# Acyclic edge-colouring of planar graphs\*

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#### **Abstract**

A proper edge-colouring with the property that every cycle contains edges of at least three distinct colours is called an *acyclic edge-colouring*. The *acyclic chromatic index* of a graph G, denoted  $\chi'_a(G)$ , is the minimum k such that G admits an *acyclic edge-colouring* with k colours. We conjecture that if G is planar and  $\Delta(G)$  is large enough then  $\chi'_a(G) = \Delta(G)$ . We settle this conjecture for planar graphs with girth at least 5. We also show that  $\chi'_a(G) \leq \Delta(G) + 12$  for all planar G, which improves a previous result by Fiedorowicz et al. [12].

### 1 Introduction

A proper edge-colouring with the property that every cycle contains edges of at least three distinct colours is called an *acyclic edge-colouring*. The *acyclic chromatic index* of a graph G, denoted  $\chi'_a(G)$ , is the minimum k such that G admits an *acyclic edge-colouring* with k colours. Fiamčik [9] and later Alon, Sudakov and Zaks [2] conjecture that  $\Delta(G) + 2$  colours are enough.

Conjecture 1 (Fiamčik [9]–Alon, Sudakov and Zaks [2]) For every graph G,  $\chi'_a(G) \leq \Delta(G) + 2$ .

This conjecture would be tight as there are cases where more than  $\Delta+1$  colours are needed. Consider for example a graph G on 2n vertices with at least  $2n^2-2n+2$  edges. The union of two perfect matchings is a cycle factor and thus contains a cycle. Thus, in an acyclic edge-colouring, at most one colour class contains n edges. Hence there are at least  $1+\left\lceil\frac{2n^2-3n+2}{n-1}\right\rceil=2n+1$  colours. So  $\chi_d'(G) \geq \Delta(G)+2$ .

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Clearly, every graph with maximum degree at most 2 has acyclic chromatic index at most 3. If  $\Delta(G) \leq 3$  then its line-graph L(G) has maximum degree at most 4. Thus by Burnstein's results [7]  $\chi_a(L(G)) \leq 5$  and so  $\chi'_a(G) \leq 5$ . So Conjecture 1 holds for  $\Delta(G) \leq 3$ . In 1980, Fiamčik [10] conjectured that  $K_4$  is the only cubic graph requiring five colours in an acyclic edge-colouring (and actually gave an uncorrect proof of it). More generally, Alon, Sudakov and Zaks [2] conjectured that if G is a  $\Delta$ -regular graph then  $\chi'_a(G) = \Delta + 1$  unless  $G = K_{2n}$ .

However as noted by Fiamčik [11], these two conjectures are false as  $\chi'_a(K_{3,3}) = 5$ . In addition, Basavaraju, Chandran and Kummini [5] showed that all d-regular graphs with 2n vertices and d > n, require at least d + 2 colours to be acyclically edge-coloured and for every odd n,  $\chi'_a(K_{n,n}) = n + 2$ . They also showed that for every d,n such that  $d \ge 5$ ,  $n \ge 2d + 3$  and dn even, there exist d-regular graphs which require at least d + 2-colours to be acyclically edge-coloured.

Alon, Sudakov and Zaks [2] showed that Conjecture 1 is true for almost all regular graphs. This was later improved by Nešetřil and Wormald [19] who proved that the acyclic edge-chromatic number of a random  $\Delta$ -regular graph is asymptotically almost surely equal to  $\Delta+1$ . Alon, McDiarmid and Reed [1] showed an upper bound of  $64\Delta(G)$  for  $\chi'_a(G)$  which was later improved to  $16\Delta(G)$  by Molloy and Reed [16]. For graphs with large girth, better upper bounds are known. Muthu et al [17] showed that, if G has girth at least 9, then  $\chi'_a(G) \leq 6\Delta(G)$ , and, if it has girth at least 220, then  $\chi'_a(G) \leq 4.52\Delta(G)$ . Finally, Alon, Sudakov and Saks also showed that Conjecture 1 is true for graphs with girth at least  $C\Delta\log(\Delta)$  for some fixed constant C.

Muthu et al [18] proved that  $\chi'_a(G) \leq \Delta(G) + 1$  for outerplanar graphs. Fiedorowicz et al. [12] proved that  $\chi'_a(G) \leq 2\Delta(G) + 29$  if G is planar and  $\chi'_a(G) \leq \Delta(G) + 6$  if G is planar and triangle-free. This bound has been improved for planar graphs with larger girth. Recall that the *girth* of a graph is the minimum length of a cycle it contains or  $+\infty$  if it has no cycles. Hou et al. [14] showed that if G is a planar graph G then  $\chi'_a(G) \leq \Delta(G) + 2$  if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G and G if G has girth at least G if G is G if G has girth at least G if G is G if G if G is G if G is G if G is G if G is G if G if G is G if G is G if G is G if G is G if G if G is G if G if G is G if G

Sanders and Zhao [20] showed that planar graphs with maximum degree  $\Delta \geq 7$  have chromatic index  $\Delta$ . A conjecture of Vizing [21] asserts that planar graphs of maximum degree 6 are also 6-edge-colourable. This would be best possible as for any  $\Delta \in \{2,3,4,5\}$ , there are some planar graphs with maximum degree  $\Delta$  with chromatic index  $\Delta + 1$  [21].

We propose a conjecture analogous to the above one of Vizing.

**Conjecture 2** There exists  $\Delta_0$  such that every planar graph with maximum degree  $\Delta \geq \Delta_0$  has an acyclic edge-colouring with  $\Delta$  colours.

In this paper, we give some evidences to this conjecture. Firstly, in Section 2, we show that every planar graph G has an acyclic edge-colouring with  $\Delta(G)+12$  colours thus improving the  $2\Delta(G)+29$  bound of Fiedorowicz et al. [12]. In Section 3, we show that Conjecture 2 holds for planar graphs of girth at least 5 (with  $\Delta_0=19$ ) thus improving the results of Hou et al. [14] and Borowiecki and Fiedorowicz [6]. More generally, we settle Conjecture 2 for graphs with maximum average degree less than  $4-\varepsilon$  for any  $\varepsilon>0$ . The *maximum average degree* of G is  $Mad(G)=\max\{\frac{2|E(H)|}{|V(H)|}\mid H$  is a subgraph of  $G\}$ . It is well known that a planar graph of girth G has maximum average degree less than G has maximum average degree less than G has G holds for outerplanar graphs with G has shown by Hou et al. [15]. Note that G has outerplanar G holds for outerplanar G has G has shown by

Our proofs are constructive and yield efficient polynomial time algorithms. We present the proofs in a non-algorithmic way. But it is easy to extract the underlying algorithms from them.

# 2 Planar graphs

In this section we will prove the following result.

**Theorem 3**  $\chi'_a(G) \leq \Delta(G) + 12$  for all planar graphs G.

The proof of Theorem 3 relies on the following theorem of van den Heuvel and McGuiness [13] which establishes a set of unavoidable configurations in planar graphs.

**Lemma 4 (van den Heuvel and McGuiness [13])** *Let G be a planar graph with minimum degree at least two. Then there exists a vertex v in G with exactly d(v) = k neighbours v*<sub>1</sub>, *v*<sub>2</sub>, ..., *v<sub>k</sub> with d(v*<sub>1</sub>)  $\leq d(v_2) \leq ... \leq d(v_k)$  *such that at least one of the following is true:* 

- (A1) k = 2,
- (A2) k = 3 and  $d(v_1) \le 11$ ,
- (A3) k = 4 and  $d(v_1) \le 7$ ,  $d(v_2) \le 11$ ,
- (A4) k = 5 and  $d(v_1) \le 6$ ,  $d(v_2) \le 7$ ,  $d(v_3) \le 11$ .

Sketch of the proof of Theorem 3: Let G be a minimum counter-example with respect to the number of vertices and edges for the statement in Theorem 3. Trivially G has minimum degree at least 2. Indeed, it has no vertex v of degree 0 because any acyclic edge-colouring of G - v is an acyclic edge-colouring of G, and it has no vertex v with a unique neighbour u, since any acyclic edge-colouring of G - v on at least  $\Delta(G)$  colours may be extended to an acyclic edge-colouring of G by assigning to uv a colour not already assigned to an edge incident to u. From Lemma 4, we know that there exists a vertex v in G such that it belongs to one of the configurations A1-A4. If there is a configuration  $A_2$ ,  $A_3$  and  $A_4$  in G, we show in Subsection 2.2 how to derive an acyclic edge-colouring with  $\Delta(G) + 12$  colours of G from one of  $G \setminus vv_1$ . Hence, we assume that there is no such configurations. In such case, we select an appropriate edge uu' and show again how to derive an acyclic edge-colouring of G with  $\Delta(G) + 12$  colours from one of  $G \setminus uu'$ . This gives a final contradiction. See Subsection 2.3.

In order to show how to extend an acyclic edge-colouring of  $G \setminus e$  for some edge e into an acyclic edge-colouring of G, we first establish some preliminaries.

#### 2.1 Preliminaries

**Partial edge-colouring:** Let H be a subgraph of G. Then an edge-colouring c' of H is also a partial edge-colouring of G. Note that H can be G itself. Thus an edge-colouring c of G itself can be considered a partial edge-colouring. A partial edge-colouring c of G is said to be a proper partial edge-colouring if c is proper. A proper partial edge-colouring c is called acyclic if there are no bichromatic cycles in the graph. Note that with respect to a partial edge-colouring c, c(e) may not be defined for an edge e. So, whenever we use c(e), we are considering an edge e for which c(e) is defined, though we may not always explicitly mention it.

