ERASMUS UNIVERSITY ROTTERDAM

ECONOMETRIC INSTITUTE

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NORM MAPS FOR FORMAL GROUPS III
by Michiel Hazewinkel

## 1. INTRODUCTION.

Let $K$ be discretely valued complete field of characteristic zero with algebraically closed residue field $k$ of characteristic $p>0$. Let $A$ be the ring of integers of $K$ and let $F$ be a one dimensional commutative formal group over $A$. Let $K_{\infty} / K$ be a「-extension (associated to the prime p), i.e. $K_{\infty} / K$ is galois and $\operatorname{Gal}\left(K_{\infty} / K\right) \simeq \mathbb{Z}_{p}$, the p-adic integers, and let $K_{n}$ be the invariant field of $p^{n} G a l\left(K_{\infty} / K\right)$. There are natural norm maps

$$
\mathrm{F}^{-\operatorname{Norm}_{\mathrm{n}} / 0}: F\left(K_{\mathrm{n}}\right) \rightarrow F(K)
$$

Let $v$ be the normalized exponential valuation on $K$, i.e. $v(\pi)=1$, where $\pi$ is a uniformizing element of $K$. Let $F^{s}(K), s \in \mathbb{R}, s \geq 1$ denote the filtration subgroup of $F(K)$ consisting of all elements $x$ of $A$ such that $v(x) \geq S$. Let $h$ be the height of the formal group $F$ and let $e_{K}$ be the (absolute) ramification index of $K$, i.e. $v(p)=e_{K}$. In [3] we proved.
There exist constants $c_{1}$ and $c_{2}$ such that for all $n \in \mathbb{N}$

$$
F^{\beta} n^{n}(K) \subset \operatorname{Im}\left(F-\operatorname{Norm}_{n / o}\right) \subset F^{\alpha} n^{n}(K)
$$

where $\alpha_{n}=h^{-1}(h-1) n e_{K}-c_{1}, \quad \beta_{n}=h^{-1}(h-1) n e_{K}+c_{2}$

The proof in [3] that there exists a constant $c_{1}$ such that the second inclusion holds is relatively easy, but the proof in [3] that there is $a c_{2}$ such that the first inclusion holds is very long and laborious. It is the purpose of the present note to give a much shorter and more conceptual proof of this part of the theorem by using some results on the logarithm of F. This proof is similar in spirit to the proof sketched in section 12 of [3] for the main theorem of [2].
For more complete definitions of the notions mentioned above cf. [2] and [3]. Some motivation as to why one would want to study the images of norm maps of formal groups can be found in the introduction of [2] and especially in [6].

So the theorem we are going to prove in this paper is
Theorem A. Let $K_{\infty} / K$ be a $\Gamma$-extension of a mixed characteristic local field $K$ with algebraically closed residue field. Let $F$ be a one dimensional commutative formal group over A of height h over A. Then there exists a constant $c$ such that

$$
F^{\beta}{ }^{n}(K) \subset \operatorname{Im}\left(F-\text { Norm }_{n / 0}\right)
$$

for all $n$, where $\beta_{n}=h^{-1}(h-1) n e_{K}+c$.
(If $h=\infty, h^{-1}(h-1)$ is taken to be equal to 1 ).
All formal groups in this paper will be one dimensional commutative. The notation introduced above will remain in force throughout this paper. In addition we use $A_{n}$ for the ring of integers of $K_{n} ; \pi_{n}$ for a uniformizing element of $K_{n}$; $v_{n}$ for the normalized exponential valuation of $K_{n}$, i.e. $v_{n}\left(\pi_{n}\right)=1$; and $\operatorname{Tr}_{n / o}$ is the trace map from $K_{n}$ to $K$. The natural numbers are denoted by $\mathbb{N}$.

