# Predicting the Sieving Effort for the Number Field Sieve 

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#### Abstract

We present a new method for predicting the sieving effort for the number field sieve (NFS) in practice. This method takes relations from a short sieving test as input and simulates relations according to this test. After removing singletons, we decide how many relations we need for the factorization according to the simulation and this gives a good estimate for the real sieving. Experiments show that our estimate is within $2 \%$ of the real data.


## 1 Introduction

One of the most popular methods for factoring large numbers is the number field sieve [4], as this is the fastest algorithm known so far. In order to estimate the most time-consuming step of this method, namely the sieving step in which the so-called relations are generated, one looks at actual sieving times for numbers of comparable size. If these are not available, one could try to extrapolate actual sieving times for smaller numbers, using the formula for the running time $L(N)$ of this method, where $N$ is the number to be factored. We have

$$
L(N)=\exp \left(\left((64 / 9)^{1 / 3}+o(1)\right)(\log N)^{1 / 3}(\log \log N)^{2 / 3}\right), \text { as } N \rightarrow \infty
$$

where the logarithms are natural. These estimates can be $10-30 \%$ off.
In this paper we present a method for predicting the number of relations needed for factoring a given number in practice within $2 \%$ of the actual number of relations needed. With 'in practice' we mean: on a given computer, for a given implementation, and for a given choice of the parameters in the NFS. This allows us to predict the actually required sieving time within $2 \%$. Our method is based on a short sieving test and a very cheap simulation of the relations needed for the factorization. By applying this method for various choices of the parameters of the number field sieve, it is possible to find an optimal choice of the parameters, e.g., in terms of minimal sieving time or in terms of minimizing the size of the resulting matrix. Before going into details we give a short overview of the NFS in order to show where our method fits in.

The NFS consists of the following four steps. First we select two irreducible polynomials $f_{1}(x)$ and $f_{2}(x), f_{1}, f_{2} \in \mathbb{Z}[x]$, and an integer $m<N$, such that

$$
f_{1}(m) \equiv f_{2}(m) \equiv 0(\bmod N)
$$

Polynomials with 'small' integer coefficients are preferred, because the values of these polynomials are smaller on average and smoother (i.e. having smaller prime factors on average) than the values of polynomials with large integer coefficients. Usually $f_{1}(x)$ is a linear polynomial and $f_{2}(x)$ a higher degree polynomial, referred to as rational side and algebraic side, respectively. If $N$ is of a special form (e.g., $c^{n} \pm 1$ ) then we can use this to get a polynomial $f_{2}(x)$ with very small coefficients. In that case we talk about the special number field sieve (SNFS), else we talk about the general number field sieve (GNFS). By $\alpha_{1}$ and $\alpha_{2}$ we denote roots of $f_{1}(x)$ and $f_{2}(x)$, respectively.

The second step is the relation collection. We choose a factorbase $F B$ of primes below the bound $F$ and a large primes bound $L$; for ease of exposition we take the same bounds on both the rational side and the algebraic side. Then we search for pairs $(a, b)$ such that $\operatorname{gcd}(a, b)=1$, and such that both $F_{1}(a, b)=b^{\operatorname{deg}\left(f_{1}\right)} f_{1}(a / b)$ and $F_{2}(a, b)=b^{\operatorname{deg}\left(f_{2}\right)} f_{2}(a / b)$ have all their prime factors below $F$ and at most two prime factors between $F$ and $L$, the so-called large primes. These pairs $(a, b)$ are referred to below as relations $\left(a_{i}, b_{i}\right)$.

There are many possibilities for the relation collection, the fastest of which are based on sieving. Two sieving methods in particular are widely used, namely line sieving and lattice sieving. For line sieving we select a rectangular sieve area of points $(a, b)$ and the sieving is done per horizontal line. For lattice sieving we select an interval of so-called special primes and for each special prime we only sieve those pairs $(a, b)$ for which this special prime divides $b^{\operatorname{deg}\left(f_{2}\right)} f_{2}(a / b)$; for each special prime these pairs form a lattice in the sieving area. In case of SNFS the special prime is chosen on the rational side.