Let c be a partial edge-colouring of G. We denote the set of colours in c by  $C = \{1, 2, ..., k\}$ . For any vertex  $u \in V(G)$ , we define  $F_u(c) = \{c(uz) \mid z \in N_G(u)\}$ , with  $N_G(u)$  denotes the set of vertices adjacent tot u. For an edge  $ab \in E$ , we define  $S_{ab}(c) = F_b(c) - \{c(ab)\}$ . Note that  $S_{ab}(c)$  need not be the same as  $S_{ba}(c)$ . We will abbreviate the notation to  $F_u$  and  $S_{ab}$  when the edge-colouring c is understood from the context.

The following definitions arise out of our attempt to understand what may prevent us from extending a partial edge-colouring of  $G \setminus e$  to G.

Maximal bichromatic Path: An  $(\alpha, \beta)$ -maximal bichromatic path with respect to a partial edge-colouring c of G is a maximal path consisting of edges that are coloured using the colours  $\alpha$  and  $\beta$  alternatingly. An  $(\alpha, \beta, a, b)$ -maximal bichromatic path is an  $(\alpha, \beta)$ -maximal bichromatic path which starts at the vertex a with an edge coloured  $\alpha$  and ends at a. We emphasize that the edge of the  $(\alpha, \beta, a, b)$ -maximal bichromatic path incident on vertex a is coloured  $\alpha$  and the edge incident on vertex a can be coloured either a or a. Thus the notations a0, a1 and a2 and the edge incident meanings. Also note that any maximal bichromatic path will have at least two edges. The following fact is obvious from the definition of proper edge-colouring.

**Fact 5** Given a pair of colours  $\alpha$  and  $\beta$  of a proper edge-colouring c of G, there is at most one maximal  $(\alpha,\beta)$ -bichromatic path containing a particular vertex v, with respect to c.

A colour  $\alpha \neq c(e)$  is a *candidate* for an edge e in G with respect to a partial edge-colouring c of G if none of the adjacent edges of e is coloured  $\alpha$ . A candidate colour  $\alpha$  is *valid* for an edge e if assigning the colour  $\alpha$  to e does not result in any bichromatic cycle in G.

Let e = ab be an edge in G. Note that any colour  $\beta \notin F_a \cup F_b$  is a candidate colour for the edge ab in G with respect to the partial edge-colouring c of G. A sufficient condition for a candidate colour being valid is captured in the lemma below.

**Lemma 6 (Basavaraju and Chandran [4])** A candidate colour for an edge e = ab is valid if  $(F_a(c) \cap F_b(c)) \setminus \{c(ab)\} = S_{ab}(c) \cap S_{ba}(c) = \emptyset$ .

Now even if  $S_{ab}(c) \cap S_{ba}(c) \neq \emptyset$ , a candidate colour  $\beta$  may be valid. But if  $\beta$  is not valid, then what may be the reason? It is clear that colour  $\beta$  is not valid if and only if there exists  $\alpha \neq \beta$  such that a  $(\alpha, \beta)$ -bichromatic cycle gets formed if we assign colour  $\beta$  to the edge e. In other words, if and only if, with respect to edge-colouring e of e0 there existed an e0, e0, e1 maximal bichromatic path with e2 being the colour given to the first and last edge of this path. Such paths play an important role in our proofs. We call them *critical paths*. It is formally defined below.

**Critical Path:** Let  $ab \in E$  and c be a partial edge-colouring of G. Then an  $(\alpha, \beta, a, b)$ -maximal bichromatic path which starts out from the vertex a via an edge coloured  $\alpha$  and ends at the vertex b via an edge coloured  $\alpha$  is called an  $(\alpha, \beta, a, b)$ -critical path. Note that any critical path will be of odd length. Moreover the smallest length possible is three.

Let  $a \in N_{G \setminus vv_1}(x)$  and let  $c(x, a) = \alpha$ . Let  $\beta \in S_{xa}$ . colour  $\beta$  is said to be *actively present* in a set  $S_{xa}$ , if there exists a  $(\alpha, \beta, xy)$  critical path.

A natural strategy to extend a acyclic partial edge-colouring c of G would be to try to assign one of the candidate colours to an uncoloured edge e. The condition that a candidate colour is not valid for the edge e is captured in the following fact.

**Fact 7** *Let* c *be a partial edge-colouring of* G. A candidate colour  $\beta$  *is not valid for the edge* e = ab *if and only if for some colour*  $\alpha \in S_{ab} \cap S_{ba}$ , there is an  $(\alpha, \beta, a, b)$ -critical path in G with respect to c.

**Colour exchange:** Let c be a partial edge-colouring of G. Let  $u, v, w \in V(G)$  and  $uv, uw \in E(G)$ . We define *colour exchange* with respect to the edge uv and uw, as the modification of the current partial edge-colouring c by exchanging the colours of the edges uv and uw to get a partial edge-colouring c', i.e., c'(uv) = c(uw), c'(uw) = c(uv) and c'(e) = c(e) for all other edges e in G. The colour exchange with respect to the edges uv and uw is said to be uv and uv is following fact is obvious.

**Fact 8** Let c' be the partial edge-colouring obtained from an acyclic partial edge-colouring c by the colour exchange with respect to the edges uv and uw. Then c' is proper if and only if  $c(uv) \notin S_{uw}$  and  $c(uw) \notin S_{uv}$ .

The colour exchange is useful in breaking some critical paths as is clear from the following lemma.

**Lemma 9 (Basavaraju and Chandran [4, 3])** *Let u, v, w, a and b be vertices of G such that uv, uw and ab are edges. Also let*  $\alpha$  *and*  $\beta$  *be two colours such that*  $\{\alpha,\beta\} \cap \{c(uv),c(uw)\} \neq \emptyset$  *and*  $\{v,w\} \cap \{a,b\} = \emptyset$ . Suppose there exists a  $(\alpha,\beta,a,b)$ -critical path that contains vertex u, with respect to an acyclic partial edge-colouring c of G. Let c' be the partial edge-colouring obtained from c by the colour exchange with respect to the edges uv and uw. If c' is proper, then there is no  $(\alpha,\beta,a,b)$ -critical path in G with respect to c'.

**Multisets and Multiset Operations:** Recall that a *multiset* is a generalized set where a member can appear multiple times. If an element x appears t times in the multiset S, then we say that the *multiplicity* of x in S is t. In notation  $mult_S(x) = t$ . The cardinality of a finite multiset S, denoted by ||S||, is defined as  $||S|| = \sum_{x \in S} mult_S(x)$ . Let  $S_1$  and  $S_2$  be two multisets. The reader may note that there are various possible ways to define union of  $S_1$  and  $S_2$ . For the purpose of this paper we define one such union notion- which we call as the *join* of  $S_1$  and  $S_2$ , denoted as  $S_1 \uplus S_2$ . The multiset  $S_1 \uplus S_2$  have all the members of  $S_1$  as well as  $S_2$ . For a member  $x \in S_1 \uplus S_2$ ,  $mult_{S_1 \uplus S_2}(x) = mult_{S_1}(x) + mult_{S_2}(x)$ . Clearly  $||S_1 \uplus S_2|| = ||S_1 || + ||S_2 ||$ .

### 2.2 There exists a Configuration A2, A3 or A4

We now can resume the proof of Theorem 3. Suppose by way of contradiction that there exists a Configuration  $A_2$ ,  $A_3$  or  $A_4$  in G. Let v,  $v_1$ ,  $v_2$  and  $v_3$  be the vertices as described in Lemma 4.

In all the propositions of this subsection, we start with an acyclic edge-colouring c' of  $G \setminus vv_1$ . So the abbreviations  $F_u$  and  $S_{ab}$  stand for  $F_u(c')$  and  $S_{ab}(c')$  respectively.

**Proposition 10** For any acyclic edge-colouring c' of  $G \setminus vv_1$ ,  $|F_v \cap F_{v_1}| \ge 2$ .

**Proof.** Suppose by way of contradiction that there is an acyclic edge-colouring c' of  $G \setminus vv_1$  with a set C of  $\Delta + 12$  colours such that  $|F_v \cap F_{v_1}| \le 1$ .

Assume first that  $|F_v \cap F_{v_1}| = 0$ . The reader can verify from close examination of Configurations A2, A3 and A4 that  $|F_v \cup F_{v_1}|$  will be maximum for Configuration A2 and therefore  $|F_v \cup F_{v_1}| = |F_v| + |F_{v_1}| \le 2 + 10 = 12$ . Thus there are  $\Delta$  candidate colours for the edge  $vv_1$  and by Lemma 6 all the candidate colours are valid, a contradiction to the assumption that G is a counter-example.

