

where we have introduced the Favre-filtered ion current density, \( \bar{J}_i \equiv \frac{\bar{\rho}}{\bar{u}_i} \bar{u}_i = \bar{e}^{\ast}_{\text{MHD}} \bar{u}_i \). Therefore, when considering a characteristic scale \( \ell \) for the filters, the energy transfer of ions’ kinetic energy through that scale is mediated by a combination of two effects. The first is represented by the interaction between the ion current density at scales \( \geq \ell \), \( \bar{J}_i \), and the MHD contribution of the “turbulent” electric-field fluctuations at scales \( < \ell \), \( e^{\ast}_{\text{MHD}} \). The second effect relies on the interaction of the strain tensor of the ion flow at scales \( \geq \ell \), \( \bar{\Sigma}_i \), with the turbulent ion-flow Reynolds stress at scales \( < \ell \), \( T^{(1)}_{\text{iu}} \). That is, the net ion-kinetic energy density flux through a scale \( \ell \), \( \bar{T}^{\ell}_{\text{in}} \), is given by the combination of the above two terms:

\[ \bar{T}^{\ell}_{\text{in}} = \bar{\Sigma}_i \bar{J}_i - \bar{J}_i \cdot \bar{e}^{\ast}_{\text{MHD}}, \]  
(45)

The strain-Reynolds stress interaction as a mechanism for scale-to-scale energy transfer is indeed analogous to the one arising in the coarse-grained MHD equations. The term \( \bar{J}_i \cdot \bar{e}^{\ast}_{\text{MHD}} \) represents the ion contribution to the so-called "turbulent Ohmic dissipation" due to the MHD sub-grid electromotive force associated with the \( \bar{u}_i \times \bar{B} \) fluctuations, viz. \( \bar{f}_i \cdot \bar{e}^{\ast}_{\text{MHD}}, \) that would also be present in the MHD description. As we will discuss in Secs. III B 3 and III C, however, in the hybrid-kinetic case, there are additional (i.e., non-MHD) contributions to the "sub-grid electric field" \( e^{\ast} \), as well as sub-grid terms arising from a two-fluid-like description (i.e., ions and...
2. Filtered ion internal (thermal) energy equation

We now apply a Favre filter on the ion pressure tensor equation, so we obtain

$$\frac{\partial \bar{\Pi}}{\partial t} + \nabla \cdot (\bar{\Pi} \bar{u}_i + \bar{Q}_i) = -\left\{ (\bar{\Pi} \cdot \nabla)\bar{u}_i + \bar{T}_{\Pi \nabla u_i} \right\}_{\text{sym}} + \frac{e}{m_i} \left\{ \bar{\Pi}_i \times \bar{B} + \bar{T}_{\Pi \times B}^{(i)} \right\}_{\text{sym}},$$

(45)

where we have introduced the sub-grid tensors associated with the pressure-strain and pressure-magnetic ions’ non-linearities,

$$\{ \bar{T}_{\Pi \nabla u_i} \}_{ij} = \bar{\Pi}_i k \delta_{ki} u_{ij} - \bar{\Pi}_i \delta_{ik} u_{ij},$$

(46)

$$\{ \bar{T}_{\Pi \times B}^{(i)} \}_{ij} = \bar{\Pi}_i k \delta_{ki} B_m - \bar{\Pi}_i \delta_{ik} \bar{B}_m.$$

(47)

(In the above, sum over repeated indices is understood.) Then, multiplying (45) by $1/2$ and taking its trace (i.e., projecting onto $\frac{1}{2} \bar{I}$), one obtains

$$\frac{\partial \bar{\Pi}}{\partial t} + \nabla \cdot \left( \bar{\Pi} \bar{u}_i + \bar{Q}_i \right) = -\bar{\Pi} : \nabla \bar{u}_i - \bar{T}_{\Pi \nabla u_i}^{(i)} \nabla \bar{u}_i,$$

(48)

where $\bar{T}_{\Pi \nabla u_i}^{(i)}$ is defined as the trace of $\bar{T}_{\Pi \nabla u_i}$,

$$\bar{T}_{\Pi \nabla u_i}^{(i)} = \bar{\Pi}_i k \delta_{ki} u_{ij} - \bar{\Pi}_i \delta_{ik} \bar{u}_{ij},$$

(49)

and we have used the fact that the right-hand side of (45) vanishes when taking its trace.

As we can see, the interaction between the pressure tensor and the strain tensor associated with scales $\geq \ell$, $-\bar{\Pi}_i : \bar{\Sigma}_i$, is providing the (only) connection of the ion-thermal energy to another energy channel, that is, with the ion-kinetic energy. At the same time, the (only) transfer mechanism of ion-thermal energy density through scale $\ell$ (i.e., the cascade rate of ion-internal energy) is provided by the “turbulent” pressure-strain non-linearity at scales $< \ell$, $\bar{T}_{\Pi \nabla u_i}^{(i)}$.

3. Filtered electric field and role of sub-grid nonlinearities

By applying the Favre filter to the generalized Ohm’s law (2), we obtain

$$\bar{\mathbf{E}} = -\frac{\bar{\mathbf{u}}_e}{c} \times \bar{\mathbf{B}} - \bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} - \frac{m_e}{e} \nabla \cdot \bar{\Pi}_e,$$

$$- \frac{m_e}{e} \frac{1}{\emptyset} \left[ \mathbf{V} \cdot \left( \partial \bar{\mathbf{u}}_e \bar{u}_e + \bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} \right) + \frac{\partial (\emptyset \bar{u}_e)}{\partial t} \right],$$

(50)

where the sub-grid terms are now related to the electron-flow and $\mathbf{u}_e \times \mathbf{B}$ non-linearities,

$$\bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} = \emptyset (\bar{\mathbf{u}}_e \bar{u}_e - \bar{\mathbf{u}}_e \bar{\mathbf{B}}),$$

(51)

$$\bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} = \emptyset (\bar{\mathbf{u}}_e \times \bar{\mathbf{B}}).$$

(52)

Note that using the electron continuity equation and the properties (36)–(38) of the filters, one can show that the relation $(\mathbf{u}_e \cdot \mathbf{V}) \mathbf{u}_e = \frac{1}{\emptyset} \left[ \mathbf{V} \cdot (\partial \bar{\mathbf{u}}_e \bar{u}_e) + \partial (\emptyset \bar{u}_e) \right]$ holds. Therefore, applying the Favre filter to Eq. (2) is entirely equivalent to rewrite the electron momentum equation in its conservative form [see (D1) and apply the regular filter (35) on it]. It is interesting to note that the filtered electric field at scales $\geq \ell$ in (50) has an explicit contribution from the filtered fields at the same scales, plus a contribution $\epsilon^e$ from scales $< \ell$,

$$\bar{\mathbf{E}} = -\frac{\bar{\mathbf{u}}_e}{c} \times \bar{\mathbf{B}} - \frac{m_e}{e} \nabla \cdot \bar{\Pi}_e - \frac{m_e}{e} \frac{1}{\emptyset} \left[ \mathbf{V} \cdot \left( \partial \bar{\mathbf{u}}_e \bar{u}_e + \bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} \right) + \frac{\partial (\emptyset \bar{u}_e)}{\partial t} \right] + \epsilon^e,$$

(53)

where we have defined $\epsilon^e$ as the sub-grid electric field,

$$\epsilon^e \equiv -\bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} - \epsilon_{\text{MHD}} - \epsilon_{\text{Hall}} - \epsilon_{\text{d}};$$

