

## RECURSIVE EMBEDDINGS OF PARTIAL ORDERINGS

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**Introduction.** Let  $\mathcal{A}$  be a countable atomless Boolean algebra and let  $X$  be a countable partial ordering. We prove that there exists an embedding of  $X$  into  $\mathcal{A}$  which is recursive in  $X, \mathcal{A}$  and which destroys all suprema and infima of  $X$  which can be destroyed. We show that the above theorem is false when we try to preserve all suprema and infima of  $X$  instead of destroying them. Finally we indicate that if  $\mathcal{A}$  and  $\mathcal{B}$  are countable Boolean algebras and  $\mathcal{B}$  is atomless then  $\mathcal{A}$  can be embedded into  $\mathcal{B}$  by a function which is recursive in  $\mathcal{A}, \mathcal{B}$ . If  $\mathcal{A}$  is also atomless, then there is an isomorphism from  $\mathcal{A}$  into  $\mathcal{B}$  which is recursive in  $\mathcal{A}, \mathcal{B}$ .

**1. Preliminaries.** Throughout the paper  $\omega$  denotes the set of natural numbers, and  $\phi$  the empty set. If  $X$  is a set and  $n$  a natural number then  $X^n$  denotes the set of all  $n$ -tuples of elements of  $X$ . We say that  $X$  is a *partial ordering* on a set  $A$  (p.o. on  $A$ ) if for some  $B \subset A$   $X \subset B^2$  and for all  $x, y, z \in B$

- 1)  $(x, x) \in X$ ,
- 2)  $((x, y) \in X \wedge (y, x) \in X) \Rightarrow x = y$ ,
- 3)  $((x, y) \in X \wedge (y, z) \in X) \Rightarrow (x, z) \in X$ .

If  $(x, y) \in X$ , we write  $x \leq_x y$ . If  $(x, y) \in X \wedge x \neq y$ , we write  $x <_x y$ . If  $(x, y) \notin X$  and  $(y, x) \notin X$ , we say that  $x$  and  $y$  are  *$X$ -incomparable* and we write  $x \parallel_x y$ .

$z$  is called the *supremum* of  $x$  and  $y$  in  $X$  ( $x \cup y = z$ ), if

$$x \leq_x z \wedge y \leq_x z \wedge \forall t[(x \leq_x t \wedge y \leq_x t) \Rightarrow z \leq_x t],$$

and  $z$  is called the *infimum* of  $x$  and  $y$  in  $X$  ( $x \cap y = z$ ), if

$$z \leq_x x \wedge z \leq_x y \wedge \forall t[(t \leq_x x \wedge t \leq_x y) \Rightarrow t \leq_x z].$$

By  $\text{Fld}(X)$  we denote the set  $\{x : (x, x) \in X\}$ .

For the definition of a Boolean algebra we refer the reader to Sikorski [4]. If  $\mathcal{A}$  is a Boolean algebra then 0 denotes its smallest element and 1 the greatest one. If  $x$  and  $y$  are elements of  $\mathcal{A}$ , then we write  $x \leq y$  if  $x \cup y = y$  and  $x < y$  if  $x \leq y$  and  $x \neq y$ . We write  $x \parallel y$  if  $\neg(x \leq y)$  and  $\neg(y \leq x)$ .

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We say that  $\mathcal{A}$  is a Boolean algebra on a set  $A$ , if every element of  $\mathcal{A}$  is an element of  $A$ .

In this paper we are interested in partial orderings on  $\omega$  and Boolean algebras on  $\omega$ .

*Definition 1.* Let  $X$  be a p.o. on a set  $A$  and  $\mathcal{A}$  a Boolean algebra.  $f$  is called an *embedding of  $X$  into  $\mathcal{A}$*  if  $f$  is an injective function from  $\text{Fld}(X)$  into  $\mathcal{A}$  such that for all  $x, y \in \text{Fld}(X)$

$$x <_X y \Leftrightarrow f(x) < f(y).$$

We say that an embedding  $f$  of  $X$  into  $\mathcal{A}$  *preserves all suprema and infima of  $X$*  if

- I) whenever  $x \cup y = z$ , then  $f(x) \cup f(y) = f(z)$ ; and
- II) whenever  $x \cap y = z$ , then  $f(x) \cap f(y) = f(z)$ .

We say that an embedding  $f$  of  $X$  into  $\mathcal{A}$  *destroys all suprema and infima of  $X$*  if

- I) whenever  $x \parallel_x y$  and  $x \cup y = z$ , then  $f(x) \cup f(y) \neq f(z)$ ; and
- II) whenever  $x \parallel_x y$  and  $x \cap y = z$ , then  $f(x) \cap f(y) \neq f(z)$ .