## 2. RECAPITULATION OF SOME RESULTS AND DEFINITIONS.

2.1. Let $L / K$ be a cyclic extension of degree $p$. There is a unique integer $m(L / K) \geq 1$ such that for all $n, \operatorname{Tr}_{L / K}\left(\pi_{L}^{n} A_{L}\right)=\pi_{K}^{r} A_{K}$, where $r=\left[p^{-1}((m(L / K)+1)(p-1)+n)\right]$ where $[y]$ denotes the entier of $y$. We shall use $m_{n}$ to denote the number $m\left(K_{n} / K_{n-1}\right)$.
2.2. Lemma. (Tate [7]). There is a constant $m_{o}$ such that $m_{n}=\left(1+p+\ldots+p^{n-1}\right) e_{K}+m_{o}$ for all sufficiently large $n$.
2.3. Let $L / K$ be any totally ramified extension. We define the function $\lambda_{L / K}$ as follows $\lambda_{L / K}(n)=r$ iff $\operatorname{Tr} r_{L / K}\left(\pi_{L}^{n} A_{L}\right)=\pi_{L}^{r} A_{K}$. The function $\lambda_{L / K}$ can of course be described in terms of the various $m\left(L_{i} / L_{i-1}\right)$ where $K=L_{1} \subset L_{2} \subset \ldots \subset L_{S}=L$ is a tower of cyclic extensions of prime degree. It follows immediately from this that
2.4. Lemma. $\lambda_{L / K}(t)=e_{L}^{-1} e_{K} t+e_{t}$, where the numbers $e_{t}$ are bounded indepently of $t$.
2.5. Lemma. ([3] lemma 3.4). Let L/K be a totally ramified extension, then there is a $t_{0} \in \mathbb{N}$ such that for all $t \geq t_{0}$

$$
F-\operatorname{Norm}_{L / K}\left(F^{t}(L)\right)=\hat{F}^{\lambda} L / K^{(t)}(K)
$$

2.6. Reduction of the Proof of Theorem A.

If $K_{\infty} / K$ is a $\Gamma$-extension, then so is $K_{\infty} / K_{r}$ for all $r \in \mathbb{N}$. In view of 2.2 and 2.5 this reduces the proof of theorem 1.1 to the case where $K_{\infty} / K$ is $\Gamma$-extension such that $m_{n}=\left(1+\ldots+p^{n-1}\right) e_{K}+m_{0}$ for all $n \in \mathbb{N}$. Indeed if $K_{\infty} / K$ is any $\Gamma$-extension, then by 2.2 there is an $r \in \mathbb{N}$ such that $m_{n}=m\left(K_{n} / K_{n-1}\right)=$
$\left(1+\ldots+p^{n-r-1}\right) e_{K} p^{r}+m_{0}+\left(1+p+\ldots+p^{r-1}\right) e_{K}$ for all $n>r$. Now apply lemma 2.5 with $L=K_{r}$ (using that $F-\operatorname{Norm}_{n / 0}=F-$ Norm $_{r / 0}\left(F-\operatorname{Norm}_{n / r}\right)$ ). 2.7. Lemma. Let $F$ be a formal group over $A$ and $f(X)$ its logarithm. Then for $t$ large enough $f$ is an isomorphism

$$
F^{t}(K) \xrightarrow{f} G_{a}^{t}(K)
$$

where $G_{a}$ is the additive formal group, i.e. $G_{a}(X, Y)=X+Y$
Proof. We have $f(F(X, Y))=f(X)+f(Y)$ and $n b_{n} \in A$ if $f(X)=\Sigma b_{n} X^{n}$. The lemma follows easily from this.
2.8. Idea of the Proof of Theorem A.

We consider the diagram

$$
\begin{aligned}
& F\left(K_{n}\right) \xrightarrow{f} K_{n}
\end{aligned}
$$

which is commutative. It now suffices to prove that there is a constant c such that

$$
\pi^{\beta_{n}}{ }_{A} \subset \operatorname{Tr}_{n / 0} f^{( }\left(\pi_{n} A_{n}\right)
$$

This follows directly from lemma 2.7.

## 3. LEMMAS ON $f(X)$.

3.1. Let $h=$ height $(F)<\infty$. Let $F^{*}$ be the reduction of the formal group $F$ to a formal group over $k$, the residue field of $K$. Because $k$ is algebraically closed $F^{*}$ is classified by its height $h$.
Let $\mathrm{F}_{\mathrm{T}}$ be the p-typically universal formal group of [4].
Substituting 1 for $T_{h}$ and 0 for all $T_{i}$ with $i \neq h$ we obtain a formal group $G$ over $A$ such that $G^{*}$ is of height $h$ and hence isomorphic to $F^{*}$ by a theorem of Lazard, because $k$ is algebraically closed, cf.e.g. [1]. It now follows from [4] part I section 5.3 and [5] that $F$ is isomorphic to a formal group $F_{t}$ obtained from $F_{T}$ by substituting $t_{i}$ for $T_{i}, i=1,2, \ldots$ where $t_{i} \in \pi A, i=1, \ldots, h-1$, $t_{h}=1, t_{j}=0, j=h+1, h+2, \ldots$ We can therefore assume that $F$ is equal to such an $F_{t}$. It follows that if
(3.1.1) $F(X, Y)=f^{-1}\left(f(X)+f(Y) \quad f(X)=X+a_{1} X^{p}+a_{2} X^{P^{2}}+\ldots\right.$
then the coefficients of $f(X)$ satisfy relations

$$
p a_{n}=a_{n-1} t \frac{p}{1}_{n-1}^{1}+a_{n-2} t \frac{p}{2}_{n-2}^{n}+\ldots+a_{n-n} t^{p^{n-h}}, n \geq n
$$