Assume now that  $|F_v \cap F_{v_1}| = 1$ . It is easy to see that  $|F_v \cup F_{v_1}| = |F_v| + |F_{v_1}| - |F_v \cap F_{v_1}| \le 11$  and hence there are at least  $\Delta + 1$  candidate colours for the edge  $vv_1$ . Let  $F_v \cap F_{v_1} = \{\alpha\}$  and let  $u \in N(v)$  be a vertex such that  $c'(vu) = \alpha$ . Now if none of the  $\Delta + 1$  candidate colours is valid for the edge  $vv_1$ , then by Fact 7, for each  $\gamma \in C \setminus (F_v \cup F_{v_1})$ , there exists an  $(\alpha, \gamma, v, v_1)$ -critical path. Since  $c'(vu) = \alpha$ , we have all the critical paths passing through the vertex u and hence  $S_{vu} \subseteq C \setminus (F_v \cup F_{v_1})$ . This implies that  $|S_{vu}| \ge |C \setminus (F_v \cup F_{v_1})| \ge (\Delta + 12) - 11 = \Delta + 1$ , a contradiction since  $|S_{vu}| \le \Delta - 1$ . Thus we have a valid colour for the edge  $vv_1$ , a contradiction to the assumption that G is a counter-example.

Let  $S_{\nu}$  be the multiset defined by  $S_{\nu} = S_{\nu\nu_2} \uplus S_{\nu\nu_3} \uplus ... \uplus S_{\nu\nu_k}$ .

**Proposition 11** For any acyclic edge-colouring c' of  $G \setminus vv_1$ ,  $|F_v \cap F_{v_1}| \neq 2$ .

**Proof.** Suppose not. Let  $F_v \cap F_{v_1} = \{\alpha_1, \alpha_2\}$  and let  $v', v'' \in N_{G \setminus vv_1}(v)$  and  $u', u'' \in N_{G \setminus vv_1}(v_1)$  be such that  $c'(vv') = c'(v_1u') = \alpha_1$  and  $c'(vv'') = c'(v_1u'') = \alpha_2$ . It is easy to see that  $|F_v \cup F_{v_1}| \le 10$ . Thus there are at least  $\Delta + 2$  candidate colours for the edge  $vv_1$ . If any of the candidate colours is valid for the edge  $vv_1$ , we are done. Thus none of the candidate colours is valid for the edge  $vv_1$ . This implies that there exists a  $(\alpha_1, \theta, v, v_1)$ - or  $(\alpha_2, \theta, v, v_1)$ -critical path for each candidate colour  $\theta$ .

## **Claim 11.1** The multiset $S_v$ contains at least $|F_{v_1}| - 1$ colours from $F_{v_1}$ .

**Proof.** Suppose not. Then there are at least two colours in  $F_{v_1}$  which are not in  $S_v$ . Let v and  $\mu$  be any two such colours. Now assign colours v and  $\mu$  to the edges vv' and vv'' respectively to get an edge-colouring c''. Now since  $v, \mu \notin S_v$ , we have  $v \notin S_{vv'}$  and  $\mu \notin S_{vv''}$ . Moreover  $\mu, v \notin F_v(c') \setminus \{\alpha_1, \alpha_2\}$ . Thus the edge-colouring c'' is proper. Now we claim that the edge-colouring c'' is acyclic also. Suppose not. Then there has to be a bichromatic cycle containing at least one of the colours v and  $\mu$ . Clearly this cannot be a  $(v,\mu)$ -bichromatic cycle since  $\mu \notin S_{vv'}$ . Therefore it has to be a  $(v,\lambda)$ - or  $(\mu,\lambda)$ -bichromatic cycle where  $\lambda \in F_v(c'') \setminus \{v,\mu\}$ . Let u be a vertex such that  $c''(vu) = \lambda$ . This means that there was already a  $(\lambda,v,v,v')$ - or  $(\lambda,\mu,v,v'')$ -critical path with respect to the edge-colouring c'. This implies that  $v \in S_{vu}$  or  $u \in S_{vu}$ , implying that  $v \in S_v$  or  $u \in S_v$ , a contradiction. Thus the edge-colouring v' is acyclic. Let  $v \in S_v$  or  $v \in S_v$  or  $v \in S_v$  and  $v' \in S_v$ 

Note that  $|F_v \cup F_{v_1}| \le 10$  (The maximum value of  $|F_v \cup F_{v_1}|$  is attained when the graph has Configuration A2). Therefore there are at least  $\Delta + 2$  candidate colours for the edge  $vv_1$ . If any of the candidate colours are valid for the edge  $vv_1$ , then we are done as this is a contradiction to the assumption that G is a counter-example. Thus none of the candidate colours is valid for the edge  $vv_1$  and therefore there exist either a  $(v, \theta, v, v_1)$ -critical or a  $(\mu, \theta, v, v_1)$ -critical path for each candidate colour  $\theta$ . Let  $C_v$  and  $C_\mu$  respectively be the set of candidate colours which are forming critical paths with colours v and u. Then clearly u0 is u1 in u2 in u3 in u4 in u5 in u6 in u7 in u7 in u8 in u9 in

**Claim 11.2** There exists at least two colours  $\beta_1$  and  $\beta_2$  in  $C \setminus F_{\nu_1}$  with multiplicity at most one in  $S_{\nu}$ .

**Proof.** In view of Claim 11.1 we have  $\sum_{x \in C \setminus F_{\nu}} mult_{S_{\nu}}(x) = ||S_{\nu}|| - (|F_{\nu}| - 1)$ . Thus if  $||S_{\nu}|| - (|F_{\nu_1}| - 1) \le 2|(C \setminus F_{\nu_1})| - 3$ , then there exist at least two colours  $\beta_1$  and  $\beta_2$  in  $C \setminus F_{\nu_1}$  with multiplicity at most one in  $S_{\nu}$ . Thus it is enough to prove  $||S_{\nu}|| \le 2|C| - |F_{\nu_1}| - 4 \le 2\Delta + 24 - |F_{\nu_1}| - 4 = 2\Delta + 20 - |F_{\nu_1}|$ . Now we can easily verify that  $||S_{\nu}|| + |F_{\nu_1}| \le 2\Delta + 20$  for Configurations  $A_2$ ,  $A_3$  and  $A_4$  as follows:

- For A2,  $||S_v|| + |F_{v_1}| \le (d(v_2) 1) + (d(v_3) 1) + |F_{v_1}| = (\Delta 1) + (\Delta 1) + 10 = 2\Delta + 8$ .
- For A3,  $||S_v|| + |F_{v_1}| \le (d(v_2) 1) + (d(v_3) 1) + (d(v_4) 1) + |F_{v_1}| = 10 + (\Delta 1) + (\Delta 1) + 6 = 2\Delta + 14$ .
- For A4,  $||S_v|| + |F_{v_1}| \le (d(v_2) 1) + (d(v_3) 1) + (d(v_4) 1) + (d(v_5) 1) + |F_{v_1}| = 6 + 10 + (\Delta 1) + (\Delta 1) + 5 = 2\Delta + 19.$

The colours  $\beta_1$  and  $\beta_2$  of Claim 11.2 are crucial to the proof. Now we make another claim regarding  $\beta_1$  and  $\beta_2$ :

### **Claim 11.3** $\beta_1$ and $\beta_2 \in F_v$ .

**Proof.** Without loss of generality, let  $\beta_1 \notin F_v$ . Then recalling that  $\beta_1 \notin F_{v_1}$ ,  $\beta_1$  is a candidate for the edge  $vv_1$ . If it is not valid, then there exists either an  $(\alpha_1, \beta_1, vv_1)$ - or  $(\alpha_2, \beta_1, v, v_1)$ -critical path with respect to c'. Since the multiplicity of  $\beta_1$  in  $S_v$  is at most one, we have the colour  $\beta_1$  in exactly one of  $S_{vv'}$  or  $S_{vv''}$ . Without loss of generality let  $\beta_1 \in S_{vv''}$ . Hence there exists an  $(\alpha_2, \beta_1, v, v_1)$ -critical path with respect to c'.

Now recolour the edge vv' with colour  $\beta_1$  to get an edge-colouring c. Then c is proper since  $\beta_1 \notin F_v$  and  $\beta_1 \notin S_{vv'}$ . We shall prove that is is acyclic. Suppose, by way of contradiction, that there is a bichromatic cycle with respect to c. Then it has to be a  $(\beta_1, \gamma)$ -bichromatic cycle for some  $\gamma \in F_v(c) \setminus c(vv')$ . Let  $a \in N_{G \setminus vv_1}(v)$  be such that  $c(va) = \gamma$ . Then the  $(\beta_1, \gamma)$ -bichromatic cycle should contain the edge va and therefore  $\gamma \in S_{va}(c)$ . But we know that v'' is the only vertex in  $N_{G \setminus vv_1}(v)$  such that  $\beta_1 \in S_{vv''}$ . Therefore a = v''. This implies that  $\gamma = \alpha_2$  and there existed an  $(\alpha_2, \beta_1, v, v')$ -critical path with respect to the edge-colouring c'. This is a contradiction to Fact 5 since there already existed an  $(\alpha_2, \beta_1, v, v_1)$ -critical path with respect to the edge-colouring c'.

Thus the edge-colouring c is acyclic and  $|F_{\nu}(c) \cap F_{\nu_1}(c)| = 1$ , a contradiction to Proposition 10.  $\square$ 

Note that  $\{\beta_1, \beta_2\} \cap \{\alpha_1, \alpha_2\} = \emptyset$  since  $\beta_1, \beta_2 \notin F_{v_1}$ . In view of Claim 11.3, we have  $\{\alpha_1, \alpha_2, \beta_1, \beta_2\} \subseteq F_v$  and thus  $|F_v| \ge 4$ , which implies that  $d(v) \ge 5$ . Thus the vertex v belongs to Configuration A4. Therefore d(v) = 5 and  $F_v = \{\alpha_1, \alpha_2, \beta_1, \beta_2\}$ . There are at least  $\Delta + 12 - (5 + 4 - 2) = \Delta + 5$  candidate colours for the edge  $vv_1$ . Also recall that  $d(v_2) \le 7$ ,  $c'(vv') = c'(v_1u') = \alpha_1$  and  $c'(vv'') = c'(v_1u'') = \alpha_2$ .

