# More Problems in Rewriting\*

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## 1 Introduction

Two years ago, in the proceedings of the previous conference, we presented a list of open problems in the theory of rewriting [Dershowitz *et al.*, 1991a]. This time, we report on progress made during the intervening time, and then list some new problems. (A few additional questions on the subject appear in the back of [Diekert, 1990].) We also mention a couple of long-standing open problems which have recently been answered. The last section contains a partisan list of interesting areas for future research. A new, comprehensive survey of the field is [Klop, 1992].

Please send any contributions by electronic or ordinary mail to any of us. We hope to continue periodically publicizing new problems and solutions to old ones. We thank all the individuals who contributed questions, updates and solutions.

### 2 Old Problems

Five of the forty-four problems listed in [Dershowitz *et al.*, 1991a] have been solved and some progress has been made on ten more. For convenience, we repeat the problems (in small type) about which we are able to report progress.

Problem 1. An important theme that is largely unexplored is definability (or implementability, or interpretability) of rewrite systems in rewrite systems. Which rewrite systems can be directly defined in lambda calculus? Here "directly defined" means that one has to find lambda terms representing the rewrite system operators, such that a rewrite step in the rewrite system translates to a reduction in lambda calculus. For example, Combinatory Logic is directly lambda definable. On the other hand, not every orthogonal rewrite systems, with respect to direct definability? (For alternative notions of definability, see [O'Donnell, 1985].)

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Some progress has been made in [Berarducci and Böhm, 1992].

Problem 7 (H. Comon, M. Dauchet). Is it possible to decide whether the set of ground normal forms with respect to a given (finite) term-rewriting system is a regular tree language? See [Gilleron, 1991; Kucherov, 1991].

This has been answered in the affirmative [Vágvölgyi and Gilleron, 1992; Kucherov and Tajine, 1993; Hofbauer and Huber, 1993].

**Problem 20** (Y. Métivier [1985]). What is the best bound on the length of a derivation for a one-rule length-preserving string-rewriting (semi-Thue) system? Is it  $O(n^2)$  (*n* is the size of the initial term) as conjectured in [Métivier, 1985], or  $O(n^k)$  (*k* is the size of the rule) as proved there.

Rumor has it that the conjecture has been shown true.

Problem 21 (M. Dauchet). Is termination of one linear (left and right) rule decidable? Left linearity alone is not enough for decidability [Dauchet, 1989].

A less ambitious, long-standing open problem (mentioned in [Dershowitz and Jouannaud, 1990]) is decidability for *one* (length-increasing) monadic (string, semi-Thue) rule. Termination is undecidable for non-length-increasing monadic systems of rules [Caron, 1991]. For one monadic rule, confluence is decidable [Kurth, 1990; Wrathall, 1990]. What about confluence of one non-monadic rule?

Problem 24. The existential fragment of the first-order theory of the "recursive path ordering" (with multiset and lexicographic "status") is decidable when the precedence on function symbols is total [Comon, 1990; Jouannaud and Okada, 1991b], but is undecidable for arbitrary formulas. Is the existential fragment decidable for partial precedences?

The  $\Sigma_4$  ( $\exists^*\forall^*\exists^*\forall^*$ ) fragment is undecidable, in general [Treinen, 1992]. The positive existential fragment for the empty precedence (that is, for homeomorphic tree embedding) is decidable [Boudet and Comon, 1993]. One might also ask whether the first-order theory of *total* recursive path orderings is decidable. Related results include the following: The existential fragment of the subterm ordering is decidable, but its  $\Sigma_3$  ( $\exists^*\forall^*\exists^*$ ) fragment is not [Venkataraman, 1987]. The first-order theory of encompassment (the instance-of-subterm relation) is claimed decidable [Caron *et al.*, 1993]. Once we're at it, we might as well ask what the complexity of the satisfiability test for the existential fragment is—in the total case.