(3.1.2)

$$
t_{1}, \ldots, t_{h-1} \in \pi A, t_{h}=1
$$

3.2. Lemma. If height $(F)<\infty$ then there is no $n_{o} \in \mathbb{N}$ such that $v\left(a_{n}\right) \geq 0$ for all $n \geq n_{0}$.
Proof. If $v\left(a_{n}\right) \geq 0$ for all $n \geq n_{0}$. Then $v\left(a_{n}\right) \geq 0$ for all $n \geq n_{0}-1$ by 3.1 .2 (because $t_{h}=1$ ), and thus with induction $v\left(a_{n}\right) \geq 0$ for all $n \geq 1$, which means that $f(X)$ is an isomorphism of $F$ with the additive group and hence implies height $(F)=\infty$.
3.3. Lemma. If $h<\infty$ then there is an $n_{0} \in \mathbb{N}$ such that

$$
v\left(a_{n_{0}}+r h\right)=v\left(a_{n_{0}}\right)-r e_{K}, v\left(a_{n_{0}}\right)<0
$$

for all $r \in \mathbb{N}$.
Proof. Let $n_{1} \in \mathbb{N}$ be such that $p^{n} \geq n e_{K}$ for $n \geq n_{1}$. Then for $n \geq n_{1}+h$ we have that $v\left(a_{n-i} t_{i}^{p^{n-1}}\right) \geq 0, i=1, \ldots, h-1$. Now let $n_{0} \geq n_{1}$ be such
that $v\left(a_{n_{0}}\right)<0$. Such an $n_{0}$ exists by lemma 3.2. Then by (3.1.2) we have that $v\left(a_{n_{0}}+h\right)=v\left(a_{n_{0}}\right)-e_{K}$ and with induction $v\left(a_{n_{0}}+r h\right)=v\left(a_{n_{0}}\right)-r e_{K}$, $r \in \mathbb{N}$.
3.4. Lemma. Let $h<\infty$. There is a constant $c$ such that

$$
v\left(a_{n}\right) \geq-h^{-1} e_{K}-c
$$

for all $n \in \mathbb{N}$.
Proof. We have that (cf.[4])

$$
\begin{equation*}
a_{n}=\sum_{\left(i_{1}, \ldots, i_{r}\right)} \frac{t_{i_{1}} t_{i_{2}}^{i_{1}} \ldots t_{i_{2}}^{i_{1}} \ldots i_{r-1}}{p^{r}} \tag{3.4.1}
\end{equation*}
$$

where the sum is over all sequences ( $i_{1}, \ldots, i_{r}$ ) such that $i_{1}+\ldots+i_{r}=n, i_{j} \in\{1, \ldots, h\}$. Let $s\left(i_{1}, \ldots, i_{r}\right)$ be the number of indices $j$ such $i_{j}=h$. Let $\ell_{1}, \ldots, \ell_{r-s}$ be the indices in ( $i_{1}, \ldots, i_{r}$ ) which are different from $h$ in their original order. Then

$$
\begin{align*}
v\left(a_{n}\right) & \geq 1+p^{\ell_{1}}+\ldots+p^{\ell_{1}+\ldots+\ell_{r-s}}-r e_{K}  \tag{3.4.2}\\
& \geq\left(1+p+\ldots+p^{r-s}\right)-r e_{K}
\end{align*}
$$

 $r \leq \frac{n}{h}+c^{\prime}$ the term $p^{-r} t_{i_{1}} t_{i_{2}}^{i_{1}} \ldots t_{i_{r}}^{i_{1}}{ }^{i_{1}}{ }^{i_{r-1}}$ has valuation $\geq-h^{-1} n_{K}-e_{K} c_{1}$. Suppose that $r=\frac{n}{h}+c^{\prime}+d, d \in \mathbb{N}$. Because $l_{1}+\ldots+l_{r-s}+h s=n$ we have that $r-s+h s \leq n$, hence $(h-1) s \leq n-r=(h-1)\left(\frac{n}{h}\right)-\left(c^{\prime}+d\right)$ hence $s \leq \frac{n}{n}-\frac{c^{\prime}+d}{h-1}$ and $r-s \geq c^{\prime}+d$ and therefore
(3.4.3)