(54)

sometimes referred to as “turbulent” electric field, which is arising from unresolved scales $< \ell$ due to the nonlinear contributions. It is worth to further decompose this sub-grid electric field into its “MHD contribution” (i.e., due to the $\mathbf{u}_e \times \mathbf{B}$ nonlinearities), “Hall contribution” (i.e., related to the $\mathbf{J} \times \mathbf{B}$ term), and “electron-inertia contribution” (i.e., associated with the $\mathbf{u}_e \mathbf{u}_e$ nonlinearity that is retained when $\epsilon_m \neq 0$),

$$\epsilon^e = \epsilon_{\text{MHD}} + \epsilon_{\text{Hall}} + \epsilon_{\text{d}};$$

with

$$\epsilon_{\text{MHD}} = -\bar{T}_{\mathbf{E} \times \mathbf{B}}^{(i)};$$

(55)

$$\epsilon_{\text{Hall}} = -\bar{T}_{\mathbf{J} \times \mathbf{B}};$$

(56)

$$\epsilon_{\text{d}} = -\frac{m_e}{e} \frac{1}{\emptyset} \left[ \mathbf{V} \cdot \bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} \right].$$

(57)

where we have used the identity $\bar{T}_{\mathbf{E} \times \mathbf{B}}^{(e)} = \bar{T}_{\mathbf{E} \times \mathbf{B}}^{(i)} + \bar{T}_{\mathbf{J} \times \mathbf{B}}$ in which the $\mathbf{J} \times \mathbf{B}$ sub-grid term is defined as
\[
T_{f \times B} \equiv \frac{m}{4 \pi e^2} \left( \bar{\mathbf{j}} \times \bar{B} - \bar{\mathbf{j}} \times \bar{B} \right).
\]

At this point, we can clearly see the difference between an MHD treatment and the hybrid-kinetic case. In fact, in the coarse-grained MHD equations, one would only obtain the sub-grid electromotive force associated with the \( \mathbf{u} \times \mathbf{B} \) fluctuations, Eq. (55). As soon as we allow for a two-fluid-like description, the additional terms in Eqs. (56) and (57) arise. In particular, the Hall sub-grid electric field, \( \mathbf{e}_{\text{Hall}} \), accounts for the separation between the ion dynamics and the magnetic-field dynamics (which is now frozen into the electron-fluid dynamics) that occurs as the ion-inertial scales are approached. This term should actually be captured also in the Hall-MHD limit. The last term, \( \mathbf{e}^{\prime}_d \), arises from finite-inertia effects of the electron fluid, \( \epsilon_{v_e} \neq 0 \), which now contributes to the sub-grid electric field through (the divergence of) its sub-grid Reynolds stress, \( T^{(i)}_{ij} \). Therefore, this term could not be captured by a MHD or Hall-MHD treatment. Note that in the massless-electron limit, the only contributions to the “turbulent” electric field come from the MHD and Hall terms, \( \mathbf{e}_{\text{MHD}} \) and \( \mathbf{e}_{\text{Hall}} \), respectively. As we will see later, in Sec. III C, this has a direct implication on the turbulent energy transfer across scales. In particular, this formalism seems to provide a proof of the assumption made in Hellinger et al.,\(^7\) that in a hybrid model with isothermal, massless electrons this transfer can be approximated by its additive incompressive contributions due to the MHD and Hall terms. If one is interested in investigating in more detail the mechanism underlying the electron-inertial term, \( \mathbf{e}^{\prime}_i \), it could be further decomposed by using the relation

\[
T^{(i)}_{ij} = T^{(i)}_{uu} + T^{(i)}_{u} - T^{(i)}_{[u]i}, \text{ i.e.,}
\]

\[
\mathbf{e}^{\prime}_d = -\frac{m}{e} \mathbf{V} \cdot \left[ T^{(i)}_{uu} + T^{(i)} - T^{(i)}_{[u]i} \right],
\]

where the “current–current” and “current-flow” sub-grid terms are given by

\[
T^{(i)}_{uu} \equiv \frac{m}{e^2} \left( \frac{\mathbf{J} \cdot \mathbf{J}}{\mathbf{V} \cdot \mathbf{V}} \right),
\]

\[
T^{(i)}_{[u]i} \equiv \frac{m}{e} \left[ (\mathbf{J} \mathbf{u} + \mathbf{u} \mathbf{J}) - (\mathbf{J} \mathbf{u}_i + \mathbf{u}_i \mathbf{J}) \right],
\]

and they can be seen as turbulent Reynolds stresses associated with the current–current and current-flow non-linearities that add to the turbulent Reynolds stress of the ion flow.

### 4. Filtered electron bulk (kinetic) energy

By taking the scalar product of (50) with \( \frac{\mathbf{e}}{m} \), one obtains the filtered equation for the equivalent electron bulk energy density,

\[
\frac{\partial \tilde{\mathbf{E}}_{e}}{\partial t} + \mathbf{V} \cdot \left( \tilde{\mathbf{E}}_{e} \mathbf{u}_e \right) = -\mathbf{u}_e \cdot \left( \mathbf{V} \cdot \left( \tilde{\mathbf{P}}_{e} + \mathbf{e} \mathbf{V} T^{(e)}_{uu} \right) \right) - \frac{e}{m} \mathbf{V} \cdot \left( \tilde{\mathbf{E}} + \mathbf{T}^{(e)}_{u \times B} \right),
\]

or, equivalently, as

\[
\frac{\partial \tilde{\mathbf{E}}_{e}}{\partial t} + \mathbf{V} \cdot \left( \tilde{\mathbf{E}}_{e} \mathbf{u}_e + \tilde{\mathbf{P}}_{e} \cdot \mathbf{u}_e \right) = \tilde{\mathbf{P}}_{e} \cdot \mathbf{V} \mathbf{u}_e + \tilde{\mathbf{f}}_{e} \cdot (\tilde{\mathbf{E}} - \epsilon_{e}),
\]

where the filtered electron bulk energy density is defined as \( \tilde{\mathbf{E}}_{e} = \epsilon_{e} \frac{3}{2} \mathbf{V} \mathbf{u}_e \mathbf{u}_e \) and we have introduced the Favre-filtered electron current density, \( \tilde{\mathbf{f}}_{e} = -\frac{e}{m} \mathbf{V} \mathbf{u}_e = -\epsilon_{e} \mathbf{u}_e \). Therefore, when considering a characteristic scale \( \ell \) for the filters, the energy transfer of electrons’ kinetic energy through that scale is mediated by the interaction between the electron current density at scales \( \geq \ell, \tilde{\mathbf{f}}_{e} \), and the “turbulent” electric-field fluctuations at scales \( < \ell, \epsilon_{e} \), that is, \( T^{(e)}_{uu} = -\tilde{\mathbf{f}}_{e} \cdot \epsilon_{e} \). Also note that it would be possible to rewrite (63) in an analogous way as done for (44), so that the energy flux through scale \( \ell \) is given by the combination of the electrons’ current density and the electrons’ strain tensor at scales \( \geq \ell \) interacting with the turbulent “MHD + Hall” electric field and with the turbulent electron-flow Reynolds stress, respectively, i.e., \( T^{(e)}_{uu} = -\tilde{\mathbf{f}}_{e} \cdot \epsilon_{e} \). Also note that this has a direct implication on the turbulent cascade of magnetic-field fluctuations (see, e.g., Hellinger et al.\(^7\)) and that there is a difference between the scale-to-scale transfer that is found in coarse-grained MHD equations and the one operating in hybrid models, which arises from the Hall term. Interestingly, a similar difference in the sub-grid turbulent electric field, and thus in the associated scale-to-scale magnetic-energy transfer, can be found from Hall-MHD equations. This may indeed explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons seem to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations (see, e.g., Papini et al.\(^7\)) and why this transfer may be approximated by the additive contributions due to the MHD and Hall terms in the sub-grid electric field (see, e.g., Hellinger et al.\(^7\)) see also the discussion associated with Fig. 2). Indeed, the relevance and nature of the Hall electric-field fluctuations in possibly mediating the transition to a so-called reconnection-mediated regime of sub-ion-scale turbulent energy transfer were already pointed out by means of hybrid-kinetic simulations in Cerri and Califano.\(^7\)

### 5. Filtered electron internal (thermal) energy

We now apply the Favre filter also to the electron pressure equations, (6) or (7) depending on the closure adopted:

(a) Isotropic, polytropic fluid

\[
\frac{\partial \tilde{\mathbf{P}}_{e}}{\partial t} + \mathbf{V} \cdot \left( \tilde{\mathbf{P}}_{e} \mathbf{u}_e \right) = (1 - \gamma) \left[ \tilde{\mathbf{P}}_{e} \cdot \mathbf{V} \mathbf{u}_e + \tilde{\mathbf{f}}_{e} \cdot (\tilde{\mathbf{E}} - \epsilon_{e}) \right],
\]
where $T_{\text{P}u}^{(e)}$ is the sub-grid term associated with the isotropic-compression contribution to the pressure-strain electrons’ non-linearity, defined as
\[
T_{\text{P}u}^{(e)} = P_e \left( \nabla \cdot u_e - \tilde{P}_e \left( \nabla \cdot \tilde{u}_e \right) \right). \quad (66)
\]
(b) Fully anisotropic, adiabatic fluid
\[
\frac{\partial \tilde{P}_e}{\partial t} + \nabla \cdot \left( \tilde{P}_e \cdot u_e \right) = \left\{ \left( \tilde{P}_e \cdot V \right) u_e + T_{\text{P}u}^{(e)} \right\}_{\text{sym}} + \frac{e}{m_e c} \left\{ \tilde{P}_e \times \tilde{B} + T_{\text{P}u}^{(e)} \right\}_{\text{sym}}, \quad (67)
\]
where we have introduced the electrons counterpart of the sub-grid terms related to the pressure-strain and pressure-magnetic tensor non-linearity,
\[
\left\{ T_{\text{P}e}^{(e)} \right\}_{ij} = \Pi_{e,\text{R}} \partial_t u_{ej} - \Pi_{e,\text{R}} \partial_t \tilde{u}_{ej}, \quad (68)
\]
\[
\left\{ T_{\text{P}e}^{(e)} \right\}_{ij} = e \omega_m \left( \Pi_{e,\text{B}} B_m - \Pi_{e,\text{B}} \tilde{B} \right), \quad (69)
\]
From the above equations, the corresponding equations for the filtered electron internal energy density follow:
\[
\frac{\partial \tilde{E}_{\text{He}}}{\partial t} + V \cdot \left( \tilde{E}_{\text{He}} \cdot u_e \right) = \left\{ \left( 1 - \gamma \right) \frac{3}{2} \tilde{P}_e \left( V \cdot \tilde{u}_e \right) + T_{\text{P}u}^{(e)} \right\}_{\text{sym}} - \tilde{\Pi}_e \cdot \tilde{V} u_e - T_{\text{He}u}^{(e)}, \quad (70)
\]
where $\tilde{E}_{\text{He}} = \tilde{B}^2 / 8 \pi$, and the sub-grid term on the right-hand side of (70–b) is defined as the trace of $T_{\text{He}u}^{(e)}$ given in (68), i.e.,
\[
T_{\text{He}u}^{(e)} = \text{tr} \left( T_{\text{He}u}^{(e)} \right). \quad (71)
\]
6. Filtered magnetic energy

Note that the Favre-filtered Maxwell equations of the NHK model are
\[
\frac{\partial \tilde{B}}{\partial t} = -e V \times \tilde{E}, \quad \tilde{J} = \frac{c}{4 \pi} e V \times \tilde{B}, \quad (71)
\]
the equation for the filtered magnetic energy, $\tilde{E}_B = |\tilde{B}|^2 / 8 \pi$, is readily derived in the usual way,
\[
\frac{\partial \tilde{E}_B}{\partial t} + V \cdot \left( \tilde{E}_B \times \tilde{B} / 4 \pi \right) = -\tilde{J} \cdot \tilde{E} + \frac{e}{m_i} \tilde{u}_i \left( u_i - \tilde{u}_i \right) \cdot \tilde{E} - T_{\text{He}}, \quad (72)
\]
where the sub-grid term associated with wave-particle interaction is defined as
\[
T_{\text{He}} = \left\{ \sum_x e_x \left[ \tilde{n}_u - \tilde{n}_{u_x} \right] \right\} \cdot \tilde{E},
\]
\[
= \frac{e}{m_i} \left\{ \tilde{u}_i - \tilde{u}_i \right\} \cdot \tilde{E}. \quad (73)
\]
[The actual sub-grid non-linearity here is given by $T_{\text{He}} = \tilde{n}_u - \tilde{n}_{u_x}$, so that the decomposition $\tilde{J} = \tilde{e} \tilde{u}_i - \tilde{u}_x$ holds. The resulting sub-grid contribution to the rhs of Eq. (72) would then be $-(T_{\text{He}}^{(e)} - T_{\text{He}}^{(e)} \cdot \tilde{E})$, that we have called $-T_{\text{He}}^{(e)}$ for brevity.]

Analogously to what has been done for the electric field in (53), we can define a sub-grid or “turbulent” current density,
\[
\tilde{j}^{(t)} = \frac{1}{\sigma} \left( \nabla \cdot \tilde{J} - \tilde{J} \right) = T_{\text{He}}^{(t)} - T_{\text{He}}^{(t)}. \quad (74)
\]
\[
\frac{\partial \tilde{E}_B}{\partial t} + V \cdot \left( \tilde{E}_B \times \tilde{B} / 4 \pi \right) = \left( \tilde{j} + \tilde{j}^{(t)} \right) \cdot \tilde{E}, \quad (75)
\]
and, if $\ell$ is the characteristic filtering scale, we can interpret the energy transfer of magnetic energy through that scale as a result of the interaction between the “turbulent” currents at scales $< \ell$, and the electric field at scales $\geq \ell$, i.e., $T_{\text{He}}^{(t)} = -\tilde{j} \cdot \tilde{E} = -T_{\text{He}}^{(t)}$. On the other hand, the term $-\tilde{j} \cdot \tilde{E} = -(\sum_x \tilde{j}_x) \cdot \tilde{E}$ represents the energy density flux within scales $\geq \ell$ that couples the magnetic energy density channel to the species’ kinetic energy densities.

C. Filtered equation for the total energy density in NHK

Gathering all the previous equations for the filtering energy densities (and just rewriting few terms in a convenient way), they read
\[
\frac{\partial \tilde{E}_u}{\partial t} + V \cdot \left( \tilde{E}_u \tilde{u}_i + \tilde{E}_u \tilde{u}_i + T_{\text{He}u}^{(e)} \right) = \tilde{d} \tilde{u}_i \cdot \tilde{F}_e + \tilde{j}_e \cdot \left( \tilde{E} - \epsilon_{\text{MHD}} \right), \quad (76)
\]
\[
\frac{\partial \tilde{E}_u}{\partial t} + V \cdot \left( \tilde{E}_u \tilde{u}_i + \tilde{E}_u \tilde{u}_i + T_{\text{He}u}^{(e)} \right) = \tilde{d} \tilde{u}_i \cdot \tilde{V} u_i - T_{\text{He}u}^{(e)}, \quad (77)
\]
\[
\frac{\partial \tilde{E}_u}{\partial t} + V \cdot \left( \tilde{E}_u \tilde{u}_i + \tilde{E}_u \tilde{u}_i + T_{\text{He}u}^{(e)} \right) = \tilde{j}_e \cdot \left( \tilde{E} - \epsilon_{\text{MHD}} \right), \quad (78)
\]
\[
\frac{\partial \tilde{E}_u}{\partial t} + V \cdot \left( \tilde{E}_u \tilde{u}_i + \tilde{E}_u \tilde{u}_i + T_{\text{He}u}^{(e)} \right) = \tilde{d} \tilde{u}_i \cdot \tilde{V} u_i - T_{\text{He}u}^{(e)}, \quad (79)
\]
\[
\frac{\partial \tilde{E}_u}{\partial t} + V \cdot \left( \tilde{E}_u \tilde{u}_i + \tilde{E}_u \tilde{u}_i + T_{\text{He}u}^{(e)} \right) = \tilde{d} \tilde{u}_i \cdot \tilde{V} u_i - T_{\text{He}u}^{(e)}, \quad (80)
\]
where we remind that $\tilde{j}_e \equiv \sum \tilde{m}_e \tilde{q}_e \tilde{u}_e = e \tilde{m}_e \tilde{u}_e$ is the species’ current density (i.e., carrying the appropriate sign due to the species’ charge within its definition). Then, summing up the above equations, one obtains the equation for the total energy density,
\[
\frac{\partial \tilde{E}_e}{\partial t} + V \cdot \tilde{E}_e = \tilde{d} \tilde{u}_i \cdot \tilde{F}_e + \tilde{j}_e + \tilde{S}_{\text{He}}^{(0)} + \tilde{S}_{\text{He}}^{(m)}, \quad (81)
\]
with the filtered total energy density and energy density flux defined as
\[
\tilde{E}_e = \tilde{E}_u + \tilde{E}_B + \tilde{E}_u + \tilde{E}_u, \quad \tilde{S}_{\text{He}}^{(0)} + \tilde{S}_{\text{He}}^{(m)} = \tilde{E}_{\text{He}} \times \tilde{B} / 4 \pi, \quad (82)
\]
\[
\tilde{S}_{\text{He}}^{(0)} + \tilde{S}_{\text{He}}^{(m)} = \tilde{E}_{\text{He}} \times \tilde{B} / 4 \pi, \quad (83)
\]
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respectively. With respect to its non-filtered version in (27), the filtered equation for the total energy density shows additional terms. In fact, on the right-hand side of (81), in addition to the filtered version of the forcing injection, \( \tilde{\nu} \tilde{u}_i \cdot \tilde{F}_{\text{ext}} \), and of the term taking into account for the electron closure,