**Problem 25** (R. Treinen [1990]). Is the theory of multisets (AC) completely axiomatizable? In other words, is it decidable whether a first-order formula containing only equality as predicate symbol is valid in the algebra  $T(\mathcal{F})/AC(F)$ ? It is known that the  $\Sigma_3$  fragment is undecidable when there are at least one unary function symbol (besides the AC one) and one constant; the  $\Sigma_1$  fragment is decidable; the full theory is decidable even when there are no other symbols (besides constants) [Treinen, 1990].

Whether the  $\Sigma_2$  ( $\exists^* \forall^*$ ) fragment is decidable remains open; see [Treinen, 1992]. A positive answer was given for the important special case of "complement problems" in [Kounalis *et al.*, 1991]. One might also consider the case where one is given terms  $t_1, \ldots, t_n$  and a term *t* containing associative-commutative symbols and free symbols, and are to decide whether all ground instances of *t* are ground instances of some  $t_i$ . Special cases of the latter question have been studied in [Kounalis and Lugiez, 1991; Kounalis *et al.*, 1991; Fernández, 1993; Lugiez and Moysset, 1993].

Problem 27 (P. Lescanne). In [Lescanne, 1990] an extension of term embedding, called "well-rewrite orderings", was introduced, leading to an extension of the concept of simplification ordering. How can those ideas best be extended to form the basis for some new kind of "recursive path ordering"?

Progress in this direction has been reported in [Weiermann, 1992].

Problem 28 (P. Lescanne). Polynomial and exponential interpretations have been used to prove termination. For the former there are some reasonable methods [Ben Cherifa and Lescanne, 1987; Lankford, 1979] that can help determine if a particular interpretation decreases with each application of a rule. Are there other implementable methods suitable for exponential interpretations?

Some work on this problem has been reported in [Lescanne, 1992].

Problem 29. Any rewrite relation commutes with the strict-subterm relation; hence, the union of the latter with an arbitrary terminating rewrite relation is terminating, and also "fully invariant" (closed under instantiation). Which is the finest (maximal) relation with these properties? (It is not subterm.) Is "encompassment" ("containment", the combination of subterm and subsumption) the finest relation which preserves termination (without full invariance)?

The finest relation we know of which could answer the first question is the variant of subterm that allows multiple occurrences of variables to be renamed apart.

Problem 33. Completion modulo associativity and commutativity (AC) [Peterson and Stickel, 1981] is probably the most important case of "extended completion"; the general case of finite congruence classes is treated in [Jouannaud and Kirchner, 1986]. Adding an axiom (Z) for an identity element, however, gives rise to infinite classes. This case was viewed as conditional completion in [Baird et al., 1989], and solved completely in [Jouannaud and Marché, 1990]. The techniques, however, do not carry over to completion with idempotence (I) added; how to handle ACZI-completion effectively is open.

C. Marché [1993] has used rewriting techniques to show decidability of the word problem for any theory comprised of a set of ground equations, associativity and commutativity laws for arbitrarily many operators, plus identity and idempotency laws for any number of those operators.

**Problem 34.** Ordered rewriting computes a given convergent set of rewrite rules for an equational theory E and an ordering > whenever such a set R exists for >, provided > can be made total on ground terms. Unfortunately, this is not always possible, even if > is derivability  $(\rightarrow_R^+)$  in R. Is there a set of inference rules that will always succeed in computing R whenever R exists for >? A proposal appears in [Devie, 1991]; more work is called for.

Problem 38 (J. Siekmann). Is satisfiability of equations in the theory of distributivity (unification modulo a distributivity axiom) decidable?

The question should read "modulo one right- and one left-distributivity axiom". (With just one of these, the problem had already been solved in [Tiden and Arnborg, 1987].) A partial positive solution is given in [Contejean, 1993], based on a striking result on the structure of certain proofs modulo distributivity. Although many more cases are described in [Contejean, 1992; Contejean, 1993], the general case remains open.

**Problem 39.** Rules are given in [Jouannaud and Kirchner, 1991] for computing dag-solved forms of unification problems in equational theories. The Merge rule  $x \approx s, x \approx t \Rightarrow x \approx s, s \approx t$  given there assumes that s is not a variable and its size is less than or equal to that of t. Can this condition be improved by replacing it with the condition that the rule Check\* does not apply? (In other words, is Check\* complete for finding cycles when Merge is modified as above?)