Observe that if  $x \leq_x y$ , then  $x \cup y = y$  and  $x \cap y = x$ , so for any embedding  $f$  of  $X$  into  $\mathcal{A}$ ,  $f(x) \cup f(y) = f(x \cup y)$  and  $f(x) \cap f(y) = f(x \cap y)$ . Thus an embedding of  $X$  into  $\mathcal{A}$  cannot destroy suprema and infima of  $X$ -comparable elements.

All the notions from recursion theory we use can be found in Shoenfield [2]. In particular,  $\text{Seq}(x)$  means that  $x$  codes a finite sequence of natural numbers, and  $\text{lh}(x)$  is the length of that sequence. If  $\text{Seq}(x)$  then  $x = \langle (x)_0, \dots, (x)_{\text{lh}(x)-1} \rangle$ . If  $a = \langle a_1, \dots, a_n \rangle$  and  $b = \langle b_1, \dots, b_n \rangle$ , then  $a * b = \langle a_1, \dots, a_n, b_1, \dots, b_n \rangle$ . All the mentioned functions and relations are recursive.

If  $A = \{a_1, \dots, a_k\}$  then  $x$  is called the *code* of  $A$  ( $x = \langle A \rangle$ ) if  $x$  is the least number  $z$  such that  $\text{seq}(z)$ ,  $\text{lh}(z) = k$  and  $\{(z)_i : i < \text{lh}(z)\} = A$ . If  $f(x_1, \dots, x_n)$  is a function then  $\text{graph}(f) = \{(x_1, \dots, x_n, y) : f(x_1, \dots, x_n) = y\}$ .

*Definition 2.* Let  $\mathcal{A} = \langle A, \cup, \cap, -, 0, 1 \rangle$  be a Boolean algebra on  $\omega$ . We say that  $f$  is *recursive in  $\mathcal{A}$*  if  $f$  is recursive in  $\{A, \text{graph}(\cup), \text{graph}(\cap), \text{graph}(-)\}$ .

Similarly we say that  $f$  is *recursive in  $\mathcal{A}, \mathcal{B}$*  where  $\mathcal{B}$  is another Boolean algebra on  $\omega$  or that  $f$  is recursive in  $X, \mathcal{A}$  for a set  $X$ .

*Definition 3.* Let  $\mathcal{A}$  be a Boolean algebra. Suppose that  $A$  and  $B$  are sets of elements of  $\mathcal{A}$ . Then

- I) if  $a \leq b$  for all  $a \in A, b \in B$  we write  $A \leq B$ ;
- II) if  $a < b$  for all  $a \in A, b \in B$  we write  $A < B$ ;
- III) if  $\neg(a \leq b)$  for all  $a \in A, b \in B$  we write  $A \not\leq B$ ;
- IV) if  $a \parallel b$  for all  $a \in A, b \in B$  we write  $A \parallel B$ .

Instead of  $\{a\} < A$  we write  $a < A$ . Similarly with other relations. Observe that for every set  $A, \phi < A, A < \phi, \phi \not\leq A, A \not\leq \phi$  and  $\phi \parallel A$ .

If  $A$  is a finite set of elements of  $\mathcal{A}$  then  $\sup A$  denotes the least element  $a$  of  $\mathcal{A}$  such that  $A \leq a$ , and  $\inf A$  denotes the greatest element  $a$  of  $\mathcal{A}$  such that  $a \leq A$ . Observe that  $\sup \phi = 0$  and  $\inf \phi = 1$ . Recall that a Boolean algebra  $\mathcal{A}$  is atomless if  $0 < x$  implies for some  $y$ ,  $0 < y < x$ .

**2. Embeddings destroying suprema and infima.** In this section we prove the following theorem:

**THEOREM 1.** *Let  $X$  be a partial ordering on  $\omega$  and let  $\mathcal{A}$  be an atomless Boolean algebra on  $\omega$ . Then there exists an embedding  $f$  of  $X$  into  $\mathcal{A}$  such that*

- I)  $f$  destroys all suprema and infima of  $X$ , and
- II)  $f$  is recursive in  $X, \mathcal{A}$ .