\[
\mathcal{T}_e = \begin{cases} 
\left( \frac{5}{3} - \gamma \right) \frac{3}{2} \frac{P(i)}{h} (\nabla \cdot \tilde{u}_e) & \text{(a),} \\
0 & \text{(b),}
\end{cases}
\]

(84)

now there are “source-like” (or “sink-like”) sub-grid term, \( \tilde{S}_{\text{sg}} \), defined as

\[
\tilde{S}_{\text{sg}}^{(0)} = \tilde{j}_i \cdot \mathcal{T}_{\text{w},B}^{(0)} + \mathcal{T}_{\text{w},B}^{(0)} : \nabla \tilde{u}_i - \mathcal{T}_{\text{IV},u}^{(0)} - \mathcal{T}_{\text{BE}}^{(0)} + \tilde{j}_e \cdot \mathcal{T}_{\text{w},B}^{(e)} - \mathcal{T}_{\text{IV},u}^{(e)},
\]

(85)

where we have separated the base NHK contribution, \( \tilde{S}_{\text{sg}}^{(0)} \), from the one arising from finite-electron-inertia effects, \( \tilde{S}_{\text{sg}}^{(m)} \). Notice that above we have used \( \mathcal{T}_{\text{IV},u}^{(0)} \), for brevity, but its definition actually depends on the closure adopted for the electron fluid [cf. Eqs. (63), (68), and (70)],

\[
\mathcal{T}_{\text{IV},u}^{(e)} = \left( \frac{1}{C_0} \frac{P(i)}{h} (\nabla \cdot \tilde{u}_e) \right) - \tilde{\Pi}_i : \tilde{V}_{\text{BE}} - \tilde{\Pi}_i : \tilde{V}_{\text{BE}},
\]

(87)

whereas the definition of the other sub-grid terms \( \mathcal{T}_e \), that we report here for completeness, is the same as given above,

\[
T_{\text{w},B}^{(0)} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \times \tilde{B}) = -\epsilon_{\text{MHD}},
\]

(88)

\[
T_{\text{w},B}^{(e)} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \times \tilde{B}) = -\epsilon_{\text{MHD}} + \epsilon_{\text{Hall}},
\]

(89)

\[
\mathcal{T}_{\text{IV},u}^{(0)} = \tilde{\Pi}_i : \tilde{V}_{\text{BE}} - \tilde{\Pi}_i : \tilde{V}_{\text{BE}},
\]

(90)

\[
\mathcal{T}_{\text{IV},u}^{(e)} = \frac{\epsilon}{m_i} \left[ (\tilde{\theta} \tilde{u}_i - \tilde{\theta} \tilde{u}_i) - (\tilde{\theta} \tilde{u}_i - \tilde{\theta} \tilde{u}_i) \right] \tilde{E} = \tilde{j}_e \cdot \tilde{E}.
\]

(91)

Analogously to what was done in Eqs. (21)–(25), it may be interesting to look at the space-averaged version of the filtered Eqs. (76)–(80). By taking such space average and assuming again vanishing fluxes at the boundaries, one obtains

\[
\frac{\partial (\tilde{E}_m)}{\partial t} = \frac{3}{2} \frac{P(i)}{h} (\nabla \cdot \tilde{u}_m) + \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) + \nabla \cdot (\tilde{\Pi}_i : \tilde{V}_{\text{BE}}),
\]

(92)

\[
\frac{\partial \tilde{E}}{\partial t} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) - \left( \tilde{\Pi}_i : \tilde{V}_{\text{BE}} \right),
\]

(93)

\[
\frac{\partial \tilde{E}}{\partial t} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) + \nabla \cdot (\tilde{\Pi}_i : \tilde{V}_{\text{BE}}),
\]

(94)

\[
\frac{\partial \tilde{E}}{\partial t} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) + \nabla \cdot (\tilde{\Pi}_i : \tilde{V}_{\text{BE}}),
\]

(95)

\[
\frac{\partial \tilde{E}}{\partial t} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) + \nabla \cdot (\tilde{\Pi}_i : \tilde{V}_{\text{BE}}),
\]

(96)

\[
\frac{\partial \tilde{E}}{\partial t} = \frac{1}{C_0} \frac{P(i)}{h} (\tilde{u}_e \cdot \tilde{E}) - \left( \tilde{\Pi}_i : \tilde{V}_{\text{BE}} \right),
\]

(97)

In Fig. 1, we show a schematic view of the link between different energy channels in the NHK model as described by Eqs. (93)–(97), when the electron-fluid description includes finite-inertia effects, \( \tilde{u}_m \neq 0 \), and a complete pressure-tensor dynamics [case (b) in Eq. (96); see also Chasapis et al.\textsuperscript{[105]} for a somewhat similar scheme, although not explicit in scale transfer]. In this regard, we remind the reader that similar analyses have previously obtained somewhat analogous fundamental equations and decomposition (see, e.g., Yang et al.\textsuperscript{[12]} and Del Sarto and Pegraro\textsuperscript{[103]} although without specializing to the case of hybrid-kinetic models. In particular, by considering hybrid closures, here, we have also explicitly addressed the effects associated with different electron closures (i.e., at the internal energy level) and those effects associated with the mass ratio, \( \tilde{u}_m = \tilde{m}_e / \tilde{m}_i \) (i.e., on the non-ideal turbulent electric field that mediates the energy transfer through scales).

In this context, it is helpful to provide an explicit mention to the limit of isothermal, massless electrons, \( \gamma = 1 \) and \( \tilde{u}_m = 0 \) (see also Appendix B). In this limit, the electron-thermal energy channel does not evolve, \( \frac{\partial \tilde{E}_{\text{TH}}}{\partial t} = 0 \) (i.e., the electron thermal energy is kept constant, which in turn formally requires the appearance of a source term, \( \frac{\partial \tilde{E}_{\text{TH}}}{\partial t} = \frac{\partial \tilde{E}_{\text{TH}}}{\partial t} \)). Simultaneously, the electron-kinetic energy also goes to zero in this limit. As a result, Eq. (95) effectively becomes a sort of “vertex-conservation law” through the “zero-measure” electron-kinetic energy channel, \( \tilde{j}_e \cdot \tilde{E} + \tilde{P}(\tilde{V} \cdot \tilde{u}_e) \). When the electron-fluid description includes finite-inertia effects, \( \tilde{u}_m \neq 0 \) and nature of the Hall electric-field fluctuations in possibly playing a different electron closures (i.e., at the internal energy level) and those effects associated with the mass ratio, \( \tilde{u}_m = \tilde{m}_e / \tilde{m}_i \) (i.e., on the non-ideal turbulent electric field that mediates the energy transfer through scales).