The problem has been solved by H. Comon [1993] using an extended *Check* rule (requiring a congruence closure step). The original question—for whatever it may be worth—stands.

**Problem 42** (H. Comon). Given a first-order formula with equality as the only predicate symbol, can negation be effectively eliminated from an arbitrary formula  $\phi$  when  $\phi$  is equivalent to a positive formula? Equivalently, if  $\phi$  has a finite complete set of unifiers, can they be computed? Special cases were solved in [Comon, 1988; Lassez and Marriott, 1987].

A positive solution is given in [Tajine, 1993].

Problem 43. Design a framework for combining constraint solving algorithms.

Some particular cases have been attacked: In [Baader and Schulz, 1992] it was shown how decision procedures for solvability of unification problems can be combined. In [Baader and Schulz, 1993] a similar technique is applied to (unquantified) systems of equations and disequations. In [Ringeissen, 1992] the combination of unification algorithms is extended to the case where alphabets share constants. In related work [Boudet, 1992], unification is performed in the combination of an equational theory and membership constraints.

#### 3 New Problems

Problems 45-50 appeared (with minor variations) in our technical report [Dershowitz et al., 1991b]. In the meantime, one (no. 48) has been answered.

**Problem 45** (M. Venturini-Zilli). Some reduction graphs in  $\lambda$ -calculus [Venturini-Zilli, 1984] are isomorphic to ordinals. For example, the reduction graph of  $(\lambda x.y)((\lambda z.zzz)(\lambda z.zzz))$  is isomorphic to  $\omega + 1$ . Which ordinals appear in this way as reduction graphs? It is known that all ordinals less than  $\epsilon_0$  can be so represented.

**Problem 46** (D. Kapur). Ground reducibility of extended rewrite systems, modulo congruences like associativity and commutativity (AC), is undecidable [Kapur *et al.*, 1987]. For left-linear AC systems, on the other hand, it is decidable [Jouannaud and Kounalis, 1989]. What can be said more generally about restrictions on extended rewriting that give decidability?

This problem is related to number 25.

**Problem 47.** For reductions of transfinite length, a version of the Parallel Moves Lemma can be proved if one consider only "strongly converging" infinite reductions in the sense of [Kennaway *et al.*, 1991]. However, if one wants to consider converging reductions, as in [Dershowitz *et al.*, 1991c], then it is not difficult to construct a counterexample, not to the infinite Parallel Moves Lemma itself, but to the method of proof (cf. [Kennaway *et al.*, 1990]). An infinite Parallel Moves Lemma might involve a different notion of "descendant".

**Problem 48** (H.-C. Kong). Consider the following relation on strings over an infinite set  $\mathcal{X}$  of variables:  $x_1x_2\cdots x_m \hookrightarrow y_1y_2\cdots y_n$  if there exists a renaming  $\rho: \mathcal{X} \to \mathcal{X}$  such that  $x_i\rho = y_{j_i}$  for  $1 \leq j_1 < j_2 < \cdots < j_m \leq n$ . Is this "embedding" relation  $\hookrightarrow$  a well-quasi-ordering (that is, must every infinite sequence of strings contain two strings, such that the first embeds in the second)?

The answer is "yes". (Map each variable to the position of its leftmost occurrence and use the fact that strings of natural numbers are well-quasi-ordered by the embedding extension of  $\leq$  to strings.)

**Problem 49** (M. Hermann). Suppose ordinary completion (as in [Dershowitz and Jouannaud, 1990], for example) is non-terminating for some initial set of equations E, completion strategy, and reduction ordering. Must there be a finite depth N for E such that for any n > N restricting the generation of critical pairs to overlaps at positions that are no deeper than n in the overlapped left-hand side (but otherwise not changing the strategy) also produces a non-terminating completion sequence?

