# Comparative Semantics for Flow of Control in Logic Programming without Logic* 

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#### Abstract

We study semantic issues concerning control flow notions in logic programming languages by exploring a two-stage approach. The first considers solely uninterpreted (or schematic) elementary actions, rather than operations such as unification, substitution generation, or refutation. Accordingly, logic is absent at this first stage. We provide a comparative survey of the semantics of a variety of control flow notions in (uninterpreted) logic programming languages including notions such as don't know versus don't care nondeterminism, the cut operator, and/or parallel logic programming, and the commit operator. In all cases considered, we develop operational and denotational models, and prove their equivalence. A central tool both in the definitions and in the equivalence proofs is Banach's theorem on (the uniqueness of) fixed points of contracting functions on complete metric spaces. The second stage of the approach proceeds by interpreting the elementary actions, first as arbitrary state transformations, and next by suitably instantiating the sets of states and of state transformations (and by articulating the way in which a logic program determines a set of recursive procedure declarations). The paper concentrates on the first stage. For the second stage, only a few hints are included. Furthermore, references to papers which supply details for the languages PROLOG and CONCURRENT PROLOG are provided. " 1991 Academic Press, Inc.


## 1. Introduction

We report on the first stage of an investigation of the semantics of imperative concepts in logic programming. Logic programming being logic + control (Kowalski, 1979), one may expect to be able to profit from the large body of techniques and results in the semantic modelling of control flow gathered over the years. We shall, in fact, take a somewhat extreme position, and ignore in the analysis below all aspects having to do with logic. Rather, we shall provide a systematic treatment of a number of fundamental control flow concepts as encountered in logic programming on the basis of a model where the atomic steps are uninterpreted elementary actions. This constitutes a major abstraction step at two levels. Syntactically, we abstract from all structure in the atoms (using symbols from some alphabet rather than terms involving variables, functions or predicate

[^0]symbols). Semantically, we abstract from any articulation in the basic computation steps, thus ignoring concepts such as unification, (SLD-) resolution, or substitution generation. Does there remain anything interesting after this abstraction step? If yes, do the remnants shed any light on logic programming semantics? These two questions are addressed in our paper, and it is our aim to collect sufficient evidence that the answers to them are affirmative. More specifically, we want to argue that the semantic analysis of the collection of control flow concepts as provided below is justified for at least three reasons:

- It helps in clarifying basic properties of control flow phenomena. For example, we shall study versions of the cut operator and notions in and/or parallel programming such as don't know nondeterminism versus don't care nondeterminism and the commit operator; it may be difficult to grasp these concepts in the presence of the full machinery of logic programming.
- We shall systematically provide operational and denotational models for the various example languages introduced below, and develop a uniform method to establish the equivalence of these semantics in all cases. We see as a main achievement the gathering of evidence that for such comparative semantics it is sufficient to work at the uninterpreted level. For both the operational and the denotational models, an interpretation towards the detailed level of logic programming may then be performed subsequently, if desired. The demonstration of the power of the uniform proof principle which turns out to be applicable in all cases studied, may be see as a subsidiary goal of our investigation.
- Altogether, we shall deal with six example languages, each embodying a small (and varying) collection of control flow concepts. Seemingly small variations in the language concepts require careful tuning of the semantic tools, sometimes involving substantial modification of the models employed. Thus, leaving the origin of the concepts aside for a moment, one may view our paper as a contribution to comparative control flow semantics in general. The confrontation of the (dis) similarities encountered throughout may provide an illuminating perspective on some of its fundamental issues. It should be added here that, from the methodological point of view, our (exclusive) use of metric methods may be seen as well as a distinguishing feature.

The answer to the second question-what is the relevance of all this for full logic programming semantics-awaits further work. Much will depend on the feasibility of obtaining this full semantics simply by interpreting the elementary actions as computational steps in the sense of the relevant version of the logic programming language, leaving the already available
(abstract) control flow model intact. A substantial part of the detailed work in establishing this still has to be done. On the other hand, there are already a few case studies available which may be seen as providing support for our thesis. A promising first step is made in de Vink (1989) and de Bruin and de Vink (1989), where for a simple PROLOG-like language it is first shown how to add interpretations of elementary actions as (arbitrary) state transformations to the semantic model(s). This, in turn, allows a smooth transition towards a model incorporating essential elements of a declarative semantics for PROLOG: instead of the delivery of (sequences of) states, by suitably specializing them the semantic definitions are now geared to the delivery of (sequences of) substitutions (in the familiar sense of logic programming). A second paper which follows the approach indicated above is de Bakker and $\operatorname{Kok}(1988,1990)$. This paper continues earlier work of Kok (1988) reporting on a branching time model for Concurrent Prolog (abbreviated as CP, and stemming from Shapiro (1983), where the use of a branching time model is in particular motivated by CP's commit operator. In de Bakker and Kok (1988), an intermediate language is introduced with arbitrary interpretations for its atomic actions, and operational and denotational models are developed for it. Next, by suitably choosing the sets of both atomic actions and of procedure variables, by choosing one particular interpretation function (involving the determination of most general unifiers), and by using the information in the CP program to infer the declarations for the procedure variables, an induced comparative semantics for CP is obtained. (In an appendix to the present paper, we present a brief sketch of the interpretation chosen for a rudimentary form of (and/or) parallel logic programming-based essentially on ideas of Kok from de Bakker and Kok (1988, 1990)-in order to illustrate the feasibility of obtaining logic programming with logic by suitably interpreting logicless languages.)

We shall now be somewhat more specific as to which control flow concepts will be investigated. In various groupings, we deal with the following notions:

- elementary action
- (procedure declarations and) recursion
- failure
- sequential execution
- backtracking or don't know nondeterminism
- cut (in two versions, to be called absolute and relative cut)
- parallel execution
- (don't care) nondeterminism
- commit.

These notions are grouped into six languages, $L_{1}$ to $L_{6}$. Each has elementary actions, recursion, and failure, and the precise distribution of the other concepts over the languages can be inferred from the syntax overview to be presented at the end of this introduction. Notable imperative concepts missing from the above list-taking our decision to start from uninterpreted elementary actions for granted-are synchronization and process creation. We have omitted them for no other reason than our wish not to overload the present paper. We plan to include these concepts, which are indeed pervasive in many versions of parallel logic programming, in a subsequent publication.

For each of the languages $L_{1}$ to $L_{6}$ we present both operational and denotational semantics. The operational semantics will be based on labelled transition systems (Keller, 1976), embedded in a syntax directed deductive system in the style of Plotkin's Structured Operational Semantics (Hennessy and Plotkin, 1979; Plotkin, 1981, 1983). The denotational models will be built on metric structures (as will be the way in which we infer operational meanings through the assembling of information in transition sequences). Partly, these structures will be of the linear time variety; i.e., they will consist of (nonempty closed) sets of finite or infinite sequences over some alphabet. Partly, we shall work with branching time domains. More precisely, the meaning of a statement will be a process (in the sense of de Bakker and Zucker (1982)), i.e., an element of a mathematical domain which is obtained as solution of a domain equation to be solved using metric tools. Roughly, such a process is like a tree over the relevant alphabet of elementary actions, satisfying various additional properties (commutativity, absorption, closedness).

For the logic part of the semantics of logic programming we refer to Lloyd (1984) or to the comprehensive survey of Apt (1987). A tutorial on and comparison of parallel logic programming languages is the paper by Ringwood (1988). Our interest in comparative logic programming semantics, using techniques which fit more in the imperative than in the logic tradition, was originally raised by Jones and Mycroft (1984). Elsewhere, we have often used the term "uniform" for uninterpreted or schematic languages (in general), e.g. in de Bakker et al. (1986, 1987, 1988), and the present investigation may also be seen as a semantic exploration of uniform versions of logic programming, with special emphasis on the comparative aspects. Other papers which address operational versus denotational semantics for PROLOG are Debray and Mishra (1988), Arbab and Berry (1987), Nicholson and Foo (1989), de Vink (1989), and de Bruin and de Vink (1989). We return to the latter two below. We already mentioned de Bakker and Kok $(1988,1990)$ on semantic equivalence for Concurrent Prolog. In Gerth et al. (1988), both operational and denotational semantics are presented for Flat Theoretical Concurrent Prolog (from Shapiro
(1987)). Whereas in Kok (1988) and de Bakker and Kok (1990) the denotational models are based on processes as in de Bakker and Zucker (1982), in Gerth et al. (1988) the failure set model of Brookes et al. (1984) is applied. In addition, Gerth et al. (1988) discuss full abstractness issues. A detailed analysis of operational semantics for (variations on) CP is provided in Saraswat (1987). The papers such as Kok (1988), de Bakker and Kok (1988, 1990), Jones and Mycroft (1984), and Gerth et al. (1988) should all be situated primarily in the tradition of imperative concurrency semantics, rather than pursuing the line of extending the declarative semantics approach of "classical" logic programming in terms of (generalizations of) Herbrand universes. It is the latter approach which is followed in Levi and Palamidessi (1987), where a detailed comparison is given of synchronization phenomena in a variety of parallel logic programming languages. The paper Levi and Palamidessi (1985) concentrates in particular on the declarative semantics of CP's read-only variables. Related references include Falaschi and Levi (1988), Falaschi et al. (1987), Furukawa et al. (1987), and Levi (1988).

For some time now, we have been utilizing metrically based semantic models, e.g. in de Bakker and Zucker (1982), de Bakker and Meyer (1988), de Bakker et al. (1984, 1986, 1988), and America et al. (1989). An essential extension of the metric domain theory was provided in America and Rutten (1989). An important advantage of the metric framework, compared with the usual order theoretic one, lies in the fact that many of the functions encountered in the semantic models are contracting and, hence, have unique fixed points (by Banach's theorem). This property may be exploited both in the semantic definitions proper (see America et al. (1989) for many examples), and in the derivation of semantic equivalences. It is the latter technique, first described in Kok and Rutten (1988) which constitutes the powerful method already referred to, and which will be applied throughout our paper. (For further examples of the method see de Bakker and Meyer (1988).) Our model of the denotational semantics of backtracking is a uniform (schematic) version of a definition from de Bruin (1986). The operational semantics for the cut operator(s) were supplied by de Vink (personal communication).

We conclude this introduction with an outline of the contents of our paper. Section 2 contains some mathematical preliminaries, mainly devoted to the underlying metric framework. The overview of the remaining sections is best presented by listing the syntax of the languages studied in them. For each $L_{i}$, we define statements $s \in L_{i}$ which are to be executed with respect to a set of declarations $D$. Let $A$ be the (possibly infinite) alphabet of elementary actions, with $a$ ranging over $A$, and let Pvar be the alphabet of procedure variables, with $x$ ranging over Pvar. The following operators will be encountered:
sequential composition $s_{1} ; s_{2}$
don't know nondeterminism $\left.s_{1}\right] s_{2}$
absolute cut!
relative cut !!
parallel composition $s_{1} \| s_{2}$
don't care nondeterminism $s_{1}+s_{2}$
commit $s_{1}: s_{2}$.
The languages, corresponding section headings, and respective syntactic definitions are summarized in
$L_{1}$ : sequential logic programming with backtracking
$s::=a|x|$ fail $\left|s_{1} ; s_{2}\right| s_{1} \square s_{2}$
$L_{2}$ : sequential logic programming with backtracking and absolute cut
$s::=a|x|$ fail $\left|s_{1} ; s_{2}\right| s_{1} \square s_{2} \mid!$
$L_{3}$ : sequential logic programming with backtracking and relative cut $s::=a|x|$ fail $\left|s_{1} ; s_{2}\right| s_{1} \square s_{2} \mid!!$
$L_{4}$ : (and/or) parallel logic programming: the linear time case $s::=a|x|$ fail $\left|s_{1} ; s_{2}\right| s_{1} \| s_{2} \mid s_{1}+s_{2}$
$L_{5}$ : (and/or) parallel logic programming with commit: the branching time case
$s::=a|x|$ fail $\left|s_{1}: s_{2}\right| s_{1} \| s_{2} \mid s_{1}+s_{2}$
$L_{6}$ : (and/or) parallel logic programming with commit: increasing the grain size
$s::=a|x|$ fail $\left|s_{1} ; s_{2}\right| s_{1}: s_{2}\left|s_{1} \| s_{2}\right| s_{1}+s_{2}$
For each language, a program in that language consists of a pair $\langle D \mid s\rangle$, $s \in L_{i}, D \equiv\left\langle x_{j} \Leftarrow g_{j}\right\rangle_{j}$, where $g_{j}$ is a guarded statement from $L_{i}$-guarded here meaning that occurrences of calls (of some $x \in P v a r$ ) in $g_{j}$ are preceded by some elementary action. Languages $L_{1}$ to $L_{3}$ are deterministic, and the main issue is how to model the backtracking and cut operators. Languages $L_{4}$ and $L_{5}$ are (very much stripped) versions of (and/or) parallel logic programming. The difference between these two consists in the transition from (normal) sequential composition (;) to commit (:). This induces different failure behaviour which in turn leads to the definition of a linear time (LT) model for $L_{4}$ and a branching time (BT) model for $L_{5}$. An LT model (over an alphabet $A$ ) consists, as we saw earlier, of sets of sequences of elementary actions from $A$, whereas a BT model (also over $\boldsymbol{A}$ ) consists of tree-like entities (with the already mentioned extra features). Perhaps the technically most interesting issue of our paper is addressed in

Section 8, where we combine the composition operations of sequential composition (;) and commit (:) into one language. Whereas for $L_{4}$ we encounter meanings such as, e.g., $\{a b, a c\}$ and, for $L_{5}$, processes such as

or

in $L_{6}$ we shall make use of meanings which have forms such as


Viewing the entities labelling the edges in the trees as the "grains" of our model, we see that, in going from $L_{5}$ to $L_{6}$, we increase the grain size. We shall (in the context of $L_{6}$ ) interpret sequential composition as an operator which leads to larger atoms (or grains), and commit (just as for $L_{5}$ ) as an operator which induces branches in the trees. In a final section (Section 9) we introduce an alternative transition system for $L_{6}$, and show that this leads to the same operational (and denotational) semantics as that defined in Section 8. The appendix provides a brief sketch of a possible translation from a rudimentary logic programming language towards $L_{4}$.

## 2. Mathematical Preliminarifs

### 2.1. Notation

The notation $(x \in) X$ introduces the set $X$ with typical element $x$ ranging over $X$. For $X$ a set, we denote by $\mathscr{P}(X)$ the power set of $X$, i.e., the collection of all subsets of $X . \mathscr{P}_{\pi}(X)$ denotes the collection of all subsets of $X$ which have property $\pi$. A sequence $x_{0}, x_{1}, \ldots$ of elements of $X$ is denoted by $\left(x_{i}\right)_{i=0}^{x}$ or, briefly, by $\left(x_{i}\right)_{i}$. The notation $f: X \rightarrow Y$ expresses that $f$ is a function with domain $X$ and range $Y$. We use the notation $f\{y / x\}$, with $x \in X$ and $y \in Y$, for a variant of $f$, i.e., for the function which is defined by

$$
\begin{aligned}
f\{y / x\}\left(x^{\prime}\right) & =y, & & \text { if } \quad x=x^{\prime} \\
& =f\left(x^{\prime}\right), & & \text { otherwise } .
\end{aligned}
$$

If $f: X \rightarrow X$ and $f(x)=x$, we call $x$ a fixed point of $f$.

### 2.2. Metric Spaces

Metric spaces are the mathematical structures in which we carry out our semantic work. We give only the facts most needed in this paper. For more details, the reader is referred to Dugundi (1966) and Engelking (1977).

Definition 2.1. A metric space is a pair ( $M, d$ ), where $M$ is any set and $d$ is a mapping $M \times M \rightarrow[0,1]$ having the following properties:

1. $\forall x, y \in M[d(x, y)=0 \Leftrightarrow x=y]$
2. $\forall x, y \in M[d(x, y)=d(y, x)]$
3. $\forall x, y, z \in M[d(x, y) \leqslant d(x, z)+d(z, y)]$.

The mapping $d$ is called a metric or distance. In case $d$ satisfies $3^{\prime}$,
3'. $\forall x, y, z \in M[d(x, y) \leqslant \max (d(x, z), d(z, y))]$
instead of 3 , we call $d$ an ultrametric.
Examples. 1. Let $A$ be an arbitrary set. The discrete metric on $A$ is defined as follows: Let $x, y \in A$ :

$$
\begin{aligned}
d(x, y) & =0 & & \text { if }
\end{aligned} \quad x=y .
$$

2. Let $A$ be an alphabet, and let $A^{\infty}=A^{*} \cup A^{(\prime)}$ denote the set of all finite and infinite words over $A$. Let, for $x \in A^{\infty}, x(n)$ denote the prefix of $x$ of length $n$, in case length $(x) \geqslant n$, and $x$ otherwise. We put

$$
d(x, y)=2 \sup \{n \mid x(n)=y(n)\}
$$

with the convention that $2^{-x}=0$. Then $\left(A^{x}, d\right)$ is an ultrametric space.
Definition 2.2. Let $(M, d)$ be a metric space and let $\left(x_{i}\right)_{i}$ be a sequence in $M$.

1. We say that $\left(x_{i}\right)_{i}$ is a Cauchy sequence whenever we have

$$
\forall \varepsilon>0 \exists N \in \mathbb{N} \forall n, m>N\left[d\left(x_{n}, x_{m}\right)<\varepsilon\right] .
$$

2. Let $x \in M$. We say that $\left(x_{i}\right)_{i}$ converges to $x$, and call $x$ the limit of $\left(x_{i}\right)_{i}$ whenever we have

$$
\forall \varepsilon>0 \exists N \in \mathbb{N} \forall n>N\left[d\left(x, x_{n}\right)<\varepsilon\right] .
$$

We call the sequence $\left(x_{i}\right)_{i}$ convergent and write $x=\lim _{i} x_{i}$.
3. $(M, d)$ is called complete whenever each Cauchy sequence in $M$ converges to an element of $M$.

Definition 2.3. Let $\left(M_{1}, d_{1}\right)$ and ( $M_{2}, d_{2}$ ) be metric spaces.

1. We say that $\left(M_{1}, d_{1}\right)$ and $\left(M_{2}, d_{2}\right)$ are isometric if there is a mapping $f: M_{1} \rightarrow M_{2}$ such that
(a) $f$ is a bijection
(b) $\forall x, y \in M_{1}\left[d_{2}(f(x), f(y))=d_{1}(x, y)\right]$.

We then write $M_{1} \cong M_{2}$. If we have a function $f$ satisfying only condition (1b), we call it an isometric embedding.
2. Let $f: M_{1} \rightarrow M_{2}$. We call $f$ continuous whenever for each sequence $\left(x_{i}\right)_{i}$ with limit $x$ in $M_{1}$, we have that $\lim _{i} f\left(x_{i}\right)=f(x)$.
3. We call a function $f: M_{1} \rightarrow M_{2}$ contracting if there exists a real number $c$ with $0 \leqslant c<1$ such that

$$
\forall x, y \in M_{1}\left[d_{2}(f(x), f(y)) \leqslant c \cdot d_{1}(x, y)\right] .
$$

4. A function $f: M_{1} \rightarrow M_{2}$ is called non-distance-increasing if

$$
\forall x, y \in M_{1}\left[d_{2}(f(x), f(y)) \leqslant d_{1}(x, y)\right] .
$$

We shall denote the set of all non-distance-increasing functions (ndi) from $M_{1}$ to $M_{2}$ by $M_{1} \rightarrow^{1} M_{2}$.

Theorem 2.4. 1. Let $\left(M_{1}, d_{1}\right)$ and $\left(M_{2}, d_{2}\right)$ be metric spaces, and let $f: M_{1} \rightarrow M_{2}$ be a contracting function. Then $f$ is continuous. The same holds for non-distance-increasing functions.
2. (Banach). Let $(M, d)$ be a complete metric space. Each contracting function $f: M \rightarrow M$ has a unique fixed point which equals $\lim _{i} f^{f}\left(x_{0}\right)$ for arbitrary $x_{0} \in M$. (Here $f^{0}\left(x_{0}\right)=x_{0}$ and $f^{i+1}\left(x_{0}\right)=f\left(f^{i}\left(x_{0}\right)\right)$.)

It may be instructive to recall the proof of Theorem 2.4-2. Since $f$ is contracting, the sequence $\left(f^{i}\left(x_{0}\right)\right)_{i}$ is a Cauchy sequence. By the completeness of ( $M, d$ ), the limit $x=\lim _{i} f^{i}\left(x_{0}\right)$ exists. By the continuity of $f$ (part 1 ), $f(x)=f\left(\lim _{i} f^{i}\left(x_{0}\right)\right)=\lim _{i} f^{i+1}\left(x_{0}\right)=x$. If, for some $y \in M, f(y)=y$ then, by the contractivity of $f, d(x, y)=d(f(x), f(y)) \leqslant c \cdot d(x, y)$. Hence, since $c<1$, we conclude that $d(x, y)=0$, and $x=y$ follows.

Definimion 2.5. Let $(M, d)$ be a metric space. A subset $X$ of $M$ is called closed whenever each converging sequence with elements in $X$ has its limit in $X . X$ is called compact whenever each sequence in $X$ has a convergent subsequence.

Definition 2.6. Let $(M, d),\left(M_{1}, d_{1}\right)$, and $\left(M_{2}, d_{2}\right)$ be (ultra) metric spaces.

1. We define a metric $d_{\mathrm{F}}$ on the set $M_{1} \rightarrow M_{2}$ of all functions from $M_{1}$ to $M_{2}$ as follows: For every $f_{1}, f_{2} \in M_{1} \rightarrow M_{2}$ we put

$$
d_{F}\left(f_{1}, f_{2}\right)=\sup _{x \in M_{1}} d_{2}\left(f_{1}(x), f_{2}(x)\right) .
$$

2. We define a metric $d_{\mathrm{p}}$ on the Cartesian product $M_{1} \times M_{2}$ by

$$
d_{p}\left(\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right)\right)=\max _{i \in\{1,2\}} d_{i}\left(x_{i}, y_{i}\right)
$$

3. By $M_{1} \sqcup M_{2}$ we denote the disjoint union of $M_{1}$ and $M_{2}$, which may be defined as $\left(\{1\} \times M_{1}\right) \cup\left(\{2\} \times M_{2}\right)$. We define a metric $d_{U}$ on $M_{1} \sqcup M_{2}$ as follows:

$$
\begin{aligned}
d_{\mathrm{U}}(\langle i, x\rangle,\langle j, y\rangle) & =d_{i}(x, y) & & \text { if } \quad i=j \\
& =1 & & \text { otherwise. }
\end{aligned}
$$

In the sequel we shall often write $M_{1} \cup M_{2}$ instead of $M_{1} \sqcup M_{2}$, implicitly assuming that $M_{1}$ and $M_{2}$ are already disjoint.
4. Let $\mathscr{P}_{\text {closed }}(M)=\{X \mid X \subseteq M, \quad X$ closed $\} \quad$ and $\quad P_{\text {compact }}(M)=$ $\{X \mid X \subseteq M, X$ compact $)$. We define a metric $d_{\mathrm{H}}$ on $\mathscr{P}_{\text {closed }}(M)$ and on $\mathscr{P}_{\text {compact }}(M)$, called the Hausdorff distance, as

$$
d_{\mathrm{H}}(X, Y)=\max \left\{\sup _{x \in X} d(x, Y), \sup _{y \in Y} d(y, X)\right\}
$$

where $d(x, Z)=\inf _{z \in Z} d(x, z)$ (here we use the convention that $\sup \varnothing=0$ and $\inf \varnothing=1$ ).