A schematic view of the energy channels and transfer through scales in this limit is provided in Fig. 2. This formalism also provides evidence that, in a hybrid model with isothermal, massless electrons, the turbulent energy transfer through scales could be approximated by its additive incompressible contributions due to the MHD and Hall transfer\textsuperscript{[106–108]} (as, for instance, it was assumed by Hellinger et al.\textsuperscript{[109]}). In fact, interestingly enough, a similar difference in the sub-grid turbulent electric field (and thus in the associated scale-to-scale magnetic-energy transfer) can be obtained from the Hall-MHD equations. This in turn may explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons have been shown to provide similar results in terms of the turbulent cascade of magnetic-field fluctuations across the so-called ion break (see, e.g., Papini et al.\textsuperscript{[110]}). In this context, the relevance and nature of the Hall electric-field fluctuations in possibly playing a relevant role in the transition to a so-called reconnection-mediated regime\textsuperscript{[53,54,56,57]} of sub-ion-scale turbulent energy transfer were already pointed out by means of hybrid-kinetic simulations in Cerri and Califano\textsuperscript{[50]}

D. Localized scale-to-scale energy transfer and the direction of the cascade

Because the treatment of the space-filtered technique has been kept general so far, the exact meaning of the quantities on the right-hand side of the filtered equations slightly depends on the particular
choice of the filter function $G$ in Eq. (35). However, let us consider a simple case in which $G$ is a low-pass filter in Fourier space, with $\ell_s$ being the characteristic scale below which the quantities are filtered out. In such a case, the above equations not only tell us how the different energy channels are coupled on the scales $\ell \geq \ell_s$, but they also highlight how the energy is transferred across the scale $\ell_s$ through the sub-grid terms (i.e., if the energy flux goes toward smaller or larger scales). By considering various scales $\ell_s$ within the system, one can...
obtain a map of how different terms contribute to the energy flux through scales at each scale. Moreover, since the non-averaged sub-grid terms responsible for such energy transfer keep their real-space dependence, one can also investigate how localized this transfer is, as, e.g., its correlation with current and vorticity sheets or with other magnetic and flow structures. This is a very useful piece of information, as it enables us to investigate the presence of direct and inverse cascades in kinetic plasma turbulence and, by adopting the appropriate filters, also the occurrence of cross-scale interactions (i.e., “non-local” in Fourier space).

IV. CONCLUSIONS

In this work, we have derived the space-filtered (or “coarse-grained”) equations for a general class of quasi-neutral hybrid-kinetic (NHK) models, including their explicit formulation for specific hybrid-kinetic (HK) sub-models that are often adopted for the study of kinetic turbulence in collisionless plasmas (e.g., Refs. 48 and 109–111), as well as for the full-kinetic (FK) case [for which large 3D simulations have become recently possible (e.g., Refs. 112–114)]. This theoretical framework indeed represents an extremely useful tool for investigating the mechanisms underlying the energy transfer in plasma turbulence. In fact, the space-filtered technique allows explicitly separating the contribution of different “energy channels,” elucidating their localization and correlation with “coherent structures” in real-space, and highlighting the presence of both inverse and direct cascades, as well as the behavior of the energy flux through scales [e.g., if the constant cascade rate is a well-posed assumption, or if energy dissipation is a multi-scale process rather than being localized at certain specific scales (e.g., Refs. 115–118)].

Despite the intrinsic value of the space-filtered approach for turbulence studies, it has not received widespread attention by the plasma physics community: only recently, it has been considered in the context of extended-fluid and/or of kinetic turbulence.27,28,42,43 Because of this increasing (although underrated) attention to the filtered approach, we believe that it is of interest to provide its explicit formulation for a wide range of quasi-neutral hybrid-kinetic models that are commonly adopted for the investigation of space and astrophysical plasma turbulence at kinetic scales (see, e.g., Cerri et al.19 and references therein). For instance, within this formalism we can indeed explain why Hall-MHD and hybrid-kinetics with isothermal, massless electrons seem to provide similar results in terms of the turbulent cascade of magnetic-energy at kinetic scales (see, e.g., Papini et al.65 and why this transfer may be approximated by the additive contributions due to the MHD and Hall terms in the sub-grid electric field (see, e.g., Hellingier et al.105). Indeed, the relevance and nature of the Hall electric-field fluctuations in possibly mediating the sub-ion-scale turbulent energy transfer in hybrid-kinetic turbulence were already pointed out by Cerri and Califano19 in the context of the so-called reconnection-mediated regime.25,26,29,30

Once again, we stress that the fundamental difference with a Large-Eddy Simulation (LES) approach is that when the filtering scale is well resolved within a simulation domain, the calculation of the sub-grid source terms in (85)–(86) becomes straightforward. The analysis of such terms in configuration space provides valuable information on how and where the energy cascade and coupling takes place.

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APPENDIX A: EXPLICIT FORMULATION FOR THE HYBRID-VLASOV-MAXWELL (HVM) MODEL IN THE STUDY BY VALENTINI ET AL.82

The HVM model equations of Valenti et al.,32 including the external forcing term given in Cerri et al.,19 read as Eqs. (1)–(3), but with the following approximated generalized Ohm’s law:

\[ (1 - d_{eh}^2 \nabla^2) E = - \frac{u_e}{c} \times B - \nabla \frac{P_e}{en} + \frac{\varepsilon_m}{en} \nabla \cdot (D_I + \Pi_I). \]  

(A1)

where \( d_{eh} = \varepsilon_m d_{m^}\alpha /4\pi e^2 n_0 \) is the electron inertial length computed with (homogeneous) background density, and we have introduced the tensor

\[ D_I \equiv \varepsilon (u_i u_i - u_i u_i) = \frac{m_i}{e} \left( f_i^0 + u_i \right) - \frac{F_i}{en}. \]  

(A2)

for brevity. This tensor is related to the (difference of the) Reynolds stress tensor of the ion and electron flows, and it can be seen as a Reynolds stress associated with the current-current and current-flow fluctuations’ non-linearity. The scalar electron pressure, \( P_e \), is closed via polytropic relation, as in Eq. (5), and the \( \nabla^2 E \) term can be obtained by using the identity

\[ \frac{\partial J}{\partial t} = \frac{e}{4\pi} \nabla \times \frac{\partial B}{\partial t} = - \frac{\varepsilon}{4\pi} \nabla \times \nabla \times E, \]  

(A3)

and then neglecting the \( \nabla \cdot E \) by means of the quasi-neutrality approximation, \( \partial J \approx \varepsilon \nabla^2 E/4\pi \). Note that this version of the generalized Ohm’s law can be obtained from (4) with the approximation \( (1 + \varepsilon_m)^{-1} \approx 1 \) and by neglecting inhomogeneities in front of the Laplacian term, i.e., \( \varepsilon = \varepsilon_m m_e c^2 /4\pi e^2 n \approx \varepsilon_m m_e c^2 /4\pi e^2 n_0 = \varepsilon_0 \). By following the same procedure as in Sec. II B, one easily derives the energy equations for the HVM model, given the generalized Ohm’s law in (A1),

\[ \frac{\partial E_{\Pi}}{\partial t} + \nabla \cdot (E_{\Pi} u_i + \Pi_I \cdot u_i) = \varepsilon (u_i + F_{ext} \cdot j_i + j_i E + \Pi_I \cdot \nabla u_i), \]  