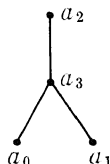
We first present an informal idea of the proof. Let  $\text{Fld}(X) = \{a_0, a_1, \dots\}$  be a recursive in  $X$  enumeration of  $\text{Fld}(X)$ . We want to build the required embedding by induction. Suppose that for  $i \leq n$  we already defined some elements  $b_i$  of  $\mathcal{A}$  such that

$$a_i <_X a_j \Leftrightarrow b_i < b_j \quad \text{for } i, j \leq n.$$

We want to define an element  $b_{n+1}$  of  $\mathcal{A}$  such that

$$(*) \quad a_i <_X a_j \Leftrightarrow b_i < b_j \quad \text{for } i, j \leq n + 1.$$

If we do not impose any conditions on  $b_i - s$  we can be stuck. For example, if  $a_0 < a_2, a_1 < a_2, a_0 < a_3, a_1 < a_3$  and  $a_3 < a_2$  (represented schematically by the following diagram)



and we choose  $b_0, b_1$  and  $b_2$  in such a way that  $b_0 \cup b_1 = b_2$  then there is no  $b_3$  such that  $b_3 < b_2, b_0 < b_3$  and  $b_1 < b_3$ .

In order to prevent such situations we choose  $b_i - s$  in a more careful way. For example, the above difficulty would not occur if  $b_0 \cup b_1 < b_2$ . Thus we assume that the elements  $b_0, \dots, b_n$  satisfy an additional property, namely that the set  $\{b_0, \dots, b_n\}$  is normal (see Definition 4).

Let

$$\begin{aligned} A &= \{b_i : a_i <_X a_{n+1}, i \leq n\}, \\ B &= \{b_i : a_{n+1} <_X a_i, i \leq n\}, \\ C &= \{b_i : a_{n+1} \parallel_X a_i, i \leq n\}. \end{aligned}$$

Then  $A \cup B \cup C = \{b_0, \dots, b_n\}$ . Observe that  $A < B, C \not\leq A$  and  $B \not\leq C$ . Since  $A \cup B \cup C$  is a normal set we get from this that  $\sup A < \inf B, C \not\leq$

$\sup A$  and  $\inf B \not\leq C$ . We are looking for an element  $b_{n+1}$  such that  $\sup A < b_{n+1} < \inf B$  and  $b_{n+1} \parallel C$ . Then (\*) holds. The existence of such a  $b_{n+1}$  is guaranteed by Lemma 1.

But we want also to preserve our additional condition, so we claim also that the set  $A \cup B \cup C \cup \{b_{n+1}\}$  is normal. Lemma 2 shows that the required  $b_{n+1}$  still can be found. Its proof uses Lemma 1, but in an appropriately modified way.

Thus the induction step works. The obtained embedding destroys all suprema and infima of  $X$  which is an immediate consequence of the fact that for each  $n$ , the set  $\{b_0, \dots, b_n\}$  is normal.

Choosing at each time the smallest  $b_{n+1}$  satisfying the above conditions (see the definition of the function  $g$  in the proof of Theorem 1) we ensure that the above embedding is recursive in  $X, \mathcal{A}$ .

We present now the precise proof of the theorem. We first prove two lemmata.

LEMMA 1. *Let  $\mathcal{A}$  be an atomless Boolean algebra. Suppose that  $A \cup \{a, b\}$  is a finite set of elements of  $\mathcal{A}$ , such that:*

- 1)  $a < b$ ;
- 2)  $A \not\leq a$ ;
- 3)  $b \not\leq A$ .

*Then there exists an element  $c$  of  $\mathcal{A}$ , such that  $a < c < b$  and  $c \parallel A$ .*

Obviously Conditions 2) and 3) have to be satisfied if we want to prove the claim. The lemma shows that 2) and 3) are also sufficient conditions.

*Proof.* At first we "modify"  $A$  to a set  $A'$  such that  $a < A' < b$ . We find then an element  $c$  such that  $a < c < b$  and  $c \parallel A'$ . It turns out that also  $c \parallel A$ . Let

$$A' = \{b \cap d : d \in A \text{ and } a < b \cap d\} \cup \{a \cup d : d \in A \text{ and } a \cup d < b\}.$$

Suppose that  $x = b \cap d$  for some  $d \in A$  such that  $a < b \cap d$ . Then  $x \leq b$ . If  $x = b$  then  $b \leq d$ , which violates our assumptions. Thus  $a < x < b$ .

Suppose now that  $x = a \cup d$  for some  $d \in A$  such that  $a \cup d < b$ . Then  $a \leq x$ . If  $a = x$  then  $d \leq a$ , which violates our assumptions. Thus  $a < x < b$ . So  $a < A' < b$ .