The third step consists of linear algebra to construct a set $S$ of indices $i$ such that the two products $\prod_{i \in S}\left(a_{i}-b_{i} \alpha_{1}\right)$ and $\prod_{i \in S}\left(a_{i}-b_{i} \alpha_{2}\right)$ are both squares of products of prime ideals. This product comes from the fact that $b^{\operatorname{deg}\left(f_{1}\right)} f_{1}(a / b)$ is the norm of the algebraic number $a-b \alpha_{1}$, multiplied with the leading coefficient of $f_{1}(x)$. The principal ideal $\left(a-b \alpha_{1}\right)$ factors into the product of prime ideals in the number field $\mathbb{Q}\left(\alpha_{1}\right)$. The situation is similar for $f_{2}$.

The last step is the square root step. We determine algebraic numbers $\alpha_{1}^{\prime} \in$ $\mathbb{Q}\left(\alpha_{1}\right)$ and $\alpha_{2}^{\prime} \in \mathbb{Q}\left(\alpha_{2}\right)$ such that $\left(\alpha_{1}^{\prime}\right)^{2}=\prod_{i \in S}\left(a_{i}-b_{i} \alpha_{1}\right)$ and $\left(\alpha_{2}^{\prime}\right)^{2}=\prod_{i \in S}\left(a_{i}-\right.$ $\left.b_{i} \alpha_{2}\right)$. Then we use the homomorphisms $\phi_{\alpha_{1}}: \mathbb{Q}\left(\alpha_{1}\right) \rightarrow \mathbb{Z} / N Z \mathbb{Z}$ and $\phi_{\alpha_{2}}$ : $\mathbb{Q}\left(\alpha_{2}\right) \rightarrow \mathbb{Z} / N \not \mathbb{Z}$ with $\phi_{\alpha_{1}}\left(\alpha_{1}\right)=\phi_{\alpha_{2}}\left(\alpha_{2}\right)=m$ to get $\phi_{\alpha_{1}}\left(\alpha_{1}^{\prime}\right)^{2}=\phi_{\alpha_{1}}\left(\left(\alpha_{1}^{\prime}\right)^{2}\right)=$ $\phi_{\alpha_{1}}\left(\prod_{i \in S}\left(a_{i}-b_{i} \alpha_{1}\right)\right) \equiv \prod_{i \in S}\left(\left(a_{i}-b_{i} m\right) \equiv \phi_{\alpha_{2}}\left(\alpha_{2}^{\prime}\right)^{2}(\bmod N)\right.$. Now compute $\operatorname{gcd}\left(\phi_{\alpha_{1}}\left(\alpha_{1}^{\prime}\right)-\phi_{\alpha_{2}}\left(\alpha_{2}^{\prime}\right), N\right)$ to obtain a factor of N . If this gives the trivial factorization, continue with the next set of indices, otherwise we have found a nontrivial factorization of $N$. For more details of the NFS, see e.g., [3], [4], or [5].

Our method works as follows. After choosing polynomials, bounds $F$ and $L$, and a sieve area, we perform a sieve test for a relatively short period of time. For a 120 -digit $N$ one could sieve for ten minutes or so, but for larger numbers one may spend considerably more time on the sieve test. Based on the relations in this sieve test we simulate as many relations as are necessary for factoring the number. The simulation uses a random number generator and functions that
describe the underlying distribution of the large primes, and this can be done fast. During the simulation of the relations, we regularly remove the singletons from all the relations simulated so far. As soon as the number of relations left after singleton removal exceeds the number of primes in the relations we stop and it turns out that the total number of relations simulated so far gives us a good estimate of the actual number of relations that we need to factor our number.

The number of useful relations after singleton removal grows in a hard-topredict fashion as a function of the number of relations found. This growth behaviour differs from number to number, which makes it hard to predict the overall sieving time: for instance, even estimates based on factoring times of numbers of comparable size can easily be $10 \%$ off. Our method, however, which is purely based on the individual behavior of the relations found for the number to be factored, allows us to predict how the number of useful relations will behave as a function of the number of relations found, thereby giving us a tool to accurately predict the overall sieving time.