(A4)

\[ \frac{\partial E_{\Pi}}{\partial t} + \nabla \cdot [(E_{\Pi} + P_e) u_i] = \varepsilon_m (u_i + F_{ext} \cdot j_i + j_i E + P_e \nabla \cdot u_i - \varepsilon_m j_i \cdot E + \varepsilon_m^n \nabla \cdot \frac{\partial n}{\partial t} E + \frac{\varepsilon_m}{en} \nabla \cdot \nabla \nabla E), \]  

(A5)

\[ \frac{\partial E_{\Pi}}{\partial t} + \nabla \cdot (E_{\Pi} u_i) = (1 - \gamma) \varepsilon_m P_e \nabla \cdot u_i, \]  

(A6)

where we have introduced the species’ current density, \( j_i \equiv e_i u_i \), and the density fluctuations, \( \delta n \equiv n - n_0 \). Note that the last term in Eq. (A6) for the electron kinetic energy density is the result of the

\[ \frac{\partial E_{\Pi}}{\partial t} + \nabla \cdot \left( \frac{E \times B}{4\pi} \right) = -j_e \cdot E - j_i \cdot E, \]  

(A7)

\[ \frac{\partial E_{\Pi}}{\partial t} + \nabla \cdot \left( \frac{E \times B}{4\pi} \right) = -j_e \cdot E - j_i \cdot E, \]  

(A8)
approximations made in (A1) with respect to its complete version in (4). Namely, the extra \(-\varepsilon_{bd} d_{\Omega}\) is a consequence of the approximation \((1 + \varepsilon_{bd})^{-1} \approx 1\), while the term proportional to \(\delta n/n\) comes from the approximation \(d_{\Omega} \approx d_{\Omega,0}\) (i.e., \(1/n \approx 1/n_0\)) in the Laplacian term deriving from \(\partial J/\partial t\).

The equation for the total energy density in HVM then reads

\[
\frac{\partial \hat{\mathcal{E}}}{\partial t} + \nabla \cdot \mathbf{\Psi}_\mathcal{E} = \hat{\mathcal{E}}_m (\hat{\mathcal{E}}_{\Pi} + \hat{\mathcal{E}}_{\Pi = 0}) + \frac{5}{3} \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e + \frac{E \times B}{4\pi c},
\]

(A9)

where the total energy density flux, \(\mathbf{\Psi}_\mathcal{E}\), is

\[
\mathbf{\Psi}_\mathcal{E} = (\hat{\mathcal{E}}_m + \hat{\mathcal{E}}_{\Pi = 0}) \mathbf{u}_e + \hat{\mathcal{E}}_{\Pi} \cdot \mathbf{u}_e + \frac{5}{3} \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e + \frac{E \times B}{4\pi c},
\]

(A10)

1. Space-filtered equations for HVM

Before presenting the space-filtered version of the energy equations, it is worth making some explicit considerations on the filtered electric field from the generalized Ohm’s law adopted by the HVM model.

**a. Sub-grid (“turbulent”) electric field in HVM**

By multiplying the generalized Ohm’s law in (A1) and applying the filter to it, one obtains its filtered version, i.e.,

\[
(1 - \varepsilon_{bd} d_{\Omega} \nabla^2) \hat{\mathcal{E}} = \hat{\mathcal{E}}_m - \frac{\mathbf{u}_e \times \mathbf{B}}{c} - \frac{m_1}{\eta} \mathbf{V}_e \cdot \hat{\mathbf{d}}_1 + \left( \hat{\mathcal{E}}_{\Pi} + \hat{\mathcal{E}}_{\Pi = 0} \right) + \hat{\mathcal{E}}^*,
\]

(A11)

where we have defined \(\hat{\mathcal{D}}_1 = \hat{\mathcal{D}} \mathbf{u}_e - \hat{\mathcal{D}} \mathbf{u}_e\) and, analogously to what was done in Sec. III B 3, the sub-grid (“turbulent”) electric field as \(\hat{\mathcal{E}}^* = \hat{\mathcal{E}}_{\text{MHD}}^* + \hat{\mathcal{E}}_{\text{Hall}}^* + \hat{\mathcal{E}}_{\text{d}}^*\) with

\[
\hat{\mathcal{E}}_{\text{MHD}}^* = -\hat{\mathcal{E}}_{\text{MHD}}^{\text{HVM}},
\]

\[
\hat{\mathcal{E}}_{\text{Hall}}^* = -\hat{\mathcal{E}}_{\text{Hall}}^{\text{HVM}},
\]

\[
\hat{\mathcal{E}}_{\text{d}}^* = -\frac{m_1}{\eta} \mathbf{V}_e \cdot \left( \hat{\mathcal{E}}_{\text{H}}^{\text{HVM}} - \hat{\mathcal{E}}_{\text{R}}^{\text{HVM}} \right).
\]

(A12)

(A13)

(A14)

Note that, with respect to (59), in HVM the electron-inertia contribution \(\varepsilon_{bd}\) effectively misses the contribution from the turbulent (sub-grid) Reynolds stress associated with the ion-flow fluctuations.

**b. Filtered total energy equation for HVM**

We now follow the same procedure as in Sec. III B to derive the filtered version of the energy equations for the HVM model,

\[
\frac{\partial \hat{\mathcal{E}}_m}{\partial t} + \nabla \cdot \left( \hat{\mathcal{E}}_m \mathbf{u}_e + \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e + \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \right) = \hat{\mathcal{E}}_m \mathbf{u}_e \cdot \hat{\mathbf{P}}_e + \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e \cdot \hat{\mathbf{P}}_e + \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \cdot \hat{\mathbf{P}}_e - \hat{\mathcal{E}}_m \mathbf{u}_e \cdot \hat{\mathbf{d}}_1 - \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e \cdot \hat{\mathbf{d}}_1 - \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \cdot \hat{\mathbf{d}}_1 - \hat{\mathcal{E}}_{\text{MHD}}^* \cdot \mathbf{v}_e.
\]

(A15)

\[
\frac{\partial \hat{\mathcal{E}}_{\Pi}}{\partial t} + \nabla \cdot \left( \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e + \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \right) = -\hat{\mathcal{E}}_{\Pi} \mathbf{u}_e \cdot \hat{\mathbf{P}}_e - \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \cdot \hat{\mathbf{P}}_e - \hat{\mathcal{E}}_{\Pi} \mathbf{u}_e \cdot \hat{\mathbf{d}}_1 - \hat{\mathcal{E}}_{\Pi = 0} \mathbf{u}_e \cdot \hat{\mathbf{d}}_1 - \hat{\mathcal{E}}_{\text{MHD}}^* \cdot \mathbf{v}_e.
\]

(A16)

APPENDIX B: FORMULATION FOR MASSLESS ELECTRONS AND RESISTIVE OHM’S LAW

It is useful to provide the space-filtered equations also in the limit of massless electrons and a generalized Ohm’s law that includes a resistive term,
and a polytropic closure is adopted for the scalar electron pressure. This version of the generalized Ohm’s law is indeed widely adopted in numerical implementations of hybrid-kinetic models (e.g., Refs. 109, 110, and 120).