We can treat the set  $B = \{x : a \leq x \leq b\}$  as a Boolean algebra with the operations induced by  $\mathcal{A}$ .

$$\begin{aligned} x \dot{\cup} y &= x \cup y \\ x \dot{\cap} y &= x \cap y \\ \dot{0} &= a \\ \dot{1} &= b \\ \dot{\div} x &= a \cup (b \cap \neg x) \end{aligned}$$

Let  $A' = \{a_1, \dots, a_n\}$ . We just proved that  $\dot{0} < a_i$  and  $\dot{0} < \neg a_i$  for all  $i \leq n$ . Let  $C = \{b_1 \cap \dots \cap b_n : \text{for all } i \leq n, b_i = a_i \text{ or } b_i = \neg a_i\}$ . Then each  $a_i$  or  $\neg a_i$  is a sum of elements of  $C$ . For each  $i \leq 2n$ , pick an element  $c_i$  from  $C$  such that

$$\dot{0} < c_j \leq a_j \quad \text{and} \quad \dot{0} < c_{j+n} \leq \neg a_j \quad \text{for all } j \leq n.$$

$\mathcal{A}$  is atomless so there exist elements  $d_i$  such that for  $i \leq 2n$ ,  $\dot{0} < d_i < c_i$ . We can choose  $d_i - s$  in such a way that  $d_i = d_j$  if  $c_i = c_j$ .

Finally let  $c = d_1 \cup \dots \cup d_{2n}$ . We claim that  $c$  is the desired element. We prove at first that  $c \parallel A'$ . Suppose that for some  $i \leq n$ ,  $c \leq a_i$ . Then

$$\dot{0} < d_{i+n} \leq a_i \quad \text{and} \quad d_{i+n} < \neg a_i$$

which is clearly impossible. If for some  $i \leq n$ ,  $a_i \leq c$  then

$$c_i \cap \neg d_i \leq a_i \leq c.$$

Observe that for  $x, y \in C$  either  $x = y$  or  $x \cap y = \dot{0}$ . Hence for  $k \leq n$  either  $c_k = c_i$  or  $c_k \cap c_i = \dot{0}$ . In the first case  $d_k = d_i$ , in the second  $d_k \cap (c_i \cap \neg d_i) = \dot{0}$ . So in both cases we obtain  $d_k \cap (c_i \cap \neg d_i) = \dot{0}$ . Finally we obtain:

$$c_i \cap \neg d_i = c_i \cap \neg d_i \cap c = \bigcup_{k=1}^{2n} d_k \cap (c_i \cap \neg d_i) = \dot{0}$$

which contradicts the choice of  $d_i$ .

Observe that by construction  $a < c < b$ . We prove now that  $c \parallel A$ . Suppose that  $x \in A$ . There are 3 possible cases:

I)  $x \parallel a$  and  $x \parallel b$ . Then for every  $y$  such that  $a \leq y \leq b$ ,  $x \parallel y$ , so in particular  $x \parallel c$ .

II)  $x < b$ . There are two possible cases:

1)  $a \cup x < b$ . Then  $a \cup x \in A'$ . So  $a \cup x \parallel c$ . If  $x \leq c$  then  $a \cup x \leq c$ , which is impossible; if  $c \leq x$  then  $c \leq a \cup x$ , which is impossible. Thus  $c \parallel x$ .

2)  $a \cup x = b$ . If  $x \leq c$ , then  $a \cup x \leq c$ , so  $b \leq c$  which is impossible; if  $c \leq x$ , then  $a \leq x$ , so  $a \cup x = x$ , i.e.  $b = x$  which contradicts our assumptions. Thus  $c \parallel x$ .

III)  $a < x$ . There are two possible cases:

1)  $a < b \cap x$ . Then  $b \cap x \in A'$ , so  $b \cap x \parallel c$ . If  $x \leq c$ , then  $b \cap x \leq c$ , which is impossible. If  $c \leq x$ , then  $c \leq b \cap x$ , which is impossible. Thus  $x \parallel c$ .

2)  $a = b \cap x$ . If  $x \leq c$ , then  $x \leq b$ , so  $b \cap x = x$ , i.e.  $a = x$  which contradicts our assumptions. If  $c \leq x$ , then  $c \leq b \cap x$ , i.e.  $c \leq a$  which is impossible. Thus  $c \parallel x$ .

This concludes the proof of the lemma.

*Definition 4.* Let  $\mathcal{A}$  be a Boolean algebra. A finite set  $T$  of elements of  $\mathcal{A}$  is called *normal* if for all  $A$  and  $B$  such that  $A \cup B \subset T$  we have

$$\begin{aligned} A < B & \text{ implies } \sup A < \inf B, \text{ and} \\ A \not\leq B & \text{ implies } \inf A \not\leq \sup B. \end{aligned}$$

LEMMA 2. Let  $\mathcal{A}$  be an atomless Boolean algebra. Suppose that for some finite sets  $A, B$  and  $C$  of elements of  $\mathcal{A}$ ,

$$A < B, C \not\leq A, B \not\leq C, \text{ and } A \cup B \cup C \text{ is normal.}$$

Then there exists an element  $a$  of  $\mathcal{A}$  such that

$$\sup A < a < \inf B, a \parallel C, \text{ and } A \cup B \cup C \cup \{a\} \text{ is normal.}$$

*Proof.* Let  $S$  be a subalgebra of  $\mathcal{A}$  generated by the set  $A \cup B \cup C$ . Let

$$T = \{x : x \in S \wedge \neg(x \leq \sup A) \wedge \neg(\inf B \leq x)\}.$$

The set  $T$  is of course finite.