The simulations in this paper were carried out on a Intel® ${ }^{\circledR}$ Core ${ }^{\text {TM }} 2$ Duo with 2 GB of memory. The line sieving data sets were generated with the NFS software package of CWI. The lattice sieving data sets were given by Bruce Dodson and Thorsten Kleinjung.

In Section 2 we describe how we simulate the relations. Section 3 is about the singleton removal and about how to decide when we have enough relations to factor the given number. In Section 4 we compare results of the simulation with real factorizations and Section 5 contains the conclusions and our intentions for future work.

## 2 Simulating Relations

Before we start with the simulation, we run a short sieving test. In order to get a representative selection of the actual relations, we ensure that the points we are sieving in this test are spread over the entire sieving area. The parameters for the sieving are set in such a way that we have at most two large primes both on the rational side and on the algebraic side. In the case of lattice sieving we have one additional special prime on one of the sides. In this section we describe the process of simulating relations both for line sieving and for lattice sieving. Note that we only simulate the large primes; for the primes in the factorbase we use a correction as will be explained in Section 3.

The first step after the sieving test consists of splitting the relations according to the number of large primes. The set of relations with $i$ large primes on the rational side and $j$ large primes on the algebraic side is denoted by $r_{i} a_{j}$ for $i, j \in\{0,1,2\}$. This leads to nine different sets and the mutual ratios of their cardinalities determine the ratios by which we will simulate the relations. In the case of lattice sieving we split the relations in the same way, ignoring the special prime.

Next we take a closer look at the relations in each set and specify a model
that fits the distribution of the large primes in these sets as closely as we can accomplish. To clarify this, we explain for each set how to simulate the relations in that set, for the case of line sieving.
$r_{0} a_{0}$ : We count the number of relations in this set.
$\underline{r_{1} a_{0}}$ : We started with sorting all the large primes and put them in an array. Our first experiments with simulating the large primes (and removing singletons) concentrated on the large primes at hand. We tried linear interpolation between two consecutive large primes, Lagrange polynomials, and splines, but all these local approaches did not give a satisfying result; the result after singleton removal was too far from the real data. We then tried a more global approach, looking at all the large primes and see if we could find a distribution for them. We found that an exponential distribution simulates best the distribution of these large primes over the interval $[F, L]$ (cf. [2], Ch. 6) and the result after singleton removal was satisfactory. The inverse of this distribution function is given by

$$
\begin{equation*}
G(x)=F-a \log \left(1-x\left(1-e^{\frac{F-L}{a}}\right)\right), 0 \leq x \leq 1 \tag{1}
\end{equation*}
$$

where $a$ is the average of the large primes in the set $r_{1} a_{0}$. Note that $G(0)=F$ and $G(1)=L$. In order to generate primes according to the actual distribution of the large primes, we generate a random number between 0 and 1 , substitute this number in $G(x)$, round the number $G(x)$ to the nearest prime, and repeat this for each prime that we want to generate.

To avoid expensive prime tests, we work with the index of the primes $p$, defined as $i_{p}=\pi(p)$, rather than with the prime itself. This index can be found by using a look-up table or the approximation $i_{p} \approx \frac{p}{\log p}+\frac{p}{\log ^{2} p}+\frac{2 p}{\log ^{3} p}$ [6]. Experiments showed that this third order approximation gives almost the same results as looking up indices in a table. It is especially more efficient to use this approximation when $L$ is large. For working with indices, we have to adjust (1); we write $i_{F}$ for the index of the first prime above $F$, and $i_{L}$ for the index of the prime just below $L$, and $a^{\prime}$ for the average of the indices of the large primes in the set $r_{1} a_{0}$. Then the formula becomes

$$
\begin{equation*}
G(x)=i_{F}-a^{\prime} \log \left(1-x\left(1-e^{\frac{i_{F}-i_{L}}{a^{\prime}}}\right)\right) . \tag{2}
\end{equation*}
$$

To illustrate that the distribution of the large primes is approximated well by (2) we have generated the following graph, which consists of two sorted sets. One set consists of the indices of the primes of the original sieving data and the other set consists of the indices simulated with help of (2). The line of the simulated data is the one which lies below the other line (of the original data) around position 7000 .