**Problem 50.** Combinations of typed  $\lambda$ -calculi with term-rewriting systems have been studied extensively in the past few years [Barbanera, 1990; Breazu-Tannen and Gallier, 1989; Dershowitz and Okada, 1990; Dougherty, 1991]. The strongest termination result allows first-order rules as well as higher-order rules defined by a generalization of primitive recursion. Suppose all rules for functional constant Ffollow the schema:

 $F(\bar{l}[\bar{X}], \bar{Y}) \to v[F(\bar{r}_1[\bar{X}], \bar{Y}), \dots, F(\bar{r}_m[\bar{X}], \bar{Y}), \bar{Y})]$ 

where the (not necessarily disjoint) variables in  $\bar{X}$  and  $\bar{Y}$  are of arbitrary order, each of  $\bar{l}, \bar{r}_1, ..., \bar{r}_m$  is in  $\mathcal{T}(\mathcal{F}, \{\bar{X}\})$ ,  $v[\bar{z}, \bar{Y}]$  is in  $\mathcal{T}(\mathcal{F}, \{\bar{Y}, \bar{z}\})$ , for new variables  $\bar{z}$  of appropriate types, and  $\bar{r}_1, ..., \bar{r}_m$  are each less than  $\bar{l}$  in the multiset extension of the strict subterm ordering. If  $\mathcal{T}(\mathcal{F}, \mathcal{X})$  is the term-algebra which includes only *previously* defined functional constants—forbidding the use of mutually recursive functional constants—termination is ensured [Jouannaud and Okada, 1991a]. Does termination also hold when there are mutually recursive definitions? Does this also hold when the subterm assumption is unfulfilled? (In [Jouannaud and Okada, 1991a] an alternative schema is proposed, with the subterm assumption weakened at the price of having only first-order variables in  $\bar{X}$ .) Questions of confluence of combinations of typed  $\lambda$ -calculi and higher-order systems also merit investigation.

These results have been extended to combinations with more expressive type systems [Barbanera and Fernandez, 1993a; Barbanera and Fernandez, 1993b].

**Problem 51** (II. Comon, M. Dauchet). Is the first order theory of one-step rewriting  $(\rightarrow_R)$  decidable? Decidability would imply the new result on the decidability of the first-order theory of encompassment (that is, being an instance of a subterm), based on pumping properties [Caron *et al.*, 1993]. (It is well known that the theory of  $\rightarrow_R^*$  is in general undecidable.)

**Problem 52** (R. Statman). It has been remarked by C. Böhm [Barendregt, 1984] that Y is a fixed point combinator if and only if  $Y \leftrightarrow^* (SI)Y$  (Y and SIY are convertible). Also, if Y is a fixed point combinator, then so is Y(SI). Is there is a fixed point combinator Y for which  $Y \leftrightarrow^* Y(SI)$ ?

**Problem 53** (R. Statman). A term M in Combinatory Logic or  $\lambda$ -calculus is recurrent if  $N \rightarrow^* M$  whenever  $N \leftrightarrow^* M$  (this notion is due to M. Venturini-Zilli.) Let's call M hyper-recurrent if N is recurrent for all  $N \leftrightarrow^* M$ . (Equivalently, M is hyper-recurrent if  $P \rightarrow^* Q \rightarrow^* P$  whenever  $P \leftrightarrow^* Q \leftrightarrow^* M$ .) Are there any hyper-recurrent combinators? (The problem comes up immediately when the Ershov-Visser theory [Visser, 1980] for  $\leftrightarrow^*$  is applied to  $\rightarrow^*$ . It is known that hyper-recurrent combinators don't exist for Combinatory Logic [Statman, 1991].)

**Problem 54** (R. Statman). Recall that M is a universal generator if each combinator P has a superterm Q such that  $M \to^* Q$ . Call M a uniform universal generator if there exists a context  $C[\cdot]$  such that, for each combinator P, we have  $M \to^* C[P]$ . Is there a uniform universal generator? (For Combinatory Logic, if we restrict the context  $C[\cdot]$  to be of the form  $(N \cdot)$ , no such term exists [Statman, 1992].)