In this case, the total energy equation reads

$$\frac{\partial \mathcal{E}}{\partial t} + \mathbf{V} \cdot \mathbf{\Psi}_E = \varrho \mathbf{u}_i \cdot \mathbf{F}_{\text{ext}} + \left( \frac{5}{3} - \gamma \right) \mathcal{E}_P(\mathbf{V} \cdot \mathbf{u}_i) - \eta_j \cdot \mathbf{j}$$

$$\eta_j \cdot \mathbf{j} + \mathbf{S}_E^{(\text{H-MHD})},$$

(B1)

with

$$\mathbf{\Psi}_E = (\mathcal{E}_E + \mathcal{E}_\Pi) \mathbf{u}_i + \mathbf{\Pi}_E \cdot \mathbf{u}_i + \mathbf{q}_E + \frac{5}{3} \mathcal{E}_P \mathbf{u}_i + \frac{\mathbf{E} \times \mathbf{B}}{4 \pi} c.$$  (B3)

Note that, since $j^i \cdot \mathbf{j}$ is not positive definite, the dissipative nature of the resistive term in (B2) is not mathematically ensured. The space-filtered version of the equation for the total energy density above is

$$\frac{\partial \mathcal{E}}{\partial t} + \mathbf{V} \cdot \mathbf{\Psi}_E = \varrho \mathbf{u}_i \cdot \mathbf{F}_{\text{ext}} + \left( \frac{5}{3} - \gamma \right) \mathcal{E}_P(\mathbf{V} \cdot \mathbf{u}_i) - \eta_j \cdot \mathbf{j} + \mathbf{S}_E^{(\text{H-MHD})}.$$  (B4)

where the sub-grid term $\mathbf{S}_E^{(\text{H-MHD})}$ corresponds to the one in (A23), while the resistive contribution to the sub-grid term, $\mathbf{S}_E^{(\text{res})}$, is given by

$$\mathbf{S}_E^{(\text{H-MHD})} = \eta \mathbf{j} \times \mathbf{j},$$  (B5)

where we remind that $\mathbf{j} = \mathbf{j}^i - \mathbf{j}^e - \mathbf{j}^m$ is the sub-grid (“turbulent”) current density.

1. The Hall-MHD limit

Another approximation often employed in hybrid-kinetic simulations consists of adopting the Hall-MHD limit of the generalized Ohm’s law (see, e.g., Ref. 121, and references therein),

$$\mathcal{E} = -\frac{\mathbf{u}_i}{c} \times \mathbf{B} + \frac{\mathbf{J} \times \mathbf{B}}{\varrho c} = -\frac{\mathbf{u}_i}{c} \times \mathbf{B},$$  (B6)

i.e., the limit of infinite conductivity and cold, massless electron fluid. In this case, the electric-resistance term or the resistivity in the Eqs. (B2) and (B3) vanish, and we obtain a simple total energy equation,

$$\frac{\partial \mathcal{E}}{\partial t} + \mathbf{V} \cdot \left[ (\mathcal{E}_E + \mathcal{E}_\Pi) \mathbf{u}_i + \mathbf{\Pi}_E \cdot \mathbf{u}_i + \mathbf{q}_E + \frac{\mathbf{E} \times \mathbf{B}}{4 \pi} c \right] = \varrho \mathbf{u}_i \cdot \mathbf{F}_{\text{ext}}.$$  (B7)

Its filtered counterpart therefore reads

$$\frac{\partial \hat{\mathcal{E}}}{\partial t} + \mathbf{V} \cdot \mathbf{\hat{\Psi}}_E = \hat{\varrho} \mathbf{\hat{u}}_i \cdot \mathbf{\hat{F}}_{\text{ext}} + \mathbf{\hat{S}}_E^{(\text{H-MHD})},$$  (B8)

where the flux and the sub-grid terms are given by

$$\mathbf{\hat{S}}_E^{(\text{H-MHD})} = -\left( \mathbf{j}^i + \mathbf{j}^e - \mathbf{j}^m \right) \cdot \mathbf{E} + \mathbf{\hat{T}}_{\text{H-MHD}}^{(\text{H-MHD})},$$  (B9)

and

$$\mathbf{\hat{S}}_E^{(\text{H-MHD})} = -\left( \mathbf{j}^i + \mathbf{j}^e - \mathbf{j}^m \right) \cdot \mathbf{E} + \mathbf{\hat{T}}_{\text{H-MHD}}^{(\text{H-MHD})},$$  (B10)

APPENDIX C: FORMULATION FOR THE FULL-KINETIC CASE

For the sake of completeness, we also report here the space-filtered equations for a full-Vlasov plasma (see also Yang et al. (52)). When the full-kinetic case is considered (neglecting the external forcing for the sake of simplicity), the equations that have been derived from the Vlasov equation for the ions in Sec. II B are now holding for each species $z$ with mass $m_z$ and charge $e_z$, i.e.,

$$\frac{\partial \varrho_z}{\partial t} + \mathbf{V} \cdot (\varrho_z \mathbf{u}_z) = 0.$$  (C1)

$$\frac{\partial \mathbf{F}_{\text{ion}}}{\partial t} + \mathbf{V} \cdot \left( \mathbf{F}_{\text{ion}} u_z + \mathbf{q}_z \right) + \left( \mathbf{\Pi}_E \cdot \mathbf{V} \mathbf{u}_z \right)^{\text{sym}} = \Omega_{\text{ex}} \left( \mathbf{\Pi}_z \cdot \mathbf{V} \mathbf{b} \right)^{\text{sym}},$$  (C2)

where $\varrho_z = m_z n_z$ is the species’ mass density, and $\Omega_{\text{ex}} = e_z B/m_z c$ its gyrofrequency. From these equations and from Maxwell’s equations (now including displacement current in the Ampère’s law), the energy equations are readily derived,

$$\frac{\partial \mathcal{E}_E}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_E \mathbf{u}_z + \mathbf{\Pi}_E \cdot \mathbf{u}_z + \mathbf{q}_z \right) + \mathbf{E} \times \mathbf{B} = - \sum_z e_z n_z u_z \cdot \mathbf{E},$$  (C4)

$$\frac{\partial \mathcal{E}_m}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_m \mathbf{u}_z + \mathbf{q}_z \right) + \mathbf{E} \times \mathbf{B} = - \sum_z e_z n_z u_z \cdot \mathbf{E},$$  (C5)

$$\frac{\partial \mathcal{E}_{\text{em}}}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_{\text{em}} \mathbf{u}_z + \mathbf{q}_z \right) + \mathbf{E} \times \mathbf{B} = - \sum_z e_z n_z u_z \cdot \mathbf{E},$$  (C6)

where $\mathcal{E}_E = m_z n_z u_z^2/2$, $\mathcal{E}_m = \text{tr}[\mathbf{\Pi}]/2$, and $\mathcal{E}_{\text{em}} = (E^2 + B^2)/8\pi$. From the above, proceeding as in Sec. III B, the space-filtered equations for the full-kinetic case read

$$\frac{\partial \mathcal{E}_E}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_E \mathbf{u}_z + \mathbf{\Pi}_z \mathbf{u}_z + \mathbf{T}_{\text{H-MHD}}^{(\text{H-MHD})} \right) = \varrho_z \mathbf{F}_{\text{ion}} + \mathbf{\Pi}_z \mathbf{u}_z + \mathbf{T}_{\text{H-MHD}}^{(\text{H-MHD})},$$  (C7)

$$\frac{\partial \mathcal{E}_m}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_m \mathbf{u}_z + \mathbf{q}_z \right) = - \mathbf{\Pi}_z \mathbf{u}_z - \mathbf{T}_{\text{H-MHD}}^{(\text{H-MHD})},$$  (C8)

$$\frac{\partial \mathcal{E}_{\text{em}}}{\partial t} + \mathbf{V} \cdot \left( \mathcal{E}_{\text{em}} \mathbf{u}_z + \mathbf{q}_z \right) = - \sum_z (\mathbf{j}_z \cdot \mathbf{E} + \mathbf{T}_{\text{H-MHD}}^{(\text{H-MHD})}),$$  (C9)

where $\mathbf{j}_z = \frac{e_z}{m_z} \mathbf{u}_z \times \mathbf{B}$ is the current density of the $z$ species, and the sub-grid terms $\mathbf{T}$ are defined as

$$\mathbf{T}_{\text{ion}}^{(\text{H-MHD})} = \varrho_z (u_z \mathbf{u}_z - \mathbf{u}_z u_z),$$  (C10)

$$\mathbf{T}_{\text{em}}^{(\text{H-MHD})} = \frac{1}{c} (u_z \mathbf{u}_z - \mathbf{u}_z u_z \times \mathbf{B}),$$  (C11)

$$\mathbf{T}_{\text{em}}^{(\text{H-MHD})} = \mathbf{\Pi}_z \mathbf{u}_z - \mathbf{\Pi}_z \mathbf{u}_z,$$  (C12)
$$T_{jk} = \left[ \sum_x \frac{e_x}{m_x} (\varphi_x u_x - \varphi_x u_x) \right] \cdot \dot{E} = \sum_x f_x \cdot \dot{E} = \sum_x T^{(x)}_{jk}, \quad (C13)$$

with $f = \sum_x f_x$ the sub-grid (or "turbulent") current density, as defined in (74).