Fig. 1. Comparing original and simulated data

The necessary number of relations in the set $r_{1} a_{0}$ depends on how many relations we have to generate in total.
$r_{0} a_{1}$ : We would like to use the same idea as we used for $r_{1} a_{0}$, but now we have to deal with algebraic primes. This means that not all primes can occur, and that each prime that does occur can have up to $d$ different roots, where $d$ is the degree of the polynomial $f_{2}(x)$. This yields pairs of a prime and a root which we denote by (prime, root). Luckily, (heuristically) the amount of pairs (prime, root) with $F<$ prime $<L$ is about equal to the amount of primes between $F$ and $L$. This implies that we do not have to simulate pairs with a certain subset of indices, as we may assume that all indices can occur in the simulation. We found that an exponential distribution fits here as well, so here we use the same approach as we did for $r_{1} a_{0}$.
$r_{1} a_{1}$ : We know now how to simulate $r_{1} a_{0}$ and $r_{0} a_{1}$, and we assume that the value of the index on the rational side is independent of the value of the index on the algebraic side. We combine both approaches: using (2), generate a random number and compute the corresponding rational index, generate a new random number (do not use the first random number as input for the random number generator) and compute the algebraic index.
$r_{2} a_{0}$ : Here we have to deal with two large primes on the rational side, denoted by $q_{1}$ and $q_{2}$ with $q_{1}>q_{2}$. We started with sorting the list with $q_{1}$ and (to our surprise) we found that a linear distribution fits these data well. So the distribution function of the index $i_{q_{1}}$ of $q_{1}$ is given by

$$
H_{1}(x)=i_{F}+x\left(i_{L}-i_{F}\right),
$$

where $x$ is a number between 0 and 1.
We continued with $q_{2}$ and sorted them. Here, an exponential distribution fits the data, but now we have to take into account that $q_{2}<q_{1}$. Remember that we need an average value for the exponential distribution, but we cannot use all $q_{2}$-values. Instead of using one average value, we make a list of averages $a_{q_{2}}$ of the sorted $q_{2}$-indices, where $a_{q_{2}}[j]$ contains the average of the first $j q_{2}$-indices.

Now we describe how to simulate elements of $r_{2} a_{0}$. We begin with a random number between 0 and 1 and compute $H_{1}(x)$, which gives us an index $i_{q_{1}}$ of $q_{1}$. We look up this index in the sorted list of $q_{2}$-indices and the corresponding position $j$ tells us which average we should use for computing the index $i_{q_{2}}$ of $q_{2}$. We generate a new random number between 0 and 1 and substitute it for $x$ in the following formula $H_{2}(x)$, which is an adjusted form of $G(x)$ :

$$
H_{2}(x)=i_{F}-a_{q_{2}}[j] \log \left(1-x\left(1-e^{\frac{i_{F}-i_{q_{1}}}{a_{q_{2}}[j]}}\right)\right)
$$

This gives us an index $i_{q_{2}}$ of $q_{2}$ that is smaller than the index we generated for $q_{1}$.

Our observation of a linear distribution of the largest prime and an exponential distribution of the second prime may not be as one would expect theoretically, but this might very well be a consequence of sieving in practice. For example, products of size approximately $L^{2}$ factor most of the time as one prime below $L$ and one prime above $L$ and are discarded. Thus most sievers do not spend much time on factors of this size. It may turn out to be the case that a siever with different implementation choices gives rise to different distributions, which needs to be investigated further.

To illustrate the distribution of the products of the two large primes for the dataset of $13,220+$ (cf. Section 4) found by our implementation of the siever, we added for each relation in $r_{2} a_{0}$ the indices of the two large primes and split the interval $\left[2 i_{F}, 2 i_{L}\right]$ in ten equal subintervals (labeled $s=1, \ldots, 10$ ). For each subinterval we counted the number of relations for which the sum of the two indices of the two large primes lies in this subinterval: see Table 1.