**Problem 55** (R. Statman). It has been proved that (in  $\lambda$ -calculus or Combinatory Logic) every recursively enumerable set of ground terms that is closed under conversion has the form  $\{M|PM \leftrightarrow^* Q\}$  for some P and Q. Which sets have the form  $\{M|Q \rightarrow^* PM\}$ ?

**Problem 56** (V. van Oostrom). An abstract reduction system is "decreasing Church-Rosser", if there exists a labelling of the reduction relation by a well-founded set of labels, such that all local divergences can be completed to form a "decreasing diagram" (see [Oostrom, 1992] for precise definitions). Does the Church-Rosser property imply decreasing Church-Rosser? That is, is it always possible to localize the Church-Rosser property? This is known to be the case for (weakly) normalizing and finite systems.

**Problem 57** (F. Baader [1990]). Does there exist a semigroup theory (without constants in the equations) for which there is a reduced canonical term-rewriting system (with the right-hand side and subwords of the left in normal form) which is not length decreasing? **Problem 58** (M. Oyamaguchi). Is any "strongly" non-overlapping right-linear term-rewriting system confluent? ("Strong" in the sense that left-hand sides are non-overlapping even when the occurrences of variables have been renamed apart [Chew, 1981].) On the one hand, strongly non-overlapping systems need not be confluent [Huet, 1980]; on the other hand, strongly non-overlapping right-ground systems are [Oyamaguchi and Ohta, 1993].

**Problem 59** (M. Kurihara, M. Krishna Rao). One of the earliest results established on modularity of combinations of term-rewriting systems is the confluence of the union of two confluent systems which share no symbols [Toyama, 1987]; if symbols are shared modularity is not preserved by union [Kurihara and Ohuchi, 1992]. Some sufficient conditions for modularity of confluence of constructorsharing systems that are terminating have been found [Kurihara and Ohuchi, 1992; Middeldorp and Toyama, 1991]. Are there interesting sufficient conditions that are independent of termination?

**Problem 60** (H. Zantema). Let R be a many-sorted term-rewriting system and R' the one-sorted system consisting of the same rules, but in which all operation symbols are considered to be of the same sort. Any rewrite in R is also a rewrite in R'. The converse does not hold, since terms and rewrite steps in R' are allowed that are not well-typed in R. In [Zantema, 1993] it was shown that termination of R is in general not equivalent to termination of R', but it is if R does not contain both collapsing and duplicating rules. Are termination of R and of R' equivalent in the case where all variables occurring in R are of the same sort? If this statement holds, it would follow that simulating operation symbols of arity n greater than 2 by n - 1 binary symbols in a straightforward way does not affect termination behavior.

Problem 61 (T. Nipkow, M. Takahashi). For higher-order rewrite formats as given by combinatory reduction systems [Klop, 1980] and higher-order rewrite systems [Nipkow, 1991; Takahashi, 1993], confluence has been proved in the restricted case of orthogonal systems. Can confluence be extended to such systems when they are weakly orthogonal (all critical pairs are trivial)? When critical pairs arise only at the root, confluence is known to hold.

**Problem 62** (V. van Oostrom). Let R and S be two left-linear, confluent combinatory reduction systems with the same alphabet. Suppose the rules of R do not overlap the rules of S. Is  $R \cup S$  confluent? This is true for the restricted case when Ris a term-rewriting system (an easy generalization of a result by F. Müller [1992]), or if neither system has critical pairs. (The restriction to the same alphabet is essential, since confluence is in general not preserved under the addition of function symbols, not even for left-linear systems.)

Problem 63 (M. Oyamaguchi). Is confluence of right-ground term-rewriting systems decidable? Compare [Oyamaguchi, 1987; Dauchet *et al.*, 1990; Dauchet and Tison, 1990; Oyamaguchi and Ohta, 1993].

Problem 64. Is confluence of ordered rewriting (using the intersection of one step replacement of equals and a reduction ordering that is total on ground terms) decidable when the (existential fragment of the) ordering is? This question was raised in [Nieuwenhuis, 1993], where some results were given for the lexicographic path ordering.