Theorem 2.7. Let $(M, d),\left(M_{1}, d_{1}\right),\left(M_{2}, d_{2}\right), d_{\mathrm{F}}, d_{\mathrm{p}}, d_{\mathrm{U}}$, and $d_{\mathrm{H}}$ be as in Definition 2.6. In case $d, d_{1}, d_{2}$ are ultrametrics, so are $d_{\mathrm{F}}, \ldots, d_{\mathrm{H}}$. Now suppose in addition that $(M, d),\left(M_{1}, d_{1}\right)$, and $\left(M_{2}, d_{2}\right)$ are complete. We have that

1. $\left(M_{1} \rightarrow M_{2}, d_{F}\right)$ (together with $\left(M_{1} \rightarrow^{1} M_{2}, d_{F}\right)$ )
2. $\left(M_{1} \times M_{2}, d_{\mathrm{p}}\right)$
3. $\left(M_{1} \sqcup M_{2}, d_{\mathrm{U}}\right)$
4. $\left(\mathscr{P}_{\text {closed }}(M), d_{\mathrm{H}}\right)$
5. $\left(\mathscr{P}_{\text {compact }}(M), d_{\mathrm{H}}\right)$
are complete metric spaces. (Strictly speaking, for the completeness of $M_{1} \rightarrow M_{2}$, the completeness of $M_{1}$ is not required.)

In the sequel we shall often write $M_{1} \rightarrow M_{2}, M_{1} \times M_{2}, M_{1} \sqcup M_{2}, \mathscr{P}_{c}(M)$, etc., when we mean the metric spaces with the metrics just defined.

The proofs of parts 1,2, and 3 of Theorem 2.7 are straightforward. Part 4 and 5 are more involved. Part 4 can be proved with the help of the following characterization of completeness of ( $\left.\mathscr{P}_{\text {closed }}(M), d_{\mathrm{H}}\right)$ :

Theorem 2.8. Let $\left(\mathscr{P}_{\text {closed }}(M), d_{\mathrm{H}}\right)$ be as in Definition 2.6, with $M$ complete. Let $\left(X_{i}\right)_{i}$ be a Cauchy sequence in $\mathscr{P}_{\text {closed }}(M)$. We have

$$
\lim _{i} X_{i}=\left\{\lim _{i} x_{i} \mid x_{i} \in X_{i},\left(x_{i}\right)_{i} \text { a Cauchy sequence in } M\right\} .
$$

Theorem 2.8 is due to Hahn (1948). Proofs of Theorems 2.7 and 2.8 can be found, e.g., in Dugundji (1966) or Engelking (1977). The proof of Theorem 2.8 is also repeated in de Bakker and Zucker (1982).

Part 5 is due to Kuratowski (1956):
Theorem 2.9. If $M$ is complete then ( $\left.\mathscr{P}_{\text {compact }}(M), d_{\mathrm{H}}\right)$ is complete.
We conclude this section with
Theorem 2.10 (Metric completion). Let $M$ be an arbitrary metric space. Then there exists a metric space $\bar{M}$ (called the completion of $M$ ) together with an isometric embedding $i: M \rightarrow \bar{M}$ such that

1. $\bar{M}$ is complete.
2. For every complete metric space $M^{\prime}$ and isometric embedding $j$ : $M \rightarrow M^{\prime}$ there exists a unique isometric embedding $\bar{j}: \bar{M} \rightarrow M^{\prime}$ such that $j \circ i=j$.

Proof. Standard topology.

### 2.3. Metric Domain Equations

We shall be interested in developing mathematically rigorous foundations for branching structures which are, in first approximation, nothing but (rooted) labelled trees (with labels from some set $A$ ) which satisfy three additional properties suggested by

1. commutativity

2. absorption

3. closedness (precise definition omitted).

We shall obtain the set of "trees" satisfying these properties as the domain $P$ of processes (with respect to $A$; this notion of process was introduced in de Bakker and Zucker (1982)) satisfying the domain equation (or isometry)

$$
\begin{equation*}
P \cong \mathscr{P}_{\text {closed }}(A \cup(A \times P)) \tag{2.1}
\end{equation*}
$$

(Note that, for reasons of cardinality, (2.1) has no solution when we take all subsets rather than all closed subsets of $A \cup(A \times P)$.) More precisely, we want to solve (2.1) by determining $P$ as a complete metric space $(P, d)$ satisfying

$$
\begin{equation*}
(P, d) \cong \mathscr{P}_{\text {closed }}\left(A \cup\left(A \times i d_{1 / 2}(P, d)\right)\right) \tag{2.2}
\end{equation*}
$$

where the right-hand side is built up using the composite metrics of Definition 2.6. In addition, we use the mapping $i d_{1 / 2}$ where, for any real $c>0$, $i d_{c}(M, d)=\left(M, d_{c}\right)$, with $d_{c}(x, y)=c \cdot d(x, y)$. (The use of the mapping $i d_{1 / 2}$ is a technical-though essential-trick. Note that it affects only the metrics induced. Hence, (2.1) is a correct rendering of (2.2) when attention is restricted to the set components.) It has been shown in de Bakker and Zucker (1982) how to solve equations such as (2.2): We define a sequence of complete metric spaces $\left(\left(P_{n}, d_{n}\right)\right)_{n=0}^{\infty}$, with $\left(P_{0}, d_{0}\right)=\left(\varnothing, d_{0}\right), d_{0}$ arbitrary, and

$$
\begin{aligned}
P_{n+1} & =\mathscr{P}\left(A \cup\left(A \times i d_{1 / 2}\left(P_{n}, d_{n}\right)\right)\right) \\
d_{n+1} & =\left(\tilde{d}_{n}\right)_{\mathrm{H}} .
\end{aligned}
$$

Here $\tilde{d}_{n}$ is the metric determined (according to Definition 2.6) on $A \cup\left(A \times i d_{1 / 2}\left(P_{n}, d_{n}\right)\right)$, where we assume some given metric $d_{A}$ on $A$. Next, we put $\left(P_{\omega}, d_{(\omega)}\right)=\left(\bigcup_{n} P_{n}, \bigcup_{n} d_{n}\right)$ (with the obvious interpretation of $U_{n} d_{n}$; note that $P_{n} \subseteq P_{n+1}$ ), and we define ( $\bar{P}, \bar{d}$ ) as the completion (Theorem 2.10) of $\left(P_{\omega}, d_{\omega}\right)$. Then we have.

Theorem 2.11. $(\bar{P}, \bar{d})$ is a complete metric space satisfying (2.2). If $d_{A}$ is an ultrametric, then so is $\bar{d}$.
Proof. Essentially as in de Bakker and Zucker (1982).
Remarks. 1. The above explanation covers only one case out of a whole range of possible domain equations. In America and Rutten (1989), a category-theoretic treatment of the general case is described. (Standard references for domain equations include Plotkin (1976) and Gierz et al. (1980).
2. The reader who wonders about the connection between the process domain $P$ and the models obtained through bisimulation from

Milner's synchronization trees (or ACP's graph models) is referred to Bergstra and Klop $(1987,1989)$. In a nutshell, in all relevant cases (assuming appropriate restrictions on the graph models) the domains considered are isomorphic.

## 3. Sequential Logic Programming with Backtracking

The first language on our list, $L_{1}$, contains a combination of the features elementary action, recursion, failure, sequential composition, and backtracking. It is intended as a uniform (uninterpreted) approximation to PROLOG, as yet without a cut operator (which will be added in Sections 4,5$)$. We shall develop operational $(\mathbb{C})$ and denotational $(\mathscr{D})$ semantics for $L_{1}$. The two semantic models to be presented bring together certain previously proposed ideas from the literature in such a way that a smooth equivalence proof is made possible. The denotational model is a uniform variation of ideas in de Bruin (1986), whereas the operational semantics for $L_{1}$ owes much to de Vink (1989). In de Vink (1989), a denotational model is developed as well, though of the direct-no continuations-variety. An important technical difference between our work and that of de Vink (1989) is that the latter is built on cpo structures (to be contrasted to our metric ones), and requires rather more effort to obtain the equivalence result. On the other hand, de Vink (1989) handles arbitrary interpretations (rather than no interpretations), thus preparing the way for a transition towards actual PROLOG which consists in the choice of a specific interpretation: fixing the sets of elementary actions and procedure variables, interpreting the elementary actions (in terms of most general unifiers), determining the procedure declarations from the set of clauses in the PROLOG program, etc. This transition is described in detail in de Bruin and de Vink (1989), where also a continuation style denotational semantics for PROLOG with cut is developed, together with an equivalence proof in the cpo framework.

The equivalence proof we present below is an instance (many more follow in later sections) of a technique based on the idea that both $\mathcal{O}$ and $\mathscr{D}$ are fixed points of a contracting higher order operator (in a setting with an appropriate metric) and therefore coincide. This technique was first described in Kok and Rutten (1988) (for the metric case; see Apt and Plotkin (1986) for an earlier order-theoretic argument). Various further examples can be found in de Bakker and Meyer (1988), all of which deal with programs with explicit (simultaneous) procedure declarations-as do our languages $L_{1}$ to $L_{6}$-rather than with programs where recursion appears through $\mu$-constructs.

We begin with the definition of the syntax for $L_{1}$. Recall that $a$ ranges
over $A$, the set of elementary actions, and $x$ over Pvar, the set of procedure variables. It will be convenient to assume that each program uses exactly the procedure variables in the initial segment $\mathscr{X}=\left\{x_{1}, \ldots, x_{n}\right\}$ of Pvar, for some $n \geqslant 0$.

Definition 3.1 (Syntax). a. (Statements). The class $(s \in) L_{1}$ of statements is given by

$$
s::=a|x| \text { fail }\left|s_{1} ; s_{2}\right| s_{1} \square s_{2} \quad \text { with } \quad x \in \mathscr{X}
$$

b. (Guarded Statements). The class $(g \in) L_{1}^{g}$ of guarded statements is given by

$$
g::=a \mid \text { fail }|g ; s| g_{1} \square g_{2}
$$

c. (Declarations). The class $(D \in) \mathscr{D e c t}_{1}$ of declarations consists of $n$-tuples $D \equiv x_{1} \Leftarrow g_{1}, \ldots, x_{n} \Leftarrow g_{n}$, or $\left\langle x_{i} \Leftarrow g_{i}\right\rangle_{i}$, for short, with $x_{i} \in \mathscr{X}$ and $g_{i} \in L_{\mathrm{L}}^{\mathrm{R}}, i=1,2, \ldots, n$.
d. (Programs). The class ( $\sigma \in)_{\mathscr{P}_{\text {rog }_{1}} \text { of programs consists of pairs }}^{\text {a }}$ $\sigma \equiv\langle D \mid s\rangle$, with $D \in \mathscr{D} e c \ell_{1}$ and $s \in L_{1}$.

Examples. 1. Assume $a, b, c, d \in A .\left\langle x_{1} \Leftarrow\left(a ; x_{2}\right)\right]\left(b ; x_{3}\right), x_{2} \Leftarrow$ $\left(c ; x_{1}\right) \square(d ;$ fail $), x_{3} \Leftarrow$ fail $\left|a ; x_{1} ; b\right\rangle$.
2. This example suggests how to use $L_{1}$ in the modelling of a PROLOG like language. Let ( $x, y, z, u, v \in)$ Atom be the class of logical atoms (atomic formulae such as, e.g., $p(f(a, x), g(y, b, x))$ ). Let

$$
\begin{aligned}
& x \leftarrow x_{1} \wedge x_{2} \\
& y \leftarrow y_{1} \wedge y_{2} \wedge y_{3} \\
& z \leftarrow
\end{aligned}
$$

be a fragment of a PROLOG program, and let $\nu_{1} \wedge \nu_{2}$ be a goal. Let us introduce the alphabet $A=\{\operatorname{atom}(x, y): x, y \in \operatorname{Atom}\}$, where the intended interpretation of $\operatorname{atom}(x, y)$ involves (in a way not elaborated here) the unification of $x$ and $y$. (More about this, including a variable renaming scheme, in the Appendix.) The above program fragment and goal would induce the following program fragment in $L_{1}$ :

$$
\begin{aligned}
& \left\langle\left\{u \leftarrow \cdots \square \left(\operatorname{atom}(u, x) ; x_{1} ; x_{2} \square(\operatorname{atom}(u, y) ;\right.\right.\right. \\
& \left.\left.\left.y_{1} ; y_{2} ; y_{3} \square(\operatorname{atom}(u, z) \square \cdots)\right)\right) \cdots\right\}_{u \in \operatorname{Atom}}\left|v_{1} ; v_{2}\right\rangle .
\end{aligned}
$$

Remarks. 1. All $g_{i}$ occurring in a declaration $D \equiv\left\langle x_{i} \Leftarrow g_{i}\right\rangle$, are required to be guarded; i.e., occurrences of $x \in \mathscr{X}$ in $g_{i}$ are to be preceded by some $g$ (which, by clause $b$, has to start with an elementary action). This
requirement corresponds to the usual Greibach condition in language theory. Note that in the context of logic programming, this is no restriction: each procedure body starts with the execution of an elementary action (to be interpreted as unification, cf. the above example 2 and the introduction to Section 8).
2. We have adopted the simultaneous declaration format for recursion rather than the $\mu$-formalism which features (possibly nested) constructs such as, for example, $\mu x[(a ; x ; \mu y[(b ; y) \square c] ; d) \square e]$. The simultaneous format is natural in the context of logic programming. Moreover, it allows a simpler derivation of the main semantic equivalence results presented below. (Certain additional inductive arguments applied in Kok and Rutten (1988) to deal with $\mu$-constructs can now be avoided.)
3. Usually, we do not bother about parentheses around composite constructs. If one so wishes, parentheses may be added to avoid ambiguities.

We proceed with the definitions leading up to the operational semantics $\mathcal{O}$ for $s \in L_{1}$ and $\sigma \in \mathscr{P} \log _{1}$. We introduce two auxiliary syntactic classes in

Definition 3.2. a. The class $(r \in) \not R_{\text {con }}^{1}$ of success continuations is defined by

$$
r::=E \mid(s ; r) .
$$

b. The class $(t \in) \mathscr{T}_{\text {con }}$ of failure continuations is defined by

$$
t::=\Delta \mid(r: t) .
$$

Here $E$ and $\Delta$ are new symbols, and the parentheses around $(s ; r)$ and ( $r: t$ ) will be omitted when no confusion is expected. Note that, apart from the end markers $E$ and $\Delta, t$ is no more than a sequence of $r$ 's, and $r$ is no more than a sequence of $s$ 's. Thus, the syntactic continuations are just sequences of statements with some added delimiter structure.

The semantic universe (both for operational and denotational semantics) for $L_{1}$ is quite simple. Let $\delta$ be a new symbol not in $A$, the intended meaning of which is to model failure. We define the semantic domain $(v, w \in) R$ in

Definition 3.3. $R=A^{*} \cup A^{\omega} \cup A^{*}$. $\{\delta\}$.
In other words, the elements of $R$ (which will serve as meanings of statements or programs) are either finite sequences over $A$, possibly empty ( $\varepsilon$ ) and possibly ending with $\delta$, or infinite sequences over $A$. By Subsection 2.2, we can introduce a distance $d$ on $R$ which turns it into a complete ultrametric space (in the definition of $d, \delta$ plays the same role as the elements of $A$ ).

We now give the definitions of the operational semantics for $L_{1}$ and $P_{\text {Pog }}^{1}$. They are based on transition systems (as in Hennessy and Plotkin (1979) and Plotkin (1981, 1983)). Here, a transition is a fourtuple in $\mathscr{T} \operatorname{con} \times A \times \operatorname{Decl}_{1} \times \mathscr{T} c o n_{1}$, written in the notation

$$
\begin{equation*}
t \xrightarrow{a}{ }_{D} t^{\prime} . \tag{3.1}
\end{equation*}
$$

We present a formal transition system $T_{1}$ which consists of axioms (in the form as in (3.1)) or rules, in the form

$$
\frac{t_{1} \xrightarrow{a} \vec{a}_{D} t^{\prime}}{t_{2} \xrightarrow{a}{ }_{D} t^{\prime \prime}} .
$$

Transitions which are given as axioms hold by definition. Moreover, a transition which is the consequence of a rule holds in $T_{1}$ whenever it can be established that, according to $T_{1}$, its premise holds (or, in Section 9, premises hold). We shall employ below notational abbreviations for the rules such as (dropping the $a$ and $D$ in $\breve{\hookrightarrow}_{D}$ for convenience)

$$
\frac{t_{1} \rightarrow t_{2} \mid t_{3}}{t_{1}^{\prime} \rightarrow t_{2}^{\prime} \mid t_{3}^{\prime}} \quad \text { as shorthand for } \quad \frac{t_{1} \rightarrow t_{2}}{t_{1}^{\prime} \rightarrow t_{2}^{\prime}} \text { and } \frac{t_{1} \rightarrow t_{3}}{t_{1}^{\prime} \rightarrow t_{3}^{\prime}}
$$

and

$$
\begin{aligned}
& \frac{t_{1} \rightarrow t_{2}}{t_{3} \rightarrow t_{4}} \\
& t_{5} \rightarrow t_{6}
\end{aligned} \quad \text { as shorthand for } \quad \frac{t_{1} \rightarrow t_{2}}{t_{3} \rightarrow t_{4}} \text { and } \frac{t_{1} \rightarrow t_{2}}{t_{5} \rightarrow t_{6}} .
$$

Definition 3.4 (Transition system $T_{1}$ ).

$$
\begin{align*}
& (a ; r): t \xrightarrow{a}{ }_{D}(r: t) \\
& \frac{(g ; r): t \xrightarrow{a}{ }_{D} \tilde{t}}{(x ; r): t \xrightarrow{a} \tilde{t}}, x \Leftarrow g \quad \text { in } D  \tag{Rec}\\
& \frac{t \xrightarrow{a}{ }_{D} \tilde{t}}{(\text { fail } ; r): t \xrightarrow{a} \tilde{t}}  \tag{Fail}\\
& \frac{s_{1} ;\left(s_{2} ; r\right): t \xrightarrow{a}{ }_{D} \tilde{t}}{\left(s_{1} ; s_{2}\right) ; r: t \xrightarrow{a} \tilde{t}}  \tag{SeqComp}\\
& \frac{\left(s_{1} ; r\right):\left(\left(s_{2} ; r\right): t\right) \xrightarrow{a}{ }_{D} \tilde{t}}{\left(\left(s_{1} \square s_{2}\right) ; r\right): t \xrightarrow{u}{ }_{D} \tilde{t}} .
\end{align*}
$$

(Elem)

The axiom (Elem) describes an elementary step. (Rec) embodies procedure execution by body replacement: for $x \Leftarrow g$ in $D$, execution of $x$ amounts to execution of $g$. (Fail) replaces execution of (fail; $r$ ): $t$ by that of $t$, its failure continuation. (Seq Comp) should be clear. (Backtrack) executes $\left(\left(s_{1} \square s_{2}\right) ; r\right): t$ by executing $\left(s_{1} ; r\right)$ and adding $\left(s_{2} ; r\right)$ to the failure continuation $t$.

We shall now define how to obtain $\mathcal{O}$ from $T_{1}$. We need an auxiliary definition.

Definition 3.5. Choose some fixed $D$.
a. Let $t_{1}, t_{2} \in \mathscr{T}$ con ${ }_{1}$. The relation $t_{1} \rightarrow t_{2}$ is the relation which holds between $t_{1}$ and $t_{2}$ whenever, for some $a \in A$ and $t \in \mathscr{F} c \circ n$, we have that

$$
\xrightarrow[t_{2} \vec{\longrightarrow}_{D} \tilde{t}]{t_{1} \longrightarrow_{D} \tilde{t}}
$$

is a rule in $T_{1}$. Also, $\xrightarrow{*}$ denotes the reflexive and transitive closure of $\rightarrow$.
b. t terminates whenever $t \xrightarrow{*} E: t^{\prime}$, for some $t^{\prime}$
c. $t$ fails whenever $t \xrightarrow{*} \Delta$.

Example. Assume that $x \Leftarrow$ fail; $a$ is in $D$. We then have that $(x ; E): \Delta \xrightarrow{*} \Delta$, since the following are (instances of) rules in $T_{1}$ :

$$
\begin{aligned}
& \frac{((\text { fail } ; a) ; E): \Delta \xrightarrow[\longrightarrow]{a} \tilde{t}}{(x ; E): \Delta \xrightarrow{a} \tilde{t}} \\
& \frac{(\text { fail } ;(a ; E)): \Delta \xrightarrow{a}{ }_{D} \tilde{t}}{((\text { fail } ; a) ; E): \Delta \xrightarrow{a}{ }_{D} \tilde{t}} \\
& \frac{\Delta \xrightarrow[\longrightarrow]{a} \tilde{t}}{(\text { fail; }(a ; E)): \Delta \xrightarrow{a}{ }_{D} \tilde{t}} .
\end{aligned}
$$

The following lemma is immediate:
Lemma 3.6. For each $t$, either $t$ terminates, or $t$ fails, or, for some $a, t^{\prime}$, we have $t \xrightarrow{a}{ }_{D} t^{\prime}$.

Proof. Omitted. (A formal proof requires the complexity measure introduced in the proof of Lemma 3.12.)

Definition 3.7. a. The mapping $\mathcal{O}: \operatorname{Pr}_{\operatorname{rog}}^{1} \boldsymbol{\rightarrow} \rightarrow R$ is given by

$$
\mathscr{O} \llbracket\langle D \mid s\rangle \rrbracket=\mathcal{O}_{D} \llbracket(s ; E): \Delta \rrbracket .
$$

b. The mapping $\mathscr{O}_{D}: \mathscr{T} \operatorname{con} n_{1} \rightarrow R$ is given by

$$
\begin{aligned}
\mathcal{O}_{D} \llbracket t \rrbracket & =\varepsilon, & & \text { if }
\end{aligned} \quad t \text { terminates } \quad \text { ( }
$$

where the transitions are with respect to $T_{1}$.
It may not be obvious that the function $\mathscr{O}_{D}$ is well-defined, since $\mathscr{O}_{D}$ occurs on the right-hand side (of the third clause) of its definition. Traditionally, recursion may be handled by the introduction of a fixed point of some (higher-order) operator. Here we introduce the (contracting) mapping $\Phi_{D}$ with $\mathscr{O}_{D}$ as its (unique) fixed point. This is expressed in

Lemma 3.8. Let the operator $\Phi_{D}:\left(\mathscr{T e a n}_{1} \rightarrow R\right) \rightarrow\left(\operatorname{Tron}_{1} \rightarrow R\right)$ be defined as follows: For any $F \in \mathscr{T r a n}_{1} \rightarrow R$, we put

$$
\begin{aligned}
\Phi_{D}(F)(t) & =\varepsilon, & & \text { if } t \text { terminates } \\
& =\delta, & & \text { if } t \text { fails } \\
& =a . F\left(t^{\prime}\right), & & \text { if } t \longrightarrow_{D} t^{\prime} .
\end{aligned}
$$

Then $\Phi_{D}$ is a contracting mapping with $\mathscr{O}_{D}$ as its fixed point.
Proof. Clear from the definitions and Banach's theorem.
Remark. In, e.g., de Bakker (1989) or de Bakker and Rutten (1989), we present a similar technique in a setting where we deal with interpreted elementary actions, leading to a model which involves states and state transformations.