Table 1. Distribution of the sum of the indices ( $13,220+$ )

| $s$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \# relations | 120780 | 161735 | 148757 | 133845 | 121967 | 78725 | 39253 | 20710 | 8107 | 0 |

The zero in the last column is due to one of the bounds in the siever, which was set at $F^{0.1} L^{1.9}$ instead of $L^{2}$.
$\underline{r_{0} a_{2}}$ : We know how to deal with $r_{2} a_{0}$ and we apply the same approach to $r_{0} a_{2}$, as we can make the same transition as we made from $r_{1} a_{0}$ to $r_{0} a_{1}$.

Sorting the list with $q_{1}$ showed that we could indeed use a linear distribution and the sorted list with $q_{2}$ showed that an exponential distribution fitted here.

Now we simulate elements of $r_{2} a_{0}$ in the same way as elements of $r_{0} a_{2}$.
$r_{1} a_{2}$ : As with $r_{1} a_{1}$, we assume that the rational side and the algebraic side are independent. Here we combine the approaches of $r_{1} a_{0}$ and $r_{0} a_{2}$ to get the elements of $r_{1} a_{2}$.
$\underline{r_{2} a_{1}}$ : Combine the approaches of $r_{2} a_{0}$ and $r_{0} a_{1}$ to get the elements of $r_{2} a_{1}$.
$\underline{r_{2} a_{2}}$ : As in the previous two sets, we combine two approaches, this time $r_{2} a_{0}$ and $r_{0} a_{2}$.

Summarizing, our simulation model consists of four assumptions:

- the rational side and the algebraic side are independent,
- the rational side and the algebraic side are equivalent,
- a model for one large prime (described in $r_{1} a_{0}$ ),
- a model for two large primes (described in $r_{2} a_{0}$ ).

In case of lattice sieving, we simulate the relations in the same way and add a special prime to all the relations in the following way. We compute the average number of relations per pair (special prime, root) in the sieving test. Then we divide the number of relations we want to simulate by this average and this gives the total number of special primes in our simulation. Then we select an appropriate interval from which the special primes are chosen. Divide this interval in a (small) number of sections: per section select randomly the special primes and add each of these special primes to a relation. By dividing in sections (and simulating the same amount of relations per section) we make sure that the entire interval of special primes is covered, but by choosing randomly in each section, we get enough variation in the amount of relations per special prime. If the interval of the special primes is very large, it might become necessary to decrease the number of relations per section. In our example this was not the case, but a well-chosen sieve test will give this information.

It is possible to use different factorbase bounds for the rational primes and the algebraic primes, bound the product of the two large primes on the same side, etc. All these details in the sieving influence the relations, but once the general model is known, it is relatively easy to adjust it to match the details.

## 3 The Stop Criterion

We now know how to simulate relations, but how many should we simulate?
In order to factor the number $N$ we have to find dependencies in a matrix, which is determined by the relations, as mentioned in the introduction in the third step of the NFS. Every column is identified with a prime $\leq L$ (rational and algebraic primes). Suppose each row represents a relation. If a prime occurs an odd number of times in that relation, we put a one in the column of that prime and a zero otherwise. After representing all relations in this matrix, we remove those relations with a 1 that is the only 1 in the entire column, the so-called singletons. This may generate new singletons, so this singleton removal step is repeated until all primes occur at least twice. In practice, this is done before
actually building a matrix.
For our stop criterion it is enough to know when we have enough relations, i.e. when the number of relations after singleton removal exceeds the number of different primes that occur in the remaining relations.

After the singleton removal, we count how many relations are left and how many different large primes occur in these relations. We define the percentage oversquareness $O_{r}$ after singleton removal (s.r.) as

$$
O_{r}:=\frac{n_{r}}{n_{l}+n_{F}-n_{f}} \times 100
$$

where $n_{r}$ is the number of relations after singleton removal, $n_{l}$ is the number of different large primes after singleton removal, $n_{F}$ is the number of primes in the factorbase, approximated by $\pi\left(F_{\text {rat }}\right)+\pi\left(F_{\text {alg }}\right)$, and $n_{f}$ is the number of free relations from factorbase elements. We have ([3], Ch. 3):

$$
n_{f}=\frac{1}{g} \pi\left(\min \left(F_{\mathrm{rat}}, F_{\mathrm{alg}}\right)\right),
$$

where $g$ is the order of the Galois group of $f_{1}(x) f_{2}(x)$. If $O_{r} \geq 100 \%$ we may expect to find a dependency in the matrix, and we may stop with simulating relations. To make practically sure to find a dependency, we may stop at $102 \%$. Even a larger percentage is allowed if one would like to have more choice in the relations that can form a dependency and subsequently form a smaller matrix in the linear algebra step.