$$
\begin{aligned}
& 1+p+\ldots+p^{r-s}-r e_{K} \geq 1+p+\ldots+p^{c^{\prime}+d}-\left(\frac{n}{n}+c^{\prime}+d\right) e_{K} \\
& \geq p^{d}\left(1+p+\ldots+p^{c^{\prime}}\right)-\left(\frac{n}{n}+c^{\prime}\right) e_{K}-d e_{K} \\
& \geq-\left(\frac{n}{n}+c^{\prime}\right) e_{K}
\end{aligned}
$$

which proves the lemra.
3.5. Remark. The estimate of 3.4 is (up to a constant) best possible. Because we see from lemma 3.3 that for $n$ of the form $n_{0}+$ rh we have for a certain constant $d$ that

$$
v\left(a_{n}\right)=-h^{-1} n e_{K}+d
$$

## 4. VARIOUS FUNCTIONS AND ESTIMATES.

From now on $K_{\infty} / K$ is a $\Gamma$-extension such that $m_{n}=\left(1+p+\ldots+p^{n-1}\right) e_{K}+m_{o}$ for all $n \in \mathbb{N} ; \mathbb{F}$ is a formal group over $A$ of height $h<\infty$ of the form $F(X, Y)=f^{-1}(f(X)+f(Y))$, where $f(X)$ is as in (3.1.1) and (3.1.2).
4.1. The functions $\mu_{n}, \sigma_{n}, j_{n}, l_{n}$.

We define for all $n \in \mathbb{N}, t \in \mathbb{N}$, i $\in \mathbb{N}$
(4.1.1) $\mu_{n}\left(p^{i}, t\right)=i e_{K}+\lambda_{n-i / o}(t)$ if $i \leq n, \mu_{n}\left(p^{i}, t\right)=n e_{K}+p^{i-n} t$
if $i \geq n$
(4.1.2)

$$
\sigma_{n}(t)=\min _{i}\left\{v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t\right)\right\}
$$

(4.1.3) $j_{n}(t)=$ smallest integer $i$ such that $\sigma_{n}(t)=v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t\right)$

$$
\begin{equation*}
\ell_{n}(t)=n-j_{n}(t) \tag{4.1.4}
\end{equation*}
$$

4.2. Lemma. For every $n$ and $t$ there are only finitely many $i$ such that $\sigma_{n}(t)=v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t\right)$.

This follows immediately from (4.1.1) and lemma 3.4.
4.3. We define

$$
r_{n}=\left[\frac{\left(1+m_{n}\right)(p-1)+1}{p}\right]
$$

Lemma. Suppose that $m_{0} \geq a$ and $e_{K} \geq p$. Then for all $n \geq r \geq 0$

$$
\lambda_{n / n-r}\left(2 r_{n+1}-1\right) \geq(r+1) e_{k} p^{n-r}+p^{n-r}
$$

Proof. One easily sees that $\lambda_{n / n-r}\left(m_{n}\right)=m_{n-r}+r e_{K} p^{n-r}=$ $\left(1+p+\ldots+p^{n-r-1}\right) e_{K}+m_{0}+r e_{K} p^{n-r}$,
Hence it suffices to prove that

$$
\begin{aligned}
2 r_{n+1}-1 & \geq m_{n}+e_{K} p^{n}+p^{n}-\left(p^{r}+\ldots+p^{n-1}\right) e_{K}-m_{0} p^{r} \\
& =e_{K} p^{n}+p^{n}+\left(1+p+\ldots+p^{r-1}\right) e_{K}+m_{0}-m_{0} p^{r}
\end{aligned}
$$

If $r=n$, then $m_{0} p^{r} \geq p^{n}$ because $m_{0} \geq 2$ and we also have
$p^{n} \leq p^{n-1} e_{K}$ because $e_{K} \geq p$.
It follows that it suffices to prove that

$$
2 r_{n+1}-1 \geq e_{K} p^{n}+\left(1+p+\ldots+p^{n-1}\right) e_{K}+m_{0}
$$

We have $2 r_{n+1^{-1}} \geq 2 p^{-1}\left(p^{n+1}-1\right) e_{K}+2 p^{-1}(p-1) m_{0}+2 p^{-1}-1$.
Now if $p>2$ then $2 p^{-1}(p-1) m_{0} \geq m_{0}+1$ because $m_{0} \geq 2$ and if $p=2$ then $2 p^{-1}=1$. Hence $2 r_{n+1^{-1}} \geq 2 p^{n} e_{K}-2 p^{-1} e_{K}+m_{0} \geq\left(1+\ldots+p^{n-1}\right) e_{K}+m_{\circ}+p^{n} e_{K}$.