The next step is the development of the denotational model. This model uses semantic counterparts for the syntactic continuations $\mathscr{R e c m}_{1}$ and $\mathscr{T e a n}_{1}$, in the form of

$$
\begin{aligned}
(\phi \in) R \rightarrow^{1} R, & \text { the (semantic) success continuations } \\
(v, w) \in) R, & \text { the (semantic) failure continuations } \\
(\pi \in) \mathbb{R}=\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow^{1} R, & \text { a set which shall remain nameless. }
\end{aligned}
$$

Moreover, the usual notion of environment to deal with recursion is applied, this time in the form of $(\gamma \in) \Gamma_{1}$ defined as

$$
\Gamma_{1}=X \rightarrow \mathbb{R} .
$$

The denotational semantics function $\mathscr{D}$ is of type

$$
\mathscr{D}: L_{1} \rightarrow \Gamma_{1} \rightarrow^{1} \mathbb{R} ;
$$

i.e., it is well-defined to write $\mathscr{D} \llbracket s \rrbracket \gamma \phi v=w$. The function $\mathscr{D}$ will be used in the definition of $\mathscr{M}: \mathscr{P}_{\log _{1}} \rightarrow R$.

From now on, we shall often suppress parentheses around arguments of functions. The denotational semantic definitions are collected in

Definition 3.9 (Denotational semantics for $L_{1}, \mathscr{P}_{\log }^{1}$ ).
a. $\quad \mathscr{D} \llbracket a \rrbracket \gamma \phi v=a . \phi v$

$$
\mathscr{D} \llbracket x \rrbracket \gamma \phi v=\gamma x \phi v
$$

$\mathscr{D} \llbracket$ fail $\rrbracket \gamma \phi v=v$
$\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket \gamma \phi v=\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \phi\right) v$
$\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \phi v=\mathscr{D} \llbracket s_{1} \rrbracket \gamma \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \phi v\right)$
b. $\mathscr{M}: \mathscr{P}_{\operatorname{rog}_{1}} \rightarrow R$ is given by $\mathscr{M} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D}(\lambda v . \varepsilon)(\delta)$, with $\gamma_{D}$ as in clause $c$
c. $\quad \gamma_{D}=\gamma\left\{\pi_{i} / x_{i}\right\}_{i}$, where for $D \equiv\left\langle x_{i} \Leftarrow g_{i}\right\rangle_{i}$.

$$
\left\langle\pi_{1}, \ldots, \pi_{n}\right\rangle=\text { fixed point }\left\langle\Phi_{1}, \ldots, \Phi_{n}\right\rangle,
$$

with $\Phi_{j}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ given by $\Phi_{j}\left(\left\langle\pi_{1}^{\prime}, \ldots, \pi_{n}^{\prime}\right\rangle\right)=\mathscr{D} \llbracket g_{j} \rrbracket \gamma^{\prime}\left\{\pi_{i}^{\prime} / x_{i}\right\}_{i}$.
Remarks. 1. In clause $a$, the first item reads, after adding parentheses, as follows: $\mathscr{D} \llbracket a \rrbracket(\gamma)(\phi)(v)=a . \phi(v)$. Thus, on the left-hand side $\gamma, \phi, v$ are arguments of the function $\mathscr{D} \llbracket a \rrbracket$; on the right-hand side $a$ is concatenated $(\cdot)$ with the result $\phi(v)$ of applying $\phi$ to $v$. Similar elaborations will be omitted in the sequel, since the intended meaning can always be inferred from the types of the functions concerned.
2. Note the symmetry in the definitions of $\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket$ and $\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket$, where in the former case the success, in the latter case the failure continuation is extended.
3. In clause $b$ the meaning of $s$ is initialized with the empty success continuation $\lambda v . \varepsilon$ and the empty failure continuation $\delta$.
4. The (unique) fixed point in clause $c$ exists by the guardedness requirement which ensures contractivity of the $\Phi_{j}$ (Lemmas 4.6 and 4.7 and Theorem 4.8 provide details for the more complex setting with $L_{2}$ ).

We continue with the derivation of the equivalence $\mathscr{O}=. \|$.

First, we introduce two auxiliary denotational meaning functions $\mathscr{R}_{D}$ and $\mathscr{T}_{D}$, working on elements in $\mathscr{R} c o n_{1}$ and $\mathscr{T}_{\text {con }}$, respectively. (We find it convenient to carry along $D$ as a parameter, rather than as explicit argument of the mappings $\mathscr{R}$ and $\mathscr{T}$.) The mappings $\mathscr{R}_{D}$ : $\mathscr{R e c o n}_{1} \rightarrow R \rightarrow{ }^{1} R$ and $\mathscr{T}_{D}: \mathscr{T}_{\operatorname{con}}^{1} \boldsymbol{\rightarrow} \rightarrow R$ are defined in

Definition 3.10. a. $\mathscr{R}_{D} \llbracket E \rrbracket=\lambda v . \varepsilon, \mathscr{R}_{D} \llbracket s ; r \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D} \mathscr{R}_{D} \llbracket r \rrbracket$, with $\gamma_{D}$ as in Definition 3.9.
b. $\quad \mathscr{T}_{D} \llbracket \Delta \rrbracket=\delta, \mathscr{T}_{D} \llbracket r: t \rrbracket=\mathscr{R}_{D} \llbracket r \rrbracket \mathscr{T}_{D} \llbracket t \rrbracket$.

The following lemma is now easily established (cf. Definition 3.5 for $\rightarrow$ ).
Lemma 3.11. Choose D fixed.
a. $\quad \mathscr{T}_{D} \llbracket E: t \rrbracket=\varepsilon, \mathscr{T}_{D} \llbracket \Delta \rrbracket=\delta$
b. $\mathscr{T}_{D} \llbracket(a ; r): t \rrbracket=a . \mathscr{T}_{D} \llbracket r: t \rrbracket$
c. If $t_{1} \rightarrow t_{2}$, then $\mathscr{T}_{D} \llbracket t_{1} \rrbracket=\mathscr{T}_{D} \llbracket t_{2} \rrbracket$.

Proof. We consider only one special case. Let $t_{1} \equiv\left(\left(s_{1} \square s_{2}\right) ; r\right): t, t_{2} \equiv$ $\left(s_{1} ; r\right):\left(\left(s_{2} ; r\right): t\right)$. We have $\mathscr{T}_{D} \llbracket t_{1} \rrbracket=\mathscr{T}_{D} \llbracket\left(\left(s_{1} \square s_{2}\right) ; r\right): t \rrbracket=\mathscr{R}_{D} \llbracket\left(s_{1} \square s_{2}\right) ; r \rrbracket$ $\mathscr{T}_{D} \llbracket t \rrbracket=\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma_{D} \mathscr{R}_{D} \llbracket r \rrbracket \mathscr{T}_{D} \llbracket t \rrbracket=\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{D} \mathscr{R}_{D} \llbracket r \rrbracket\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{D} \mathscr{R}_{D} \llbracket r \rrbracket\right.$ $\left.\mathscr{T}_{D} \llbracket t \rrbracket\right)=\cdots=\mathscr{T}_{D} \llbracket\left(s_{1} ; r\right):\left(\left(s_{2} ; r\right): t\right) \rrbracket$.

The key step in the proof that $\mathcal{O}=\mathscr{M}$ holds on $\mathscr{P}_{\text {rog }_{1}}$ is the following lemma (which constitutes an application to $L_{1}$ of the general proof techniques of Kok and Rutten (1988) and de Bakker and Meyer (1988)):

Lemma 3.12. Let $\Phi_{D}:\left(\mathscr{T}_{\operatorname{con}}^{1} \boldsymbol{} \rightarrow R\right) \rightarrow\left(\operatorname{Teon}_{1} \rightarrow R\right)$ be defined as in Lemma 3.8. Then $\Phi_{D}\left(\mathscr{T}_{D}\right)=\mathscr{T}_{D}$.

Proof. We introduce the following complexity measure $c_{t}$ on $t \in \mathscr{T}_{\operatorname{con}}^{1}$ : $c_{t}(\Delta)=1, \quad c_{t}(r: t)=c_{r}(r)+c_{t}(t) ; \quad c_{r}(E)=1, \quad c_{r}(s ; r)=c_{s}(s) ; \quad c_{s}(a)=$ $c_{s}($ fail $)=1, c_{s}(x)=c_{s}(g)+1$, where $x \Leftarrow g$ is in $D, c_{s}\left(s_{1} ; s_{2}\right)=c_{s}\left(s_{1}\right)+1$, $c_{s}\left(s_{1} \square s_{2}\right)=c_{s}\left(s_{1}\right)+c_{s}\left(s_{2}\right)+1$. From the definition of $T_{1}$ we see that, for each $t_{1}, t_{2}$ such that
(i) $t_{1} \rightarrow t_{2}$,
(ii) $t_{1} \neq t_{2}$, and
(iii) $t_{1}$ of the form $(s ; r): t^{\prime}$,
we have that $c_{t}\left(t_{1}\right)>c_{t}\left(t_{2}\right)$.
We now prove that $\Phi_{D}\left(\mathscr{T}_{D}\right)(t)=\mathscr{T}_{D} \llbracket t \rrbracket$, for each $t$. If $t$ terminates or $t$ fails, the result is clear by definition. Otherwise, $t$ is of the form $t \equiv(s ; r): t^{\prime}$, and, for some $a, t_{0}$, we have $t \xrightarrow{a}{ }_{D} t_{0}$. We use induction on $c_{t}(t)$. The following cases are distinguished:
$s \equiv a$,

$$
\begin{aligned}
\Phi_{D}\left(\mathscr{T}_{D}\right)\left((a ; r): t^{\prime}\right) & =a . \mathscr{T}_{D} \llbracket r: t^{\prime} \rrbracket & & \left(\operatorname{def} . \Phi_{D}\right) \\
& =\mathscr{T}_{D} \llbracket(a ; r): t^{\prime} \rrbracket & & (\text { Lemma 3.11) }
\end{aligned}
$$

$s \equiv$ fail,

$$
\begin{aligned}
\Phi_{D}\left(\mathscr{T}_{D}\right)\left((\text { fail } ; r): t^{\prime}\right) & =\Phi_{D}\left(\mathscr{T}_{D}\right)\left(t^{\prime}\right) & & \left(\text { def. } \Phi_{D}\right) \\
& =\mathscr{T}_{D} \llbracket t^{\prime} \rrbracket & & \text { (ind.hyp.) } \\
& =\mathscr{T}_{D} \llbracket(\text { fail } ; r): t^{\prime} \rrbracket & & (\text { Lemma 3.11). }
\end{aligned}
$$

$s \equiv x$,

$$
\begin{aligned}
\Phi_{D}\left(\mathscr{T}_{D}\right)\left((x ; r): t^{\prime}\right) & \left.=\Phi_{D}\left(\mathscr{T}_{D}\right)((g ; r)): t^{\prime}\right) & & \text { (def. } \left.\Phi_{D}\right) \\
& =\mathscr{T}_{D}\left((g ; r): t^{\prime}\right) & & \text { (ind.hyp.) } \\
& =\mathscr{T}_{D}\left((x ; r): t^{\prime}\right) & & \text { (Lemma 3.11). }
\end{aligned}
$$

$s \equiv\left(s_{1} ; s_{2}\right)$. Similar to $s \equiv\left(s_{1} \square s_{2}\right)$ :

$$
\begin{aligned}
\Phi_{D}( & \left.\mathscr{T}_{D}\right)\left(\left(\left(s_{1} \square s_{2}\right) ; r\right): t^{\prime}\right) & & \\
& =\Phi_{D}\left(\mathscr{T}_{D}\right)\left(\left(s_{1} ; r\right):\left(\left(s_{2} ; r\right): t^{\prime}\right)\right) & & \text { (def. } \left.\Phi_{D}\right) \\
& =\mathscr{T}_{D}\left(\left(s_{1} ; r\right):\left(\left(s_{2} ; r\right): t^{\prime}\right)\right) & & \text { (ind.hyp.) } \\
& =\mathscr{T}_{D}\left(\left(\left(s_{1} \square s_{2}\right) ; r\right): t^{\prime}\right) & & \text { (Lemma 3.11). }
\end{aligned}
$$

The main result of the present section is now a direct consequence of this lemma:

Theorem 3.13. For each $\sigma \in \mathscr{P}_{\log _{1}}, \mathbb{O} \llbracket \sigma \rrbracket=\mathscr{M} \llbracket \sigma \rrbracket$.
Proof. By Lemma 3.8 and Lemma 3.12, $\Phi_{D}$ is a contracting mapping, hence its fixed points $\mathcal{O}_{D}$ and $\mathscr{T}_{D}$ coincide. Now

$$
\begin{aligned}
\mathcal{O} \llbracket \sigma \rrbracket & =\mathcal{O} \llbracket\langle D \mid s\rangle \rrbracket=\mathcal{O}_{D} \llbracket(s ; E): \Delta \rrbracket=\mathscr{T}_{D} \llbracket(s ; E): \Delta \rrbracket \\
& =\mathscr{D} \llbracket s \rrbracket \gamma_{D}(\lambda v . \varepsilon)(\delta)=\mathscr{M} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{M} \llbracket \sigma \rrbracket .
\end{aligned}
$$

## 4. Sequential Logic Programming with Backtracking <br> and Absolute Cut

We add a preliminary version of the cut operator, written as "!" and inspired by PROLOG's cut, to the language $L_{1}$, obtaining $L_{2}$. This version
we call "absolute cut." Its operation is rather drastic: when the operator "!" is encountered, all alternatives (kept available for possible subsequent backtracking through a fail statement) collected as a result of previous executions of $s_{1} \square s_{2}$-statements since the beginning of the whole program are deleted. In the next section we shall deal with a more realistic version of the cut operator, denoted by "!!" and called "relative cut." The operator "!!" deletes all alternatives (kept available for possible subsequent backtracking through a fail statement) collected as a result of previous executions of $s_{1} \square s_{2}$-statements since the beginning of the execution of the most recent procedure call in which this "!!" occurs. We emphasize that the !!-operator is the one which interests us. The "!" is studied only to help in understanding our treatment of "!!" in the next section. In particular, the mechanism developed in the present section introducing the so-called dump stack is not so much motivated by our wish to model "!" (in fact, all applications of transition system $T_{2}$ leave the dump stack constant), but rather designed for modelling "!!" (in $T_{3}$ the dump stack indeed varies).
We shall design operational and denotational models for $L_{2}$ (and for $L_{3}$ in the next section) involving a more subtle use of continuations. The operational semantics for $L_{3}$ (and its approximation $L_{2}$ ) are due to de Vink, cf. de Vink (1989) and de Bruin and de Vink (1989). Our continuation based denotational semantics for $L_{2}$ will be designed such that the equivalence $\mathcal{O}=\mathscr{M}$ on $\mathscr{P}_{\operatorname{rog}}^{2}$ is a straightforward extension of the results in Section 3.

Definition 4.1 (Syntax).
a. (Statements). The class $(s \in) L_{2}$ of statements is given by

$$
s::=a|x| \text { fail }\left|s_{1} ; s_{2}\right| s_{1} \square s_{2} \mid!.
$$

b. (Guarded statements). The class $(g \in) L_{2}^{g}$ of guarded statements is given by

$$
g::=a \mid \text { fail }|g ; s| g_{1} \square g_{2} .
$$

Note that! does not act as a guard.
c, d. The classes $\mathscr{D e c t}_{2}, \mathscr{P}_{\text {rog }}^{2}$ are derived from $L_{2}, L_{2}^{g}$ analogously to Definition 3.1, parts c, d.
We now present the new continuations:
Definition 4.2. a. The class $(u \in) \mathscr{U}$ con of statement continuations is defined by

$$
u::=\operatorname{nil} \mid(s ; u) .
$$

b. The class ( $r \in$ ) Rcon of success continuations is defined by

$$
r::=E \mid(u: t) ; r .
$$

c. The class $(t \in) \mathscr{T} \operatorname{con}_{2}$ of failure continuations is defined by

$$
t::=\Delta \mid(r: t) .
$$

As before we define a transition system in terms of fourtuples in $\mathscr{T}_{\operatorname{con}}^{2} \times A \times \mathscr{D e c t}_{2} \times \mathscr{T}_{\operatorname{con}_{2}}$, employing the notation

$$
t \xrightarrow{a}{ }_{D} t^{\prime} .
$$

Definition 4.3 (Transition system $T_{2}$ ).

$$
\begin{align*}
& (((a ; u): t) ; r): t^{\prime} \xrightarrow{a}_{D}((u: t) ; r): t^{\prime}  \tag{Elem}\\
& \frac{r: t^{\prime} \xrightarrow[\longrightarrow]{u_{D}} \tilde{t}}{((\text { nil }: t) ; r): t^{\prime} \xrightarrow{a}{ }_{D} \tilde{t}}  \tag{Nil}\\
& \frac{(((g ; u): t) ; r): t^{\prime} \xrightarrow{a}{ }_{D} \tilde{t}}{(((x ; u): t) ; r): t^{\prime} \xrightarrow{a} \tilde{t}}, \quad x \Leftarrow g \text { in } D  \tag{Rec}\\
& \frac{t^{\prime} \xrightarrow[\longrightarrow]{a}_{D} \tilde{t}}{(((\text { fail } ; u): t) ; r): t^{\prime} \xrightarrow{a}{ }_{D} \tilde{t}}  \tag{Fail}\\
& \frac{\left(\left(\left(s_{1} ;\left(s_{2} ; u\right)\right): t\right) ; r\right): t^{\prime} \xrightarrow{a}{ }_{D} \tilde{t}}{\left(\left(\left(\left(s_{1} ; s_{2}\right) ; u\right): t\right) ; r\right): t^{\prime} \xrightarrow{u}{ }_{D} \tilde{t}} \\
& \frac{\left(\left(\left(s_{1} ; u\right): t\right) ; r\right):\left(\left(\left(\left(s_{2} ; u\right): t\right) ; r\right): t^{\prime}\right) \xrightarrow[\longrightarrow]{a}_{D} \tilde{t}}{\left(\left(\left(\left(s_{1} \square s_{2}\right) ; u\right): t\right) ; r\right): t^{\prime} \longrightarrow_{D} \tilde{t}} \quad \text { (Backtrack) } \\
& \frac{((u: t) ; r): t \xrightarrow{a}{ }_{D} \tilde{t}}{(((!; u): t) ; r): t^{\prime} \xrightarrow{a}{ }_{D} \tilde{t}} .  \tag{Cut}\\
& \text { (Seq Comp) }
\end{align*}
$$

It may be instructive to compare $T_{2}$ with $T_{1}$. The system is organized by the various cases for $s$ in $t_{0} \equiv(((s ; u): t) ; r): t^{\prime}$. In $t_{0}, t^{\prime}$ is the failure continuation, also to be called failure stack, which serves the same purpose as in the constructs $(s ; r): t^{\prime}$ encountered in $T_{1}$. On the other hand, $t$ in $t_{0}$ is the "dump stack" (terminology from de Vink, 1989). Its function is as
follows: When, as a result of (Backtrack) some $t_{0} \equiv\left(\left(\left(\left(s_{1} \square s_{2}\right) ; u\right): t\right) ; r\right): t^{\prime}$ is transformed (by $\rightarrow$ ) into $t_{1} \equiv\left(\left(\left(s_{1} ; u\right): t\right) ; r\right): \bar{t}$ (where $\bar{t} \equiv$ $\left.\left(\left(\left(s_{2} ; u\right): t\right) ; r\right): t^{\prime}\right)$, in $t_{1}$ the stack $t$ is preserved. In case we encounter, while processing $s_{1} ; u$, an occurrence of "!," we shall transform the then current $t^{\prime \prime} \equiv\left(\left(\left(!; u^{\prime}\right): t\right) ; r\right): \overline{\bar{t}}$ into $\left(\left(u^{\prime}: t\right) ; r\right): t$, thus reinstalling the dump stack instead of the currently active failure stack $\overline{\bar{t}}$, effectively throwing away the alternatives built up so far in $\overline{\bar{t}}$ as a result of the $\square$-statements processed up to now. The other rules in $T_{2}$ should be clear: Once the formalism involving a second (dump) stack is understood, the axioms (Elem) and the rules (Rec), (Fail), (Seq Comp), and (Backtrack) are direct extensions of similar rules in $T_{1}$. Rule (Nil) expresses the natural fact that execution of $(($ nil $: t) ; r): t^{\prime}$ amounts to execution of $r: t^{\prime}$. We already announce that in transition system $T_{3}$ dealing with relative cut, we shall only vary the recursion rule (and replace "!" by "!!" in the (Cut) rule).

We next define how to obtain $\mathbb{C}$ from $T_{2}$. The notions of terminating or failing $t$ are as in Definition 3.5.

Definition 4.4. a. The mapping $\mathcal{O}: \mathscr{P}_{\operatorname{rog}}^{2} \boldsymbol{} \rightarrow R$ is given by

$$
\mathcal{O} \llbracket\langle D \mid s\rangle \rrbracket=\mathcal{O}_{D} \llbracket(((s ; \text { nil }): \Delta) ; E): \Delta \rrbracket .
$$

b. The mapping $\mathcal{O}_{D}: \mathscr{T} \operatorname{con}_{2} \rightarrow R$ is given by

$$
\begin{aligned}
\mathcal{O}_{D} \llbracket t \rrbracket & =\varepsilon, & & \text { if } \quad t \text { terminates } \\
& =\delta, & & \text { if } t \text { fails } \\
& =a \cdot \mathscr{O}_{D} \llbracket t^{\prime} \rrbracket, & & \text { if } t \xrightarrow{a}_{D} t^{\prime},
\end{aligned}
$$

where the transitions are with respect to $T_{2}$.
Well-definedness of $\mathcal{O}$ for $\mathscr{P}_{\operatorname{rog}_{2}}$ follows as before.
We continue with the denotational semantics. Following the general strategy first adopted in the previous section, we shall structure the denotational definitions in direct correspondence with the operational ones in $T_{2}$. We first introduce the various domains

$$
\begin{aligned}
& (v, w \in) R \\
& (\phi \in) R \rightarrow{ }^{1} R \\
& (\rho \in) R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R \\
& (\pi \in)\left(R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R\right) \\
& \quad \rightarrow^{1}\left(R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R\right) \stackrel{d f}{=} \mathbb{R} \\
& (\gamma \in) \Gamma_{2}=X \rightarrow \mathbb{R} .
\end{aligned}
$$

The mappings $\mathscr{D}: L_{2} \rightarrow \Gamma_{2} \rightarrow^{1} \mathbb{R}$ and $\mathscr{M}: \mathscr{P}_{\text {rog }}^{2}$ $\rightarrow R$ are given in
Definition 4.5.
a. $\mathscr{D} \llbracket a \rrbracket \gamma \rho v \phi w=a . \rho v \phi w$
$\mathscr{D} \llbracket x \rrbracket \gamma \rho v \phi w=\gamma x \rho v \phi w$
$\mathscr{D}[$ fail $] \gamma \rho v \phi w=w$
$\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket \gamma \rho v \phi w=\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho\right) v \phi w$
$\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho v \phi w=\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi w\right)$
$\mathscr{D}[!] \gamma \rho v \phi \omega=\rho v \phi v$.
b. $\gamma_{D}=\gamma\left\{\pi_{i} \mid x_{i}\right\}_{i}$, where $\left\langle\pi_{1}, \ldots, \pi_{n}\right\rangle$ is the (unique) fixed point of $\left\langle\Psi_{1}, \ldots, \Psi_{n}\right\rangle$, with $\Psi_{j}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ given by $\Psi_{j}\left(\left\langle\pi_{1}^{\prime}, \ldots, \pi_{n}^{\prime}\right\rangle\right)=$ $\mathscr{D} \llbracket g_{j} \rrbracket \gamma\left\{\pi_{i}^{\prime} \mid x_{i}\right\}_{i}$.