One final point concerns lattice sieving. It is well known that lattice sieving produces lots of duplicates, especially when it involves many special primes. We treat our relations as if there are no duplicates, but that implies that in the case of lattice sieving we have to add a certain number of relations to the relations that we should collect in the sieving stage. This number can be computed as in [1]. The basic idea in [1] is to run a small sieve test and find out which relations have more than one prime in the special primes interval. If such a relation would be found by more than one lattice in the sieving area (remember that each special prime gives rise to a lattice in the sieving area), than this gives a duplicate relation.

## 4 Experiments

We have applied our method to several real data sets (coming from factored numbers) and show that this gives good results. We have carried out two types of experiments.

First we assumed that the complete data set is given and we wanted to know if the simulation gave the same oversquareness when simulating the same number of relations as is contained in the original data set. For the simulation we used $0.1 \%$ of the original data.

Secondly we assumed that only a small percentage ( $0.1 \%$ ) of the original
data is known. Based on this data we simulated relations until $O_{r} \geq 100 \%$. Then we compared this with the oversquareness of the same number of original relations.

This $0.1 \%$ is somewhat arbitrary. We came to it in the following way: we started a simulation based on $100 \%$ real data and lowered this percentage in the next experiment until results after singleton removal were too far from the real data. We went down as far as $0.01 \%$, but this percentage did not always give good results, unless we would have been satisfied with an estimate within $5 \%$ of the real data (although some experiments with $0.01 \%$ of the real data were even as good as the ones based on $0.1 \%$ of the real data).

### 4.1 Line Sieving

Some relevant parameters for all the real data sets in this section are given in Table 2, where M stands for million. Numbers are written in the format $a, b+$ or $a, b-$, meaning $a^{b}+1$ or $a^{b}-1$. In the case of GNFS, some prime factors were already known and for the remaining factors it was more efficient to use GNFS instead of SNFS.

Table 2. Sieving parameters (line sieving)

| number | \# dec. digits | $F$ | $L$ | $g$ | $n_{F}-n_{f}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $13,220+$ | 117 | 30 M | 400 M | 120 | 3700941 |
| $26,142+$ | 124 | 30 M | 250 M | 120 | 3700941 |
| $19,183-$ | 131 | 30 M | 250 M | 18 | 3613192 |
| $66,129+$ | 136 | 35 M | 300 M | 18 | 4175312 |
| $80,123-$ | 150 | 55 M | 450 M | 18 | 6383294 |

The experiments for the first two GNFS data sets $13,220+$ and $26,142+$ are in Table 3. Here, O stands for the original data and S for the simulated data. Table 3 shows that the numbers were oversieved, but the simulated data show about the same oversquareness. In Table 4, we computed the relative difference $(\mathrm{S}-\mathrm{O}) / \mathrm{O} \times 100 \%$ of the entries in the S - and O -column of Table 3. We see that our predictions of the number of relations after s.r., the number of large primes after s.r., and the oversquareness are close to the real data to about $1 \%$.