Since  $A \cup B \cup C$  is normal we get from our assumptions and Lemma 1 that for some  $a$  in  $A$ ,  $\sup A < a < \inf B$  and  $a \parallel T$ . We claim that  $a$  is the required element. If  $c \in C$ , then  $c \not\leq A$  and  $B \not\leq c$ . Since  $A \cup B \cup C$  is normal,  $c \not\leq \sup A$  and  $\inf B \not\leq c$ . Thus  $C \subseteq T$ , i.e.  $a \parallel C$ .

It remains to prove that  $A \cup B \cup C \cup \{a\}$  is normal. Let  $K \cup L \subset A \cup B \cup C$ . We have to consider the following four possible cases:

1)  $K < L$  and  $a < L$ . We prove then that  $\sup(K \cup \{a\}) < \inf L$ . We always have  $\sup(K \cup \{a\}) \leq \inf L$  so suppose that  $\sup(K \cup \{a\}) = \inf L$ . Then  $\sup K \cup a = \inf L$ , so  $\inf L \cap -\sup K \leq a$ , which indicates that  $\inf L \cap -\sup K \notin T$ . There are two possibilities:

I)  $\inf B \leq \inf L \cap \sup K$ . Then  $\inf B \leq a$  which contradicts the choice of  $a$ .

II)  $\inf L \cap -\sup K \leq \sup A$ . Then  $\inf L \leq \sup A \cup \sup K$ , i.e.  $\inf L \leq \sup(A \cup K)$ . The assumption  $a < L$  implies, by the choice of  $a$ , that  $L \subset B$ . Thus  $A < L$  since  $A < B$ . So  $A \cup K < L$ . But  $A \cup B \cup C$  is normal, so we get that  $\sup(A \cup K) < \inf L$ , which contradicts our previous statement.

2)  $K < L$  and  $K < a$ . We prove that  $\sup K < \inf(L \cup \{a\})$ . We always have  $\sup K \leq \inf(L \cup \{a\})$ , so suppose that  $\sup K = \inf(L \cup \{a\})$ . Then  $\sup K = \inf L \cap a$ , so  $a \leq \sup K \cup -\inf L$ . This indicates that  $\sup K \cup -\inf L \notin T$ . There are two possibilities:

I)  $\sup K \cup -\inf L \leq \sup A$ . Then  $a \leq \sup A$  which is impossible.

II)  $\inf B \leq \sup K \cup -\inf L$ . Then  $\inf B \cap \inf L \leq \sup K$ , i.e.  $\inf(B \cup L) \leq \sup K$ . But  $K < a$ , so  $K \subset A$ , i.e.  $K < B$ . Thus  $K < L \cup B$ . Since  $A \cup B \cup C$  is normal we get  $\sup K < \inf(B \cup L)$ , which contradicts the former statement.

3)  $K \not\leq L$  and  $a \not\leq L$ . We prove that  $\inf(K \cup \{a\}) \not\leq L$ . Suppose that  $\inf(K \cup \{a\}) \leq \sup L$ , i.e.  $\inf K \cap a \leq \sup L$ . Then  $a \leq \sup L \cup -\inf K$ , so  $L \cup -\inf K \notin T$ . There are two possibilities:

I)  $\sup L \cup -\inf K \leq \sup A$ . Then  $a \leq \sup A$ , which contradicts the choice of  $a$ .

II)  $\inf B \leq \sup L \cup -\inf K$ . Then  $\inf B \cap \inf K \leq \sup L$ , i.e.  $\inf(B \cup K) \leq \sup L$ . But  $a \not\leq L$ , so by the choice of  $a$ ,  $B \not\leq L$ , i.e.  $B \cup K \not\leq L$ . Since  $A \cup B \cup C$  is normal we get that  $\inf(B \cup K) \not\leq \sup L$ , which contradicts the former statement.