Remark. The definitions in part a follow the axiom and rules in $T_{2}$. The following correspondence is maintained:

$$
\begin{aligned}
u & \in \mathscr{U c o n} \Leftrightarrow \rho \in R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow^{1} R \\
r & \in \mathscr{R c o n} n_{2} \Leftrightarrow \phi \in R \rightarrow{ }^{1} R \\
t, t^{\prime} \in \mathscr{T} \operatorname{con} & \Leftrightarrow v, w \in R .
\end{aligned}
$$

Also, a construct $((u: t) ; r): t^{\prime}$ corresponds with the semantic entity $\rho v \phi u$.
We now first prove
Lemma 4.6. $\mathscr{D}$ is well-defined.
Proof. By induction on the complexity of $s$ we prove that

1. $\forall \gamma: \forall \rho: \forall v: \forall \phi: \forall w: \mathscr{D} \llbracket s \rrbracket \gamma \rho v \phi w \in R$
2. $\forall \gamma: \forall \rho: \forall v: \forall \phi: \mathscr{D} \llbracket s \rrbracket \gamma \rho v \phi: R \rightarrow{ }^{1} R$
3. $\forall \gamma: \forall \rho: \forall v: \mathscr{D} \llbracket s \rrbracket \gamma \rho v:\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$
4. $\forall \gamma: \forall \rho: \mathscr{D} \llbracket s \rrbracket \gamma \rho: R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow^{1} R \rightarrow{ }^{1} R$
5. $\forall \gamma: \mathscr{D} \llbracket s \rrbracket \gamma:\left(R \rightarrow{ }^{1}\left(R \rightarrow^{1} R\right) \rightarrow^{1} R \rightarrow^{1} R\right) \rightarrow^{1} R \rightarrow^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow^{1}$ $R \rightarrow{ }^{1} R$
6. $\mathscr{D} \llbracket s \rrbracket: \Gamma \rightarrow{ }^{1} R$.

$$
s \equiv a
$$

1. $a \cdot \rho v \phi w \in R$.
2. $d\left(a \cdot \rho v \phi w_{1}, a \cdot \rho v \phi w_{2}\right) \leqslant \frac{1}{2} d\left(\rho v \phi w_{1}, \rho v \phi w_{2}\right) \leqslant \frac{1}{2} d\left(w_{1}, w_{2}\right)$, since $\rho v \phi$ : $R \rightarrow{ }^{1} R$.
3. $d\left(a \cdot \rho v \phi_{1} w, \quad a \cdot \rho v \phi_{2} w\right) \leqslant \frac{1}{2} d\left(\rho v \phi_{1} w, \quad \rho v \phi_{2} w\right) \leqslant \frac{1}{2} d\left(\rho v \phi_{1}, \quad \rho v \phi_{2}\right) \leqslant$ $\frac{1}{2} d\left(\phi_{1}, \phi_{2}\right)$, since $\rho v:\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow^{1} R$.
4. $d\left(a \cdot \rho v_{1} \phi w, \quad a \cdot \rho v_{2} \phi w\right) \leqslant \frac{1}{2} d\left(\rho v_{1} \phi w, \quad \rho v_{2} \phi w\right) \leqslant \frac{1}{2} d\left(\rho v_{1}, \rho v_{2}\right) \leqslant$ $\frac{1}{2} d\left(v_{1}, v_{2}\right)$, since $\rho: R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$.
5. $d\left(a \cdot \rho_{1} v \phi w, a \cdot \rho_{2} v \phi w\right) \leqslant \frac{1}{2} d\left(\rho_{1} v \phi w, \rho_{2} v \phi w\right) \leqslant \frac{1}{2} d\left(\rho_{1}, \rho_{2}\right)$.
6. $\mathscr{D} \llbracket a \rrbracket$ is constant (in $\gamma$ ).

## $s \equiv x$

1. $\gamma(x) \rho v \varphi w \in R$, by definition of $\gamma$.
2. $\gamma(x) \rho v \phi \in R \rightarrow{ }^{1} R$, by definition of $\gamma$.
3. $\gamma(x) \rho v \in\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow^{1} R$, by definition of $\gamma$.
4. $\gamma(x) \rho \in R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$, by definition of $\gamma$.
5. $\gamma(x) \in\left(R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$, by definition of $\gamma$.
6. $d\left(\mathscr{D} \llbracket x \rrbracket \gamma_{1}, \mathscr{D} \llbracket x \rrbracket \gamma_{2}\right)=d\left(\gamma_{1}(x), \gamma_{2}(x) \leqslant d\left(\gamma_{1}, \gamma_{2}\right)\right.$.

## $s \equiv$ fail

1. $\mathscr{D} \llbracket$ fail $\rrbracket \gamma \rho v \phi w=w \in R$.
2. $\mathscr{D} \llbracket$ fail $] \gamma \rho v \phi=i d_{R} \in R \rightarrow{ }^{1} R$.
3. $\mathscr{D}[$ fail $] \gamma \rho v$ is constant (in $\phi$ ).
4. $\mathscr{D} \llbracket$ fail $] \gamma \rho$ is constant (in $v$ ).
5. $\mathscr{D}[$ fail $] \gamma$ is constant (in $\rho$ ).
6. $\mathscr{D} \llbracket$ fail $\rrbracket$ is constant (in $\gamma$ ).
$s \equiv!$
7. $\mathscr{D} \llbracket!] \gamma \rho v \phi w=\rho v \phi v \in R$.
8. $\mathscr{D}[!] \gamma \rho v \phi$ is constant (in $w$ ).
9. $\left.d(\mathscr{D} \llbracket!] \gamma \rho v \phi_{1} w, \mathscr{D}[!] \gamma \rho v \phi_{2} w\right)=d\left(\rho v \phi_{1} v, \rho v \phi_{2} v\right) \leqslant d\left(\rho v \phi_{1}, \rho v \phi_{2}\right)$ $\leqslant d\left(\phi_{1}, \phi_{2}\right)$, since $\rho v \in\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$.
10. $\left.\left.d(\mathscr{D} \llbracket!] \gamma \rho v_{1} \phi w, \mathscr{D} \llbracket!\right] \gamma \rho v_{2} \phi w\right)=d\left(\rho v_{1} \phi v_{1}, \rho v_{2} \phi v_{2}\right) \leqslant \max \left\{d\left(\rho v_{1} \phi v_{1}\right.\right.$, $\left.\left.\rho v_{1} \phi v_{2}\right)^{*}, d\left(\rho v_{1} \phi v_{2}, \rho v_{2} \phi v_{2}\right)^{* *}\right\}$.
${ }^{*} \leqslant d\left(v_{1}, v_{2}\right)$ since $\rho v_{1} \phi \in R \rightarrow{ }^{1} R$.
${ }^{* *} \leqslant d\left(\rho v_{1}, \rho v_{2}\right) \leqslant d\left(v_{1}, v_{2}\right)$, since $\rho \in R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow^{1} R$.
11. $\left.\left.d(\mathscr{D} \llbracket!] \gamma \rho_{1} v \phi w, \mathscr{D} \llbracket!\right] \gamma \rho_{2} v \phi w^{\prime}\right)=d\left(\rho_{1} v \phi v, \rho_{2} v \phi v\right) \leqslant d\left(\rho_{1}, \rho_{2}\right)$.
12. $\mathscr{D}[!]$ is constant (in $\gamma$ ).

## $s \equiv s_{1} ; s_{2}$

1. $\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket \gamma \rho v \phi w=\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{D}\left[s_{2}\right] \gamma \rho\right) v \phi w$.

By induction ( $s_{2}: 4$ ) we have $\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho \in R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$, so by induction $\left(s_{1}: 1\right)$ we have $\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho\right) v \phi w \in R$.
2. $\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket \gamma \rho v \phi=\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho\right) v \phi$.

By induction ( $s_{2}: 4$ ) we have $\mathscr{S} \llbracket s_{2} \rrbracket \gamma \rho \in R \rightarrow^{\prime}\left(R \rightarrow^{\prime} R\right) \rightarrow^{\prime} R \rightarrow^{\prime} R$, so by induction ( $s_{1}: 2$ ) we have $\mathscr{I} \llbracket s_{1} \rrbracket \mathcal{Y}\left(\mathscr{I}\left[s_{2}\right] ; \rho\right) \quad \dot{\prime} \phi \in R \rightarrow{ }^{1} R$.
3. $\mathscr{O}\left[s_{1} ; s_{2} \rrbracket \gamma \rho v=\mathscr{D} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{L} \llbracket s_{2}\right] ; \rho\right) v$.

By induction ( $s_{2}: 4$ ) we have $\mathscr{Z} \llbracket s_{2} \rrbracket ; \rho \in R \rightarrow \rightarrow^{\prime}\left(R \rightarrow^{1} R\right) \rightarrow^{\prime} R \rightarrow^{1} R$, so by induction $\left(s_{1}: 3\right)$ we have $\mathscr{D} \llbracket s_{1} \rrbracket \mathcal{U}^{\prime}\left(\mathscr{S} \llbracket s_{2} \rrbracket ; \rho\right) v \in\left(R \rightarrow{ }^{1} R\right) \rightarrow^{1} R \rightarrow^{1} R$.
4. $\mathscr{L} \llbracket s_{1} ; s_{2} \rrbracket ; \rho=\mathscr{L} \llbracket s_{1} \rrbracket \gamma\left(\mathscr{L} \llbracket s_{2} \rrbracket ; \rho\right)$.

By induction ( $s_{2}: 4$ ) we have $\mathscr{L} \llbracket s_{2} \rrbracket ;^{\prime} \rho \in R \rightarrow^{\prime}\left(R \rightarrow{ }^{\prime} R\right) \rightarrow^{\prime} R \rightarrow^{\prime} R$, so by induction $\left(s_{1}: 4\right)$ we have $\mathscr{Z} \llbracket s_{1} \rrbracket ;\left(\mathscr{I} \llbracket s_{2} \rrbracket ; \rho\right) \in R \rightarrow^{1}\left(R \rightarrow^{1} R\right)$ $\rightarrow^{1} R \rightarrow{ }^{1} R$.
5. $\mathscr{A}\left[s_{1} ; s_{2} \rrbracket \gamma=\mathscr{I} \llbracket s_{1} \rrbracket \gamma \circ \mathscr{L} \llbracket s_{2} \rrbracket ;\right.$

By induction $\left(s_{1}: 5\right)\left(s_{2}: 5\right)$ we have $\mathscr{Z} \llbracket s_{1} \rrbracket ;, \mathscr{Z} \llbracket s_{2} \rrbracket ; \in\left(R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right)\right.$ $\left.\rightarrow^{1} R \rightarrow{ }^{\prime} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1}\left(R \rightarrow^{1} R\right) \rightarrow^{1} R \rightarrow^{1} R$, so $\mathscr{Z} \llbracket s_{1} \rrbracket \gamma \quad \mathcal{Z} \llbracket s_{2} \rrbracket \gamma \in\left(R \rightarrow{ }^{1}\right.$ $\left.\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow{ }^{1} R \rightarrow{ }^{1} R$.
6. $d\left(\mathscr{D} \llbracket s_{1} ; s_{2} \rrbracket \gamma_{1} \rho, \quad \mathscr{I} \llbracket s_{1} ; s_{2} \rrbracket \gamma_{2} \rho\right) \leqslant d\left(\mathscr{J} \llbracket s_{1} \rrbracket \gamma_{1}\left(\mathscr{U} \llbracket s_{2} \rrbracket \ddot{\gamma}_{1} \rho\right), \quad \mathscr{I} \llbracket s_{1}\right]$ $\left.\gamma_{2}\left(\mathcal{L} \llbracket s_{2} \rrbracket \gamma_{2} \rho\right)\right) \leqslant \max \left\{d\left(\mathscr{Z} \llbracket s_{1} \rrbracket \gamma_{1}\left(\mathcal{L} \llbracket s_{2} \rrbracket \gamma_{1} \rho\right), \quad \mathscr{Z} \llbracket s_{1} \rrbracket \gamma_{1}\left(\mathcal{Q} \llbracket s_{2} \rrbracket \gamma_{2} \rho\right)\right)^{*}\right.$, $\left.d\left(\mathscr{L} \llbracket s_{1} \rrbracket \gamma_{1}\left(\mathscr{S} \llbracket s_{2} \rrbracket \gamma_{2} \rho\right), \mathscr{Z} \llbracket s_{1} \rrbracket \gamma_{2}\left(\mathscr{U} \llbracket s_{2} \rrbracket \gamma_{2} \rho\right)\right)^{* *}\right\}$.
$* \leqslant d\left(\mathscr{I} \llbracket s_{2} \rrbracket \gamma_{1} \rho, \mathscr{Z} \llbracket s_{2} \rrbracket \gamma_{2} \rho\right) \quad$ (by induction $\left.\left(s_{1}: 5\right)\right) \leqslant d \mathscr{I} \llbracket s_{2} \rrbracket \gamma_{1}$, $\mathscr{Z}\left[s_{2} \rrbracket \gamma_{2}\right) \leqslant d\left(\gamma_{1}, \gamma_{2}\right)$, by induction $\left(s_{2}: 6\right)$.
$* * \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1}, \mathscr{L} \llbracket s_{1} \rrbracket \gamma_{2}\right) \leqslant d\left(\gamma_{1}, \gamma_{2}\right)$, by induction $\left(s_{2}: 6\right)$.

$$
s \equiv s_{1} \square s_{2}
$$


By induction $\left(s_{2}: 1\right)$ we have $\mathscr{T} \llbracket s_{2} \rrbracket ; \rho v \phi w \in R$, so by induction $\left(s_{1}: 1\right)$ we have $\mathscr{D}\left[s_{1}\right] \gamma \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi w\right) \in R$.
2. $\mathscr{Z} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho v \phi=\mathscr{L} \llbracket s_{1} \rrbracket ; \rho v \phi \mathcal{U}\left[s_{2}\right] ; \rho v \phi$.

By induction $\left(s_{1}: 2\right)\left(s_{2}: 2\right)$ we have $\mathscr{G} \llbracket s_{1} \rrbracket \gamma^{\prime} \rho v \phi, \mathscr{Z} \llbracket s_{2} \rrbracket \gamma^{\prime} \rho v \phi \in R \rightarrow{ }^{\prime} R$ so $\mathscr{Z} \llbracket s_{1} \rrbracket \gamma \rho v \phi=\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi \in R \rightarrow{ }^{1} R$.
3. $d\left(\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho v \phi_{1} w, \quad \mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket ; \rho v \phi_{2} w\right) \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{1}\left(\mathscr{L} \llbracket s_{2} \rrbracket\right.\right.$ $\left.\gamma \rho v \phi_{1} w\right), \mathscr{D}\left[s_{1} \rrbracket \gamma \rho v \phi_{2}\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{2} w\right)\right) \leqslant \max \left(d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{1}\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{1} w\right)\right.\right.$, $\left.\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{1}\left(\mathscr{L} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{2} w\right)\right)^{*}, d\left(\mathscr{L} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{1}\left(\mathscr{L} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{2} w\right), \mathscr{L} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{2}\right.$ $\left.\left(\mathscr{D}\left[s_{2} \rrbracket \gamma \rho v \phi_{2} w\right)\right)^{* *}\right\}$.
$* \leqslant d\left(\mathscr{X} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{1} w, \quad \mathscr{L} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{2} w\right) \quad$ (by induction $\left.\quad\left(s_{1}: 2\right)\right) \leqslant$ $d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{1}, \mathscr{I} \llbracket s_{2} \rrbracket \gamma \rho v \phi_{2}\right) \leqslant d\left(\phi_{1}, \phi_{2}\right)$, by induction $\left(s_{2}: 3\right)$.
$* * \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v \phi_{1}, \mathscr{D} \llbracket s_{1} \rrbracket ; \rho v \phi_{2}\right) \leqslant d\left(\phi_{1}, \phi_{2}\right)$, by induction $\left(s_{1}: 3\right)$.
4. $d\left(\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho v_{1} \phi w, \quad \mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho v_{2} \phi w\right) \leqslant d \mathscr{I} \llbracket s_{1} \rrbracket ; \rho v_{1} \phi\left(\mathscr{I} \llbracket s_{2} \rrbracket\right.$ $\left.\left.\because \rho v_{1} \phi w\right), \quad \mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v_{2} \phi\left(\mathscr{Q} \llbracket s_{2} \rrbracket \gamma \rho v_{2} \phi w\right)\right) \leqslant \max d\left(\mathscr{L} \llbracket s_{1} \rrbracket i \rho v_{1} \phi \quad\left(\mathscr{L} \llbracket s_{2} \rrbracket\right.\right.$
$\left.\left.\gamma \rho v_{1} \phi w\right), \mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v_{1} \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{2} \phi w\right)\right)^{*}, d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v_{1} \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{2} \phi w\right)\right.$, $\left.\left.\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v_{2} \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{2} \phi w\right)\right)^{* *}\right\}$.
$* \leqslant d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{1} \phi w, \quad \mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{2} \phi w\right) \quad\left(\right.$ by induction $\left.\quad\left(s_{1}: 2\right)\right) \leqslant$ $d\left(\mathscr{X} \llbracket s_{2} \rrbracket \gamma \rho v_{1}, \mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho v_{2}\right) \leqslant d\left(v_{1}, v_{2}\right)$, by induction $\left(s_{2}: 4\right)$.
$* * \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho v_{1}, \mathscr{D}\left[s_{1} \rrbracket \gamma \rho v_{2}\right) \leqslant d\left(v_{1}, v_{2}\right)\right.$, by induction $\left(s_{1}: 4\right)$.
5. $d\left(\mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho_{1} v \phi w, \mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma \rho_{2} v \phi w\right) \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho_{1} v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket\right.\right.$ $\left.\left.\gamma \rho_{1} v \phi w\right), \quad \mathscr{D}\left[s_{1} \rrbracket \gamma \rho_{2} v \phi\left(\mathscr{D} \llbracket s_{2}\right] \gamma \rho_{2} v \varphi w\right)\right) \leqslant \max \left\{d\left(\mathscr{D} \llbracket s_{1}\right] \gamma \rho_{1} v \phi\left(\mathscr{D}\left[s_{2}\right]\right.\right.$ $\left.\left.\gamma \rho_{1} v \phi w\right), \mathscr{D} \llbracket s_{1}\right] \gamma \rho_{1} v \phi\left(\mathscr{D}\left[s_{2} \rrbracket \gamma \rho_{2} v \phi w\right)\right)^{*}, \quad d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho_{1} v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho_{2} v \phi w\right)\right.$, $\left.\left.\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho_{2} v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho_{2} v \phi w\right)\right)^{* *}\right\}$.
$* \leqslant d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho_{1} v \phi w, \quad \mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho_{2} v \phi w\right) \quad\left(\right.$ by induction $\left.\quad\left(s_{1}: 2\right)\right) \leqslant$ $\left.d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma \rho_{1}, \mathscr{D} \llbracket s_{2}\right] \gamma \rho_{2}\right) \leqslant d\left(\rho_{1}, \rho_{2}\right)$, by induction ( $\left.s_{2}: 5\right)$.
${ }^{* *} \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho_{1}, \mathscr{D} \llbracket s_{1} \rrbracket \gamma \rho_{2}\right) \leqslant d\left(\rho_{1}, \rho_{2}\right)$, by induction $\left(s_{1}: 5\right)$.
6. $d\left(\mathscr{Q} \llbracket s_{1} \square s_{2} \rrbracket \gamma_{1} \rho v \phi w, \quad \mathscr{D} \llbracket s_{1} \square s_{2} \rrbracket \gamma_{2} \rho v \phi w\right) \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket\right.\right.$ $\left.\left.\gamma_{1} \rho v \phi w\right), \quad \mathscr{D} \llbracket s_{1} \rrbracket \gamma_{2} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{2} \rho v \phi w\right)\right) \leqslant \max \left\{d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket\right.\right.\right.$ $\left.\left.\gamma_{1} \rho v \phi w\right), \mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{2} \rho v \phi w\right)\right)^{*}, d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \quad \gamma_{2} \rho v \phi w\right)\right.$, $\left.\left.\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{2} \rho v \phi\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{2} \rho v \phi w\right)\right)^{* *}\right\}$.
$* \leqslant d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{1} \rho v \phi w, \quad \mathscr{D} \llbracket s_{2} \rrbracket \gamma_{2} \rho v \phi w\right) \quad\left(\right.$ by induction $\left.\quad\left(s_{1}: 2\right)\right) \leqslant$ $d\left(\mathscr{D} \llbracket s_{2} \rrbracket \gamma_{1}, \mathscr{D} \llbracket s_{2} \rrbracket \gamma_{2}\right) \leqslant d\left(\gamma_{1}, \gamma_{2}\right)$, by induction ( $\left.s_{2}: 6\right)$.
$* * \leqslant d\left(\mathscr{D} \llbracket s_{1} \rrbracket \gamma_{1}, \mathscr{D} \llbracket s_{1} \rrbracket \gamma_{2}\right) \leqslant d\left(\gamma_{1}, \gamma_{2}\right)$, by induction $\left(s_{1}: 6\right)$.
Lemma 4.7. $\forall g: \mathscr{D} \llbracket g \rrbracket: \Gamma \rightarrow{ }^{1 / 2} \mathbb{R}$.
Proof. With induction on the complexity of $g$ we prove that

1. $\forall \gamma: \mathscr{D} \llbracket g \rrbracket \gamma:\left(R \rightarrow^{1}\left(R \rightarrow^{1} R\right) \rightarrow^{1} R \rightarrow^{1} R\right) \rightarrow^{1 / 2} R \rightarrow^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow^{1}$ $R \rightarrow{ }^{1} R$.
2. $\mathscr{D} \llbracket g \rrbracket: \Gamma \rightarrow{ }^{1 / 2} \mathbb{R}$.