**APPENDIX D: GENERALIZED OHM’S LAW IN THE QUASI-NEUTRAL LIMIT: EQUIVALENT BETWEEN (2) AND (4)**

We want to explicitly show that the two forms of the generalized Ohm’s law, Eqs. (2) and (4), are equivalent. In order to do this, let us first consider the “classic” derivation of the generalized Ohm’s law from the two-fluid momentum equations (e.g., Ref. 122),

$$\frac{\partial (\varphi_x u_x)}{\partial t} + \nabla \cdot (\varphi_x u_x u_x + \Pi_x) = \frac{e_x}{m_x} \varphi_x \left( E + \frac{u_x}{c} \times B \right), \quad (D1)$$

where $\varphi_x = m_x n_x$, and $m_x$ and $e_x$ are the mass and electric charge of the species $x$. Multiplying (D1) by $e_x/m_x$ and summing over the species index $x$, one obtains

$$\frac{\partial}{\partial t} \left( \sum_x e_x n_x u_x \right) + \nabla \cdot \left( \sum_x e_x n_x u_x + \sum_x e_x n_x \Pi_x \right)$$

$$= \sum_x e_x^2 n_x \left( E + \frac{u_x}{c} \times B \right), \quad (D2)$$

which, for a quasi-neutral proton-electron plasma $n_e = n_i = n$, rewrites as

$$\frac{\partial}{\partial t} + e \nabla \cdot [n (u_i - u_e u_e)] = -\frac{e}{m_e} \nabla \cdot (\Pi_e - \varphi_e \Pi_e) + \frac{e^2 n_e}{m_e} (1 + \varphi_e)E$$

$$+ \frac{e^2 n_e}{m_e} \left( \frac{u_e + e_n u_i}{c} \times B \right). \quad (D3)$$

By multiplying (D3) by $m_e/[\{1 + \varphi_e\} e^2 n]$ and using the relation $u_e = u_i - J/en$, one eventually obtains the generalized Ohm’s law in (4),

$$E = -\frac{u_i}{c} \times B + \frac{J \times B}{1 + \varphi_e} \frac{\varphi_e n}{en} - \frac{\varphi_e}{\varphi_e + 1} \left( \frac{J \times B}{\varphi_e n} \right)$$

$$= -\frac{u_i}{c} \times B + \frac{1}{1 + \varphi_e} \frac{\varphi_e n}{en} \left[ \frac{\partial u_i}{\partial t} + (u_i \cdot \nabla) u_i \right], \quad (D4)$$

Now, let us consider the electron momentum equation in the quasi-neutral limit, explicitly solving for $E$ and using the electron continuity equation in order to rewrite $\partial_i (\varphi_e u_e) + \nabla \cdot (\varphi_e u_e u_e) = \varphi_e (\partial_i u_e + (u_e \cdot \nabla) u_e)$,

$$E = -\frac{u_i}{c} \times B - \frac{1}{1 + \varphi_e} \frac{\varphi_e n}{en} \left[ \frac{\partial u_i}{\partial t} + (u_i \cdot \nabla) u_i \right], \quad (D5)$$

which is exactly Eq. (2) of our NHK model. If we now substitute $u_e = u_i - J/en$ into the $u_i \times B$ and $\partial_i u_i$ terms, and use the ion momentum equation in order to rewrite $\partial_i u_i$, after some manipulations we then obtain,

$$E = -\frac{u_i}{c} \times B + \frac{J \times B}{en} - \frac{\varphi_e}{\varphi_e + 1} \left( \frac{J \times B}{\varphi_e n} \right)$$

$$= -\frac{m_e \partial J}{c^2 \partial t} - \frac{m_e \varphi_e n}{en} \nabla \cdot [n (u_i - u_i u_i - u_i u_i)] \quad (D6)$$

which rewrites exactly as Eq. (4). This eventually proves the equivalence between using the form (2) or the form (4) of the generalized Ohm’s law.

**APPENDIX E: FLUID EQUATIONS: EXPLICIT FORM WITH INDEXES**

Dropping the index $i$ for "ions," Eqs. (10)–(12) written by components read

$$\frac{\partial \varphi}{\partial t} + \nabla \cdot (\varphi u) = 0, \quad \quad (E1)$$

$$\frac{\partial (\varphi u_i)}{\partial t} + \nabla \cdot (\varphi u_i u_j + \Pi_j) = en (E_i + \frac{1}{c} \varphi_i u_j B_k) + nF_i, \quad \quad (E2)$$

$$\frac{\partial \Pi_j}{\partial t} + \frac{\partial}{\partial x_k} (\Pi_j u_k - Q_{ijk}) + \Pi_k \frac{\partial u_j}{\partial x_k} + \Pi_k \frac{\partial u_i}{\partial x_k}$$

$$= \Omega_k \left( \epsilon_{ijk} \varphi_i b_n + \epsilon_{jm} \Pi_j b_m \right), \quad \quad (E3)$$

where $\epsilon_{ijk}$ is the Levi-Civita symbol and the moments of the distribution function $f$ are defined as usual,

$$\varphi = mn = m \int_{-\infty}^{\infty} f(x, v) d^3v, \quad \quad (E4)$$

$$\varphi u_i = m \int_{-\infty}^{\infty} v_i f(x, v) d^3v, \quad \quad (E5)$$

$$\Pi_j = m \int_{-\infty}^{\infty} \frac{v_j}{2} f(x, v) d^3v, \quad \quad (E6)$$

$$Q_{ijk} = m \int_{-\infty}^{\infty} \frac{v_i v_j v_k}{2} f(x, v) d^3v, \quad \quad (E7)$$

where $w = v - u$ is the random (thermal) component of the particle velocity.

**DATA AVAILABILITY**

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

**REFERENCES**


It is interesting to note that the \(-J \cdot E\) term on the right-hand side of Eq. (20) comes from the approximated Ampère’s law, Eq. (3). However, if the displacement current was retained, the equation for the magnetic energy density \(\varepsilon_B = B^2/8\pi\) would read \(\partial_t \varepsilon_B = -\frac{1}{2} \varepsilon_f (\nabla \times B)^2\), and an additional equation for the electric energy density \(\varepsilon_E = E^2/8\pi\) would be included, that can be written as \(\partial_t \varepsilon_E = -\frac{1}{2} \varepsilon_f \left(\nabla \times E\right)^2 - J \cdot E\). Summing them together, we would get an equation for the total electromagnetic energy density, \(\varepsilon_{em} = (B^2 + E^2)/8\pi\), that reads as (20) with the substitution \(\varepsilon_{em} \rightarrow \varepsilon_{em}(\text{see Appendix C})\).


The solution of \(\{\Pi, x b\}\) is indeed the gyrotropic pressure tensor. This can be seen also in the context of a finite-Larmor radius (FLR) expansion of the pressure tensor equation (see, e.g., and references therein). In fact, the Larmor radius is proportional to the square root of the mass, \(\rho_\perp = \sqrt{m_*}\), and therefore all the corrections to the gyrotropic pressure tensor vanish and only the secular evolution equations for the parallel and perpendicular components of the pressure, \(p_\parallel\) and \(p_\perp\), are left.

In fact, this is equivalent to use electric field in the frame of reference of the electron fluid, \(E = E + \mathbf{u}_e \times \mathbf{B}/c\), since the \(\mathbf{u}_e \times \mathbf{B}\) term does not do any work on the electrons.