## **Claim 11.4** $v_2 \notin \{v', v''\}.$

**Proof.** Suppose not. Then, without loss of generality,  $v_2 = v'$  and  $c'(vv_2) = \alpha_1$ . Now if none of the  $\Delta + 5$  candidate colours is valid for the edge  $vv_1$ , then they all are in critical paths that contain either the edge vv' or the edge vv''. Now  $|S_{vv'}| + |S_{vv''}| \le 6 + \Delta - 1 = \Delta + 5$ . Since each of the  $\Delta + 5$  candidate colours has to be present in either in  $S_{vv'}$  or  $S_{vv''}$ , we infer that  $S_{vv''} \cup S_{vv'}$  is exactly the set of candidate colours, i.e.,  $|S_{vv'}| + |S_{vv''}| = \Delta + 5$ . This requires that  $|S_{vv'}| = 6$ ,  $|S_{vv''}| = \Delta - 1$  and  $|S_{vv''}| \cap S_{vv'}| = \emptyset$ . Since for each  $\gamma \in S_{vv''}$ , we have  $(\alpha_2, \gamma, v, v_1)$ -critical path containing u'', we can infer that  $|S_{vv''}| \subseteq S_{v_1u''}$  (Recall that  $|S_{vv''}| = \alpha_2$ ). But since  $|S_{v_1u''}| \le \Delta - 1$ , we have  $|S_{vv''}| = S_{v_1u''}$ . Thus  $|S_{v_1u''}| \cap S_{vv'}| = S_{vv''} \cap S_{vv'}| = \emptyset$ .

Now we exchange the colours of the edges vv' and vv'' to get an edge-colouring c. Hence  $c(vv') = \alpha_2$  and  $c(vv'') = \alpha_1$ . The edge-colouring c is proper since  $\alpha_2 \notin S_{vv'}$  and  $\alpha_1 \notin S_{vv''}$  (Recall that  $S_{vv'}$  and  $S_{vv''}$  contain only candidate colours). We shall prove that c is also acyclic: A bichromatic cycle with respect to c has to be an  $(\alpha_1, \eta)$ - or  $(\alpha_2, \eta)$ -bichromatic cycle for some  $\eta \in F_v$ . Clearly it cannot be an  $(\alpha_1, \alpha_2)$ -bichromatic cycle since  $\alpha_1 \notin S_{vv'}(c)$  and therefore  $\eta \in \{\beta_1, \beta_2\}$  (Recall that  $F_v = \{\alpha_1, \alpha_2, \beta_1, \beta_2\}$ ). This implies that either  $\beta_1$  or  $\beta_2$  belongs to  $S_{vv'} \cup S_{vv''}$ . But we know that  $S_{vv'} \cup S_{vv''}$  is exactly the set of candidate colours for the edge  $vv_1$ , a contradiction since  $\beta_1, \beta_2 \in F_v$  cannot be candidate colours for the edge  $vv_1$ .

Therefore the edge-colouring c is acyclic. By Lemma 9, all the existing critical paths are broken. Now consider a colour  $\gamma \in S_{vv'}$ . If it is still not valid then there has to be a  $(\alpha_2, \gamma, v, v_1)$ -critical path since  $c(vv') = \alpha_2$  and  $\gamma \notin S_{vv''}(c)$ . This implies that  $\gamma \in S_{v_1u''}(c)$ , a contradiction since  $S_{v_1u''}(c) \cap S_{v_1v''}(c)$ 

 $S_{vv'}(c) = \emptyset$ . Thus we have a valid colour for the edge  $vv_1$ , a contradiction to the assumption that G is a counter-example.

From Claim 11.4, we infer that  $c'(vv_2) \notin F_v \cap F_{v_1}$  since  $F_v \cap F_{v_1} = \{c'(vv'), c(vv'')\} = \{\alpha_1, \alpha_2\}$ . Therefore we have  $c(vv_2) \in \{\beta_1, \beta_2\}$  since  $F_v = \{\alpha_1, \alpha_2, \beta_1, \beta_2\}$ . Without loss of generality let  $c(vv_2) = \beta_1$ . We know that the colour  $\beta_2$  can be in at most one of  $S_{vv'}$  and  $S_{vv''}$  by Claim 11.2. Now let v' be such that  $\beta_2 \notin S_{vv'}$ . Note that  $C \setminus (S_{vv'} \cup F_v \cup F_{v_1}) \neq \emptyset$  since  $|S_{vv'} \cup F_v \cup F_{v_1}| \leq \Delta - 1 + 4 + 5 - 2 = \Delta + 6$ . Assign a colour  $\theta \in C \setminus (S_{vv'} \cup F_v \cup F_{v_1})$  to the edge vv' to get an edge-colouring c''. Now  $|F_v(c'') \cap F_{v_1}(c'')| = 1$ . Thus in view of Proposition 10, the edge-coloring c'' is not acyclic. Hence there is a bichromatic cycle with respect to c''. This bichromatic cycle should involve one of the colours  $\alpha_2$ ,  $\beta_1$ ,  $\beta_2$  along with  $\theta$ . Since the bichromatic cycle contains a colour from  $S_{vv'}$  and  $\beta_2 \notin S_{vv'}$ , it cannot be a  $(\theta, \beta_2)$ -bichromatic cycle. Now with respect to the edge-colouring c', colour  $\theta$  was not valid for the edge  $vv_1$  implying that there existed a  $(\alpha_1, \theta, v, v_1)$ - or  $(\alpha_2, \theta, v, v_1)$ -critical path. But  $(\alpha_1, \theta, v, v_1)$ -critical path was not possible since  $\theta \notin S_{vv'}$  by the choice of  $\theta$ . Thus there existed an  $(\alpha_2, \theta, v, v_1)$ -critical path with respect to c'. Thus by Fact 5, there cannot be an  $(\alpha_2, \theta, v, v')$ -critical path with respect to c' and hence there cannot be an  $(\alpha_2, \theta)$ -bichromatic cycle in c'' formed due to the recolouring. Thus if there is a bichromatic cycle formed, then it has to be a  $(\beta_1, \theta)$ -bichromatic cycle, which implies that  $\beta_1 \in S_{vv'}$ .

Now taking into account the fact that  $\beta_1$  is in  $S_{vv'}$  as well as  $F_v$ , we get  $|S_{vv'} \cup F_v \cup F_{v_1}| \leq \Delta - 1 + 4 + 5 - 2 - 1 = \Delta + 5$  and therefore  $|S_{vv'} \cup F_v \cup F_{v_1} \cup S_{vv_2}| \leq \Delta + 5 + 6 = \Delta + 11$ . Thus  $C \setminus (S_{vv'} \cup F_v \cup F_{v_1} \cup S_{vv_2}) \neq \emptyset$ . Now recolour the edge vv' using a colour  $\gamma \in C \setminus (S_{vv'} \cup F_v \cup F_{v_1} \cup S_{vv_2})$  to get an edge-colouring c. Clearly this edge-colouring is proper. It is also acyclic since if a bichromatic cycle gets formed it has to be a  $(\beta_1, \gamma)$  bichromatic cycle (Note that the  $(\alpha_2, \gamma)$  and  $(\beta_2, \gamma)$  bichromatic cycles are argued out as before). But  $\gamma \notin S_{vv_2}$ , a contradiction. Thus the edge-colouring c is acyclic.

But  $|F_{\nu}(c) \cap F_{\nu_1}(c)| = 1$ , a contradiction to Proposition 10. This completes the proof of Proposition 11.

**Proposition 12** For any acyclic edge-colouring c' of  $G \setminus vv_1$ ,  $|F_v \cap F_{v_1}| \neq 3$ .

**Proof.** Suppose not. Let c' be an acyclic edge-colouring of  $G \setminus vv_1$  such that  $|F_v \cap F_{v_1}| = 3$ . Then  $|F_v| \ge 3$  and therefore  $d(v) \ge 4$ . Thus v belongs to either configuration A3 or A4. Let  $S'_v$  be the multiset defined by  $S'_v = S_v \setminus (F_{v_1} \cup F_v)$ . Let  $v', v'', v''' \in N_{G \setminus vv_1}(v)$  be such that  $\{c(vv'), c(vv''), c(vv''')\} = F_v \cap F_{v_1}$ . Also let  $c(vv') = \alpha_1$ ,  $c(vv'') = \alpha_2$  and  $c(vv''') = \alpha_3$ .