The details of the proof are very similar to those of the previous lemma, and therefore omitted.

Theorem 4.8. In the definition of the denotational semantics for $L_{2}$ we have that $\left\langle\Psi_{1}, \ldots, \Psi_{n}\right\rangle$ is a contraction.

Proof. $d\left(\left\langle\Psi_{1}, \ldots, \Psi_{n}\right\rangle\left\langle\pi_{1}, \ldots, \pi_{n}\right\rangle, \quad\left\langle\Psi_{1}, \ldots, \Psi_{n}\right\rangle\left\langle\pi_{n}^{\prime}, \ldots, \pi_{n}^{\prime}\right\rangle\right)=*$ $d\left(\left\langle\mathscr{D} \llbracket g_{1} \rrbracket \gamma, \ldots, \quad \mathscr{D} \llbracket g_{n} \rrbracket \gamma\right\rangle, \quad\left\langle\mathscr{D} \llbracket g_{1} \rrbracket \gamma^{\prime}, \ldots, \quad \mathscr{D} \llbracket g_{n} \rrbracket \gamma^{\prime}\right\rangle\right)=\max \left\{d\left(\mathscr{D} \llbracket g_{1} \rrbracket \gamma\right.\right.$, $\left.\left.\mathscr{D} \llbracket g_{1} \rrbracket \gamma^{\prime}\right), \ldots, d\left(\mathscr{D} \llbracket g_{n} \rrbracket \gamma, \mathscr{D} \llbracket g_{n} \rrbracket \gamma^{\prime}\right)\right\} \leqslant \frac{1}{2} d\left(\gamma, \gamma^{\prime}\right)=\frac{1}{2} \max \left\{d\left(\pi_{1}, \pi_{1}^{\prime}\right), \ldots, d\left(\pi_{n}, \pi_{n}^{\prime}\right)\right\}$ $=\frac{1}{2} d\left(\left\langle\pi_{1}, \ldots, \pi_{n}\right\rangle,\left\langle\pi_{1}^{\prime}, \ldots, \pi_{n}^{\prime}\right\rangle\right)$.
${ }^{*}$ : with $\gamma: \mathscr{X} \rightarrow \mathbb{R}$ such that $\gamma\left(x_{i}\right)=\pi_{i}$.
${ }^{*}$ : with $\gamma^{\prime}: \mathscr{X} \rightarrow \mathbb{R}$ such that $\gamma^{\prime}\left(x_{i}\right)=\pi_{i}^{\prime}$.

Similar to what we did in Section 3, on our way to establish that $\mathscr{C}=. / l$ we use some (auxiliary) denotational semantic functions $\Pi_{D}, \mathscr{R}_{D}, \pi_{D}$ with types

$$
\begin{aligned}
& \mathscr{U}_{D}: \mathscr{U c o n} \rightarrow R \rightarrow^{1}\left(R \rightarrow{ }^{1} R\right) \rightarrow^{1} R \rightarrow^{1} R \\
& \mathscr{R}_{D}: \mathscr{R} \operatorname{con}_{2} \rightarrow R \rightarrow{ }^{1} R \\
& \mathscr{T}_{D}: \mathscr{R} \operatorname{con}_{2} \rightarrow R
\end{aligned}
$$

defined in

## Definition 4.9.

a. $\mathscr{U}_{D} \llbracket \mathrm{nil} \rrbracket=\lambda v \cdot \lambda \phi \cdot \phi$

$$
\mathscr{U}_{D} \llbracket s ; u \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D} \mathscr{U}_{D} \llbracket u \rrbracket
$$

b. $\mathscr{R}_{D} \llbracket E \rrbracket=\lambda \nu . \varepsilon$

$$
\mathscr{R}_{D} \llbracket(u: t) ; r \rrbracket=\mathscr{U}_{D} \llbracket u \rrbracket \mathscr{T}_{D} \llbracket t \rrbracket \mathscr{R}_{D} \llbracket r \rrbracket
$$

c. $\quad \mathscr{T}_{D} \llbracket \Delta \rrbracket=\delta$

$$
\mathscr{T}_{D} \llbracket r: t \rrbracket=\mathscr{R}_{D} \llbracket r \rrbracket \mathscr{T}_{D} \llbracket t \rrbracket .
$$

The following lemma is now easily established:
Lemma 4.10. Choose D fixed.
a. $\quad \mathscr{T}_{D} \llbracket E: t \rrbracket=\varepsilon, \mathscr{T}_{D} \llbracket \Delta \rrbracket=\delta$.
b. If $t_{1} \rightarrow t_{2}$, then $\mathscr{T}_{D} \llbracket t_{1} \rrbracket=\mathscr{T}_{D} \llbracket t_{2} \rrbracket$.

Proof. Clear from the definitions.
In order to prove $\mathscr{O}=\mathscr{M}$, we again use an inductive argument involving the complexity $c_{t}(t)$ of the failure continuations $t$. Due to the more complex structure of these, the argument will turn out to be more involved; it also employs the auxiliary notion of derivable $t$. We first present the definitions of $c_{t}$ and of "derivable," and then state various properties of the latter notion.

Definition 4.11. For $t \in \mathscr{T}_{\operatorname{con}}^{2}$ we define $c_{t}(t) \in \mathbb{N}$ as follows:
a. $\quad c_{t}(\Delta)=1, c_{t}(r: t)=c_{r}(r)+c_{t}(t)$.
b. $\quad c_{r}(E)=1, c_{r}(($ nil $: t) ; r)=1+c_{r}(r)$,

$$
c_{r}\left(((a ; u): t) ; r=c_{r}(((\text { fail } ; u): t) ; r)=1\right.
$$

$$
\begin{aligned}
& c_{r}\left(((a ; u): t) ; r=c_{r}((1) a n ; u),\right. \\
& c_{r}(((x ; u): t) ; r)=1+c_{r}(((g ; u): t) ; r), x \Leftarrow g \text { in } D,
\end{aligned}
$$

$$
\begin{aligned}
& c_{r}(((!; u): t) ; r)=1+c_{r}((u: t) ; r)
\end{aligned}
$$

$$
\begin{aligned}
& \left.\left.c_{r}((1: ; u): t) ; r\right)=c_{r}\left(\left(\left(s_{1} ; s_{2}\right) ; u\right): t\right) ; r\right)=1+c_{r}\left(\left(\left(s_{1} ; u\right): t\right) ; r\right) \\
& \left.\left.c_{r}((1) \cdot(s) \cdot u): t\right) ; r\right)
\end{aligned}
$$

$$
\begin{aligned}
& c_{r}\left(\left(\left(\left(s_{1} ; s_{2}\right) ; u\right): t\right) ; r=\right. \\
& c_{r}\left(\left(\left(\left(s_{1} \square s_{2}\right) ; u\right): t\right) ; r\right)=1+c_{r}\left(\left(\left(s_{1} ; u\right): t\right) ; r\right)+c_{r}\left(\left(\left(s_{2} ; u\right): t\right) ; r\right) .
\end{aligned}
$$

Definition 4.12. a. $\Delta$ is derivable.
b. If $t$ is derivable then $E: t$ is derivable.
c. If
(i) $r: t$ and $r: t^{\prime}$ are derivable,
(ii) $\exists r_{1}, \ldots, r_{k}: t^{\prime}=r_{1}:\left(r_{2}: \cdots\left(r_{k}: t\right) \cdots\right)$ ), $k \geqslant 0 \quad$ (take $t^{\prime}=t \quad$ if $k=0$ ), then $((u: t) ; r): t^{\prime}$ is derivable.

We next state a number of lemmas culminating in Lemma 4.16. This last fact gives the desired property of derivable $t$ (and Lemma 4.15 explains the terminology of "derivable").

Lemma 4.13. a. If $r: t$ is derivable then $t$ is derivable.
b. If $r: t$ is derivable and $r=\left(u_{1}: t_{1}\right) ;\left(\left(u_{2}: t_{2}\right) ;\left(\cdots\left(\left(u_{n}: t_{n}\right) ; r^{\prime}\right) \cdots\right)\right)$, $n \geqslant 1$, then $r^{\prime}: t_{n}$ is derivable.
c. If $r: t$ is derivable and $r$ is as in part $b$, then $\exists r_{1}, \ldots, r_{k}: t=$ $r_{1}:\left(r_{2}:\left(\cdots\left(r_{k}: t_{n}\right) \cdots\right)\right)$.

Proof. a. Induction on the complexity (i.e., length) of $r$.
b. Induction on $n$.
c. Induction on $n$.

Lemma 4.14. If $r: t$ is derivable and $r$ is as in Lemma 4.13 part b , then $r^{\prime}:(r: t)$ is derivable.

Proof. Induction on the complexity (i.e., length) of $r^{\prime}$.

Lemma 4.15. a. $((u: \Delta) ; E): \Delta$ is derivable.
b. If $t_{1}$ is derivable and $t_{1} \rightarrow t_{2}$ then $t_{2}$ is derivable.
c. If $t_{1}$ is derivable and $t_{1} \xrightarrow{a} t_{2}$ then $t_{2}$ is derivable.

Proof. By the various definitions and Lemmas 4.13, 4.14.

Lemma 4.16. If $((u: t) ; r): t^{\prime}$ is derivable then $c_{t}\left(t^{\prime}\right) \geqslant c_{t}(t)$.
Proof. By the definition of "derivable."
In the formulation and proof of the final theorem we restrict ourselves to the class of derivable $t$, say $t \in \mathscr{T} d$ con ${ }_{2}$.

THEOREM 4.17. Let $\Phi_{D}:(\mathscr{T} d c a n \rightarrow \mathbb{R}) \rightarrow\left(\mathscr{T} d c c_{2} \rightarrow \mathbb{R}\right)$ be defined as follows: Let $F \in \mathscr{T} d$ con $_{2} \rightarrow \mathbb{R}$.

$$
\begin{aligned}
\Phi_{D}(F)(t) & =\varepsilon, & & \text { if } t \text { terminates } \\
& =\delta, & & \text { if } t \text { fails } \\
& =a . F\left(t^{\prime}\right), & & \text { if } t \xrightarrow{a} t^{\prime} .
\end{aligned}
$$

Then $\Phi_{D}\left(\mathscr{T}_{D}\right)=\mathscr{T}_{D}$.
Proof. First note that, for each derivable $t$, we have that if $t \rightarrow t^{\prime}$ then $c_{t}(t)>c_{t}\left(t^{\prime}\right)$. This follows from the definitions of $c_{t}$ and of derivable, and from Lemma 4.16. We now show that, for each derivable $t$, $\Phi_{D}\left(\mathscr{T}_{D}\right)(t)=\mathscr{T}_{D}(t)$. If $t$ terminates or fails, the result is clear. Otherwise, use induction on the complexity $c_{t}(t)$, cf. the proof of Lemma 3.12.

Corollary 4.18. For each $\sigma \in \mathscr{P}_{\text {ưg }_{2}}, \mathcal{O} \llbracket \sigma \rrbracket=\mathbb{M} \llbracket \sigma \rrbracket$.
Proof. Cf. the proof of Theorem 3.13.

## 5. Sequential Logic Programming with Backtracking and Relative Cut

Thanks to the preparations in Sections 3 and 4, we can now be quite brief. In $L_{3}$, we replace "!" by "!!," and assume all induced syntactic definitions. We define the transition system $T_{3}$ in

Definition 5.1. $T_{3}$ coincides with $T_{2}$ (with !! replacing! in the (Cut) rule), but for the rule ( Rec ) of $T_{2}$ which is now replaced by

$$
\frac{\left(\left((g ; \text { nil }): t^{\prime}\right) ;((u: t) ; r)\right): t^{\prime} \xrightarrow{a}_{D} \tilde{t}}{(((x ; u): t) ; r): t^{\prime} \xrightarrow{a}_{D} \tilde{t}}, \quad x \Leftarrow g \text { in } D . \quad \quad\left(\operatorname{Rec}^{\prime}\right)
$$

As a result of $\left(\operatorname{Rec}^{\prime}\right)$, if $t_{0} \equiv(((x ; u): t) ; r): t^{\prime} \rightarrow t_{1} \equiv\left(\left((g ;\right.\right.$ nil $\left.): t^{\prime}\right)$; $((u: t) ; r)): t^{\prime}$, with $x \Leftarrow g$ in $D, u$ keeps its dump stack $t$, but the dump stack for $g$ is initialized at the current failure stack $t^{\prime}$. As a consequence, occurrences of !! in $g$ cause (re) activation of $t^{\prime}$ as failure stack rather than of $t$.

From $T_{3}$ the operational semantics definitions for $L_{3}$ and $\mathscr{P}_{2} \log _{3}$ are obtained in the by now usual way.

We proceed with the denotational definitions. We use the same domains as in Section 4. The mappings $\mathscr{D}: L_{3} \rightarrow \Gamma_{2} \rightarrow{ }^{1} R$ (where $\Gamma_{3}=\Gamma_{2}$ ) and $\mathscr{M}: \mathscr{P}_{\mathrm{OOg}_{3}} \rightarrow R$ are given in

Definition 5.2. a. $\mathscr{D} \llbracket s \rrbracket$ is as in Definition 4.5, for $s$ different from !!. Moreover, $\mathscr{D} \llbracket!!\rrbracket \gamma \rho v \phi w=\rho v \phi v$.
b. $\gamma_{D}=\gamma\left\{\pi_{i} / x_{i}\right\}_{i}$, where $\left\langle\pi_{1}, \ldots, \pi_{n}\right\rangle$ is the (unique) fixed point of $\left\langle\Phi_{1}, \ldots, \Phi_{n}\right\rangle$, with $\Phi_{j}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ given by

$$
\begin{aligned}
& \Phi_{0}\left(\left\langle\pi_{1}^{\prime}, \ldots ., \pi_{n}^{\prime}\right\rangle\right) \rho v \phi w \\
&=\mathscr{D} \llbracket g_{j} \rrbracket \gamma\left\{\pi_{i}^{\prime} / x_{i}\right\}_{i}\left(\lambda v^{\prime} \cdot \lambda \phi^{\prime} \cdot \phi^{\prime}\right) w(\rho v \phi) w . \\
& \text { c. } \mathscr{M} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D}(\lambda v \cdot \lambda \phi \cdot \phi) \delta(\lambda v \cdot \varepsilon) \delta .
\end{aligned}
$$

We have
Lemma 5.3. In Definition $5.2,\left\langle\Phi_{1}, \ldots, \Phi_{n}\right\rangle$ is a contraction.
Proof. A slight variation on the proof of Theorem 4.8.
Finally, we are ready for the proof of
Theorem 5.4. For $\sigma \in \mathscr{P}_{\log _{3}}, \mathcal{O} \llbracket \sigma \rrbracket=\mathscr{M} \llbracket \sigma \rrbracket$.
Proof. Almost exactly as that of Corollary 4.18 (and the lemmas and theorem leading up to it). One detail is different: We here have to check whether, if $(((x ; u): t) ; r): t^{\prime}$ is derivable then $\left((g ;\right.$ nil $\left.): t^{\prime}\right) ;((u: t) ; r): t^{\prime}$ is derivable. Now this follows directly from Definition 4.12.

## 6. (And/Or) Parallel Logic Programming: The Linear Time Case

We next turn our attention to the imperative features underlying the general model of logic programming (rather than the PROLOG-like variant discussed so far). Accordingly, we now allow parallel execution, and, moreover, replace the backtracking choice $s_{1} \square s_{2}$ (don't know) by the general nondeterministic choice $s_{1}+s_{2}$ (don't care). We shall find it advantageous to also keep sequential composition in our language. Parallel execution will be taken here in the interleaving sense: The favorite example is $a \| b$, which obtains as meaning the set $\{a b, b a\}$. Thus, we have a computational model which allows, in general, many outcomes of a computation, and sets rather than single elements are yielded as a result of the semantic mappings.

The simultaneous presence of sequences of elementary actions and of (an element modelling) failure in the sets of entities which are the meaning of a statement or program leads to the following well-known phenomenon (we use $v, w \in R$ as before but now also consider subsets $X, Y \subseteq R$ ): First,
if there is a choice between failure or something else (some $X \subseteq R$ ), we want to keep only the something else:

$$
\begin{equation*}
\{\delta\} \cup X=X, \quad \text { for } \quad X \neq \varnothing . \tag{6.1}
\end{equation*}
$$

Second, we want that, for any $v$,

$$
\begin{equation*}
\delta \cdot v=\delta \tag{6.2}
\end{equation*}
$$

(no visible result after failure), but we do not want that $v . \delta=\delta$, for all $v$. That is, we do not want that failure collapses all previous results. The last property explains that it is not adequate to simply model failure by the empty subset of $R$, since we do have $v \cdot \varnothing=\varnothing$, for all $v \in R$. Note that this argument depends on the interpretation of "." as the usual concatenation operator; in a moment, we shall discuss an alternative interpretation for ".". Third, we have the choice (in our semantic model) as to how to model the interplay between failure and sequence formation. In the present section we shall take the sequencing operator in the usual sense of concatenation (".") of sequences of symbols, and treat $\delta$ as a special symbol satisfying (6.1) and (6.2). Accordingly, we then have that

$$
\begin{equation*}
v \cdot X_{1} \cup v \cdot X_{2}=v \cdot\left(X_{1} \cup X_{2}\right) \tag{6.3}
\end{equation*}
$$

with as corollary that $v .\{\delta\} \cup v . X=v . X$, for $X \neq \varnothing$. By the semantic definitions to follow, (6.3) is at the bottom of the equivalence

$$
\begin{equation*}
\left(s ; s_{1}\right)+\left(s ; s_{2}\right)=s ;\left(s_{1}+s_{2}\right) \tag{6.4}
\end{equation*}
$$

In a variety of phrasings stemming from different sources, we say something like

- sequential composition is left-distributive with respect to nondeterministic choice
- we have a "linear time" or trace model for the denotational semantics
- the nondeterminacy is local or internal.

In the next section, we adopt an alternative view, and use a different operator for sequence formation, denoted by ":," which does not satisfy $\left(v: X_{1}\right) \cup\left(v: X_{2}\right)=v:\left(X_{1} \cup X_{2}\right)$. We then obtain a model in which it is not, in general, true that $s:\left(s_{1}+s_{2}\right)$ and $\left(s: s_{1}\right)+\left(s: s_{2}\right)$ have the same meaning. This model, to be described in detail in Section 7, is called "branching time," and the operator ":" is, in the framework of parallel logic programming languages, called (don't care) commit. In Section 8, finally, we shall investigate what happens when we combine the two
sequential operators ";" and ":" into one language. This will give rise to some interesting ensuing problems which can, somewhat metaphorically, be described as having to do with the grain size of atoms in computations. Most of the material in Sections 6, 7 is essentially known. The semantic definitions go back to papers such as de Bakker et al. $(1986,1988)$, and the equivalence proofs are versions of the results in Kok and Rutten (1988) (the syntactic format for recursion adopted in Kok and Rutten (1988) causes some technical complications not encountered below) or de Bakker and Meyer (1988). What may be new is the emphasis on the comparative analysis of ";" versus ":" (without different versions of nondeterminacy being involved). The idea to investigate properties of the commit operator as a semantic operator in a branching time framework is due to Kok (1988). Finally, we comment on the absence of synchronization in the languages $L_{4}$ to $L_{6}$. As already mentioned in Section 1, synchronization, suspension, and the like are important notions in concurrent logic languages. However, we have demonstrated elsewhere (de Bakker and Kok, 1988, 1990) that for a language such as Concurrent Prolog, it is possible to follow the two-stage approach as advocated in the present paper using an intermediate language without explicit synchronization. The idea is, briefly, that synchronization remains implicit in that it is handled through (partially filled in substitutions as) shared variables rather than through explicit communication actions.

After these explanations, we can be rather concise in the subsequent definitions.

Definition 6.1 (Syntax for $L_{4}$ ). a. (Statements). The class of statements $(s \in) L_{4}$ is given by

$$
s::=a|x| \text { fail }\left|s_{1} ; s_{2}\right| s_{1} \| s_{2} \mid s_{1}+s_{2} .
$$

b. (Guarded Statements). The class of guarded statement $(g \in) L_{4}^{Q}$ is given by

$$
g::=a \mid \text { fail }|g ; s| g_{1} \| g_{2} \mid g_{1}+g_{2}
$$

c. $(D \in) \mathscr{D e c t}_{4}$ and $(\sigma \in) \mathscr{P}_{\text {rog}_{4}}$ are as usual.

From now on, we take $R=A^{+} \cup A^{\omega} \cup A^{*} .\{\delta\}$ : We have no more use for $\varepsilon \in R$.

Definition 6.2. $\mathscr{S}=\mathscr{P}_{n c}(R)$ is the set of all nonempty closed subsets of $R$.

The metric framework employed below relies on

Lemma 6.3. Let $\hat{d}$ be the Hausdorff distance on $\mathscr{S}$. Then $(\mathscr{S}, \hat{d})$ is a complete ultrametric space.

Proof. See, e.g., Nivat (1979).
Below, we shall assume as known the operation of prefixing $a \in A$ to $X \in \mathscr{S}$ yielding $a \cdot X \in \mathscr{S}$.

The transition system $T_{4}$ is defined in terms of transitions in $L_{4} \times A \times \mathscr{D e c t}_{4} \times\left(L_{4} \cup\{E\}\right)$, written as

$$
s \xrightarrow{a} p s^{\prime}
$$

or

$$
s \xrightarrow{a}{ }_{D} E .
$$

Definition 6.4 (Transition system $T_{4}$ ). Let $t$ range over $L_{4} \cup\{E\}$.

$$
\begin{align*}
& a \xrightarrow{u}{ }_{D} E \\
& \frac{g \longrightarrow_{D} t}{x \xrightarrow{a}{ }_{D} t}, \quad x \Leftarrow g \text { in } D  \tag{Rec}\\
& \frac{s \xrightarrow[\longrightarrow]{a}_{D} s^{\prime} \mid E}{s ; \bar{s} \xrightarrow{a}{ }_{D} s^{\prime} ; \bar{s} \mid \bar{s}} \\
& s\left\|\bar{s} \vec{\longrightarrow}_{D} s^{\prime}\right\| \bar{s} \mid \bar{s} \\
& \bar{s}\|s \xrightarrow{a} D \bar{s}\| s^{\prime} \mid \bar{s} \\
& \frac{s \xrightarrow[\longrightarrow]{a}{ }_{D} t}{s+\bar{s} \longrightarrow_{D}^{a} t} \\
& \bar{s}+s \xrightarrow{a}{ }_{D} t \\
& \text { (Elem) } \\
& \text { (Seq Comp) } \\
& \text { (Par Comp) } \\
& \text { (Choice) }
\end{align*}
$$

Note that there is no transition for fail.
Preparatory to the definitions of $\mathscr{C}$ and $\mathscr{I}$ for $L_{4}$, we first introduce the operator of reduction, denoted by red, from $\mathscr{S}$ to $\mathscr{S}$. Informally speaking, for each $X \in \mathscr{S}$, $\operatorname{red}(X)$ delivers the result of applying all possible
"simplifications" $\{\delta\} \cup Y=Y$ (for $Y \neq \varnothing$ ) in $X$. In the formal definition of $\operatorname{red}(X)$ we use the auxiliary notation (for any $a \in A, \quad Y \in \mathscr{S}$ ) $Y_{a}=\operatorname{def}\{v \mid a . v \in Y$ and $v \neq \varepsilon\}$. Note that $Y_{a}$ may be empty.