### 4.4. Trace Lemma ([3] Proposition 4.1)

Let $\pi_{n-1}=(-1)^{p-1} \mathbb{N}_{n / n-1}\left(\pi_{n}\right)$, where $N_{n / n-1}$ is the norm map $K_{n} \rightarrow K_{n-1}$
Then we have

$$
\begin{equation*}
\operatorname{Tr}_{n / n-1}\left(\pi_{n}^{p}\right) \equiv p \pi_{n-1}^{t} \quad \bmod \pi_{n-1}^{2 r_{n}+t+1} \tag{4.4.1}
\end{equation*}
$$

4.5. Lemma. If $m_{o} \geq 2$ and $e_{K} \geq p$ then

$$
\mathrm{v}\left(\operatorname{Tr}_{\mathrm{n}} / 0\left(\pi_{\mathrm{n}}^{\mathrm{p}^{r} t}\right)\right) \geq \mu_{\mathrm{n}}\left(\mathrm{p}^{r}, \mathrm{t}\right)
$$

Proof. First let $r \leq n$. Then we have because of 4.4 that

$$
\begin{aligned}
& \operatorname{Tr} n_{n / n-1}\left(\pi_{n}^{p}\right) \equiv p \pi_{n-1}^{p^{r-1} t} \quad \bmod \left(v_{n-1} \text { valuation } \quad 2 r_{n}+t p^{r-1}-1\right) \\
& \operatorname{Tr}_{n-1 / n-2}\left(p \pi_{n-1}^{p^{r-1} t}\right) \equiv p^{2} \pi_{n-2}^{p^{r-2} t} \bmod \left(v_{n-2} \text { valuation } 2 r_{n-1}+t p^{r-2}-1+p^{n-1} e_{K}\right) \\
& \operatorname{Tr}_{n-r+1 / n-r}\left(p^{r-1} \pi_{n-r+1}^{t}\right) \equiv p^{r} \pi_{n-r}^{t} \bmod \left(v_{n-r}\right. \text { valuation } \\
& \left.2 r_{n-r+1}+t-1+(r-1) p^{n-r} e_{K}\right)
\end{aligned}
$$

Now $\lambda_{n-i / n-r}\left(2 r_{n-i+1}+p^{r-i} t-1+p^{n-i}(i-1) e_{K}\right)=t+p^{n-r}(i-1) e_{K}+$ $+\lambda_{n-i / n-r}\left(2 r_{n-i+1}-1\right) \geq t+r e_{K} p^{n-r}+p^{n-r}$ by lemma 4.3. It follows that
(4.5.1) $\operatorname{Tr}_{n / n-r}\left(\pi_{n}^{p^{r} t}\right) \equiv p^{r} \pi_{n-r}^{t} \bmod \left(v_{n-r^{-v a l u a t i o n ~} t+r e} \dot{K}^{n-r}+p^{n-r}\right)$ Now $v_{n-r}\left(p^{r} \pi_{n-r}^{t}\right)=r e e^{n-r}+t$. So that

$$
\begin{equation*}
v\left(\mathbb{T r}_{n / o}\left(\pi_{n}^{p^{r}}\right)\right) \geq r e_{K}+\lambda_{n-r / o}(t)=\mu_{n}\left(p^{r}, t\right) \tag{4.5.2}
\end{equation*}
$$

Now suppose that $r>n$, then replacing $t$ with $p^{r-n} t$ and $r$ with $n$ we obtain from (4.5.1)
(4.5.3) $\quad \operatorname{Tr}_{n / o}\left(\pi_{n}^{p^{r} t}\right) \equiv p^{n} \pi^{r-n} t \quad \bmod \left(v-v a l u a t i o n p^{r-n} t+n e_{K}+1\right)$
which proves the lemma also in this case.

### 4.6. Lemma.

If $m_{0} \geq 2$ and $e_{K} \geq p$ and if $t$ is such that $\lambda_{n-r / o}(t+1)=\lambda_{n-r / o}(t)+1$ and $r \leq n$ then $v\left(\operatorname{Tr}_{n / o}\left(\pi_{n}^{p^{r}}\right)\right)=\mu_{n}\left(p^{r}, t\right)$; if $r>n$ then $v\left(\operatorname{Tr}_{n / 0}\left(\pi_{n}^{p^{r}}\right)\right)=\mu_{n}\left(p^{r}, t\right)$ for all $t$.

Proof. If $x \in A_{n-r}$ and $v_{n-r}(x)=s$ and $\lambda_{n-r / 0}(s+1)=\lambda_{n-r / 0}(s)+1$ then always $\mathrm{v}\left(\operatorname{Tr}_{\mathrm{n}-\mathrm{r} / \mathrm{o}}(\mathrm{x})\right)=\lambda_{\mathrm{n}-\mathrm{r} / \mathrm{o}}(\mathrm{s})$. Lemma 4.6 now follows immediately from (4.5.1). The second statement of the lemma follows from (4.5.3).