**Claim 12.1**  $||S'_{v}|| \le 2\Delta + 11$ .

**Proof.** When d(v) = 4, it is clear that  $||S'_v|| \le (d(v_2) - 1) + (d(v_3) - 1) + (d(v_4) - 1) \le 10 + \Delta - 1 + \Delta - 1 = 2\Delta + 8$ . On the other hand when d(v) = 5, try to recolour one of the edges vv', vv'', vv''' using a colour in  $C \setminus (F_v \cup F_{v_1})$ . There are  $\Delta + 6$  colours in  $C \setminus (F_v \cup F_{v_1})$ . If any of these colours is valid for one of vv', vv'' or vv''', then recolouring this edge with this colour, we obtain an acyclic edge-colouring c'' satisfying  $|F_v(c'') \cap F_{v_1}(c'')| = 2$ . This contradicts Proposition 11. Hence there has to be a bichromatic cycle formed during each recolouring. Since such a bichromatic cycle has to be a  $(\gamma_1, \gamma_2)$ -bichromatic cycle where  $\gamma_1$  is the colour used in the recolouring and  $\gamma_2 \in F_v \setminus \{\gamma_1\}$ , we infer that  $S_{vv'}$ ,  $S_{vv''}$  and  $S_{vv'''}$  contain at least one colour from  $F_v$ . Thus we have  $||S'_v|| \le ||S_v|| - 3 \le (d(v_2) - 1) + (d(v_3) - 1) + (d(v_4) - 1) + (d(v_5) - 1) - 3 \le 6 + 10 + \Delta - 1 + \Delta - 1 - 3 = 2\Delta + 11$ .  $\square$ 

**Claim 12.2** There exists at least one colour  $\beta \in C \setminus (F_v \cup F_{v_1})$  with multiplicity at most one in  $S'_v$ .

**Proof.** Since v belongs to either configuration A3 or configuration A4, we have  $|F_v \cup F_{v_1}| \le 9 - 3 = 6$ . Thus  $|C \setminus (F_v \cup F_{v_1})| \le \Delta + 6$ . By *Claim* 12.1 we have  $||S'_v|| \le 2\Delta + 11$  and from this it is easy to see that there exists at least one colour  $\beta \in C \setminus (F_v \cup F_{v_1})$  with multiplicity at most one in  $S'_v$ .

Note that  $\beta \in C \setminus (F_v \cup F_{v_1})$ , where  $\beta$  is the colour from Claim 12.2 is a candidate colour for the edge  $vv_1$ . If it is not valid then there has to be a  $(\theta, \beta, v, v_1)$ -critical path, where  $\theta \in \{\alpha_1, \alpha_2, \alpha_3\}$ . By Claim 12.2,  $\beta$  can be present in at most one of  $S_{vv'}$ ,  $S_{vv''}$  and  $S_{vv'''}$ . Without loss of generality let  $\beta \in S_{vv''}$ . Thus there exists an  $(\alpha_2, \beta, v, v_1)$ -critical path with respect to the edge-colouring c'. Recolour the edge vv' using the colour  $\beta$  to get an edge-colouring c. Clearly c is proper since  $\beta \notin S_{vv'}$  and  $\beta \notin F_v$ . Let us show that it is also acyclic. A bichromatic cycle (with respect to c) has to contain the colour  $\beta$  as well as a colour  $\gamma \in F_v(c) \setminus \{\beta\}$ . If  $\gamma = c(vw)$ , then  $\beta \in S_{vw}$ , for the  $(\beta, \gamma)$ -bichromatic cycle to get formed. But v'' is the only vertex in  $N_{G\setminus vv_1}(v)$  such that  $\beta \in S_{vv''}$ . Thus w = v'',  $\gamma = \alpha_2$  and the cycle is an  $(\alpha_2, \beta)$ -bichromatic cycle. This means that there existed an  $(\alpha_2, \beta, v, v')$ -critical path with respect to the edge-colouring c', a contradiction to Fact 5 since there already existed an  $(\alpha_2, \beta, v, v_1)$ -critical path with respect to the edge-colouring c'. Thus the edge-colouring c is acyclic.

But  $|F_{\nu}(c) \cap F_{\nu_1}(c)| = 2$ , a contradiction to Proposition 11. This completes the proof of Proposition 12.

**Proposition 13** For any acyclic edge-colouring c' of  $G \setminus vv_1$ ,  $|F_v \cap F_{v_1}| \neq 4$ .

**Proof.** Suppose not. Let c' be an acyclic edge-colouring of  $G \setminus vv_1$  such that  $|F_v \cap F_{v_1}| = 4$ . Then  $|F_v| \ge 4$  and since  $d(v) \le 5$ , we have d(v) = 5. Hence v belongs to Configuration A4. Let  $S'_v$  be the multiset defined by  $S'_v = S_v \setminus (F_{v_1} \cup F_v)$ . Also let  $c(vv_2) = \alpha_1$ ,  $c(vv_3) = \alpha_2$ ,  $c(vv_4) = \alpha_3$  and  $c(vv_5) = \alpha_4$ .

Now try to recolour an edge incident on v with a candidate colour from  $C \setminus (F_v \cup F_{v_1})$ . If the obtained edge-colouring c'' is acyclic then  $|F_v(c'') \cap F_{v_1}(c'')| = 3$ , a contradiction to Proposition 12. Hence there has to be a bichromatic cycle created due to recolouring with one of the colours from  $F_v$ . This implies that  $F_v \cap S_v' \neq \emptyset$ . Thus we have  $||S_v'|| \leq ||S_v|| - 1 \leq (d(v_2) - 1) + (d(v_3) - 1) + (d(v_4) - 1) + (d(v_5) - 1) \leq 6 + 10 + \Delta - 1 + \Delta - 1 - 1 = 2\Delta + 13$ . Now since there are  $|C \setminus (F_v \cup F_{v_1})| \geq \Delta + 12 - (4 + 5 - 4) = \Delta + 7$  candidate colours and  $||S_v'|| \leq 2\Delta + 13$ , it is easy to see that there exists at least one candidate colour  $\beta$  with multiplicity at most one in  $S_v'$ .

Note that  $\beta \in C \setminus (F_v \cup F_{v_1})$  is a candidate colour for the edge  $vv_1$ . If it is not valid then there has to be a  $(\theta, \beta, v, v_1)$ -critical path, where  $\theta \in \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$ . We know that  $\beta$  can be present in at most one of  $S_{vv_2}$ ,  $S_{vv_3}$ ,  $S_{vv_4}$  and  $S_{vv_5}$ . Without loss of generality let  $\beta \in S_{vv_3}$ . Thus there exists an  $(\alpha_2, \beta, v, v_1)$ -critical path with respect to the edge-colouring c'. Recolour the edge  $vv_2$  using the colour  $\beta$  to get an edge-colouring c. Clearly c is proper since  $\beta \notin S_{vv_2}$  and  $\beta \notin F_v$ . Let us now show that it is acyclic. A bichromatic cycle with respect to c has to contain the colour  $\beta$  as well as a colour  $\gamma \in F_v(c) \setminus \{\beta\}$ . If  $\gamma = c(vw)$ , then  $\beta \in S_{vw}$ , for the  $(\beta, \gamma)$  bichromatic cycle to get formed. But  $v_3$  is the only vertex in  $N_{G \setminus vv_1}(v)$  such that  $\beta \in S_{vv_3}$ . Thus  $w = v_3$ ,  $\gamma = \alpha_2$  and it has to be a  $(\beta, \alpha_2)$  bichromatic cycle. This means that there existed an  $(\alpha_2, \beta, v, v_2)$ -critical path with respect to the edge-colouring c', a contradiction to Fact 5 since there already existed an  $(\alpha_2, \beta, v, v_1)$ -critical path with respect to the edge-colouring c'. Thus the edge-colouring c is acyclic.

But  $|F_{\nu}(c) \cap F_{\nu_1}(c)| = 3$ , a contradiction to Proposition 12.

By Lemma 4,  $d_{G\setminus vv_1}(v) \le 4$ . Thus  $|F_v \cap F_{v_1}| \le |F_v| \le 4$ . Then Propositions 10, 11, 12 and 13 gives a contradiction to the assumption that G contains a Configuration A2, A3 or A4.

### 2.3 There is no Configuration A2, A3 or A4

In the previous subsection, we showed that G contains no Configuration A2, A3 or A4. Then by Lemma 4, there is a Configuration A1, that is a vertex v such that d(v) = 2. Now delete all the degree 2 vertices from G to get a graph H. Now since the graph H is also planar, there exists a vertex v' in H such that v' belongs to one of the configurations A1, A2, A3 or A4, say A'. The vertex v' was not already in Configuration A' in G. This means that the degree of at least one of the vertices of the configuration A' i.e.,  $\{v'\} \cup N_H(v')$ , got decreased by the removal of 2-degree vertices. Let  $P = \{x \in \{v'\} \cup N_H(v') : d_H(x) < d_G(x)\}$ . Let u be the minimum degree vertex in P in the graph H. Now it is easy to see that  $d_H(u) \le 11$  since v' did not belong to A' in G.

Let  $N'(u) = \{x | x \in N_G(u) \text{ and } d_G(u) = 2\}$ . Let  $N''(u) = N_G(u) - N'(u)$ . It is obvious that  $N''(u) = N_H(u)$ .

Since  $u \in P$  and  $d_H(u) \le 11$ , we have  $|N'(u)| \ge 1$  and  $N''(u) \le 11$ . In G let  $u' \in N'(u)$  be a two degree neighbour of u such that  $N(u') = \{u, u''\}$ . Now by minimality of G, the graph  $G \setminus uu'$  admits an acyclic edge-colouring c' using a set C of  $\Delta + 12$  colours. Let  $F'_u = \{c'(ux)|x \in N''(u)\}$  and  $F''_u = \{c'(ux)|x \in N''(u)\}$ . Now if  $c(u'u'') \notin F_u$  we are done since  $|F_u \cup F_{u'}| \le \Delta$  and thus there are at least 12 candidate colours which are also valid by Lemma 6.