## Definition 6.5.

$$
\begin{aligned}
\operatorname{red}(\{\delta\})= & \{\delta\}, \\
\operatorname{red}(X)= & \{a \mid a \in X\} \cup \bigcup\left\{a \cdot \operatorname{red}\left(X_{u}\right) \mid a \in A\right. \\
& \text { and } \left.X_{a} \neq \varnothing\right\}, \quad \text { if } \quad X \neq\{\delta\} .
\end{aligned}
$$

Well-definedness of red follows as usual.
The operational semantics, collecting successive steps in a way which is an adaption of the one used previously, is given in

Definition 6.6. a. $\mathbb{O}: \mathscr{P}_{\log _{4}} \rightarrow \mathscr{S}$ is given by $\mathscr{U} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{O}_{D} \llbracket s \rrbracket$.
b. $\quad \mathscr{O}_{D}: L_{4} \rightarrow \mathscr{S}$ is given by

$$
\mathcal{O}_{D} \llbracket s \rrbracket=\operatorname{red}\left(\left\{a \mid s \xrightarrow{a}_{D} E\right\} \cup \bigcup\left\{a \cdot \mathcal{O}_{D} \llbracket s^{\prime} \rrbracket \mid s \xrightarrow{a}_{D} s^{\prime}\right\}\right),
$$

if the argument of red is nonempty
$=\{\delta\}, \quad$ otherwise.
Well-definedness of $C_{D}$ is established by the usual contractivity argument.
For the denotational semantics for $L_{4}$, we first have to define the semantic operators ' $\circ$ ', ' + ', ' $\|$ ' (and the auxiliary operator of left merge ' $\mathbb{L}$ ').

Definition 6.7 (The semantic operators $+, \circ,\|$,$\| ). Let X, Y \in \mathscr{S}$.
a. $X+Y=\operatorname{red}(X \cup Y)$, where " $\cup$ " is the set-theoretic union of elements in $\mathscr{S}$.
b. Let the operator $\Phi_{0}:\left(\mathscr{S} \times \mathscr{S} \rightarrow{ }^{1} \mathscr{S}\right) \rightarrow\left(\mathscr{S} \times \mathscr{S} \rightarrow^{1} \mathscr{S}\right)$ be defined as follows: Let $\phi \in \mathscr{S} \times \mathscr{S} \rightarrow{ }^{1} \mathscr{S}$, and let us write $\widetilde{\phi}_{0}$ for $\Phi_{0}(\phi)$. We put

$$
\begin{aligned}
\tilde{\phi}_{0}(\{\delta\})(Y)= & \{\delta\} \\
\tilde{\phi}_{0}(X)(Y)= & \bigcup\left\{a \cdot \phi\left(X_{u}\right)(Y) \mid a \in A \text { and } X_{u} \neq \varnothing\right\} \\
& +\bigcup\{a . Y \mid a \in X\} \quad \text { for } \quad X \neq\{\delta\} .
\end{aligned}
$$

c. Let the operator $\Phi_{\|}:\left(\mathscr{S} \times \mathscr{S} \rightarrow^{1} \mathscr{S}\right) \rightarrow\left(\mathscr{S} \times \mathscr{S}^{\prime} \rightarrow^{1} \mathscr{H}\right)$ be defined as follows: Let $\phi \in \mathscr{S} \times \mathscr{S} \rightarrow{ }^{1} \mathscr{S}$, and let us write $\tilde{\phi}_{\|}$for $\Phi_{\|}(\phi)$. We put

$$
\tilde{\phi}_{\|!}(X)(Y)=\tilde{\phi}_{0}(X)(Y)+\tilde{\phi}_{0}(Y)(X)
$$

d. Let $0=$ fixed point $\left(\Phi_{0}\right), \|=$ fixed point $\left(\Phi_{\|}\right), \mathbb{L}=\Phi_{0}(\|)$.

Lemma 6.8. The above definitions are well-defined. In particular, $\Phi_{1}$ : $\left(\mathscr{S} \times \mathscr{S} \rightarrow{ }^{1} \mathscr{S}\right) \rightarrow^{1 / 2}\left(\mathscr{S} \times \mathscr{S} \rightarrow^{1} \mathscr{S}\right)$, and similarly for $\Phi_{\|}$. Also, the operators $+, \circ,\|$,$\| are ndi.$

Proof. Standard. Apart from minor variations, the required calculations can be found, e.g., in Appendix B of de Bakker and Zucker (1982).

We proceed with the denotational semantics proper. Let $\Gamma_{4}=\mathscr{Y} \rightarrow \mathscr{F}$. The mappings $\mathscr{D}: L_{4} \rightarrow \Gamma_{4} \rightarrow \mathscr{S}$ and $\mathscr{U}: \mathscr{P} \mathscr{O}_{4} \rightarrow \mathscr{S}$ are given in

Definition 6.9. a. $\mathscr{L} \llbracket a \rrbracket \gamma=\{a\}, \mathscr{L} \llbracket x \rrbracket i=\gamma \cdot x, \mathscr{L} \llbracket$ fail $\rrbracket \gamma=\{\delta\}$.
b. $\mathscr{D} \llbracket s_{1}$ op $s_{2} \rrbracket \gamma=\mathscr{D} \llbracket s_{1} \rrbracket \gamma$ op $\mathscr{I} \llbracket s_{2} \rrbracket \gamma$, where op ranges over the syntactic operators $;, \|,+$ and op ranges over the semantic operators ., $\|,+$, respectively.
c. $\mathscr{U} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D}$, where, for $D \equiv\left\langle x_{i} \Leftarrow g_{i}\right\rangle_{i}$, we put (as usual ) $\gamma_{D}=\gamma\left\{X_{i} / x_{i}\right\}_{i}$, and

$$
\left\langle X_{1}, \ldots, X_{n}\right\rangle=\text { fixed point }\left\langle\Psi_{1}, \ldots, \Psi_{n}\right\rangle
$$

with $\Psi_{j}=\lambda Y_{1} \cdot \cdots . \lambda Y_{n} \cdot \mathscr{D} \llbracket g_{j} \rrbracket \gamma\left\{Y_{i} / x_{i}\right\}_{i}$.
We have the usual equivalence theorem
Theorem 6.10. $\quad\left(\llbracket \sigma \rrbracket=\| \llbracket \sigma \rrbracket\right.$, for all $\sigma \in \mathscr{P}$ ơg ${ }_{4}$.
Proof. Let $\Psi_{D}:\left(L_{4} \rightarrow \mathscr{S}\right) \rightarrow\left(L_{4} \rightarrow \mathscr{F}\right)$ be defined as follows. Take any $F \in L_{4} \rightarrow \mathscr{H}$. We put

$$
\Psi_{D}(F)(s)=\operatorname{red}\left(\left\{a \mid s \xrightarrow{a}_{D} E\right\}+\bigcup\left\{a . F\left(s^{\prime}\right) \mid s \xrightarrow{a}_{D} s^{\prime}\right\}\right),
$$

if the argument of red is nonempty

$$
=\{\delta\}, \quad \text { otherwise }
$$

Let $\mathscr{D}_{D}: L_{4} \rightarrow \mathscr{S}$ be defined by $\mathscr{D}_{D} \llbracket S \rrbracket=\mathscr{D} \llbracket S \rrbracket \gamma_{D}$. We shall show that $(*) \Psi_{D}\left(\mathscr{L}_{D}\right)=\mathscr{D}_{D}$, thus establishing that $\mathscr{L}_{D}=\mathscr{C}_{D}$, and, hence, $\mathbb{C}=. \|$, by the usual argument.

Stage 1. First we prove that $\Psi_{D}\left(\mathscr{I}_{D}\right)(g)=\mathscr{I}_{D} \llbracket g \rrbracket$ for each $g \in L_{4}^{2}$, using induction on the complexity of $g$. The cases $g \equiv a$ or $g \equiv$ fail are clear. For the other cases, we first obsrve that it is easily verified (by an inductive
argument on the complexity of $g$ ) that $g$ has no transitions $g \longrightarrow_{D} \cdots$ iff $\mathscr{D}_{D} \llbracket g \rrbracket=\{\delta\}$. (Note that $g$ has no transitions iff $g$ obeys the syntax $g::=$ fail $\left.|g ; s| g_{1} \| g_{2} \mid g_{1}+g_{2}\right)$. We now consider the case that $g$ has indeed transitions. We then have

$$
\begin{aligned}
& g \equiv g_{1} ; s . \\
& \qquad \begin{aligned}
& \Psi_{D}\left(\mathscr{L}_{D}\right)\left(g_{1} ; s\right) \\
&=\left\{a \mid g_{1} ; s \xrightarrow{a} E\right\}+\bigcup\left\{a . \mathscr{D}_{D} \llbracket \bar{s} \rrbracket \mid g_{1} ; s \xrightarrow{a}_{D} \bar{s}\right\} \\
&=\left(\left\{a \mid g_{1} \vec{u}_{D} E\right\}+\bigcup\left\{a . \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket \mid g_{1} \longrightarrow_{D} s^{\prime}\right\}\right) \circ \mathscr{D}_{D} \llbracket s \rrbracket \\
&=\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1}\right) \circ \mathscr{D}_{D} \llbracket s \rrbracket \\
&=\mathscr{D}_{D} \llbracket g_{1} \rrbracket \circ \mathscr{D}_{D} \llbracket s \rrbracket \quad \text { (ind. hyp.) } \\
&=\mathscr{D}_{D} \llbracket g_{1} ; s \rrbracket .
\end{aligned}
\end{aligned}
$$

$$
g \equiv g_{1} \| g_{2}
$$

$g \equiv g_{1}+g_{2}$. Left to the reader.

$$
\begin{aligned}
& \Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1} \| g_{2}\right) \\
& =\left\{a \mid g_{1} \| g_{2} \xrightarrow{a}_{D} E\right\}+\bigcup\left\{a \cdot \mathscr{D}_{D} \llbracket \bar{s} \rrbracket \mid g_{1} \| g_{2} \xrightarrow{u}_{D} s\right\} \\
& =\bigcup\left\{a \cdot \mathscr{D}_{D} \llbracket g_{2} \rrbracket \mid g_{1} \xrightarrow{a}{ }_{D} E\right\} \\
& +\bigcup\left\{a \cdot \mathscr{D}_{D} \llbracket s^{\prime} \| g_{2} \rrbracket \mid g_{1} \xrightarrow{a}_{D} s^{\prime}\right\} \\
& +\bigcup\left\{a . \mathscr{D}_{D} \llbracket g_{1} \rrbracket \mid g_{2}{ }^{a}{ }_{D} E\right\} \\
& +\bigcup\left\{a \cdot \mathscr{D}_{D} \llbracket g_{1} \| s^{\prime \prime} \rrbracket \mid g_{2}{ }^{a}{ }_{D} s^{\prime \prime}\right\} \\
& =\left(\left\{a \mid g_{1} \xrightarrow{a}_{D} E\right\}\right. \\
& \left.+\bigcup\left\{a . \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket \mid g_{1} \xrightarrow{a}_{D} s^{\prime}\right\}\right) \llbracket \mathscr{D}_{D} \llbracket g_{2} \rrbracket \\
& +\left(\left\{a \mid g_{2} \xrightarrow{a}_{D} E\right\}\right.
\end{aligned}
$$

$$
\begin{aligned}
& =\left(\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1}\right) \llbracket \mathscr{D}_{D} \llbracket g_{2} \rrbracket\right)+\left(\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{2}\right) \llbracket \mathscr{D}_{D} \llbracket g_{1} \rrbracket\right) \\
& =\left(\mathscr{D}_{D} \llbracket g_{1} \rrbracket \llbracket \mathscr{D}_{D} \llbracket g_{2} \rrbracket+\left(\mathscr{D}_{D} \llbracket g_{2} \rrbracket \llbracket \mathscr{D}_{D} \llbracket g_{1} \rrbracket\right)\right. \text { (twice the ind. hyp.) } \\
& =\mathscr{D}_{D} \llbracket g_{1} \| g_{2} \rrbracket \text {. }
\end{aligned}
$$

Stage 2. We now prove that $\Psi_{D}\left(\mathscr{D}_{D}\right)(s)=\mathscr{D}_{D} \llbracket s \rrbracket$, for all $s \in L_{4}$, by induction on the complexity of $s$. All cases are as in stage 1, but for the case $s \equiv x$. We only consider the subcase that $\Psi_{D}\left(\mathscr{D}_{D}\right)(x) \neq\{\delta\}$.

We have $\Psi_{D}\left(\mathscr{D}_{D}\right)(x)=\left\{a \mid x \vec{\longrightarrow}_{D} E\right\}+\cup\left\{a \cdot \mathscr{D}_{D} \llbracket \bar{s} \rrbracket \mid x \xrightarrow{a}_{D} \tilde{s}\right\}=$ $\left\{a \mid g \longrightarrow_{D} E\right\}+U\left\{a \cdot \mathscr{D}_{D} \llbracket \bar{s} \rrbracket \mid g \xrightarrow{a}_{D} \bar{s}\right\}=\Psi_{D}\left(\mathscr{D}_{D}\right)(g)$ (with $x \Leftarrow g$ in $\left.D\right)=$ $\mathscr{D}_{D} \llbracket g \rrbracket($ stage 1$)=\mathscr{D}_{D} \llbracket x \rrbracket\left(\right.$ def. $\left.\mathscr{D}_{D}\right)$.

## 7. (And/Or) Parallel Logic Programming with Commit: The Branching Time Case

In the next language studied ( $L_{5}$ ) we replace the (noncommitting) sequential operator ";" by the commit ":". We recall that the essential difference between $L_{4}$ and $L_{5}$ consists in the fact that, in $L_{4}$, we have the equivalence $(*)\left(s ; s_{1}\right)+\left(s ; s_{2}\right)=s ;\left(s_{1}+s_{2}\right)$. In particular, we have

$$
(a ; \text { fail })+(a ; b)=a ;(\text { fail }+b)=a ; b
$$

On the other hand, in $L_{5}$ we do not have, in general, that (*) holds. In particular, we have that

$$
(a: \text { fail })+(a: b) \neq a:(\text { fail }+b) \quad(=a: b)
$$

Our task is, therefore, to develop an underlying mathematical structure which makes sufficient distinctions not to identify (the meaning of) the two $L_{5}$-statements $(a:$ fail $)+(a: b)$ and $a:($ fail $+b)$. For this purpose, we use the (metric) process theory as first described in de Bakker and Zucker (1982) (and further elaborated in America and Rutten (1989)) and sketched briefly in Section 2.3. We introduce the domain $(p, q \in) P$ of processes as solution to the equation (isometry, to be precise)

$$
\begin{equation*}
P \cong \mathscr{P}_{\text {compact }}(A \cup(A \times P)) . \tag{7.1}
\end{equation*}
$$

Elements in $P$ are, for example, $p_{1}=\{a\}, p_{2}=\{\langle a,\{b\}\rangle\}, p_{3}=$ $\left\{\langle a,\{b\}\rangle, \quad\langle a,\{c\}\rangle, \quad p_{4}=\{\langle a,\{b, c\}\rangle\}, \quad p_{5}=\{\langle a, \varnothing\rangle\}, \quad p_{6}=\right.$ $\{\langle a,\{\langle a,\{\langle a, \ldots\rangle\}\rangle\}\rangle\}, \quad p_{7}=\{a,\langle a,\{a\}\rangle,\langle a,\{\langle a,\{a\}\rangle\}\rangle, \ldots\}$. We observe, for example, that $p_{3}$ and $p_{4}$ are different processes. In a picture, we can represent them as

respectively. Process $p_{6}$ can be obtained as $\lim _{n} p_{n}^{\prime}$, with $p_{0}^{\prime}$ arbitrary,
$p_{n+1}^{\prime}=\left\{\left\langle a, p_{n}^{\prime}\right\rangle\right\}$. Process $p_{7}$ equals $\lim _{n} p_{n}^{\prime \prime}$, with $p_{0}^{\prime \prime}$ arbitrary, $p_{n+1}^{\prime \prime}=$ $\{a\} \cup\left(p_{n}^{\prime \prime}:\{a\}\right)$ (see below for the operators $\cup$, : on processes). We emphasize that the empty set $\varnothing$ is a process (in (7.1) we use $\mathscr{P}_{\text {compact }}(\cdot)$ rather than $\left.\mathscr{P}_{\text {nonemptycompact }}(\cdot)\right)$. The empty process has indeed the appropriate properties to model failure (note that $\delta$ has disappeared from the scene in Section 7): We shall subsequently define the semantic operators " $\cup$ " and ":" such that $\varnothing \cup p=p \cup \varnothing=p, \varnothing: p=\varnothing$, but $p: \varnothing \neq \varnothing$ (in general).

After this introduction, we first give the syntax for $L_{5}$ :
Definition 7.1. (a) (Statements). The class of statements $(s \in) L_{5}$ is defined by

$$
s::=a|x| \text { fail }\left|s_{1}: s_{2}\right| s_{1} \| s_{2} \mid s_{1}+s_{2}
$$

b. The classes $L_{5}^{g}, \mathscr{D e c t}_{5}$, and $\mathscr{P}_{\text {rog }_{5}}$ are defined as usual.

Remark. The reader who would like to see constructs : $s$ or $s$ : (commit with empty left- or right-operand) will have to take the trouble to incorporate a silent atomic action $\tau$ in $A$, and read $\tau: s$ for $: s, s: \tau$ for $s:$.

For the definition of the operational semantics for $L_{5}$, we introduce the transition system $T_{5}$. Fortunately, only one minor variation in the system $T_{4}$ is required. We replace the rule for (Seq Comp) by

$$
\begin{equation*}
\frac{s \xrightarrow[\longrightarrow]{a}_{D} s^{\prime} \mid E}{s: \bar{s} \longrightarrow_{D}^{a} s^{\prime}: \bar{s} \mid \bar{s}} \tag{Commit}
\end{equation*}
$$

and keep all other rules of $T_{4}$ unchanged (including the rules for ( $\operatorname{Par}$ Comp)).

The essential new element in the operational semantics for $L_{5}$ is the way in which the individual transitions, based on $T_{5}$, are assembled together to form a process $p \in P$ (rather than a set $X \in \mathscr{S}$ as was the case for $L_{4}$ ). This is described in

Definition 7.2. a. $\mathbb{O}: \mathscr{P}_{\operatorname{rog}_{5} \rightarrow P}$ is given by $\mathcal{O} \llbracket\langle D \mid s\rangle \rrbracket=\mathcal{O}_{D} \llbracket s \rrbracket$.
b. $\mathscr{O}_{D} \llbracket s \rrbracket=\left\{a \mid s \xrightarrow{a}{ }_{D} E\right\} \cup\left\{\left\langle a, \mathcal{O}_{D} \llbracket s^{\prime} \rrbracket\right\rangle \mid s \xrightarrow{a}{ }_{D} s^{\prime}\right\}$.

Comparing this definition with Definition 6.5, we see the essential difference in the clause $\cdots\left\{\left\langle a, \mathscr{O}_{D} \llbracket s^{\prime} \rrbracket\right\rangle \mid \cdots\right\}$ which replaces $\cdots \cup\left\{a . \mathcal{O}_{D} \llbracket s^{\prime} \rrbracket \mid \cdots\right\}$. In addition, there is no special treatment for the case that the right-hand side in clause $b$ is empty, since the empty process $\varnothing$ is a valid outcome requiring no amendments (in the form of some $\{\delta\}$ ).

Examples. 1. $\mathscr{O}_{D} \llbracket(a: b)+(a: c) \rrbracket=\{\langle a,\{b\}\rangle,\langle a,\{c\}\rangle\}$.
2. $\mathcal{O}_{D} \llbracket a:(b+c) \rrbracket=\{\langle a,\{b, c\}\rangle\}$.
3. $\mathcal{O}_{D} \llbracket a+$ fail $\rrbracket=\{a\}$.
4. $\mathscr{U}\left[\langle x \Leftarrow(a: x)+b \mid x\rangle \rrbracket=p\right.$, where $p=\lim _{n} p_{n}, \quad p_{0} \quad$ arbitrary, $p_{n+1}=\left\{\left\langle a, p_{n}\right\rangle, b\right\}$.

For the denotational semantics, we define the operators,$+ \therefore$ (and $\mathbb{)}$ ) on processes $p, q$ in $P$. We follow the pattern of definition as in Definition 6.7. Note, however, that in the present context there is no need for the reduction operation.

Definition 7.3. Let $p, q \in P$.
a. $\quad p \cup q$ is the set theoretic union of (the sets) $p, q$
b. Let the operator $\Psi_{:}:\left(P \times P \rightarrow^{1} P\right) \rightarrow\left(P \times P \rightarrow^{1} P\right)$ be defined as follows. Take $\phi \in P \times P \rightarrow{ }^{1} P$;

$$
\Psi_{:}(\phi)(p)(q)=\{\langle a, q\rangle \mid a \in p\} \cup\left\{\left\langle a, \phi\left(p^{\prime}\right)(q)\right\rangle \mid\left\langle a, p^{\prime}\right\rangle \in p_{i} .\right.
$$

c. Let the operator $\Psi_{\|}:\left(P \times P \rightarrow^{1} P\right) \rightarrow\left(P \times P \rightarrow^{1} P\right)$ be defined as follows. Take $\phi \in P \times P \rightarrow{ }^{1} P$;

$$
\Psi_{\|}(\phi)(p)(q)=\Psi_{:}(\phi)(p)(q) \cup \Psi_{:}(\phi)(q)(p) .
$$

d. Let $:=$ fixed point $\left(\Psi_{:}\right), \|=$fixed point $\left(\Psi_{\|}\right), \mathbb{L}=\Psi_{:}(\|)$.

As before, $\cup,:, \|, \mathbb{L}$ are well-defined and $n d i$ (a detailed proof needs an appeal to the compactness of the $p, q$ ).