Problem 65 (D. Cohen, P. Watson [1991]). An interesting system for doing arithmetic by rewriting was presented in [Cohen and Watson, 1991]. Unfortunately, its termination has not been proved.

**Problem 66** (F. Baader, K. Schulz [1992]). Is there an equational theory for which unification with constants is decidable, but general unification (where free function symbols of arbitrary arity may occur) is undecidable? From the results in [Baader and Schulz, 1992] it follows that this question can be reformulated as follows: Is there an equational theory for which unification with constants is decidable, but unification with linear constant restrictions is undecidable? Another way of formulating the question is: Consider *positive* first-order formulæ containing equality as the only predicate symbol, and function symbols from a given alphabet  $\mathcal{F}$ . Is there an equational theory E with alphabet  $\mathcal{F}$  such that whether  $E \models \phi$  is decidable for closed formulae  $\phi$  with quantifier prefix  $\forall^* \exists^*$ , but undecidable for arbitrary quantifier prefixes.

**Problem 67** (F. Baader, K. Schulz [1992]). It was shown in [Baader and Schulz, 1992] that being able to solve unification problems with linear constant restrictions is a necessary and sufficient condition for the possibility of combining unification algorithms. Other approaches [Schmidt-Schauß, 1989; Boudet, 1990] require solvability of constant elimination problems, which was shown to be equivalent to presupposing solvability of unification problems with arbitrary constant restrictions [Baader and Schulz, 1992]. Is there an equational theory for which solvability of unification problems with linear constant restrictions is decidable, but solvability of unification problems with arbitrary constant restrictions is undecidable? Is there an equational theory for which unification problems with linear constant restrictions always have a finite complete set of solutions, but unification problems with arbitrary constant restrictions problems with arbitrary constant restrictions problems with arbitrary constant restrictions always have a finite complete set of solutions, but unification problems with arbitrary constant restrictions always have a finite complete set of solutions, but unification problems with arbitrary constant restrictions problems with arbitrary constant restrictions always have a finite complete set of solutions.

**Problem 68** (II. Comon). Consider the existential fragment of the theory defined by a binary predicate symbol  $\subseteq$ , a finite set of function symbols  $f_1, \ldots, f_n$ , the function symbols  $\cap, \cup, \neg$ , and the projection symbols  $f_{i,j}^{-1}$  for  $j \leq arity(f_i)$ . Variables are interpreted as subsets of the Herbrand Universe. With the obvious interpretation of these symbols, is satisfiability of such formulæ decidable? Special cases have been solved in [Heintze and Jaffar, 1990; Aiken and Wimmers, 1992; Bachmair *et al.*, 1993; Gilleron *et al.*, 1993].

**Problem 69** (C. Kirchner, J. Zhang). What is the syntactic type (maximum number of top-level steps needed in an equational proof [Boudet and Contejean, 1992]) of the distributivity axiom? What is the syntactic type of "three-way" commutativity:

$$\begin{aligned} f(x, y, z) &= f(x, z, y) = f(y, x, z) = f(y, z, x) = f(z, x, y) = f(z, y, x) \\ f(f(x, y, z), u, x) &= f(x, y, f(z, u, x)) \end{aligned}$$

What are the unification type, decidability, and syntactic type of "midcommutativity": (x + y) + (u + v) = (x + u) + (y + v)? **Problem 70** (J.-C. Raoult). There exist finite automata for words, trees, and dags. No really good comparable notion is available for graphs. (Perhaps there is one akin to the ideas in [Litovski *et al.*, to appear] on label rewriting.)

Problem 71 (J.-C. Raoult). There are good algorithms for pattern-matching for words and trees, but not yet for graphs.

**Problem 72** (J.-C. Raoult). Graph rewritings, like term or word rewritings, are usually finitely branching. There are relations that are not finitely branching, yet satisfy good properties: rational transductions of words, tree-transductions. A good definition of graph transduction, that extends rational word transductions is still lacking.