### 4.7. Lemma.

For every $t \in \mathbb{N}$ there is a constant $c$ such that

$$
\sigma_{n}(t) \leq h^{-1}(h-1) n e_{K}+c
$$

Proof. Let $i_{0}$ be such that $v\left(a_{i_{0}}\right)<0, v\left(a_{i_{0}}+r h\right)=v\left(a_{i_{0}}\right)-r e e_{K}$ for $r \in \mathbb{Z}, r \geq-1$. For $n \leq i_{0}$ take $i=i_{0}, t=t_{0}$. Then we have

$$
\sigma_{n}(t) \leq v\left(a_{i_{0}}\right)+\mu_{n}\left(p^{i_{o}}, t\right) \leq p^{i_{o}-n} \leq p^{i_{o}}
$$

If $n>i_{o}$, let $i$ be the largest number of the form $i_{o}+r h$, which is smaller then $n$. Then $n-i \leq h$ and we have

$$
\begin{aligned}
\sigma_{n}(t) \leq v\left(a_{i}\right) & +u_{n}\left(p^{i}, t\right)=v\left(a_{i}\right)-r e_{K}+\lambda_{n-i / o}(t)+i e_{K} \\
& \leq n e_{K}-r e_{K}+\lambda_{n-i / o}(t)
\end{aligned}
$$

Now $\lambda_{n-i / 0}(t)$ is bounded because $n-i \leq h$. Let $d=\max \left\{\lambda_{1 / 0}(t), \ldots, \lambda_{h / 0}(t)\right\}$. As $i_{0}+r h+h \geq n$ we have that $r \geq h^{-1} n-1-h^{-1} i_{0}$ so that indeed for all $n \in \mathbb{N}$

$$
\sigma_{n}(T) \leq h^{-1}(h-1) n e_{K}+c
$$

with $c=\max \left(p^{i} o_{t},\left(1+h^{-1} i_{o}\right) e_{K}+d\right)$

## 5. PROOF OF THEOREM A.

By lemma 2.2 and 2.6 we can assume that the $\Gamma$-extension $K_{\infty} / K$ is such that $m_{n}=\left(1+p+\ldots+p^{n-1}\right) e_{K}+m$, for all $n \in \mathbb{N}$ and that $e_{K} \geq p, m_{0} \geq 2$.

### 5.1. Lemma.

Let $L / K$ be an extension. Then there is a $t \in \mathbb{N}$ such that $F-\operatorname{Norm}_{L / K}(F(L)) \supset F^{t}(K)$.
Proof. If we have $F(X, Y)=X+Y+\sum_{i, j \geq 1} a_{i j} X^{i} Y^{i}$. It follows that
if $s$ is such that $\lambda_{L / K}(s)<[L: K]^{-1} 2 s$, and $v_{L}(x)=s$ then
F-Norm $(x) \equiv \operatorname{Tr} L_{L / K}(s) \bmod \left(v\right.$-valuation $\left.\lambda_{L / K}(s)+1\right)$. Up to a constant we have $\lambda_{L / K}(s)=[L: K]^{-1} s$ and the lemma follows.
5.2. Proof of theorem $A$ in the case $h=\infty$. This follows from lemma 5.1. Cf. also [3].
5.3. In view of 5.2. We can assume that $h<\infty$. Hence we can assume that $F(X, Y)$ is a formal group with logarithm $f(X)$ such that (3.1.1) and (3.1.2) hold. Given all this we have available the various functions defined in section 3 and 4 and the various lemma's of sections 3 and 4 .
Choose $n_{0}$ such that $v\left(a_{n_{0}}\right)<0$ and $v\left(a_{n_{0}+r h}\right)=v\left(a_{n_{0}}\right)-r e_{K}, r \geq 0$ and such that $p^{n} \geq n e_{K}$ for $n \geq n_{0}$. Note that if $n \geq n_{0}+h$ and $v\left(a_{n}\right)<-1$ then $v\left(a_{n-h}\right)=v\left(a_{n}\right)+1$ by (3.1.2).
Let $t_{0} \in \mathbb{N}$ be such that $t_{o} \geq\left(1+p+\ldots+p^{h-1}\right) e_{K}+m_{o}$ and choose a constant $c_{0}$ as in lemma 4.7. Now let $n_{1} \in \mathbb{N}$ be such that
$n_{1} \geq n_{0}+h$, and such that $\sigma_{n}\left(t_{0}\right)<n e_{K}$.
5.4. Lemma.