4)  $K \not\leq L, K \not\leq a$ . We prove that  $\inf K \not\leq \sup (L \cup \{a\})$ . Suppose that  $\inf K \leq \sup (L \cup \{a\})$ , i.e.  $\inf K \leq \sup L \cup a$ . Then  $\inf K \cap - \sup L \leq a$ , so  $\inf K \cap - \sup L \notin T$ . There are two possibilities:

I)  $\inf K \cap - \sup L \leq \sup A$ . Then  $\inf K \leq \sup A \cup \sup L$ , i.e.  $\inf K \leq \sup (A \cup L)$ . On the other hand,  $K \not\leq a$ , so by the choice of  $a, K \not\leq A$ , i.e.  $K \not\leq A \cup L$ . Now,  $A \cup B \cup C$  is normal, so  $\inf K \not\leq \sup (A \cup L)$ , which gives the contradiction.

II)  $\inf B \leq \inf K \cap - \sup L$ . Then  $\inf B \leq a$ , which contradicts the choice of  $a$ .

This completes the proof that  $A \cup B \cup C \cup \{a\}$  is normal, so the proof of the lemma is concluded.

*Proof of Theorem 1.* Observe that the relation

$$P(x) \Leftrightarrow x \text{ is a code of a finite set}$$

is recursive. It is easy to see that the relation

$$T(x) \Leftrightarrow x \text{ is a code of a normal set of elements of } \mathcal{A}$$

is recursive to  $\mathcal{A}$ . Define a function  $g$  as follows:

$$g(x, y, z) = \begin{cases} \mu a \text{ (} a \text{ satisfies the claim of Lemma 2) if } x, y \text{ and } z \text{ are respectively codes of the sets } A, B \text{ and } C \text{ satisfying the conditions of Lemma 2,} \\ 0 \text{ otherwise} \end{cases}$$

Then  $g$  is a total function recursive in  $\mathcal{A}$ .  $\text{Fld}(X)$  is recursive in a set  $X$ , so for some total injective function  $a(x)$ , which is recursive in  $X$ ,

$$\text{Fld}(X) = \{a(0), a(1), \dots\}.$$

For any total function  $h(x)$  and  $n \geq 0$ , let

$$A(h, n) = \{h(k) : a(k) <_X a(n+1), k \leq n\},$$

$$B(h, n) = \{h(k) : a(n+1) <_X a(k), k \leq n\},$$

$$C(h, n) = \{h(k) : a(k) \parallel_X a(n+1), k \leq n\}.$$

Let  $b$  be an arbitrary element of  $\mathcal{A}$  such that  $0 < b < 1$ . Define a function  $h$  as follows:

$$h(0) = b$$

$$h(n+1) = g(\langle A(h, n) \rangle, \langle B(h, n) \rangle, \langle C(h, n) \rangle).$$

$h$  is a well defined total function. It is easy to see that  $h$  is recursive in  $X, \mathcal{A}$ . Finally define

$$f(a(n)) = h(n) \text{ for } n \geq 0.$$

We claim that  $f$  is the required function. Observe that

$$f(x) = y \Leftrightarrow \exists n(x = a(n) \wedge y = h(n)),$$

so  $f$  is recursive in  $X$ ,  $\mathcal{A}$ . By induction on  $k$ , we prove that for all  $k$ ,

- I)  $a(i) <_X a(j)$  if and only if  $f(a(i)) < f(a(j))$  for all  $i, j \leq k$ , and  
 II) the set  $\{f(a(i)) : i \leq k\}$  is normal.

Observe that the set  $\{f(a(0))\}$  is normal, so I) and II) are true for  $k = 0$ .

Suppose that I) and II) are true for  $k$ . Then I) implies that

$$A(h, k) < B(h, k), C(h, k) \not\leq A(h, k), \text{ and } B(h, k) \not\leq C(h, k).$$

Also  $A(h, k) \cup B(h, k) \cup C(h, k) = \{f(a(i)) : i \leq k\}$  so it is a normal set. Thus the sets  $A = A(h, k)$ ,  $B = B(h, k)$ ,  $C = C(h, k)$  satisfy the claim of Lemma 2.

Now,  $g(\langle A(h, k), \langle B(h, k), \langle C(h, k) \rangle \rangle) = f(a(k+1))$ , so by the definition of the function  $g$ ,

$$\sup A(h, k) < f(a(k+1)) < \inf B(h, k),$$

$f(a(k+1)) \parallel C(h, k)$  and  $A(h, k) \cup B(h, k) \cup C(h, k) \cup \{f(a(k+1))\}$  is a normal set. Observe now that for  $i < k+1$ ,

$$a(i) <_X a(k+1) \Leftrightarrow f(a(i)) \in A(h, k) \Leftrightarrow f(a(i)) < f(a(k+1))$$

$$a(k+1) <_X a(i) \Leftrightarrow f(a(i)) \in B(h, k) \Leftrightarrow f(a(k+1)) < f(a(i))$$

$$a(i) \parallel_X a(k+1) \Leftrightarrow f(a(i)) \in C(h, k) \Leftrightarrow f(a(i)) \parallel f(a(k+1)).$$

Thus I) and II) are true for  $k+1$ . Hence by induction for all  $i$  and  $j$ ,

$$a(i) <_X a(j) \Leftrightarrow f(a(i)) < f(a(j)).$$

Since  $f$  is also injective it is an embedding of  $X$  into  $\mathcal{A}$ .