Let $\Gamma_{5}=\mathscr{X} \rightarrow P$. The mappings $\mathscr{P}: L_{5} \rightarrow \Gamma_{5} \rightarrow P$ and $\|: \mathscr{P}_{Y_{0} \mathscr{F}_{5} \rightarrow P \text { are }}$ given in

Definition 7.4. a. $\mathscr{Z} \llbracket a \rrbracket i=\{a\}, \mathscr{Q} \llbracket x \rrbracket i=\gamma, \mathscr{Z} \llbracket$ fail $\rrbracket\}=\varnothing$.
b. $\mathscr{D} \llbracket s_{1}$ op $s_{2} \rrbracket \gamma=\mathscr{L} \llbracket s_{1} \rrbracket \gamma$ op $\mathscr{I} \llbracket s_{2} \rrbracket \gamma$, where op ranges over the syntactic operators,$+:, \|$, and op over the semantic operators $\cup,:, \|$, respectively.

$$
\text { c. } \quad \mathscr{M} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{I} \llbracket s \rrbracket \gamma_{D} \text {, with } \gamma_{D} \text { as usual. }
$$

The equivalence of $\mathcal{O}$ and $\mathscr{I}$ for $\mathscr{P}_{20} \mathscr{q}_{5}$ is established in almost the same way as was done for $\mathscr{P r o g}_{4}$. In fact, the only difference is that in the present case the proof is slightly simpler, since the complications having to do with the reduction operator have disappeared. Thus, we have

Theorem 7.5. For each $\sigma \in \mathscr{P}_{\text {Moq }_{5},}, \mathbb{} \llbracket \sigma \rrbracket=. \| \llbracket \sigma \rrbracket$.
By way of conclusion of this section we observe that the way our definitions are organized have as remarkable benefit that the definitions of $\mathbb{C}$ and
$\mathscr{I}$, and the proof of their equivalence, are almost identical for $\mathscr{P O}_{10 g_{4}}$ and $\mathscr{P}_{10} \mathscr{y}_{5}$, notwithstanding the essential difference in the underlying mathematical structure: the objects in $\mathscr{S}$ are very much simpler than the objects in $P$.

## 8. (And/Or) Parallel Logic Programming with Commit: Increasing the Grain Size

The last language, $L_{6}$, of our list of abstractions of logic programming languages embodies a version of (and/or) parallel logic programming which combines both the (noncommitting) sequential composition (;) and the commit (:) operator. This language was designed as a step on the way towards the semantic modelling of logic languages such as Concurrent Prolog (CP from Shapiro (1983)). The emphasis is here on CP's commit operator; see de Bakker and $\operatorname{Kok}(1988,1990)$ for a discussion of its notion of read-only variables. We do not want to go into details here (once more referring to de Bakker and $\operatorname{Kok}(1988,1990)$. Rather, we give a brief hint as to how CP's constituent concepts appear in $L_{6}$. Take a CP program with clauses

$$
\text { head } \leftarrow \text { guard } \mid \text { body. }
$$

Here head is some (logical) atom, guard and body are conjunctions of (logical) atoms, and | is CP's commit. Such a clause would induce, in a corresponding $L_{6}$ program, a declaration of the form

$$
\begin{aligned}
x \Leftarrow & \text { (unification step; parallel execution of atoms in guard): } \\
& \text { (parallel execution of atoms in body). }
\end{aligned}
$$

From this declaration (cf. also the appendix), it should at least be clear that the combined presence in $L_{6}$ of ";" and ":" is necessary to model normal sequencing together with committing behaviour). (In de Bakker and Kok $(1988,1990)$ another operator is introduced which turns some statement $s$ into an atomic-noninterruptible-version, denoted by [s].)

Why the terminology "increase in grain size?" Consider, by way of example, a statement such as $s_{1} \equiv a:(b+c)$ which we compare with $s_{2} \equiv\left(a_{1} ; a_{2}\right):\left(\left(b_{1} ; b_{2}\right)+\left(c_{1} ; c_{2}\right)\right)$. We shall design our semantic model such that the meanings of $s_{1}$ and $s_{2}$ are (pictorially) represented by


Thus, the atoms or grains $a, b, c$ are enlarged to $a_{1} a_{2}, b_{1} b_{2}, c_{1} c_{2}$. In the precise mathematical notation, we obtain the processes $\{\langle a,\{b, c\}\rangle\}$ and $\left\{\left\langle a_{1} a_{2},\left\{\left\langle b_{1} b_{2}, c_{1} c_{2}\right\}\right\rangle\right\}\right.$. The presence of entities of the latter form necessitates an extension of the process domain as introduced in Section 7. Instead of $P$ satisfying $P \cong \mathscr{P}_{\text {compact }}(A \cup(A \times P))$ we now work with $Q$ satisfying $Q \cong \mathscr{P}_{\text {nonempty compact }}\left(R \cup\left(A^{+} \times Q\right)\right)$. Details follow. Furthermore, the combined presence of ";" and ":" requires a refinement of the transition system $T_{6}$ which now involves two types of transitions ${ }_{\longrightarrow}^{a}$ and $\longrightarrow_{2}$, corresponding to steps of a noncommitting $(a, \cdots)$ versus steps of a committing $(\langle a, \cdots\rangle)$ kind.

After these introductory remarks, we are now ready for the precise definitions.

We start with the syntax.

Definition 8.1. a. (Statements). The class of statements $(s \in) L_{6}$ is defined by

$$
s::=a|x| \text { fail }\left|s_{1} ; s_{2}\right| s_{1}: s_{2}\left|s_{1} \| s_{2}\right| s_{1}+s_{2} .
$$

b. The syntactic classes $L_{6}^{2}, \mathscr{F}_{6} t_{6}$, and $\mathscr{P}_{2_{6} \mathscr{g}_{6}}$ are obtained from $L_{6}$ as usual.

The operational semantics for $L_{6}$ is defined in terms of a transition system $T_{6}$ and associated definition of $\mathscr{C}$ which provides a synthesis of the ideas for $T_{4}$ and $T_{5}$ (and their associated definitions of $\mathbb{O}$ ).

We first discuss the process domain $(p, q \in) Q$ which we use as semantic universe. $Q$ may be seen as a domain incorporating notions both from the linear time model $\mathscr{S}=\mathscr{P}_{\mathrm{nc}}(R)$ (with special role of $\delta ; R$ as in Section 6) and the branching time model $P$. We define $Q$ as solution to the isometry

$$
\begin{equation*}
Q \cong \mathscr{P}_{\text {nonempty compact }}\left(R \cup\left(A^{+} \times Q\right)\right) . \tag{8.1}
\end{equation*}
$$

In a moment, we shall describe formally how a domain $Q$ satisfying (8.1) can be obtained. Informally, we add the following comments. First, note that we do not include $\varnothing$ as a valid element in $Q$. This is motivated by the reappearance of $\delta \in R$ which, as before, plays the role of modelling failure. Second, we consider a few examples of processes $q$ in $Q: q_{1}=\{\langle a b,\{c\}\rangle\}$, $q_{2}=\left\{a^{\omega \prime}\right\}, \quad q_{3}=\{\langle a b,\{c d, e\}\rangle\}, \quad q_{4}=\left\{a \delta, b^{\omega \prime}\right\}=\lim _{n} q_{n}^{\prime}$, where $q_{0}^{\prime}$ is arbitrary, $q_{n+1}^{\prime}=\left\{a \delta,\left\langle b^{n},\{c\}\right\rangle\right\}$. Third, we note that entities $\{\langle v, q\rangle\}$ are processes for $v \in A^{+}$, but not for $v \in A^{(v)}$ or $v \in A^{*}$. $\{\delta\}$. Intuitively, it makes no sense to "perform" $q$ after some $v$ which is infinite or ends in $\delta$. Altogether, we observe a certain interplay between linear time objects intermingled with branching structure. The definitions below will be organized
such that ";" influences the linear time aspects. Thus, the semantic operator "。" modelling ";" will yield, for example, $\{a b\} \circ\{\langle c d, q\rangle\}=$ $\{\langle a b c d, q\rangle\}$. On the other hand, the commit operator will impose branching structure. E.g., $\{a b\}:\{c, d\}=\{\langle a b,\{c, d\}\rangle\}$.

The way in which we solve (8.1) does not completely follow the usual pattern of solving domain equations as described in de Bakker and Zucker (1982) or America and Rutten (1989). Rather, we apply a somewhat more ad hoc technique which is a uniform variant of the definitions in Kok (1988). We construct a sequence of complete ultrametric spaces $\left(Q_{n}, d_{n}\right)_{n}$, defined by

$$
\left(Q_{0}, d_{0}\right)=\left(\mathscr{P}_{\text {nonempty compact }}(R), \hat{d}\right)
$$

with $(\mathscr{S}=) \mathscr{P}_{\text {nonempty compact }}(R)$ and $\hat{d}$ the usual metric on $\mathscr{S}$, and

$$
Q_{n+1}=\mathscr{P}_{\text {nonempty compact }}\left(R \cup\left(A^{+} \times Q_{n}\right)\right)
$$

where $d_{n+1}$ is defined as follows: The distance $d_{n+1}$ is the Hausdorff metric (on sets in $Q_{n+1}$ ) derived from the point metric $\tilde{d}_{n+1}$ (on elements in $\left.R \cup\left(A^{+} \times Q_{n}\right)\right)$ defined in

$$
\begin{aligned}
\tilde{d}_{n+1}(v, w) & =d(v, w), & & \text { for } v, w \in R \\
\tilde{d}_{n+1}(v,\langle w, q\rangle) & =d(v, w) & & \text { if } v \neq w \\
& =2^{-n}, & & \text { if } v=w \text { and length }(v)=n
\end{aligned}
$$

$$
\tilde{d}_{n+1}(\langle v, p\rangle, w) \text { similar }
$$

$$
\begin{aligned}
\tilde{d}_{n+1}(\langle v, p\rangle,\langle w, q\rangle) & =d(v, w) & & \text { if } v \neq w \\
& =2^{-n} \cdot d_{n}(p, q), & & \text { if } \quad v=w \text { and length }(v)=n
\end{aligned}
$$

Observe that $Q_{n} \subseteq Q_{n+1}, n=0,1, \ldots$ Now let $\left(Q_{(\omega}, d_{( }\right)=\left(U_{n} Q_{n}, U_{n} d_{n}\right)$, where, for any $p, q \in Q_{\omega}, d_{\omega}(p, q)=d_{m}(p, q)$, with $m=\min \left\{k \mid p, q \in Q_{k}\right\}$. Next, we define $(Q, d)$ as the completion of $\left(Q_{\omega}, d_{\omega}\right)$. By techniques as in de Bakker and Zucker (1982), it can be shown that $(Q, d)$ satisfies the isometry (8.1).

Remark. By way of example, note that, by the above definitions, we have that $\lim _{n}\left\{a \delta,\left\langle b^{n},\{c\}\right\rangle\right\}=\left\{a \delta, b^{(\omega)}\right\}$.

Notation. For $q \in Q$, we shall use $y$ to range over (the set) $q$. Thus, $y$ is an element of $R$ or of $A^{+} \times Q$.

Below, we shall need various semantic operators involving $q \in Q$. The first of these is prefixing a finite nonempty word $v$ to some $q$ :

Definition 8.2. Let $v \in A^{+}, q \in Q$. We put

$$
v \cdot q=\{v . y \mid y \in q\}
$$

where $v . w$ is as usual for $w \in R$, and $v \cdot\left\langle w, q^{\prime}\right\rangle=\left\langle v \cdot w, q^{\prime}\right\rangle$.
We are now sufficiently prepared for the definition of $T_{6}$ and associated O. In the present section, we shall use transitions of three forms,

$$
s \xrightarrow{a}_{D} E, \quad s \xrightarrow{a}_{1, D} s^{\prime}, \quad s \xrightarrow{a}_{2, D} s^{\prime}
$$

(From now on, we drop the subscript $D$ for easier readability.) Transitions $s \xrightarrow{a} s^{\prime}$ are intended to model noncommitting sequential steps - which in the associated definition of $\mathscr{C}$ will reappear as $a . C \llbracket \llbracket s^{\prime} \rrbracket$. On the other hand, transitions $s \xrightarrow{a}{ }_{2} s^{\prime}$ model commit steps which we find back in the definition of $\mathcal{O}$ as $\left\langle a, \mathcal{O} \llbracket s^{\prime} \rrbracket\right\rangle$. Thus, we see the combined appearance of features from Sections 6 and 7. Moreover, the semantic definitions will be organized such that, on the one hand, $\left(v \cdot p_{1}\right) \Perp p_{2}=v \cdot\left(p_{1} \Perp p_{2}\right)$, and, on the other hand, $\left\{\left\langle v, p_{1}\right\rangle\right\} \mathbb{L} p_{2}=\left\{\left\langle v, p_{1} \| p_{2}\right\rangle\right\}$. (More about this after the definition of $T_{6}$.) In order to have the operational semantics respect these identities, the transitions for parallel composition are phrased in terms of "Ц." rather than of "\|" (as before). As last introductory remark we announce that we shall devote the next section to the analysis of a related system where the transitions $s \xrightarrow{a} E, s \xrightarrow{a} s^{\prime}, s \xrightarrow{a} s^{\prime}$ are replaced by transitions with a larger grain size: instead of $a \in A$ we shall allow arbitrary $v \in A^{+}$as "atomic" steps, and we design $T_{7}$ in terms of $s \xrightarrow{t} E, s \xrightarrow{t} s^{\prime}, s \xrightarrow{t} s_{2} s^{\prime}$. To avoid confusion, we emphasize that in the present section we have already increased the grain size of the processes, working with processes such as $\{\langle a b,\{\langle c d,\{e, f\}\rangle\}\rangle\}$ instead of (only) with processes such as $\{\langle a,\{\langle b,\{\langle c,\{e, f\}\rangle\}\rangle\}\rangle\}$.

We present the system $T_{6}$ for $L_{6}$. We also use the notation $s a_{i} s^{\prime}$ as shorthand for any of the three possibilities $s \xrightarrow{a} E, s \xrightarrow{u} s^{\prime}, s \xrightarrow{u}{ }_{2} s^{\prime}$.

Definition 8.3 (Transition system $T_{6}$ ).

$$
\begin{align*}
& a \xrightarrow{a} E  \tag{Elem}\\
& \frac{g \xrightarrow{a}{ }_{i} s}{x \xrightarrow{a} s}, \quad x \Leftarrow g \text { in } D  \tag{Rec}\\
& \frac{s \xrightarrow{a}_{i} s^{\prime}}{s+\bar{s} \xrightarrow[\longrightarrow]{a}_{i} s^{\prime}}
\end{align*}
$$

(Choice)

$$
\begin{aligned}
& \frac{s \xrightarrow{a} i s^{\prime}}{\bar{s}+s \xrightarrow{a} s^{\prime}} \\
& \frac{s_{1} \Perp s_{2} \xrightarrow{a} s^{\prime}}{s_{1} \| s_{2} \xrightarrow{a} s_{i}^{\prime}} \\
& s_{2} \| s_{1} \xrightarrow{a}{ }_{i} s^{\prime} \\
& \frac{s \xrightarrow{a} E}{s ; \bar{s} \xrightarrow{a}{ }_{1} \bar{s}} \\
& \frac{s \xrightarrow{u} E}{s: \bar{s} \xrightarrow[\longrightarrow]{a} \bar{s}} \\
& s \notin s_{2} \xrightarrow{u}{ }_{2} \bar{s}
\end{aligned}
$$

$$
\begin{aligned}
& s: \bar{s} \xrightarrow{a} s^{\prime}: \bar{s} \quad s: \bar{s} \xrightarrow{u}{ }_{2} s^{\prime}: \bar{s} \\
& s \llbracket \bar{s} \xrightarrow{a}{ }_{1} s^{\prime} \Perp \bar{s} \quad s \llbracket \bar{s} \xrightarrow{a}{ }_{2} s^{\prime} \| \bar{s} \quad \text { (Left Merge ) }
\end{aligned}
$$

The axiom and first two rules of $T_{6}$ are clear. The rule for (Par Comp) states that a step from $s_{1} \| s_{2}$ is either a step from $s_{1}$ (case $s_{1} \| s_{2}$ ) or from $s_{2}$ (case $s_{2} \Perp s_{1}$ ). The next two rules introduce the $\rightarrow_{1}$ and $\rightarrow_{2}$ transitions. In the final group of rules, the type of transition $\left(\rightarrow_{1}\right.$ or $\left.\rightarrow_{2}\right)$ is always inherited. We draw attention in particular to the rules for left merge. After an $\rightarrow_{1}$ step from $s \llbracket \bar{s}$ is performed, the step after that has again to be from the (new) left operand in $s^{\prime} \llbracket \bar{s}$. On the other hand, after an ${ }_{\longrightarrow}^{a}$ step is taken, next a step from both operands (in $s^{\prime} \| \bar{s}$ ) is possible.

Before defining $\mathcal{O}$ and $\mathscr{D}$ for $L_{6}$, due to the reappearance of $\delta$, we again have to reduce processes by applying, wherever possible, simplifications $\{\delta\} \cup p=p$ (note that $p$ is now nonempty by the definition of $Q$ ). Reduction is defined in

Definition 8.4. a. For $p \in Q, a \in A$ we put

$$
p_{a}=\{y \mid a . y \in p\} .
$$

b. We define the mapping red: $Q \rightarrow Q$ by $\operatorname{red}(\{\delta\})=\{\delta\}$. For $p \neq\{\delta\}$, we put

$$
\begin{aligned}
\operatorname{red}(p)= & \{a \mid a \in p\} \cup \bigcup\left\{a \cdot \operatorname{red}\left(p_{a}\right) \mid p_{a} \neq \varnothing\right\} \\
& \cup\left\{\left\langle a, \operatorname{red}\left(p^{\prime}\right)\right\rangle \mid\left\langle a, p^{\prime}\right\rangle \in p\right\} .
\end{aligned}
$$

Remark. Note that, in clause $a, p_{a}$ may be the empty set and that, since $y$ ranges over $R \cup\left(A^{+} \times Q\right), y$ cannot be $\varepsilon$ in the definition of $p_{a}$.

Examples. $\operatorname{red}(\{\delta, a\})=\{a\}, \operatorname{red}(\{a \delta, a b, c\})=\{a b, c\}, \operatorname{red}(\{a \delta,\langle a b$, $\{c\}\rangle\})=a \cdot \operatorname{red}(\{\delta,\langle b,\{c\}\rangle\})=\{\langle a b,\{c\}\rangle\}$.

We can now give

b. $\mathscr{O}_{D} \llbracket s \rrbracket=\operatorname{red}\left(\{a \mid s \xrightarrow{u} E\} \cup \cup\left\{a . \mathbb{O}_{D} \llbracket s^{\prime} \rrbracket \mid s \xrightarrow{u} s^{\prime}\right\}\right.$

$$
\left.\cup\left\{\left\langle a, \mathcal{O}_{D} \llbracket s^{\prime} \rrbracket\right\rangle \mid s \xrightarrow{a}_{2} s^{\prime}\right\}\right)
$$

if the argument of red is nonempty

$$
=\{\delta\}, \quad \text { otherwise } .
$$

We see the already discussed mixed character of the right-hand side delivering both noncommitting and committing outcomes ( $a, \cdots$ and $\langle a, \cdots\rangle$ ).

Example.

$$
\begin{aligned}
\mathcal{O}_{D} \llbracket(a ; b)+(a ; \text { fail }) \rrbracket & =\operatorname{red}(\{a b, a \cdot \delta\})=\{a b\} \\
\mathcal{O}_{D} \llbracket(a: b)+(a: \text { fail }) \rrbracket & =\operatorname{red}(\{\langle a,\{b\}\rangle,\langle a,\{\delta\}\rangle\}) \\
& =\{\langle a,\{b\}\rangle,\langle a,\{\delta\}\rangle\} .
\end{aligned}
$$

$\mathcal{O}_{D}$ is well-defined by the familiar contractivity argument. It may be enlightening to observe that the presence of an empty process $\varnothing$ in our domain would invalidate this argument. Allowing $p$ or $q$ to be empty we no longer have that $d(a . p, a . q) \leqslant \frac{1}{2} d(p, q)$, a property which does hold for nonempty $p$ and $q$. Note that in both scenarios (with or without empty processes), we have that $d(\langle a, p\rangle,\langle a, q\rangle)=\frac{1}{2} d(p, q)$.

We proceed with the denotational definitions. The definition of the various semantic operators is now somewhat more involved. The operators $+, \circ,:, \|, \mathbb{L}$ are defined in

Definition 8.6. Let $p, q \in Q$.
a. $p+q=\operatorname{red}(p \cup q)$, where " $\cup$ " is the set-theoretic union of (the sets) $p, q$.
b. The higher order mappings $\Phi, \Phi_{:}, \Phi_{\|}$all from $\left(Q \times Q \rightarrow^{1} Q\right)$ to $\left(Q \times Q \rightarrow{ }^{1} Q\right)$, are defined as follows. Let $\phi \in Q \times Q \rightarrow{ }^{1} Q$;

$$
\begin{aligned}
\Phi_{\circ}(\phi)(p)(q)= & \left\{v . q \mid v \in p \cap A^{+}\right\}+\left\{v \mid v \in p \cap\left(A^{\omega} \cup A^{*} .\{\delta\}\right)\right\} \\
& +\left\{\left\langle v, \phi\left(p^{\prime}\right)(q)\right\rangle \mid\left\langle v, p^{\prime}\right\rangle \in p\right\} \\
\Phi_{:}(\phi)(p)(q)= & \left\{\langle v, q\rangle \mid v \in p \cap A^{+}\right\}+\left\{v \mid v \in p \cap\left(A^{\omega} \cup A^{*} .\{\delta\}\right)\right\} \\
& +\left\{\left\langle v, \phi\left(p^{\prime}\right)(q)\right\rangle \mid\left\langle v, p^{\prime}\right\rangle \in p\right\} \\
\Phi_{\| \mid}(\phi)(p)(q)= & \Phi:(\phi)(p)(q)+\Phi_{:}(\phi)(q)(p) .
\end{aligned}
$$

c. We put $\circ=$ fixed point $(\Phi),:=$ fixed point $\left(\Phi_{:}\right), \|=$fixed point $\left(\Phi_{\|}\right), \mathbb{L}=\Phi:(\|)$.

The definitions of $\mathscr{D}$ and $\mathscr{M}$ are now standard. Let $\Gamma_{6}=\mathscr{X} \rightarrow Q$. We define $\mathscr{D}: L_{6} \rightarrow \Gamma_{6} \rightarrow Q$ and $\mathscr{M}: \mathscr{P}_{\operatorname{rog}_{6}} \rightarrow Q$ in

Definition 8.7. a. $\mathscr{D} \llbracket a \rrbracket \gamma=\{a\}, \mathscr{D} \llbracket x \rrbracket \gamma=\gamma x, \mathscr{D} \llbracket$ fail $\rrbracket \gamma=\{\delta\}, \mathscr{D} \llbracket s_{1} \mathbf{o p}$ $s_{2} \rrbracket \gamma=\mathscr{D} \llbracket s_{1} \rrbracket \gamma$ op $\mathscr{D} \llbracket s_{2} \rrbracket \gamma$, with op ranging over $;,:, \|,+, o p$ ranging over $\circ,:, \|,+$, respectively.
b. $\mathscr{M} \llbracket\langle D \mid s\rangle \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D}$, with $\gamma_{D}$ as usual.