If $n \geq n_{1}, j_{n}\left(t_{0}\right) \leq n$.
Proof. Suppose $n^{\prime}=j_{n}\left(t_{0}\right)>n$. Then

$$
v\left(a_{n}\right)+\mu_{n}\left(p^{n^{\prime}}, t_{0}\right)=\sigma_{n}\left(t_{0}\right)<n e_{k}
$$

But $\mu_{n}\left(p^{n^{\prime}}, t_{o}\right)=n e{ }_{K}+p^{n^{\prime}-n_{t}}$. Hence $v\left(a_{n}\right)<-1$ and $v\left(a_{n^{\prime}-h}\right)=v\left(a_{n}\right)+1$. Then if $n^{\prime} \geq n+h$ we have
$v\left(a_{n^{\prime}-h}\right)+\mu_{n}\left(p^{n^{\prime}-h}, t_{o}\right)=v\left(a_{n}\right)+1+n e_{K}+p^{n^{\prime}-h-n_{t}} \leq$
$\leq v\left(a_{n},\right)+\mu_{n}\left(p^{n^{\prime}}, t_{o}\right)$ which is a contradiction. And if $n^{\prime}-h<n$.
We have

$$
v\left(a_{n^{\prime}-h}\right)+\mu_{n}\left(p^{n^{\prime}-h}, t_{0}\right)=v\left(a_{n^{\prime}}\right)+1+\left(n^{\prime}-h\right) e_{K}+\lambda_{n-n^{\prime}+h / o}(t)
$$

which is also $\leq v\left(a_{n}\right)+\mu_{n}\left(p^{n^{\prime}}, t_{o}\right)$ because $\lambda_{i / 0}\left(t_{o}\right) \leq t_{o}$ for $i=1, \ldots, h$ if $t_{o} \geq\left(1+p+\ldots+p^{h-1}\right) e_{K}+m_{0}$.
q.e.d.

### 5.5. Proof of Theorem A.

We assume all the conditions mentioned above. Let $n_{1}$ be as in
5.3 above. By lemma 5.1 it suffices to prove theorem $A$ for $n \geq n_{1}$.

To do this it suffices a.ccording to 2.8 to prove that
$\operatorname{Tr}_{n / 0} f\left(\pi_{n} A_{n}\right) \supset \pi^{B_{n}}$ for $n \geq n_{1}$. Note that because $f(F(X, Y))=f(X)+f(Y)$ we have

$$
\begin{equation*}
x, y \in \operatorname{Tr}_{n / o} f\left(\pi_{n} A_{n}\right) \Rightarrow x+y \in \operatorname{Tr}_{n / o} f\left(\pi_{n} A_{n}\right) \tag{5.5.1}
\end{equation*}
$$

Now let $t_{o} \in \mathbb{N}$ be larger than $\left(1+p+\ldots+p^{h-1}\right) e_{K}+m_{0}$, and let $j=j_{n}\left(t_{0}\right)$. Then $j \leq n$ by lemma 5.4. Let $\ell=l_{n}\left(t_{o}\right)=n-j_{n}\left(t_{o}\right)=n-j$, and let $t$ be the largest integer such that $t \geq t_{0}$ and $\lambda_{\ell / o}(t)=\lambda_{\ell / 0}\left(t_{0}\right)$ Then we have (cf. 4.1)

$$
\begin{align*}
& \mu_{n}\left(p^{i}, t\right) \geq \mu_{n}\left(p^{i}, t_{0}\right) \text { for all } i=1,2, \ldots  \tag{5.5.2}\\
& \mu_{n}\left(p^{j}, t\right)=\mu_{n}\left(p^{j}, t_{0}\right)
\end{align*}
$$

And hence (cf. 4.1)

$$
\begin{equation*}
\sigma_{n}(t)=\sigma_{n}\left(t_{0}\right), j_{n}(t)=j_{n}\left(t_{0}\right)=j \tag{5.5.3}
\end{equation*}
$$

Now we also know by lemma's 4.6 and 4.5 that

$$
\begin{align*}
& v\left(\operatorname{Tr}_{n / 0}\left(a_{j} \pi_{n}^{p^{j} t}\right)\right)=v\left(a_{j}\right)+\mu_{n}\left(p^{j}, t\right) \\
& v\left(\operatorname{Tr}_{n / 0}\left(a_{i} \pi_{n}^{p^{i} t}\right)\right) \geq v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t\right) . \quad i \neq j
\end{align*}
$$