Table 3. Experiments line sieving

| GNFS | $13,220+\mathrm{O}$ | $13,220+\mathrm{S}$ | $26,142+\mathrm{O}$ | $26,142+\mathrm{S}$ |
| :--- | :---: | :---: | :---: | :---: |
| \# relations before s.r. | 35496483 | 35496483 | 23580294 | 23580294 |
| \# relations after s.r. | 21320864 | 21394640 | 15150790 | 15253825 |
| \# large primes after s.r. | 13781518 | 13950420 | 9448082 | 9397751 |
| oversquareness (\%) | 121.96 | 121.21 | 115.22 | 116.45 |

Table 4. Relative differences of Table 3 results

| GNFS | $13,220+$ | $26,142+$ |
| :--- | :---: | :---: |
| relations after s.r. (\%) | 0.35 | 0.68 |
| large primes after s.r. (\%) | 1.22 | -0.53 |
| oversquareness (\%) | -0.61 | 1.07 |

We give the following timings for these experiments: simulation of the relations, singleton removal, and real sieving time (Table 5). For the actual sieving we used multiple machines and added the sieving times of each machine. As we used $0.1 \%$ data, we have to keep in mind that we need to add $0.1 \%$ of the sieving time to a complete experiment, which consists of generating a small data set, simulate a big data set, and remove singletons. When we change parameters in the NFS we have to generate a new data set.

Roughly speaking, we can say that one prediction of the total sieving time (for a given choice of the NFS parameters) with our method costs less than one CPU hour, whereas the actual sieving costs several hundreds of CPU hours.

Table 5. Timings

| GNFS | $13,220+$ | $26,142+$ |
| :--- | :---: | :---: |
| simulation (sec.) | 224 | 156 |
| singleton removal (sec.) | 927 | 573 |
| actual sieving (hrs.) | 316 | 709 |

Now for our second type of experiments, we assume that we only have a small sieve test of the number to be factored. When are we in the neighbourhood of $100 \%$ oversquareness according to our simulation and will the real data agree with our simulation? We started to simulate $5 \mathrm{M}, 10 \mathrm{M}, \ldots$ relations (with increment 5 M ) and for these numbers we computed the oversquareness $O_{r}$; when $O_{r}$ approached the $100 \%$ bound we decreased the increment to 1 M . Table 6 gives
the number of relations for which $O_{r}$ is closest to $100 \%$ and the next $O_{r}$ (for 1 M more relations), both for the simulated data and the original data. This may of course be refined.

Table 6. Around $100 \%$ oversquareness (GNFS)

| \# rel. before s.r. | $O_{r} \mathrm{~S}(\%)$ | $O_{r} \mathrm{O}(\%)$ | rel. diff. (\%) |
| :--- | :---: | :---: | :---: |
| $28 \mathrm{M}(13,220+)$ | 99.66 | 99.87 | -0.21 |
| $29 \mathrm{M}(13,220+)$ | 103.15 | 103.29 | -0.14 |
| $20 \mathrm{M}(26,142+)$ | 100.57 | 99.24 | 1.34 |
| $21 \mathrm{M}(26,142+)$ | 105.38 | 104.03 | 1.30 |

For SNFS the higher degree polynomial has small coefficients. Tables 7-10 show the same kind of data as Tables $3-6$, but now for SNFS. We start in Table 7 with the complete data set and simulate the same number of relations. Table 8 gives the relative differences of the results of the experiments in Table 7. The timings are given in Table 9.

Table 7. Experiments line sieving

| SNFS | \# rel. before s.r. | \# rel. after s.r. | \# l.p. after s.r. | oversquareness (\%) |
| :---: | :---: | :---: | :---: | :---: |
| $19,183-\mathrm{O}$ | 21259569 | 11887312 | 7849531 | 103.70 |
| $19,183-\mathrm{S}$ | 21259569 | 12156537 | 7936726 | 105.25 |
| $66,129+\mathrm{O}$ | 26226688 | 15377495 | 10036942 | 108.20 |
| $66,129+\mathrm{S}$ | 26226688 | 15656253 | 10123695 | 109.49 |
| $80,123-\mathrm{O}$ | 36552655 | 20288292 | 12810641 | 105.70 |
| $80,123-\mathrm{S}$ | 36552655 | 20648909 | 12973952 | 106.67 |

Table 8. Relative differences of Table 7 results

| SNFS | $19,183-$ | $66,129+$ | $80,123-$ |
| :--- | :---: | :---: | :---: |
| relations after s.r. (\%) | 2.26 | 1.81 | 1.78 |
| large primes after s.r. (\%) | 1.11 | 0.86 | 1.27 |
| oversquareness (\%) | 1.49 | 1.19 | 0.92 |

Table 9. Timings

| SNFS | $19,183-$ | $66,129+$ | $80,123-$ |
| :--- | :---: | :---: | :---: |
| simulation (sec.) | 128 | 166 | 223 |
| singleton removal (sec.) | 487 | 603 | 771 |
| sieving (hrs.) | 154 | 197 | 200 |

In Table 10 we simulate the number of relations that leads to an oversquareness around $100 \%$. We compare this number with the real data and give the differences in oversquareness.