We know that  $|F''_v| \le 11$ . If  $c'(u'u'') \in F'_v$ , then let c = c'. Else if  $c'(u'u'') \in F''_v$ , then recolour edge u'u'' using a colour from  $C \setminus (S_{u'u''} \cup F''_v)$  to get an edge-colouring c (Note that  $|C \setminus (S_{u'u''} \cup F''_v)| \ge \Delta + 12 - (\Delta - 1 + 11) = 2$  and since u' has degree one in  $G - \{uu'\}$ , c is acyclic). Now if  $c(u'u'') \notin F_u$  the proof is already discussed. Thus  $c(u'u'') \in F'_u$ .

Let us now consider the edge-colouring c. Let  $a \in N'(u)$  be such that  $c(ua) = c(u'u'') = \alpha$ . Now if none of the candidate colours in  $C \setminus (F_u \cup F_{u'})$  are valid for the edge uu', then by Fact 7, for each  $\gamma \in C \setminus (F_u \cup F_{u'})$ , there exists an  $(\alpha, \gamma, u, u')$ -critical path. Since  $c'(ua) = \alpha$ , we have all the critical paths passing through the vertex a and hence  $S_{ua} \subseteq C \setminus (F_u \cup F_{u'})$ . This implies that  $|S_{ua}| \ge |C \setminus (F_u \cup F_{u'})| \ge \Delta + 12 - (1 + \Delta - 1 - 1) = 13$ , a contradiction since  $|S_{ua}| = 1$ . Thus we have a valid colour for the edge uu', a contradiction to the assumption that G is a counter-example.

This final contradiction completes the proof of Theorem 3.

# 3 Planar graphs of girth at least 5

The aim of this section is to prove Conjecture 2 for planar graphs of girth at least 5. Actually, we prove the conjecture for a more general class of graphs: the graphs of maximum average degree at most 10/3. The *average degree* of a graph G is  $Ad(G) = \frac{1}{|V(G)|} \sum_{v \in V(G)} d(v) = \frac{2|E(G)|}{|V(G)|}$ . The *maximum average degree* of G is  $Mad(G) = \max\{Ad(H) \mid H \text{ is a subgraph of } G\}$ . It is well known that the girth and the maximum average degree of a planar graph are related to each other:

**Proposition 14** Let G be a planar graph of girth g.

$$Mad(G) < 2 + \frac{4}{g-2}.$$

**Theorem 15** Let  $\Delta \geq 19$  and G be a graph with maximum degree at most  $\Delta$  and maximum average degree less than  $\frac{10}{3}$ . Then  $\chi'_a(G) \leq \Delta$ .

Theorem 15 and Proposition 14 immediately yield the following.

**Corollary 16** Let  $\Delta \geq 19$  and G be a planar graph with maximum degree at most  $\Delta$  and girth at least 5. Then  $\chi'_a(G) \leq \Delta$ .

More generally than Theorem 15, we show the following.

**Theorem 17** For any  $\varepsilon > 0$ , there exists an integer  $\Delta_{\varepsilon}$  such that every graph G with maximum degree at most  $\Delta$  with  $\Delta \geq \Delta_{\varepsilon}$  and maximum average degree less than  $4 - \varepsilon$  is acyclically  $\Delta$ -edge-colourable.

In order to prove Theorems 15 and 17, we first establish some properties of  $\Delta$ -minimal graphs which are graphs with maximum degree at most  $\Delta$ , not acyclically  $\Delta$ -edge-colourable but such that every proper subgraph is. Then, by the Discharging Method, we deduce that such a graph has maximum average degree at least  $4-\epsilon$  (resp. 10/3) if  $\Delta$  is at least  $\Delta_{\epsilon}$  (resp. 19). We will first prove, in Subsection 3.2, Theorem 17 for its discharging procedure is simpler because we only establish the existence of  $\Delta_{\epsilon}$  and make no attempt to minimize it. We then show Theorem 15 in Subsection 3.3.

A vertex of degree *i* is called an *i-vertex* and an *i-neighbour* of a vertex *v* is a neighbour of *v* having degree *i*.

### 3.1 Properties of $\Delta$ -minimal graphs

**Proposition 18** A  $\Delta$ -minimal graph G is 2-connected. In particular,  $\delta(G) \geq 2$ .

**Proof.** If G is not connected, it is the disjoint union of  $G_1$  and  $G_2$ . Both  $G_1$  and  $G_2$  admits an acyclic  $\Delta$ -edge-colouring by minimality of G. The union of these two edge-colourings is an acyclic  $\Delta$ -edge-colouring of G.

Suppose now that G has a cutvertex v. Let  $C_i$ , for  $1 \le i \le p$  be the components of G - v and  $G_i$  the graph induced by  $C_i \cup \{v\}$ . By minimality of G, all the  $G_i$  admit an acyclic  $\Delta$ -edge-colouring. Moreover, free to permute the colours we may assume that two edges incident to v get different colours. Hence the union of these edge-colourings is an acyclic  $\Delta$ -edge-colouring of G because any cycle of G is entirely contained in one of the  $G_i$ .

**Proposition 19** Let G be a  $\Delta$ -minimal graph. For every vertex  $v \in V(G)$ ,  $\sum_{u \in N(v)} d(u) \ge \Delta + 1$ .

**Proof.** Suppose by way of contradiction that there is a vertex v such that  $\sum_{u \in N(v)} d(u) \leq \Delta$ . Let w be a neighbour of v. By minimality of G,  $G \setminus vw$  admits an acyclic edge-colouring with  $\Delta$  colours. Now colour vw with a colour distinct from the ones of the edges incident to a neighbour of v. This is possible as there are at most  $\Delta - 1$  such edges distinct from vw. Doing so we clearly obtain a proper edge-colouring. Let us now show that there is no bicoloured cycle. A cycle that does not contain vw has edges of at least three colours as the edge-colouring of G was acyclic and a cycle containing vw must contain an edge vu and an edge tu with  $u \in N(v) \setminus \{w\}$ . By construction, the colours of tu, uv and vw are distinct.

A thread is a path of length two whose internal vertex has degree 2.

**Proposition 20** Let  $k \ge 2$  be an integer and G a  $\Delta$ -minimal graph. In G, a  $\Delta$ -vertex is the end of at most k threads whose other endvertex has degree at most k.

To prove this proposition we need the following lemma.

**Lemma 21** Let H = ((A,B),E) be a bipartite graph with |A| = |B| = q such that for any vertex  $a \in A$  d(a) = 1 and let  $K_{A,B}$  be the complete bipartite graph with bipartition (A,B). If at least 3 vertices of B of degree at least one in B then there exists a perfect matching B of B of B of that the bipartite graph  $(A,B),E \cup B$  has girth at least B.

**Proof.** Let m be the number of vertices of B of degree at least one. Let  $b_1, \ldots, b_q$  be the vertices of B with  $d(b_i) \geq 1$  if  $i \leq m$  and  $d(b_i) = 0$  otherwise. And let  $a_1, \ldots, a_q$  be the vertices of A with  $a_ib_i \in E$  for all  $1 \leq i \leq m$ . If  $m \geq 3$ , let  $M = \{a_ib_{i+1} \mid 1 \leq i < m\} \cup \{a_mb_1\} \cup \{a_ib_i \mid m < i \leq q\}$ . Then the unique cycle in  $((A, B), E \cup M)$  is  $C = (a_1, b_2, a_2, b_3, \ldots, a_{m-1}, b_m, a_1)$ . It has length  $2m \geq 6$ .

**Proof of Proposition 20**. Suppose for a contradiction that there is a  $\Delta$ -vertex u with q = k + 1 threads  $uv_iw_i$ ,  $1 \le i \le q$ , such  $d(w_i) \le k$ . Note that  $q \ge 3$ .

Set  $A = \{v_1, \dots, v_q\}$ . By Proposition 18,  $w_i \notin A$  for all  $1 \le i \le q$ . By minimality of G, G - A admits an acyclic  $\Delta$ -edge-colouring.

Let us first extend it to the  $v_i w_i$  as follows. Let F be the set of colours assigned to the edges incident to u and to no vertex of A and for  $1 \le i \le q$  let  $F_i$  be the set of colours assigned to the edges incident to  $w_i$  (and distinct from  $v_i w_i$ ). Then  $|F| = \Delta - q$  and  $|F_i| \le k - 1$ . For all  $1 \le i \le q$ , let  $S_i$  be the set of colours not in  $F \cup F_i$ . Since  $|F| + |F_i| = \Delta - q + k - 1 = \Delta - 2$  then  $|S_i| \ge 2$ .

Assume first that  $|\bigcup_{i=1}^q S_i| \ge 3$ , then one can assign to each  $v_i w_i$  a colour in  $S_i$  in such a way that at least 3 colours appear on such edges and that different colours appear on  $v_i w_i$  and  $v_j w_j$  if  $w_i = w_j$ . We will now colour the edges  $uv_i$  for  $1 \le i \le q$ . Therefore let  $H_1 = ((A,B),E_1)$  be the bipartite graph with B the set of q colours  $\{b_1,\ldots,b_q\}$  not in F and in which  $v_i$  is adjacent to  $b_j$  if  $c(v_i w_i) = b_j$ . As long as some  $v_i$  has degree 0 then add an edge between  $a_i$  and an isolated  $b_j$  to obtain a bipartite graph  $H_2 = ((A,B),E_2)$ . Because at least three colours appear on the  $v_i w_i$ , the graph  $H_2$  fulfils the hypothesis of Lemma 21. So there exists a perfect matching M of  $K_{A,B}$  such that  $((A,B),E_2 \cup M)$  has girth at least 6. For  $1 \le i \le q$ , assign to each  $uv_i$  the colour to which  $v_i$  is linked in M.