Problem 73 (J.-C. Raoult). Termination is, as we know, undecidable. Yet, there are several sufficient conditions ensuring termination for word and term rewritings. Most are suitable extensions of Higman's or Kruskal's embeddings [Kruskal, 1960]. Robertson and Seymour [Robertson and Seymour, 1982] have achieved a similar theorem for undirected graphs. However, no embedding theorem has yet been proved for directed graphs, and (consequently?) powerful termination orderings remain to be designed.

Problem 74 (D. Plump). Graph rewriting systems that implement term rewriting systems (see, for example, [Barendregt *et al.*, 1987; Hoffmann and Plump, 1991]) are terminating whenever term rewriting is. The converse, however, does not hold [Plump, 1991]. How can termination orderings for term rewriting be adapted to cover those cases in which graph rewriting is terminating although term rewriting is not?

**Problem 75** (D. Plump). In contrast to term rewriting, confluence of general (hyper-)graph rewriting—in the "Berlin approach"—is undecidable, even for terminating systems [Plump, 1993]. What sufficient conditions make confluence decidable?

## 4 New Solutions

Two old problems (omitted from our previous list) which have recently been solved are the following:

**Problem 76.** Cycle unification [Bibel *et al.*, 1992] is undecidable [Devienne, 1993; Hanschke and Würtz, 1993]. This was a long standing open problem, related to the non-termination of simple logic programs.

**Problem 77.** J. Jezek, J. B. Nation, and R. Freese [Freese, 1993] have shown that there is no finite, normal form, associative-commutative term-rewriting system for lattices. This is somewhat surprising because every lattice term is equivalent under lattice theory to a shortest term which is unique up to associativity and commutativity (known as "Whitman canonical form").

## 5 Research Areas

Current research topics in rewriting include the following ten:

Typed Rewriting Under reasonable assumptions, virtually everything in ordinary (untyped) rewriting extends to the multisorted case. Adding subsorts supports inheritance and allows functions to be completely defined without having to introduce error elements for when they are applied outside their intended domains. But deduction in such "order-sorted" algebras presents some difficulties. The most popular approach is to insist that the sort of the right-hand side is always contained in that of the left; see [Dick and Watson, 1991]. A general approach requires a subcase of second-order unification [Comon, 1992]. A subject of vigorous investigation is that of typed  $\lambda$ -calculi [Bezem and Groote, 1993]. Though the relevance of this subject resides largely in the fields of automated deduction and of proof theory, a considerable segment pertains to term rewriting. For example, much attention has been devoted to termination proofs of typed  $\lambda$ -calculi.

Higher-order rewriting Beginning with [Breazu-Tannen and Gallier, 1989], researchers have been looking at ways of combining terminating confluent calculi with first-order ("algebraic") rewriting in such a way as to preserve their convergence, thereby endowing rewriting with higher-order capabilities. Recent contributions are [Jouannaud and Okada, 1991a; Barbanera and Fernandez, 1993a; Barbanera and Fernandez, 1993b]. Of a more general nature, proposals have been made for quite general rewriting formats that include rewriting with bound variables as in typed  $\lambda$ -calculi, yielding pleasant mixtures of pattern matching and variable binding. The suggestions in [Klop, 1980; Nipkow, 1991; Takahashi, 1993] are quite close, which is encouraging, as it may hint at a canonical framework for higher-order rewriting.

AC termination Recent work on proving termination of associative-commutative rewriting (the most prevalent extension of term rewriting) includes [Kapur et al., 1990; Rubio and Nieuwenhuis, 1993; Delor and Puel, 1993]. It would be nice to somehow combine these results in an ordering that could orient distributivity the right way and be total when the precedence is. The ordering in [Kapur et al., 1990] was incorporated in the RRL system, but most of this work has yet to filter down into widespread implemented tests that can be used within those rewrite-based theorem provers which support associativity and commutativity.