We conclude this section with the proof of
Theorem 8.8. For $\mathcal{O}_{D}, \mathscr{D}, \gamma_{D}$ as before, and $s \in L_{6}$ :

$$
\mathcal{O}_{D} \llbracket s \rrbracket=\mathscr{D} \llbracket s \rrbracket \gamma_{D} .
$$

Proof. Let $\mathscr{D}_{D}=\lambda s . \mathscr{D} \llbracket s \rrbracket \gamma_{D}$. As always, it is sufficient to show that $\mathscr{D}_{D}$ is a fixed point of the operator $\Psi_{D}:\left(L_{6} \rightarrow Q\right) \rightarrow\left(L_{6} \rightarrow Q\right)$ given (for $F \in L_{6} \rightarrow Q$ ) by

$$
\begin{aligned}
\Psi_{D}(F)(s)= & \operatorname{red}\left(\{a \mid s \xrightarrow{a} E\} \cup \bigcup\left\{a . F\left(s^{\prime}\right) \mid s \xrightarrow{a} 1 s^{\prime}\right\}\right. \\
& \left.\cup\left\{\left\langle a, F\left(s^{\prime}\right)\right\rangle \mid s \xrightarrow{a}_{2} s^{\prime}\right\}\right)
\end{aligned}
$$

if the argument of red is nonempty
$=\{\delta\}, \quad$ otherwise.

The proof follows the pattern as in the proof of Theorem 6.10. Essential intermediate results are the following:

$$
\begin{aligned}
\Psi_{D}\left(\mathscr{D}_{D}\right)(g ; s)= & \Psi_{D}\left(\mathscr{D}_{D}\right)(g) \circ \mathscr{D}_{D} \llbracket s \rrbracket \\
& (\text { this uses that }(a \cdot p) \sim q=a \cdot(p \backsim q) \text { and } \\
& \{\langle a, p\rangle\} \circ q=\{\langle a, p \vee q\rangle\}) \\
\Psi_{D}\left(\mathscr{D}_{D}\right)(g: s)= & \Psi_{D}\left(\mathscr{D}_{D}\right)(g): \mathscr{D}_{D} \llbracket s \rrbracket \\
& \text { (this uses that }(a \cdot p): q=a \cdot(p: q) \text { and } \\
& \{\langle a, p\rangle\}: q=\{\langle a, p: q\rangle\}) \\
\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1}+g_{2}\right)= & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1}\right)+\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{2}\right) \\
\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1} \| g_{2}\right)= & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1} \llbracket g_{2}\right)+\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{2} \Perp g_{1}\right) \\
\Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1} \Perp g_{2}\right)= & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(g_{1}\right) \llbracket \mathscr{D}_{D} \llbracket g_{2} \rrbracket
\end{aligned}
$$

(this uses that $(a . p) \Perp q=a .(p \Perp q)$ and

$$
\{\langle a, p\rangle\} \mathbb{\|} q=\{\langle a, p \| q\rangle\}) .
$$

These results are to be embedded in an argument which is very much like that of the proof of Theorem 6.10.

## 9. Increasing the Grain Size in the Transitions

We conclude our study of flow of control concepts in uninterpreted logic programming with the discussion of a somewhat more specialized topic. We ask (and answer affirmatively) whether it is also feasible to base (' for $L_{6}$ on transitions

$$
s \xrightarrow{v} E, \quad s \xrightarrow{v} 1 s^{\prime}, \quad s \xrightarrow{v} 2 s^{\prime},
$$

thus increasing the grain size of the atomic steps. One might defend the case that this is a more natural style of transitions since the semantic domain is designed such that "steps" $v, w$, etc. (appearing in the process domain Q) take the place of the "steps" $a, b$, etc. (from the process domain $P$ ). In other words, we are now dealing with processes such as $\{\langle v,\{\langle w, \cdots\rangle\}\rangle\}$ rather than $\{\langle a,\{\langle b, \cdots\rangle\}\rangle\}$, explaining why it is natural to also increase the step size in the transitions. We indeed demonstrate in this section that, on the basis of a rather natural extension of $T_{6}$, we can define an operational semantics (derived from transitions $s \xrightarrow{t} s^{\prime}$ ) which is equivalent to the denotational semantics of Section 8
(Definition 8.7) and, hence, also to $\mathcal{O}$ as in Definition 6.8. The price to be paid for this somewhat more satisfactory operational semantics is rather more effort to be spent on the equivalence proof.

Definition 9.1. The system $T_{7}$ consists of
a. The axiom $a \xrightarrow{a} E$
b. All rules of $T_{6}$, with $a$ throughout replaced by $v$
c. The new rule

$$
\begin{equation*}
\xrightarrow[{s_{1} ; s_{2} \xrightarrow{s_{1} \xrightarrow{v_{1} v_{2}} s^{\prime}} s_{2}{ }^{v_{2}} s^{\prime}}]{.} \tag{IncAtom}
\end{equation*}
$$

The accompanying definition for $\mathscr{O}^{*}$ is
Definition 9.2. a. $\mathcal{O}^{*}: \mathscr{P}^{2} \operatorname{og}_{6} \rightarrow Q$ is given by $\left.\mathbb{O}^{*} \llbracket\langle D \mid s\rangle\right]=\mathbb{C}_{D}^{*}[s \rrbracket$.

$$
\text { b. } \quad \mathscr{O}_{D}^{*} \llbracket s \rrbracket=\operatorname{red}\left(\{v \mid s \xrightarrow{\bullet} E\} \cup \bigcup\left\{v \cdot \mathcal{O}_{D}^{*} \llbracket s^{\prime} \rrbracket \mid s \xrightarrow{v} s^{\prime}\right\}\right.
$$

$$
\left.\left.\cup\left\{\left\langle v, \mathcal{O}_{D}^{*} \llbracket s^{\prime}\right]\right\rangle \mid s \xrightarrow{t}_{2} s^{\prime}\right\}\right),
$$

if the argument of red is nonempty

$$
=\{\delta\}, \quad \text { otherwise } .
$$

where the transitions are with respect to $T_{7}$.
Note that, in this definition and elsewhere in Section 9, the sets involved are (turned into) compact sets as a result of applying the red or " + " operators.

We prove, for $\mathscr{M}$, as in Definition 8.7,

Proof. We define the usual mapping $\Psi_{D}:\left(L_{6} \rightarrow Q\right) \rightarrow\left(L_{6} \rightarrow Q\right)$. Take $F \in L_{6} \rightarrow Q$. We put

$$
\begin{aligned}
\Psi_{D}(F)(s)= & \operatorname{red}\left(\{v \mid s \xrightarrow{v} E\} \cup \bigcup\left\{v . F\left(s^{\prime}\right) \mid s \xrightarrow{v}_{1} s^{\prime}\right\}\right. \\
& \left.\cup\left\{\left\langle v, F\left(s^{\prime}\right)\right\rangle \mid s \xrightarrow{v} s^{\prime}\right\}\right) \\
& \text { if the argument of } r e d \text { is nonempty } \\
= & \{\delta\}, \quad \text { otherwise. }
\end{aligned}
$$

We show that $\Psi_{D}\left(\mathscr{D}_{D}\right)=\mathscr{D}_{D}\left(=^{d f} \lambda s . \mathscr{D} \llbracket s \rrbracket \gamma_{D}\right)$. The proof follows the
standard pattern, but the rule (Inc Atom) causes some complications. We first state the key properties of $\Psi_{D}\left(\mathscr{D}_{D}\right)(s)$, for $s$ ranging over $L_{6}$ :

$$
\begin{array}{lrl}
s \equiv a & \Psi_{D}\left(\mathscr{D}_{D}\right)(a) & =\{a\} \\
s \equiv x & \Psi_{D}\left(\mathscr{D}_{D}\right)(x) & =\Psi_{D}\left(\mathscr{D}_{D}\right)(g), x \Leftarrow g \text { in } D \\
s \equiv \text { fail } & \Psi_{D}\left(\mathscr{D}_{D}\right)(\text { fail })=\{\delta\} \\
s \equiv s_{1} ; s_{2} & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} ; s_{2}\right)= & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right) \circ \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
& & +\left\{v \mid s_{1} \xrightarrow{u} E\right\} \circ \Psi_{D}\left(\mathscr{L}_{D}\right)\left(s_{2}\right) \\
& & \\
s \equiv s_{1}: s_{2} & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}: s_{2}\right)=\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right): \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
s \equiv s_{1}+s_{2} & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}+s_{2}\right)=\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right)+\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{2}\right) \\
s \equiv s_{1} \| s_{2} & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} \| s_{2}\right)=\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} \llbracket s_{2}\right)+\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{2} \mathbb{L} s_{1}\right) \\
s \equiv s_{1} \Perp s_{2} & \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} \Perp s_{2}\right)=\Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right) \llbracket \mathscr{D}_{D} \llbracket s_{2} \rrbracket .
\end{array}
$$

We give some details of the cases $s \equiv s_{1} ; s_{2}$ and $s \equiv s_{1} \Perp s_{2}$. We consider only the cases that the outcomes are $\neq\{\delta\}$ :

$$
\begin{aligned}
& \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} ; s_{2}\right) \\
&= r e d\left(\left\{v \mid s_{1} ; s_{2} \xrightarrow{v} E\right\} \cup \bigcup\left\{v . \mathscr{D}_{D} \llbracket \llbracket \rrbracket \mid s_{1} ; s_{2} \xrightarrow{u}{ }_{1} \bar{s}\right\}\right. \\
&\left.\cup\left\{\left\langle v, \mathscr{D}_{D} \llbracket \bar{s} \rrbracket\right\rangle \mid s_{1} ; s_{2} \xrightarrow{v}{ }_{2} \bar{s}\right\}\right) \\
&=\left(\left\{v_{1} \mid s_{1} \xrightarrow{v_{1}} E\right\} \circ \mathscr{D}_{D} \llbracket s_{2} \rrbracket\right. \\
&+\bigcup\left\{v_{1} \cdot \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket \mid s_{1} \xrightarrow{u_{1}} s^{\prime}\right\} \circ \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
&\left.+\left\{\left\langle v_{1}, \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket\right\rangle \mid s_{1} \xrightarrow{v_{2}} s^{\prime}\right\} \circ \mathscr{D}_{D} \llbracket s_{2} \rrbracket\right) \\
&+\left(\left\{v_{1} v_{2} \mid\left(s_{1} \xrightarrow{v_{1}} E\right) \wedge\left(s_{2} \xrightarrow{v_{2}} E\right)\right\}\right. \\
&+\bigcup\left\{\left(v_{1} v_{2}\right) \cdot \mathscr{D}_{D} \llbracket s^{\prime \prime} \rrbracket \mid\left(s_{1} \xrightarrow{v_{1}} E\right) \wedge\left(s_{2} \xrightarrow{v_{2}} s^{\prime \prime}\right)\right\} \\
&\left.+\left\{\left\langle v_{1} v_{2}, \mathscr{D}_{D} \llbracket s^{\prime \prime} \rrbracket\right\rangle \mid\left(s_{1} \xrightarrow{v_{1}} E\right) \wedge\left(s_{2} \xrightarrow{v_{2}} s^{\prime \prime}\right)\right\}\right) \\
&= \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right) . \mathscr{D}_{D} \llbracket s_{2} \rrbracket+\left\{v_{1} \mid s_{1} \xrightarrow{v_{1}} E\right\} . \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{2}\right)
\end{aligned}
$$

$$
\begin{aligned}
& \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1} \llbracket s_{2}\right) \\
&=\left\{v_{1} \mid s_{1} \xrightarrow{v_{1}} E\right\} \llbracket \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
&+\bigcup\left\{v_{1} \cdot \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket \mid s_{1} \xrightarrow{v_{1}} s^{\prime}\right\} \llbracket \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
&+\left\{\left\langle v_{1}, \mathscr{D}_{D} \llbracket s^{\prime} \rrbracket\right\rangle \mid s_{1} \xrightarrow{v_{1}} s^{\prime}\right\} \llbracket \mathscr{D}_{D} \llbracket s_{2} \rrbracket \\
&= \Psi_{D}\left(\mathscr{D}_{D}\right)\left(s_{1}\right) \llbracket \mathscr{D}_{D} \llbracket s_{2} \rrbracket .
\end{aligned}
$$

Next, we show that $\Psi_{D}\left(\mathscr{D}_{D}\right)=\mathscr{D}_{D}$, thus establishing that $\mathcal{O}_{D}^{*}=\mathscr{D}_{D}$, whence $\mathcal{O}^{*}=\mathscr{M}$ on $\mathscr{P}^{2}$ rog $_{6}$.

We abbreviate $\Psi_{D}\left(\mathscr{D}_{D}\right)$ to $\widetilde{\Psi}_{D}$, and we prove that $d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)=0$.
Stage 1. For each $g \in L_{6}^{g}$,

$$
d\left(\mathscr{D}_{D} \llbracket g \rrbracket, \widetilde{\Psi}_{D}(g)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right) .
$$

(Note that, clearly, for all $s, d\left(\mathscr{D}_{D} \llbracket s \rrbracket, \widetilde{\Psi}_{D}(s)\right) \leqslant d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)$.) We use induction on the complexity of $g$, and treat here only the (most complex) case $g \equiv g_{1} ; s$. We have

$$
\begin{aligned}
\mathscr{D}_{D} \llbracket g_{1} ; s \rrbracket= & \mathscr{D}_{D} \llbracket g_{1} \rrbracket \circ \mathscr{D}_{D} \llbracket s \rrbracket \\
= & \left(\mathscr{D}_{D} \llbracket g_{1} \rrbracket \circ \mathscr{D}_{D} \llbracket s \rrbracket+\left(\left\{v \mid g_{1} \xrightarrow{v} E\right\} \circ \mathscr{D}_{D} \llbracket s \rrbracket\right)\right. \\
& (\text { by Lemma } 9.4 \text { below }) \\
\widetilde{\Psi}_{D}\left(g_{1} ; s\right)= & \left(\widetilde{\Psi}_{D}\left(g_{1}\right) \circ \mathscr{D}_{D} \llbracket s \rrbracket\right)+\left(\left\{v \mid g_{1} \xrightarrow{r_{1}} E\right\} \circ \widetilde{\Psi}_{D}(s)\right) .
\end{aligned}
$$

Clearly, we have $d\left(\mathscr{D}_{D} \llbracket g_{1} \rrbracket \circ \mathscr{D}_{D} \llbracket s \rrbracket, \quad \widetilde{\Psi}_{D}\left(g_{1}\right) \circ \mathscr{D}_{D} \llbracket s \rrbracket\right) \leqslant d\left(\mathscr{D}_{D} \llbracket g_{1} \rrbracket\right.$, $\left.\widetilde{\Psi}_{D}\left(g_{1}\right)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)$ (ind. hyp.). Also

$$
\begin{aligned}
d(\{v \mid & \left.\left.g_{1} \xrightarrow{v} E\right\} \circ \mathscr{D}_{D} \llbracket s \rrbracket,\left\{v \mid g_{1} \xrightarrow{v} E\right\} \circ \widetilde{\Psi}_{D}(s)\right) \\
& \leqslant \frac{1}{2} d\left(\mathscr{D}_{D} \llbracket s \rrbracket, \widetilde{\Psi}_{D}(s)\right) \quad\left(\text { since } \varepsilon \notin\left\{v \mid g_{1} \xrightarrow{v} E\right\}\right) \\
& \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right) .
\end{aligned}
$$

Putting these two inequalities together, we have shown that

$$
d\left(\mathscr{D}_{D} \llbracket g_{1} ; s \rrbracket, \widetilde{\Psi}_{D}\left(g_{1} ; s\right)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)
$$

(We use here that, for $d$ any Hausdorff metric, $d\left(X_{1} \cup X_{2}, Y_{1} \cup Y_{2}\right) \leqslant$ $\max \left\{d\left(X_{i}, Y_{i}\right) \mid i=1,2\right\}$.)

Stage 2. We now show that $d\left(\mathscr{D}_{D}[s], \widetilde{\Psi}_{D}(s)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)$, for all $s$, by induction on the complexity of $s$. For each case this proceeds
as in stage 1, except for the case $s \equiv x$. Then $d\left(\mathscr{O}_{D} \llbracket[x], \widetilde{\Psi}_{D}(x)\right)=$ $d\left(\mathscr{D}_{D} \llbracket g \rrbracket, \widetilde{\Psi}_{D}(g)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)$ (since $g$ is guarded, stage 1 applies). Altogether, we have $d\left(\mathscr{D}_{D}[s], \widetilde{\Psi}_{D}(s)\right) \leqslant \frac{1}{2} d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)$, for all $s$. Hence, $d\left(\mathscr{D}_{D}, \widetilde{\Psi}_{D}\right)=0$, as was to be shown.

We have one lemma still to be filled in. For convenience, we use the notation (for $p, q \in Q$ ) $p \subseteq q$ as short hand for $p+q=q$.

Lemma 9.4. For each $s \in L_{6}$,

$$
\{v \mid s \xrightarrow{v} E\} \subseteq \mathscr{D}_{D} \llbracket s \rrbracket .
$$

Proof. We introduce the auxiliary relation $s_{1} \rightarrow_{D} s_{2}$ by the transition system

$$
\begin{aligned}
& x \rightarrow_{D} g, \quad \text { for } \quad x \Leftarrow g \text { in } D \\
& s_{1}+s_{2} \rightarrow_{D} s_{1} \\
& s_{1}+s_{2} \rightarrow_{D} s_{2} \\
& \frac{s_{1} \rightarrow \rightarrow_{D} s_{2}}{C\left[s_{1}\right] \rightarrow_{D} C\left[s_{2}\right]}, \quad \text { for each arbitrary } L_{6} \text {-context } C[\cdot] .
\end{aligned}
$$

It is direct from the definition of $\rightarrow_{D}$ that, if $s_{1} \rightarrow_{D} s_{2}$ holds, then $\mathscr{D}_{D} \llbracket s_{1} \rrbracket \supseteq \mathscr{D}_{D} \llbracket s_{2} \rrbracket$. We use $\rightarrow_{D}$ in the formulation of the following straightforward

Claim. If $s \xrightarrow{v} E$ then either

- $(v=a) \wedge\left(s \rightarrow_{D} a\right)$, or
- there exist $s_{1}, s_{2}, v_{1}, v_{2} \in A^{+}$such that $v=v_{1} . v_{2}, s_{1} \xrightarrow{t_{1}} E$, $s_{2} \xrightarrow{v_{2}} E$, and $s \rightarrow_{D} s_{1} ; s_{2}$.

We now prove the assertion of the lemma by induction on the length of $v$. If $v=a$, we have $a \in \mathscr{D}_{D} \llbracket a \rrbracket \subseteq \mathscr{D}_{D} \llbracket s \rrbracket$. If $v=v_{1} v_{2}\left(v_{1}, v_{2} \in A^{+}\right)$, then $s_{1} \xrightarrow{v_{1}} E, s_{2} \xrightarrow{v_{2}} E$, and $s \rightarrow_{D} s_{1} ; s_{2}$. By induction, $v_{1} \in \mathscr{Z}_{D} \llbracket s_{1} \rrbracket, v_{2} \in \mathscr{I}_{D} \llbracket s_{2} \rrbracket$, and we obtain $v_{1} v_{2} \in \mathscr{D}_{D} \llbracket s_{1} ; s_{2} \rrbracket$. Since $\mathscr{D}_{D} \llbracket s_{1} ; s_{2} \rrbracket \subseteq \mathscr{D}_{D} \llbracket s \rrbracket$, the desired result follows.

Altogether, we have completed the investigation of the transition system $T_{6}$, establishing that increasing the grain size in its transitions does not affect the associated operational semantics for $L_{6}$.

## Appendix

We provide a brief sketch of the translation of a rudimentary (and/or) parallel logic program into, for example, the language $L_{4}$. The approach
followed in the translation is a (considerably) simplified version of the translation (due to Joost Kok) as described in de Bakker and Kok $(1988,1990)$.

Let $a, a_{1}, \ldots, \bar{a}, \ldots$ be elements of $\mathscr{A}$ tom, the set of (logical) atoms as used in logic programming. We consider clauses $c$ of the form $a \leftarrow a_{1} \wedge \cdots \wedge a_{n}$ ( $n \geqslant 0$ ), programs $\pi$ which consist of a finite set of clauses $\left\{c_{1}, \ldots, c_{k}\right\}, k \geqslant 1$, and goals of the form $\bar{a}_{1} \wedge \cdots \wedge \bar{a}_{m}, m \geqslant 0$. We provide a translation into $L_{4}$ of a pair $\langle\pi, g\rangle$. The translation assumes a version of $L_{4}$ (with corresponding semantics) which works for arbitrary interpretations (rather than for no interpretation). That is, we assume a set $\Sigma$ of states, and interpret the elementary actions in $A$ as, possibly partial, state transformations. One further technical step is required to cope with possible clashes between (individual) variables in the clauses or goal. We assume that the set of individual variables $\mathscr{I}_{v a r}$ is partitioned into disjoint sets $\mathscr{I}_{v a z_{x}}$, with $\alpha \in \mathbb{N}^{*}$, the set of all finite, possibly empty, sequences of natural numbers. Moreover, we assume that all individual variables in $\pi$ and $g$ are initially
 $\bar{\alpha} \in \mathbb{N}^{*}$. The injections $\alpha$ are extended in the natural way to the atoms in Atom. We now describe the translation:

- For $A$ we take $\mathscr{A}$ tom $\times \mathscr{A}$ tom.
- For $\mathscr{P}_{v a r}$ we take $\mathscr{A}$ tom $\times \mathbb{N}^{*}$.
- For $\Sigma$ we take the set of substitutions (in the usual sense of logic programming).
- As interpretation of an elementary action $\left(a_{1}, a_{2}\right)$ we take $\llbracket\left(a_{1}, a_{2}\right) \rrbracket(\sigma)=m g u\left(a_{1}, \sigma\left(a_{2}\right)\right) \circ \sigma$, where $m g u$ denotes a fixed most general unifier.
- We define the auxiliary mapping trl: Cfause $\times$ Pvar $\rightarrow L_{4}^{g}$ by putting

$$
\operatorname{trl}\left(a \leftarrow a_{1} \wedge \cdots \wedge a_{n},(\bar{a}, \alpha)\right)=(\alpha(a), \bar{a}) ;\left(\left(\alpha\left(a_{1}\right), \alpha .1\right)\|\cdots\|\left(\alpha\left(a_{n}\right), \alpha \cdot n\right)\right) .
$$

- Let $\pi=\left\{c_{1}, \ldots, c_{k}\right\}$. Take for the set of $L_{4}$-declarations $D$ :

$$
D \equiv\left\langle(\bar{a}, \alpha) \Leftarrow \operatorname{trl}\left(c_{1},(\bar{a}, \alpha)\right)+\cdots+\left(c_{k},(\bar{a}, \alpha)\right)\right\rangle_{(\bar{a}, a) \in \operatorname{p}, p_{1},} .
$$

Note that, returning for a moment to the general $L_{4}$ syntax, $D$ is of the form

$$
\left\langle x \Leftarrow a_{1} ;\left(x_{11}\|\cdots\| x_{1 n_{1}}\right)+\cdots+a_{k} ;\left(x_{k 1}\|\cdots\| x_{k n_{k}}\right\rangle_{x \in p_{1, \ldots l}} .\right.
$$

- Finally, take as translation of $\langle\pi, g\rangle$ the $L_{4}$-program

$$
\left\langle D \mid\left(\bar{a}_{1}, 1\right)\|\cdots\|\left(\bar{a}_{m}, m\right)\right\rangle .
$$

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