It remains to show that  $f$  destroys all suprema and infima. Suppose that for some  $i, j, k$ ,  $a(i) \parallel_X a(j)$  and  $a(i) \cup a(j) = a(k)$ . Then  $a(i) <_X a(k)$  and  $a(j) <_X a(k)$ , so  $f(a(i)) < f(a(k))$  and  $f(a(j)) < f(a(k))$ . The set  $\{f(a(n)) : n \leq \max(i, j, k)\}$  is normal, thus

$$f(a(i)) \cup f(a(j)) < f(a(k)),$$

i.e.  $f$  destroys the supremum  $a(i) \cup a(j)$ . The same argument applies in the case of infimum of  $X$ -incomparable elements. This concludes the proof of the theorem.

### 3. Embedding preserving suprema and infima. Let

$$A = \{x : \text{Seq}(x) \wedge \forall i(i < \text{lh}(x) \Rightarrow ((x)_i = 0 \vee (x)_i = 1))\}.$$

Thus  $A$  is the set of codes of all finite sequences of zeroes and ones.

Let  $\cup$  and  $\cap$  be some operations on  $A$  satisfying the following property:

$$\text{If } \langle k_1, \dots, k_n \rangle \in A,$$



then

$$\begin{aligned} \langle k_1, \dots, k_n, 0 \rangle \cup \langle k_1, \dots, k_n, 1 \rangle &= \langle k_1, \dots, k_n \rangle \\ \langle k_1, \dots, k_n, 0 \rangle \cap \langle k_1, \dots, k_n, 1 \rangle &= \langle 0 \rangle. \end{aligned}$$

Let  $\mathcal{M}$  be the Boolean algebra generated by  $\mathcal{A}$  and by operations  $\cup$  and  $\cap$  satisfying the above property. It is well known that  $\mathcal{M}$  is (isomorphic to) the Boolean algebra of all clopen subsets of the Cantor Space. The elements of  $\mathcal{M}$  are just all the finite joins and meets of  $\mathcal{A}$ .

It is easy to see that  $\mathcal{M}$  is recursive, that is to say

$$\mathcal{M} = \langle A_{\mathcal{M}}, \cup, \cap, -, 0, 1 \rangle,$$

where  $A_{\mathcal{M}}$  is a recursive set and the graphs of partial functions  $\cup$ ,  $\cap$  and  $-$  are recursive.  $\mathcal{M}$  is an atomless Boolean algebra.

We prove the following theorem.

**THEOREM 2.** *There exists a recursive partial ordering  $X$  on  $\omega$ , such that*

- I) *there is an embedding of  $X$  into  $\mathcal{M}$  which preserves all suprema and infima of  $X$ , and*
- II) *no such embeddings are recursive.*

*Proof.* Let  $P(x)$  be a  $\Sigma_2^0 - \Pi_2^0$  relation. For some recursive  $R$ ,

$$P(x) \Leftrightarrow \exists y \forall z R(x, y, z).$$

Define a partial function  $g$  as follows:

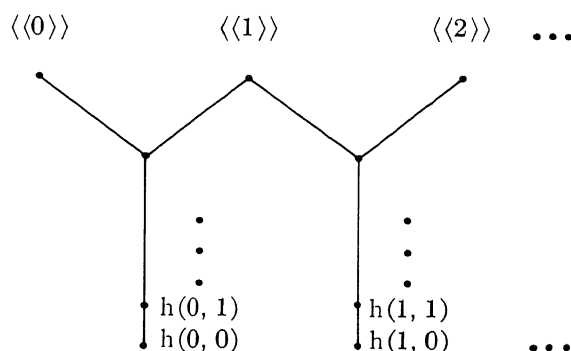
$$g(x, y) \simeq \langle x, y, \mu z \neg R(x, y, z) \rangle$$

Observe that  $\text{graph}(g)$  is recursive. Define

$$h(x, y) \simeq \langle g(x, 0), \dots, g(x, y \div 1) \rangle \quad \text{where } y \div 1 = \max(y - 1, 0).$$