*Hierarchical systems* From the point of view of software engineering, it is important that properties of rewrite programs, like termination and confluence, be modular. That is, we would like to be able to combine two terminating systems, or two convergent systems, and to have the same properties hold for the combined system. This is not true in general, not even when one system makes no reference to the function symbols and constants used in the other. Finding useful cases when systems may safely be combined is a current area of study; see, for example, [Toyama, 1987; Toyama *et al.*, 1989; Middeldorp, 1990; Middeldorp and Toyama, 1991; Kurihara and Ohuchi, 1992; Dershowitz, 1993]. Logic programming Rewriting techniques have found applications in logic programming and constraint-based programming (besides their obvious application to functional programming). Semantic unification using rewrite-rules has been proposed by a number of people ([Reddy, 1986; Dershowitz and Plaisted, 1988], among others) as an ideal basis for a synthesis of functional and logic programming; the SLOG language [Fribourg, 1985] is a case in point. Refinements of universal unification for when a rewrite system is available have been found (see [Jouannaud and Kirchner, 1991]). Combining constraints with deduction, whether equational [Kirchner and Kirchner, 1989] or full first order [Kirchner *et al.*, 1990], is another potential growth area.

Theorem proving and symbolic computation Since the pioneering work of Lankford [1975], research on the application of ideas from rewriting to more traditional refutational theorem provers for first-order predicate calculus has proceeded in bits and spurts. Recent work has shown that using orderings on terms and formulæ helps restrict deduction and increase the amount of simplification and redundancy elimination that can be incorporated without forfeiting completeness. For a survey, see [Hsiang et al., 1992]. These successes ought to be extended to higher-order calculi, which have been enjoying success in their own right. Ad-hoc rewriting has always been present in symbolic computation systems (e.g. Reduce, Macsyma); Gröbnerbasis techniques are an integral part of some modern systems. The time appears ripe—indeed some projects have been initiated—to pursue significant applications of rewriting and typed calculi (supporting inheritance) in computer algebra and proof checking.

*Complexity issues* There is a dearth of results on the complexity of problems in rewriting and unification. (This, despite the problems posed in our lists.) One of the handful of exceptions (this one on AC-unification) is [Kapur and Narendran, 1992]. There is room for a lot more work on this side of theory.

Rewriting, automata and symbolic constraints Rewriting ground terms has much to do with formal language theory. In particular, bottom-up tree automata can be represented naturally by rewrite systems. The language of ground terms in normal form for a given system appears to be a key to many problems. Automata are also useful for solving symbolic constraints, following up on an idea pioneered by Büchi and Rabin. By encoding the set of solutions of an atomic constraint by some kind of automaton (closed under the usual Boolean operations), it is possible to solve arbitrary quantifier-free constraints. This technique has been widely used extensively in the past few years [Dauchet *et al.*, 1990; Dauchet and Tison, 1990; Gilleron, 1991; Kucherov, 1991; Kucherov and Tajine, 1993; Gilleron *et al.*, 1993; Caron *et al.*, 1993].

Concurrency Confluent systems, in general, and orthogonal ones, in particular, are natural candidates for parallel processing, since rewrites at different positions are more or less independent of each other. Work is being undertaken on language and implementation issues raised by this possibility; see, for example, [Goguen *et al.*, 1987; Meseguer, 1992; Berry and Boudol, 1992]. Much work is being done on combinations of  $\lambda$ -calculus and process calculi. A well-known example is the  $\pi$ -calculus, which extends Milner's CCS, as well as  $\lambda$ -calculus; see [Milner *et al.*, 1992].

Graph rewriting The notion of rewriting (as it appeared already in Thue's [1914] work) can profitably be applied to structures other than finite terms. Graph rewriting is one such (graphs allow one to represent structure-sharing); another is infinite terms (see [Dershowitz et al., 1991c; Inverardi and Nesi, 1991; Kennaway et al., 1991]). Graph rewriting is often called "term-graph rewriting" to distinguish it from the more general approach of graph grammars. At present, (term) graph rewriting is only beginning to enjoy the attention of researchers in term rewriting. The lack of popularity thus far may be due to the intrinsic difficulty of finding workable formalisms for graph rewriting, avoiding on the one hand overly abstract category-theoretic formulations, and on the other hand overly implementation-oriented formulations with pointers, redirections, and the like.

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