Let us now prove that this edge-colouring of G is acyclic. It is obvious that it is proper since  $v_i$  is not linked to  $c(v_iw_i)$  in M. Let us now prove that it is acyclic. Let C be a cycle of G. If it contains no vertex of A, then it contains edges of three different colours because the edge-colouring of G-A is acyclic. Suppose now that C contains a unique vertex of A, say  $v_i$ . Then C contains  $w_iv_i$ ,  $v_iu$  and ut with t a neighbour of u not in A. Then  $c(ut) \in F$ , so by construction,  $c(w_iv_i) \neq c(ut)$ . Hence the colours of  $w_iv_i$ ,  $v_iu$  and ut are distinct. Suppose finally that C contains two vertices of A, say  $v_i$  and  $v_j$ . Then C contains  $w_iv_i$ ,  $v_iu$ ,  $w_jv_j$  and  $v_ju$ . Since  $((A,B),E_2 \cup M)$  has girth at least G, either  $C(v_iu) \neq C(w_jv_j)$  or  $C(v_ju) \neq C(w_iv_i)$ . In both cases, C has edges of three different colours.

Asumme now that  $|\bigcup_{i=1}^q S_i| < 3$ . Then all the  $S_i$  are equal and of cardinality 2, say  $S_i = \{a,b\}$  for all  $1 \le i \le q$ . Hence all the  $F_i$  are the same of cardinality k-1 and disjoint from F. Observe that this can happen only if all the  $w_i$  are distinct. Let us denote by  $f_1, \ldots, f_{k-1}$  the elements of the  $F_i$ . Let us set  $c(v_iw_i) = a$  for  $1 \le i \le k$ ,  $c(v_qw_q) = b$ ,  $c(uv_i) = f_i$  for  $1 \le i \le k-1$ ,  $c(uv_k) = b$  and  $c(uv_{k+1}) = a$ . It is easy to check that the obtained edge-colouring is an acyclic edge-colouring of G.

**Proposition 22** Let k and l be two positive integers and G a  $\Delta$ -minimal graph. In G, a  $(\Delta - l)$ -vertex is the end of at most k-1-l threads whose other endvertex has degree at most k.

To prove this proposition we need the following lemma.

**Lemma 23** Let H = ((A,B),E) be a bipartite graph with q = |A| < |B| such that for any vertex  $a \in A$  d(a) = 1 and  $K_{A,B}$  be the complete bipartite graph with bipartition (A,B).

Then there exists a matching M of  $K_{A,B}$  saturating A such that the bipartite graph  $((A,B), E \cup M)$  has no cycle.

**Proof.** Let q' = |B|. Let  $b_1, \ldots, b_{q'}$  be the vertices of B with  $d(b_i) \ge 1$  if  $i \le m$  and  $d(b_i) = 0$  otherwise. And let  $a_1, \ldots, a_q$  be the vertices of A with  $a_i b_i \in E$  for all  $1 \le i \le m$ . Let  $M = \{a_i b_{i+1} \mid 1 \le i \le q\}$ . This is well-defined since q' > q. Then  $((A, B), E \cup M)$  has no cycle.

**Proof of Proposition 22**. Suppose for a contradiction that there is a  $(\Delta - l)$ -vertex u with q = k - l threads  $uv_iw_i$ ,  $1 \le i \le q$ , such  $d(w_i) \le k$ .

Set  $A = \{v_1, \dots, v_q\}$ . By minimality of G, G - A admits an acyclic  $\Delta$ -edge-colouring. Let us first extend it to the  $v_i w_i$  as follows. Let F be the set of colours assigned to the edges incident to u and to no vertex of A and for  $1 \le i \le q$  let  $F_i$  be the set of colours assigned to the edges incident to  $w_i$  (and distinct from  $v_i w_i$ ). Then  $|F| = \Delta - l - q$  and  $|F_i| \le k - 1$ .

For all  $1 \le i \le q$  colour  $v_i w_i$  with a colour not in  $F \cup F_i$  and distinct from the colours. This is possible since  $|F| + |F_i| = \Delta - l - q + k - 1 = \Delta - 1$ .

We will now colour the edges  $uv_i$  for  $1 \le i \le q$ . Therefore let  $H_1 = ((A,B),E_1)$  be the bipartite graph with B the set of q+j colours  $\{b_1,\ldots,b_{q+j}\}$  not in F and in which  $v_i$  is adjacent to  $b_j$  if  $c(v_iw_i)=b_j$ . As long as some  $v_i$  has degree 0 then add an edge between  $a_i$  and an isolated  $b_j$  to obtain a bipartite graph  $H_2 = ((A,B),E_2)$ . Then  $H_2$  fulfils the hypothesis of Lemma 23 so there exists a perfect matching M of  $K_{A,B}$  such that  $((A,B),E_2\cup M)$  has no cycle. For  $1\le i\le q$ , assign to each  $uv_i$  the colour to which  $v_i$  is linked in M.

In the same way as in the proof of Proposition 20, one shows that the obtained edge-colouring is acyclic.  $\Box$ 

### 3.2 Proof of Theorem 17

**Lemma 24** Let  $\varepsilon > 0$ . There exists  $\Delta_{\varepsilon}$  such that if  $\Delta \geq \Delta_{\varepsilon}$  then any  $\Delta$ -minimal graph has average degree at least  $4 - \varepsilon$ .

**Proof**. The result for  $\varepsilon = \frac{1}{2}$  implies the result for larger values of  $\varepsilon$ . Hence we assume that  $\varepsilon \leq \frac{1}{2}$ . Let us assign an initial charge of d(v) to each vertex  $v \in V(G)$  Set  $d_{\varepsilon} = \left\lceil \frac{8}{\varepsilon} - 2 \right\rceil$ .

We perform the following discharging rules.

**R1:** for  $4 \le d < d_{\varepsilon}$ , every d-vertex sends  $a(d) = 1 - \frac{4-\varepsilon}{d}$  to each neighbour.

**R2:** for  $d_{\varepsilon} \leq d \leq \Delta + 1 - d_{\varepsilon}$  then every *d*-vertex sends  $1 - \frac{\varepsilon}{2}$  to each neighbour.

**R3:** for  $\Delta + 2 - d_{\varepsilon} \le d \le \Delta$  then every *d*-vertex sends

- $1 \varepsilon$  to each 3-neighbour;
- $2 \varepsilon$  to each 2-neighbour whose second neighbour has degree 2 or 3;
- $b(d) = 2 \varepsilon a(d)$  to each 2-neighbour whose second neighbour has degree d with  $4 \le d < d_{\varepsilon}$ ;
- $1 \frac{\varepsilon}{2}$  to each 2-neighbour whose second neighbour has degree  $d \ge d_{\varepsilon}$ .

Let us now check that every vertex v has final charge f(v) at least  $4 - \varepsilon$ .

If v is a 2-vertex then let u and w be its two neighbours with  $d(u) \le d(w)$ . If  $d(u) \le 3$  then  $d(w) \ge \Delta - 2$  by Proposition 19. Hence v receives  $2 - \varepsilon$  from w by R3, so  $f(v) \ge 2 + 2 - \varepsilon = 4 - \varepsilon$ . If  $4 \le d(u) < d_{\varepsilon}$  then  $d(w) > \Delta + 1 - d_{\varepsilon}$  by Proposition 19. Hence v receives a(d) from u by R2 and

b(d) from w by R3. So  $f(v) = 4 - \varepsilon$ . If  $d(u) \ge 10$  then v receives  $1 - \frac{\varepsilon}{2}$  from u and  $1 - \frac{\varepsilon}{2}$  from w by R3. So  $f(v) = 4 - \varepsilon$ .

Suppose that v is a 3-vertex. Then by Proposition 19 it has at least two  $(\ge 8)$ -neighbours. Hence it receives at least  $2 \times 1/2$  by R1, R2 or R3 because  $\varepsilon \le \frac{1}{2}$ . So  $f(v) \ge 4$ .

Suppose  $4 \le d(v) < d_{\varepsilon}$ . Then v sends d(v) times  $1 - \frac{4-\varepsilon}{d(v)}$  so  $f(v) \ge 4 - \varepsilon$ .

Suppose  $d_{\varepsilon} \le d(v) \le \Delta + 1 - d_{\varepsilon}$ . Then v sends at most d(v) times  $1 - \frac{\varepsilon}{2}$  so  $f(v) \ge d(v) \times \frac{\varepsilon}{2} \ge 4 - \varepsilon$ .