Clearly  $h$  is a partial recursive function. Observe that

- 1)  $(h(x, y)$  is defined and  $z < y \Rightarrow (h(x, z)$  is defined)
- 2) For all  $x$  [ $\lambda y h(x, y)$  is total  $\Leftrightarrow \lambda y g(x, y)$  is total]
- 3)  $\text{graph}(h)(x, y, z) \Leftrightarrow \text{Seq}(z) \wedge \text{lh}(z) = y \wedge \forall i (i < y \Rightarrow \text{graph}(g)(x, i, (z)_i))$ . Our ordering  $X$  looks as follows:



More formally,

$$X = \{(\langle\langle x \rangle\rangle, \langle\langle x \rangle\rangle) : x \geq 0\} \cup \{(h(x, m), h(x, n)) : x \geq 0, n \geq m \geq 0\} \\ \cup \{(h(x, m), \langle\langle x \rangle\rangle) : m \geq 0, x \geq 0\} \\ \cup \{(h(x, m), \langle\langle x + 1 \rangle\rangle) : x \geq 0, m \geq 0\}.$$

$X$  is clearly a recursive set. Now let  $T$  be the following relation:

$$T(x) \Leftrightarrow \langle\langle x \rangle\rangle \cap \langle\langle x + 1 \rangle\rangle \text{ exists.}$$

Then

$$T(x) \Leftrightarrow \lambda y h(x, y) \text{ is not total,} \\ \Leftrightarrow \lambda y g(x, y) \text{ is not total,} \\ \Leftrightarrow \exists y (g(x, y) \text{ is not defined}), \\ \Leftrightarrow \exists y (\forall z R(x, y, z)), \\ \Leftrightarrow P(x).$$

Hence  $T$  is a  $\Sigma_2^0 - \Pi_2^0$  relation.

It is easy to see that there is an embedding of  $X$  into  $\mathcal{M}$  which preserves all suprema and infima of  $X$ . Let  $f$  be such an embedding. Then

$$T(x) \Leftrightarrow \exists z (z \in \text{Fld}(X) \wedge (f(\langle\langle x \rangle\rangle) \cap f(\langle\langle x + 1 \rangle\rangle) = f(z))).$$

Thus, if  $f$  was recursive then  $T$  would be a  $\Sigma_1^0$  set, which is not the case. Hence no such embeddings are recursive, completing the proof.

The above theorem shows that Theorem 1 is not true when I) is changed for  
I')  $f$  preserves all suprema and infima of  $X$ .

We pass now to the problem of recursive embeddings of Boolean algebras into Boolean algebras. Abian in [1] proves the following lemma.

**LEMMA 3.** (Abian). *Let  $\mathcal{A}$  and  $\mathcal{B}$  be countable Boolean algebras and let  $\mathcal{B}$  be atomless. Let  $f$  be an isomorphism from a finite subalgebra  $\mathcal{A}_1$  of  $\mathcal{A}$  onto a finite subalgebra  $\mathcal{B}_1$  of  $\mathcal{B}$ . Then for every  $a \in \mathcal{A} - \mathcal{A}_1$  there exists  $b \in \mathcal{B} - \mathcal{B}_1$  such that the assignment  $f(a) = b$  extends the isomorphism  $f$  from the subalgebra of  $\mathcal{A}$  generated by  $\mathcal{A}_1 \cup \{a\}$  onto the subalgebra of  $\mathcal{B}$  generated by  $\mathcal{B}_1 \cup \{b\}$ .*

Using this lemma, Abian gives an algebraic proof of the well-known theorem that two countable atomless Boolean algebras are isomorphic. In fact this isomorphism is recursive in the considered algebras. More precisely, we have the following theorem.

**THEOREM 3.** *Let  $\mathcal{A}$  and  $\mathcal{B}$  be countable Boolean algebras on  $\omega$  and let  $\mathcal{B}$  be atomless. Then*

*I) there exists an embedding of  $\mathcal{A}$  into  $\mathcal{B}$  (as Boolean algebras) which is recursive in  $\mathcal{A}, \mathcal{B}$ , and*

II) if  $\mathcal{A}$  is atomless, then there exists an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  which is recursive in  $\mathcal{A}, \mathcal{B}$ .

*Proof.* I) follows by the repeated use of Lemma 3. II) follows using Lemma 3 repeatedly back and forth. It is clear that in both cases the constructed embedding  $f$  is recursive in  $\mathcal{A}, \mathcal{B}$ .

*Remark.* This paper is closely related with the Van Emde Boas [2] paper. Van Emde Boas proves there that every recursive partial ordering can be recursively embedded into the Boolean algebra  $\mathcal{M}$  defined earlier. We obtained Theorem 1 independently of his paper.

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