Let $x \in A$. Then it follows from (5.5.4) and lemma 4.2 that
(5.5.5) $\operatorname{Tr}_{n / o} f\left(x \pi_{n}^{t}\right) \equiv b_{o} x^{p^{j}}+b_{1} x^{p^{j+1}}+\ldots+b_{r} x^{p^{j+r}} \operatorname{mod\pi }{ }^{\sigma_{n}(t)+1}$
where

$$
v\left(b_{0}\right)=\sigma_{n}(t)=\sigma_{n}\left(t_{0}\right), v\left(b_{i}\right) \geq \sigma_{n}(t), i=1, \ldots, r
$$

Because $k$ is algebraically closed this implies that

We obtain an inclusion (5.5.7) for every $t_{0} \in \mathbb{N}, t_{o} \geq\left(1+p+\ldots+p^{h-1}\right) e_{K}+m_{0}$ Now also $\left.\sigma_{n}\left(1+p+\ldots+{ }^{h-1}\right) e_{K}+m_{0}\right)=h^{-1}(h-1) n e_{K}+c$ for a certain constant, $c$.

Hence in view of (5.5.1) and completeness of $A$ (or lemma 5.3)
theorem $A$ will be proved if we can show for every $n \geq n_{1}$ that all $\left.s \in \mathbb{N}, s \geq s_{o}=\sigma_{n}\left(\left(1+p+\ldots+p^{h-1}\right) e_{K}^{+m_{0}}\right)\right)$ occur as a $\sigma_{n}(t)$ for some $t$. This is done by induction on $s-s_{0}$.
The induction hypothesis is: there is a $t_{0} \geq\left(1+p+\ldots+p^{h-1}\right) e_{k}+m_{0}$ such that $\sigma_{n}\left(t_{0}\right)=s \geq 0$.
Let $j_{0}=j_{n}\left(t_{0}\right)$, then $j_{0} \leq n$. Let $\ell_{0}=n-j_{0}$. Let $t_{1}=t_{o}+p^{0}$.
Then

$$
\begin{array}{r}
v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t_{1}\right) \geq v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t_{0}\right)+1 \text { if } i \geq j_{0} \\
(5.5 .8) v\left(a_{j}\right)+\mu_{n}\left(p^{j}, t_{1}\right)=v\left(a_{j_{0}}\right)+\mu_{n}\left(p^{j_{0}}, t_{0}\right)+1 \\
v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t_{1}\right) \geq v\left(a_{i}\right)+\mu_{n}\left(p^{i}, t_{0}\right) \text { if i< } j_{0}
\end{array}
$$

It follows that

$$
\begin{equation*}
\sigma_{n}\left(t_{1}\right) \leq \sigma_{n}\left(t_{0}\right)+1 \tag{5.5.9}
\end{equation*}
$$

If $\sigma_{n}\left(t_{1}\right)=\sigma_{n}\left(t_{0}\right)+1$ we are done. If $\sigma_{n}\left(t_{1}\right)=\sigma_{n}\left(t_{0}\right)$, then because of (5.5.8) we must have $j_{n}\left(t_{1}\right)=j_{1}<j_{0}$. Let $\ell_{1}=n-j_{1}$ and $t_{2}=t_{1}+p^{\ell_{1}}$. Then $\sigma_{n}\left(t_{2}\right) \leq \sigma_{n}\left(t_{1}\right)+1$; if $\ldots$. This process must stop and finally give a $t$ such that $\sigma_{n}(t)=s+1$, because $j_{0}>j_{1}>. \geq 0$. This concludes the proof of the theorem.

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## SYMBOLS USED.

Latin lower case $k, p, v, s, x, h, e, c, n, m, y, r, t, f, b, a, j, \ell$
Latin upper case $K, A, F, L, X, G, Y, T, N$,
Latin lower case as sub-or superscript $p, n, s, t, r, a, h, i, j$,
Latin upper case as sub or superscript $K, L, T$
Latin lower case bold face
Latin upper case bold face $\mathbb{Z}$ (integers), $\mathbb{R}$ (reals), $\mathbb{N}$ (natural numbers)

Greek lower case $\pi, \lambda, \alpha, \beta, \mu, \sigma$,
Greek upper case $\Gamma$
Greek lower case as sub-or superscript $\beta, \alpha, \sigma$,

Numerals $0,1,2,3,6$,
Numerals as sub-or superscript $0,1,2$,

Special symbols $>, /, \infty, \simeq,(),, \in, \geq,-,=,[],,+, \subset, \rightarrow, \Sigma,<, \neq, \leq$
Special symbols as sub-or superscript $\infty, /,-, *,+$,

Frequently occurring groups of letters in formula s Gal, Norm, Im, $\operatorname{Tr}$

Conventions:
Greek: underline in red