Table 10. Around $100 \%$ oversquareness (SNFS)

| \# rel. before s.r. | $O_{r} \mathrm{~S}(\%)$ | $O_{r} \mathrm{O}(\%)$ | rel. diff. (\%) |
| :--- | :---: | :---: | :---: |
| $20 \mathrm{M}(19,183-)$ | 99.22 | 97.71 | 1.55 |
| $21 \mathrm{M}(19,183-)$ | 104.06 | 102.51 | 1.51 |
| $23 \mathrm{M}(66,129+)$ | 96.44 | 95.35 | 1.14 |
| $24 \mathrm{M}(66,129+)$ | 100.72 | 99.60 | 1.12 |
| $34 \mathrm{M}(80,123-)$ | 99.93 | 98.66 | 1.29 |
| $35 \mathrm{M}(80,123-)$ | 102.82 | 101.50 | 1.30 |

All these data sets were generated with the NFS software package of CWI, and the models for describing the underlying distributions were the same for SNFS and GNFS, as described in Section 2.

### 4.2 Lattice Sieving

For lattice sieving we used a data set from Bruce Dodson (7,333-, SNFS). Besides the factorbase bound and the large primes bound, we have two intervals for the special primes. These are given in Table 11.

Table 11. Sieving parameters (lattice sieving)

|  | $7,333-$ |
| :---: | :---: |
| \# dec. digits | 177 |
| $F$ | 16777215 |
| $L$ | 250000000 |
| special primes | $[16777333,29120617]$ |
| $g$ | $[60000013,73747441]$ |
| $n_{F}-n_{f}$ | 6 |

As we are now dealing with lattice sieving, we have an extra (special) prime to simulate, in the way described in Section 2. Fortunately, the distribution of the other large primes did not change. The results of our experiments are given in Table 12, based on $0.023 \%$ original data. The last line in this table is the total number of relations without duplicates. In total 26024921 relations were sieved.

Table 12. Oversquareness 7,333-

| \# rel. before s.r. | $O_{r} \mathrm{~S}(\%)$ | $O_{r} \mathrm{O}(\%)$ | rel. diff. (\%) |
| :--- | :---: | :---: | :---: |
| $17 \mathrm{M}(7,333-)$ | 98.34 | 97.45 | 0.91 |
| 18M $(7,333-)$ | 103.96 | 103.08 | 0.85 |
| $25112543(7,333-)$ | 135.39 | 136.64 | -0.91 |

Apart from receiving a lattice sieving data set from Bruce Dodson, we also received lattice sieving data sets from Thorsten Kleinjung. Unfortunately the model described in this paper for the large primes does not yield satisfactory results for the latter data sets.

## 5 Conclusions and Future Work

Our experiments show that our simulation of the relations works well. Based on a small fraction of the sieving data, we obtain a good model of the distribution of the large primes in the relations. Combined with singleton removal, our estimation of the oversquareness is within $2 \%$ of the real data. Thus we cheaply obtain a good estimate of the number of necessary relations for factoring a given number on a given computer, and hence of the actual computing time. Therefore, this method is a useful tool for optimizing parameters in the number field sieve, and we actually are using it in our practical factorization work.

Future work will include finding the correct model for the lattice sieve data sets of Kleinjung and check to which extent this model depends on the implementation of the siever. A second objective is to find a theoretical explanation for the occurrence of the various distributions (linear, exponential, ...) of the large primes. Another objective will be to find the optimal oversquareness for minimizing the resulting matrix. Once these issues are properly understood we intend to develop a tool to determine bounds $F$ and $L$ that optimize the overall effort for relation collection and matrix processing with respect to the available resources.

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