Suppose now that  $d(v) \ge \Delta + 2 - d_{\rm E}$ . Then by Propositions 20 and 22, the most v can send is when it has three 2-neighbours with second neighbour of degree at most 3, one 2-neighbour with second neighbour of degree d for all  $4 \le d \le d_{\rm E} - 1$  and  $\Delta - d_{\rm E} + 1$  2-neighbours with second neighbour of degree at least  $d_{\rm E}$ . Hence

$$f(v) \geq \Delta + 2 - d_{\varepsilon} - 3(2 - \varepsilon) - \sum_{d=4}^{d_{\varepsilon} - 1} b(d) - (\Delta - d_{\varepsilon} + 1)(1 - \frac{\varepsilon}{2})$$
$$\geq \Delta \frac{\varepsilon}{2} - S_{\varepsilon}$$

with 
$$S_{\varepsilon} = d_{\varepsilon} - 2 + 3(2 - \varepsilon) + \sum_{d=4}^{d_{\varepsilon}-1} b(d) - (1 - \frac{\varepsilon}{2})(d_{\varepsilon} - 1)$$
. Setting  $\Delta_{\varepsilon} = \left\lceil \frac{2}{\varepsilon}(S_{\varepsilon} + 4 - \varepsilon) \right\rceil$ , if  $\Delta \ge \Delta_{\varepsilon}$ ,  $f(v) \ge 4 - \varepsilon$ .

**Proof of Theorem 17**. If Theorem 17 were false, then a minimum counterexample G would be a  $\Delta$ -minimum graph. So by Lemma 24, its average degree would be at least  $4 - \varepsilon$ , a contradiction.  $\square$ 

### 3.3 Proof of Theorem 15

Lemma 24 for  $\varepsilon = 2/3$  yields that for  $\Delta \ge \Delta_{2/3}$ , a  $\Delta$ -minimal graph G satisfies  $Mad(G) \ge Ad(G) \ge 10/3$ . The value of  $\Delta_{2/3}$  given by the proof of Lemma 24 is 49. We now show that it could be decreased to 19.

**Lemma 25** Let  $\Delta \ge 19$  and G be a  $\Delta$ -minimal graph. Then  $Mad(G) \ge Ad(G) \ge 10/3$ .

**Proof**. Let us assign an initial charge of d(v) to each vertex  $v \in V(G)$  and perform the following discharging rules.

**R1:** every 4-vertex sends 4/9 to each of its ( $\leq 3$ )-neighbours;

**R2:** every 5-vertex sends 7/12 to each 2-neighbour and 1/3 to each 3-neighbour;

**R3:** for  $6 \le d \le 9$ , every *d*-vertex sends 1 - 10/3d to each neighbour.

**R4:** for  $10 \le d \le \Delta - 9$  then every d-vertex sends 2/3 to each neighbour.

**R5:** for  $\Delta - 8 \le d \le \Delta$  then every *d*-vertex sends

- 2/3 to each *d*-neighbour with  $3 \le d \le 5$ ;
- 4/3 to each 2-neighbour whose second neighbour has degree 2 or 3;
- 8/9 to each 2-neighbour whose second neighbour has degree 4;

- 9/12 to each 2-neighbour whose second neighbour has degree 5;
- 1/3 + 10/3d to each 2-neighbour whose second neighbour has degree d with  $6 \le d \le 9$ ;
- 2/3 to each 2-neighbour whose second neighbour has degree  $d \ge 10$ .

Let us now check that every vertex  $\nu$  has final charge  $f(\nu)$  at least  $\frac{10}{3}$ .

since  $\Delta \ge 17$ . Hence  $f(v) \ge 5 - i \cdot \frac{7}{12} - (4 - i) \frac{1}{3} + 1 - \frac{10}{3(6+i)} > 10/3$ .

If v is a 2-vertex then let u and w be its two neighbours with  $d(u) \le d(w)$ . If  $d(u) \le 3$  then  $d(w) \ge \Delta - 2$  by Proposition 19. Hence v receives 4/3 from w by R5, so  $f(v) \ge 2 + 4/3 = 10/3$ . If d(u) = 4 then  $d(w) \ge \Delta - 3$  by Proposition 19. Hence v receives 4/9 from u by R1 and 8/9 from w by R5. So f(v) = 10/3. If d(u) = 5 then  $d(w) \ge \Delta - 4$  by Proposition 19. Hence v receives 7/12 from u by R2 and 9/12 from w by R5. So f(v) = 10/3. If  $6 \le d(u) \le 9$  then  $d(w) \ge \Delta - 8$  by Proposition 19. Hence v receives 1 - 10/3d from u by R3 and 1/3 + 10/3d from w by R5. So f(v) = 10/3. If  $d(u) \ge 10$  then v receives 2/3 from u by R4 and 2/3 from w by R5. So f(v) = 10/3.

Suppose that v is a 3-vertex. Then, since  $\Delta \ge 10$ , by Proposition 19 it has either a  $(\ge 5)$ -neighbour or two 4-neighbours. Hence it receives either at least 1/3 by R2, R3, R4 or R5, or  $2 \times 4/9 \ge 1/3$  by R1. In both cases,  $f(v) \ge 3 + 1/3 = 10/3$ .

Suppose that v is a 4-vertex. Then, since  $\Delta \ge 18$ , by Proposition 19, it has either three  $(\le 3)$ -neighbours and one  $(\ge 10)$ -neighbour or at most two  $(\le 3)$ -neighbours. In the first case, it sends 4/9 to each of its 3-neighbours and receives 2/3 form its  $(\ge 10)$ -neighbour. So  $f(v) \ge 4 - 3 \times \frac{4}{9} + \frac{2}{3} = 10/3$ . In the second case, it sends 4/9 to at most 2 neighbours. So  $f(v) \ge 4 - 2 \times \frac{4}{9} > 10/3$ .

Suppose that v is a 5-vertex.

Assume first that v has at most three  $(\le 3)$ -neighbours. If it has at least one (3)-neighbour it sends at most 3/2 so  $f(v) \ge 5 - 3/2 > 10/3$ . If not it has three 2-neighbours. Let  $u_1$  and  $u_2$  be the two  $(\ge 4)$ -neighbours of v. By Proposition 19,  $d(u_1) + d(u_2) \ge 11$  since  $\Delta \ge 16$ . Hence one of these two vertices is a  $(\ge 6)$ -vertex and it sends at least 4/9 to u. Hence  $f(v) \ge 5 + 4/9 - 7/4 > 10/3$ . Assume now that v has at least four  $(\le 3)$ -neighbours. Let i be the number of 2-neighbours of v. Then by Proposition 19, v has exactly 4 - i 3-neighbours and its fifth neighbour has degree at least 6 + i

Suppose  $6 \le d(v) \le 9$ . Then v sends d(v) times 1 - 10/3d(v) so  $f(v) \ge d(v) - d(v)(1 - 10/3d) = 10/3$ .

Suppose  $10 \le d(v) \le \Delta - 10$ . Then v sends at most d(v) times 2/3 so  $f(v) \ge d(v)(1-2/3) \ge 10/3$ .

Suppose that  $d(v) = \Delta - l$  for  $1 \le l \le 7$ . By Proposition 22, v is incident to at most  $\Delta - l - 1$  threads so its has at least one  $(\ge 3)$ -neighbour to which it sends at most 2/3. Moreover the most it can send is when it has exactly one 2-neighbour with second neighbour of degree d for each  $l + 2 \le d \le 9$  and d = 0 2-neighbours with second neighbour of degree at least 10. Hence its final charge is

$$f(v) \geq \Delta - l - \left( (\Delta - 8) \frac{2}{3} + \sum_{d=l+2}^{9} s(d) \right)$$
$$\geq \frac{1}{3} \Delta + \frac{16}{3} - \left( l + \sum_{d=l+2}^{9} s(d) \right)$$

with s(3) = 4/3, s(4) = 8/9, s(5) = 9/12 and s(d) = 1/3 + 10/3d for  $6 \le d \le 9$ . Since s(3) > 1 and s(d) < 1 when  $d \ge 4$ , then  $l + \sum_{d=l+2}^{9} s(d)$  is minimum when l = 2. Hence

$$f(v) \geq \frac{1}{3}\Delta + \frac{16}{3} - \left(2 + \sum_{d=4}^{9} s(d)\right)$$
$$\geq \frac{1}{3}\Delta + \frac{61}{36} - \frac{10}{3}\sum_{d=6}^{9} \frac{1}{d}$$
$$\geq \frac{1}{3}\Delta + \frac{61}{36} - \frac{10}{3} \times \frac{275}{504} \geq \frac{10}{3}$$

because  $\Delta \ge 11$ .

Suppose  $d(v) = \Delta$ . By Proposition 20, the most it can send is when it has three 2-neighbours with second neighbour of degree at most 3, exactly one 2-neighbour with second neighbour of degree d for  $4 \le d \le 9$  and  $\Delta - 9$  2-neighbours with second neighbour of degree at least 10. In this case it sends

$$3 \times \frac{4}{3} + \frac{8}{9} + \frac{9}{12} + \sum_{d=6}^{9} (\frac{1}{3} + \frac{10}{3d}) + (\Delta - 9)\frac{2}{3} = \frac{2}{3}\Delta + \frac{35}{36} + \frac{10}{3}\sum_{d=6}^{9} \frac{1}{d}$$
$$= \frac{2}{3}\Delta + \frac{35}{36} + \frac{10}{3} \times \frac{275}{504}$$
$$\leq \Delta - \frac{10}{3}$$

because  $\Delta \ge 19$ . Hence  $f(v) \ge \frac{10}{3}$ .

Now 
$$Ad(G) = \frac{1}{|V|} \sum_{v \in V(G)} d(v) = \frac{1}{|V|} \sum_{v \in V(G)} f(v) \ge \frac{10}{3}$$
.

**Proof of Theorem 15**. If Theorem 15 would be false, a minimum counterexample G would be a  $\Delta$ -minimum graph. So by Lemma 25, its average degree is at least 10/3, a contradiction.

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